Search for Supersymmetry Using Final States with One Lepton, Jets, and Missing Transverse Momentum with the ATLAS Detector in $\sqrt{s} = 7$ TeV $pp$ Collisions

G. Aad et al.*

(ATLAS Collaboration)

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This Letter presents the first search for supersymmetry in final states containing one isolated electron or muon, jets, and missing transverse momentum from $\sqrt{s} = 7$ TeV proton-proton collisions at the LHC. The data were recorded by the ATLAS experiment during 2010 and correspond to a total integrated luminosity of 35 pb$^{-1}$. No excess above the standard model background expectation is observed. Limits are set on the parameters of the minimal supergravity framework, extending previous limits. Within this framework, for $A_0 = 0$ GeV, tan$\beta = 3$, and $\mu > 0$ and for equal squark and gluino masses, gluino masses below 700 GeV are excluded at 95% confidence level.

Many extensions of the standard model predict the existence of new colored particles, such as the squarks ($\tilde{q}$) and gluinos ($\tilde{g}$) of supersymmetric (SUSY) theories [1], which could be accessible at the LHC. The dominant SUSY production channels are squark-(anti)squark, squark-gluino, and gluino-gluino pair production. Squarks and gluinos are expected to decay to quarks, gluons, and the SUSY partners of the gauge bosons (charginos $\tilde{\chi}^\pm$ and neutralinos $\tilde{\chi}^0$), leading to events with energetic jets. In $R$-parity conserving SUSY models [2], the lightest supersymmetric particle is stable and escapes detection, giving rise to events with significant missing transverse momentum. In decay chains with charginos ($q_L \rightarrow q \tilde{\chi}^\pm$, $\tilde{g} \rightarrow q\tilde{\chi}^\pm$), chargino decay to the lightest supersymmetric particle can produce a high-momentum lepton. Currently, the most stringent limits on squark and gluino masses come from the LHC [3] and from the Tevatron [4–7].

This Letter reports on a search for events with exactly one isolated high-transverse momentum ($p_T$) electron or muon, at least three high-$p_T$ jets, and significant missing transverse momentum. An exact definition of the signal region will be given elsewhere in this Letter. From an experimental point of view, the requirement of an isolated high-$p_T$ lepton suppresses the QCD multijet background and facilitates triggering on interesting events. In addition to the signal region, three control regions are considered for the most important standard model backgrounds. A combined fit to the observed number of events in these four regions, together with an independent estimate of jets misidentified as leptons in QCD multijet events, is used to search for an excess of events in the signal region.

The analysis is sensitive to any new physics leading to such an excess and is not optimized for any particular model of SUSY. The results are interpreted within the MSUGRA-CMSSM (minimal supergravity or constrained minimal supersymmetric standard model) framework [8,9] in terms of limits on the universal scalar and gaugino mass parameters $m_0$ and $m_1/2$. These are presented for fixed values of the universal trilinear coupling parameter $A_0 = 0$ GeV, ratio of the vacuum expectation values of the two Higgs doublets $\tan\beta = 3$, and Higgs mixing parameter $\mu > 0$, in order to facilitate comparison with previous results.

The ATLAS detector [10] is a multipurpose particle physics apparatus with a forward-backward symmetric cylindrical geometry and near $4\pi$ coverage in solid angle [11]. The inner tracking detector (ID) consists of a silicon pixel detector, a silicon microstrip detector, and a transition radiation tracker. The ID is surrounded by a thin superconducting solenoid providing a 2 T magnetic field and by high-granularity liquid-argon sampling electromagnetic calorimeters. An iron-scintillator tile calorimeter provides hadronic coverage in the central rapidity range. The endcap and forward regions are instrumented with liquid-argon calorimetry for both electromagnetic and hadronic measurements. The muon spectrometer (MS) surrounds the calorimeters and consists of three large superconducting toroids, a system of precision tracking chambers, and detectors for triggering.

The data used in this analysis were recorded in 2010 at the LHC at a center-of-mass energy of 7 TeV. Application of beam, detector, and data-quality requirements results in a total integrated luminosity of 35 pb$^{-1}$, with an estimated uncertainty of 11% [12]. The data have been selected with single lepton ($e$ or $\mu$) triggers. The detailed trigger requirements vary throughout the data-taking period, but the thresholds are always low enough to ensure that leptons with $p_T > 20$ GeV lie in the efficiency plateau.

Fully simulated Monte Carlo event samples are used to develop and validate the analysis procedure, compute

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detector acceptance and reconstruction efficiency, and aid in the background determination. Samples of events for background processes are generated as described in detail in Ref. [13]. For the major backgrounds, top quark pair and \( W + \) jets production, MC@NLO [14] v3.41 and ALPGEN [15] v2.13 are used. Further samples include QCD multijet events, single top production, diboson production, and Drell-Yan dilepton events.

Monte Carlo signal events are generated with HERWIG++ [16] v2.4.2. The SUSY particle spectra and decay modes are calculated with ISAJET [17] v7.75. The SUSY samples are normalized by using next-to-leading order cross sections as determined by PROSPINO [18] v2.1. All signal and background samples are produced by using the ATLAS MC09 parameter tune [19] and a GEANT4 based [20] detector simulation [21].

Criteria for electron and muon identification closely follow those described in Ref. [22]. Electrons are reconstructed based on the presence of a cluster in the electromagnetic calorimeter matched to a track in the ID. Electrons in the signal region are required to pass the "tight" selection criteria, with \( p_T > 20 \) GeV and \( |\eta| < 2.47 \). Events are always vetoed if a "medium" electron is found in the electromagnetic calorimeter transition region \( 1.37 < |\eta| < 1.52 \).

Muons are required to be identified either in both ID and MS systems (combined muons) or as a match between an extrapolated ID track and one or more segments in the MS. The ID track is required to have at least one pixel hit, more than five silicon microstrip detector hits, and a number of transition radiation tracker hits that varies with \( \eta \). For combined muons, a good match between ID and MS tracks is required, and the \( p_T \) values measured by these two systems must be compatible within the resolution. The summed \( p_T \) of other ID tracks within a distance \( \Delta R = \sqrt{(\Delta \eta)^2 + (\Delta \phi)^2} < 0.2 \) around the muon track is required to be less than 1.8 GeV. Only muons with \( p_T > 20 \) GeV and \( |\eta| < 2.4 \) are considered.

Jets are reconstructed by using the anti-\( k_t \) jet clustering algorithm [23] with a radius parameter \( R = 0.4 \). The inputs to this algorithm are clusters of calorimeter cells seeded by cells with energy significantly above the measured noise. Jets are constructed by performing a four-vector sum over these clusters, treating each cluster as an \( (E, \vec{p}) \) four-vector with zero mass. Jets are corrected for calorimeter non-compensation, upstream material, and other effects by using \( p_T \), and \( \eta \)-dependent calibration factors obtained from Monte Carlo calculations and validated with extensive test-beam and collision-data studies [24]. Only jets with \( p_T > 20 \) GeV and \( |\eta| < 2.5 \) are considered. If a jet and a medium electron are both identified within a distance \( \Delta R < 0.2 \) of each other, the jet is discarded. Furthermore, identified medium electrons or muons are considered only if they satisfy \( \Delta R > 0.4 \) with respect to the closest remaining jet. Events are discarded if they contain any jet failing basic quality selection criteria, which reject detector noise and noncollision backgrounds [25].

The calculation of the missing transverse momentum \( E_T^{\text{miss}} \) is based on the modulus of the vectorial sum of the \( p_T \) of the reconstructed objects (jets with \( p_T > 20 \) GeV, but over the full calorimeter coverage \( |\eta| < 4.9 \), and the selected lepton), any additional nonisolated muons, and the calorimeter clusters not belonging to reconstructed objects.

Events are required to have at least one reconstructed primary vertex with at least five associated tracks. The selection criteria for signal and control regions are based on Monte Carlo studies prior to examining the data. The signal region is defined as follows. At least one identified electron or muon with \( p_T > 20 \) GeV is required. The cut value is motivated by the trigger thresholds as well as by the suppression of backgrounds. Events are rejected if they contain a second identified lepton with \( p_T > 20 \) GeV, because they are the subject of a future analysis. At least three jets with \( p_T > 30 \) GeV are required, the leading one of which must have \( p_T > 60 \) GeV. In order to reduce the background of events with fake \( E_T^{\text{miss}} \) from mismeasured jets, the missing transverse momentum vector \( \vec{E}_T^{\text{miss}} \) is required not to point in the direction of any of the three leading jets: \( \Delta \phi(j_i, \vec{E}_T^{\text{miss}}) > 0.2 \) \( (i = 1, 2, 3) \). Further cuts are motivated by the suppression of backgrounds, in particular, from top quark and \( W + \) jets production, while retaining efficiency for the SUSY signal. The transverse mass between the lepton and the missing transverse momentum vector, \( m_T = \sqrt{2p_T E_T^{\text{miss}} \cos[\Delta \phi(\ell, \vec{E}_T^{\text{miss}})]} \), is required to be larger than 100 GeV. \( E_T^{\text{miss}} \) must exceed 125 GeV and must satisfy \( E_T^{\text{miss}} > 0.25m_{\text{eff}} \), where the effective mass \( m_{\text{eff}} \) is the scalar sum of the \( p_T \) of the three leading jets, the \( p_T \) of the lepton, and \( E_T^{\text{miss}} \). Finally, a cut is applied on the effective mass: \( m_{\text{eff}} > 500 \) GeV. The \( m_{\text{eff}} \) variable has been shown to give a good discrimination between signal and background and can be used to quantify the mass scale of SUSY events in case a signal is observed [26]. The efficiency for the SUSY signal in the MSUGRA-CMSSM model defined earlier varies between 0.01% for \( m_{1/2} = 100 \) GeV and 4% for \( m_{1/2} = 350 \) GeV, with a smaller dependence on \( m_0 \), for the electron channel and the muon channel separately. The inefficiency is dominated by the leptonic branching fractions in the SUSY signal for \( m_{1/2} > 150 \) GeV.

Backgrounds from several standard model processes could contaminate the signal region. Top quark pair production and \( W + \) jets production backgrounds are estimated from a combined fit to the number of observed events in three control regions, by using Monte Carlo simulations to derive the background in the signal region from the control regions. The background determination of QCD multijet production with a jet misidentified as an isolated lepton is data driven. Remaining backgrounds from other sources are estimated with simulations.
The three control regions have identical lepton and jet selection criteria as the signal region. The top control region is defined by a window in the two-dimensional plane of $30 \text{ GeV} < E_T^{\text{miss}} < 80 \text{ GeV}$ and $40 \text{ GeV} < m_T < 80 \text{ GeV}$ and by requiring that at least one of the three leading jets is tagged as a $b$-quark jet. For the $b$ tagging, the secondary vertex algorithm SV0 [27] is used, which, for $p_T = 60 \text{ GeV}$ jets, provides an efficiency of 50% for $b$-quark jets and a mistag rate of 0.5% for light-quark jets. The $W$ control region is defined by the same window in the $E_T^{\text{miss}} - m_T$ plane but with the requirement that none of the three hardest jets is $b$ tagged. The QCD multijet control region is defined by demanding low missing transverse momentum $E_T^{\text{miss}} < 40 \text{ GeV}$ and low transverse mass $m_T < 40 \text{ GeV}$. This QCD control region is used only to estimate the QCD multijet background contribution to other background regions but not to the signal region. Instead, the electron and muon identification criteria are relaxed, obtaining a “loose” control sample that is dominated by QCD jets. A loose-tight matrix method, in close analogy to that described in Ref. [13], is then used to estimate the number of QCD multijet events with fake leptons in the signal region after final selection criteria: $0.0^{+0.3}_{-0.0}$ in the muon channel and $0.0^{+0.0}_{-0.0}$ in the electron channel.

Data are compared to expectations in Fig. 1. The standard model backgrounds in the figure are normalized to the theoretical cross sections, except for the multijet background, which is normalized to data in the QCD multijet control region. The data are in good agreement with the standard model expectations. After final selection, one event remains in the signal region in the electron channel and one event remains in the muon channel. Figure 1 also shows the expected distributions for the MSUGRA-CMSSM model point $m_0 = 360 \text{ GeV}$ and $m_{1/2} = 280 \text{ GeV}$. For this benchmark point, 2.9 signal events would be expected in the signal region, with an acceptance of $2.9\%$ ($3.0\%$) in the electron (muon) channel.

A combined fit to the number of observed events in the signal and control regions is performed. The assumption that the Monte Carlo simulation is able to predict the backgrounds in the signal region from the control regions is validated by checking additional control regions at low $m_T$ and at low $E_T^{\text{miss}}$. The defined control regions are not completely pure, and the combined fit takes the expected background cross-contaminations into account. The likelihood function of the fit can be written as $L(n|s,b,\theta) = P_S \times P_W \times P_T \times P_Q \times C_{\text{Syst}}$, where $n$ represents the number of observed events in the data, $s$ is the SUSY signal to be tested, $b$ is the background, and $\theta$ represents the systematic uncertainties, which are treated as nuisance parameters with a Gaussian probability density function. The four $P$ functions on the right-hand side are Poisson probability distributions for event counts in the defined signal ($S$) and control regions ($W$, $T$, and $Q$ for $W$, top pair, and QCD multijets, respectively), and $C_{\text{Syst}}$ represents the constraints on systematic uncertainties, including correlations.

The dominant sources of systematic uncertainties in the background estimates arise from Monte Carlo modeling of the shape of the $E_T^{\text{miss}}$ and $m_T$ distributions in signal and control regions. These uncertainties are determined by

![Graph](image_url)  
**Fig. 1 (color online).** Top: $E_T^{\text{miss}}$ distribution after lepton and jet selection. Center: $m_T$ distribution after lepton and jet selection. Bottom: Effective mass distribution after final selection criteria except for the cut on the effective mass itself. All plots are made for the electron and muon channel combined. Yellow bands indicate the uncertainty on the Monte Carlo prediction from finite Monte Carlo statistics and from the jet energy scale uncertainty.
variation of the Monte Carlo generator, as well as by variations of internal generator parameters. The finite size of the data sample in the background control regions also contributes to the uncertainty. Experimental uncertainties are varied within their determined range and are dominated by the jet energy scale uncertainty [28], $b$-tagging uncertainties, and the uncertainty on the luminosity.

Systematic uncertainties on the SUSY signal are estimated by variation of the factorization and renormalization scales in PROSPINO and by including the parton density function uncertainties using the eigenvector sets provided by CTEQ6.6 [29]. Uncertainties are calculated separately for the individual production processes. Within the relevant kinematic range, typical uncertainties resulting from scale variations are 10%–16%, whereas parton density function uncertainties vary from 5% for $q\bar{q}$ production to 15%–30% for $g\bar{g}$ production.

The results of the combined fit to signal and control regions, leaving the number of signal events free in the signal region while not allowing for a signal contamination in the other regions, is shown in Table I. The observed number of events in the data is consistent with the standard model expectation.

Limits are set on contributions of new physics to the signal region. These limits are derived from the profile likelihood ratio $\Lambda(s) = -2[\ln L(n|s, \hat{b}, \hat{\theta}) - \ln L(n|\hat{s}, \hat{b}, \hat{\theta})]$, where $\hat{s}$, $\hat{b}$, and $\hat{\theta}$ maximize the likelihood function and $\hat{b}$ and $\hat{\theta}$ maximize the likelihood for a given choice of $s$. In the fit, $s$ and $\hat{s}$ are constrained to be non-negative. The test statistic is $\Lambda(s)$. The exclusion $p$ values are obtained from this by using pseudoexperiments, and the limits set are one-sided upper limits [30].

From the fit to a model with signal events only in the signal region, and leaving all nuisance parameters free, a 95% C.L. upper limit on the number of events from new physics in the signal region can be derived. This number is 2.2 in the electron channel and 2.5 in the muon channel. This corresponds to a 95% C.L. upper limit on the effective cross section for new processes in the signal region, including the effects of experimental acceptance and efficiency, of 0.065 pb for the electron channel and 0.073 pb for the muon channel.

Within the MSUGRA-CMSSM framework, limits are obtained from a second combined fit to the four regions, this time allowing for a signal in all four regions, i.e., including possible contamination of the control regions with signal events. The results are interpreted as limits in the $m_{0} - m_{1/2}$ plane, as shown in Fig. 2. For the MSUGRA-CMSSM model considered and for equal squark and gluino masses, gluino masses below 700 GeV can be excluded.

![FIG. 2 (color online). Observed and expected 95% C.L. exclusion limits, as well as the $\pm 1\sigma$ variation on the expected limit, in the combined electron and muon channels. Also shown are the published limits from CMS [3], CDF [4], and D0 [5,6], and the results from the LEP experiments [31].]
are excluded at 95% C.L. The limits depend only moderately on tanβ.

In summary, the first ATLAS results on searches for supersymmetry with an isolated electron or muon, jets, and missing transverse momentum have been presented. In a data sample corresponding to 35 pb\(^{-1}\), no significant deviations from the standard model expectation are observed. Limits on the cross section for new processes within the experimental acceptance and efficiency are set. For a chosen set of parameters within the MSUGRA-CMSSM framework, and for equal squark and gluino masses, gluino masses below 700 GeV are excluded at 95% C.L. These ATLAS results exceed previous limits set by other experiments [3–7].

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[11] ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point in the center of the detector and the z axis coinciding with the axis of the beam pipe. The x axis points from the interaction point to the center of the LHC ring, and the y axis points upward. Cylindrical coordinates (r, φ) are used in the transverse plane, φ being the azimuthal angle around the beam pipe. The pseudorapidity is defined in terms of the polar angle θ as \( \eta = -\ln(\tan(\theta/2)) \).


15 Department of Physics, Humboldt University, Berlin, Germany
16 Albert Einstein Center for Fundamental Physics and Laboratory for High Energy Physics, University of Bern, Bern, Switzerland
17 School of Physics and Astronomy, University of Birmingham, Birmingham, United Kingdom
18 Department of Physics, Bogazici University, Istanbul, Turkey
19 Division of Physics, Dogus University, Istanbul, Turkey
20 Department of Physics, Istanbul Technical University, Istanbul, Turkey
21 INFN Sezione di Bologna, Bologna, Italy
22 Physikalisches Institut, University of Bonn, Bonn, Germany
23 Department of Physics, Boston University, Boston, Massachusetts, USA
24 Department of Physics, Brandeis University, Waltham, Massachusetts, USA
25 Universidade Federal do Rio De Janeiro COPPE/EE/IF, Rio de Janeiro, Brazil
26 Instituto de Fisica, Universidade de Sao Paulo, Sao Paulo, Brazil
27 Physics Department, Brookhaven National Laboratory, Upton, New York, USA
28 National Institute of Physics and Nuclear Engineering, Bucharest, Romania
29 West University in Timisoara, Timisoara, Romania
30 Enrico Fermi Institute, University of Chicago, Chicago, Illinois, USA
31 Departamento de Fisica, Pontificia Universidad Catolica de Chile, Santiago, Chile
32 Instituto de Fisica, Universidad Tecnica Federico Santa Maria, Valparaiso, Chile
33 Department of Modern Physics, University of Science and Technology of China, Anhui, China
34 High Energy Physics Group, Shandong University, Shandong, China
35 Laboratoire de Physique Corpusculaire, Clermont Universite and Universite Blaise Pascal and CNRS/IN2P3, Aubiere Cedex, France
36 Nevis Laboratory, Columbia University, Irvington, New York, USA
37 Niels Bohr Institute, University of Copenhagen, Kobenhavn, Denmark
38 INFN Gruppo Collegato di Cosenza, Cosenza, Italy
39 Dipartimento di Fisica, Universita della Calabria, Arcavata di Rende, Italy
40 Faculty of Physics and Applied Computer Science, AGH-University of Science and Technology, Krakow, Poland
41 The Henryk Niewodniczanski Institute of Nuclear Physics, Polish Academy of Sciences, Krakow, Poland
42 Physics Department, Southern Methodist University, Dallas, Texas, USA
43 DESY, Hamburg and Zeuthen, Germany
44 Institut fur Experimentelle Physik IV, Technische Universitaet Dortmund, Dortmund, Germany
45 SUPA–School of Physics and Astronomy, University of Edinburgh, Edinburgh, United Kingdom
46 Fachhochschule Wiener Neustadt, Wiener Neustadt, Austria
47 INFN Laboratori Nazionali di Frascati, Frascati, Italy
48 Fakultät für Mathematik und Physik, Albert-Ludwigs-Universität, Freiburg i.Br., Germany
49 Section de Physique, Université de Genève, Geneva, Switzerland
50 INFN Sezione di Genova, Genova, Italy
51 Institute of Physics and HEP Institute, Georgian Academy of Sciences and Tbilisi State University, Tbilisi, Georgia
52 Institut für Kernphysik, Jülich Forschungszentrum, Jülich, Germany
53 SUPA–School of Physics and Astronomy, University of Glasgow, Glasgow, United Kingdom
54 II Physikalisches Institut, Georg-August-Universität, Göttingen, Germany
55 Laboratoire de Physique Subatomique et de Cosmologie, Université Joseph Fourier and CNRS/IN2P3, and Institut National Polytechnique de Grenoble, Grenoble, France
56 Department of Physics, Hampton University, Hampton, Virginia, USA
57 Laboratory for Particle Physics and Cosmology, Harvard University, Cambridge, Massachusetts, USA
58 Kirchhoff-Institut für Physik, Ruprecht-Karls-Universität Heidelberg, Heidelberg, Germany
59 Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg, Germany
60 ZITI Institut für technische Informatik, Ruprecht-Karls-Universität Heidelberg, Mannheim, Germany
Faculty of Science, Hiroshima University, Hiroshima, Japan

Faculty of Applied Information Science, Hiroshima Institute of Technology, Hiroshima, Japan

Department of Physics, Indiana University, Bloomington, Indiana, USA

Institut für Astro- und Teilchenphysik, Leopold-Franzens-Universität, Innsbruck, Austria

University of Iowa, Iowa City, Iowa, USA

Department of Physics and Astronomy, Iowa State University, Ames, Iowa, USA

Joint Institute for Nuclear Research, JINR Dubna, Dubna, Russia

KEK, High Energy Accelerator Research Organization, Tsukuba, Japan

Graduate School of Science, Kobe University, Kobe, Japan

Faculty of Science, Kyoto University, Kyoto, Japan

Kyoto University of Education, Kyoto, Japan

Instituto de Física La Plata, Universidad Nacional de La Plata and CONICET, La Plata, Argentina

Physics Department, Lancaster University, Lancaster, United Kingdom

IN隼 Sezione di Lecce, Italy

Department of Physics, Jozef Stefan Institute and University of Ljubljana, Ljubljana, Slovenia

Department of Physics, Royal Holloway University of London, Surrey, United Kingdom

Department of Physics and Astronomy, University College London, London, United Kingdom

Laboratoire de Physique Nucléaire et de Hautes Energies, UPMC and Université Paris-Diderot and CNRS/IN2P3, Paris, France

Fysiska institutionen, Lunds universitet, Lund, Sweden

Departamento de Física Teórica C-15, Universidad Autonoma de Madrid, Madrid, Spain

Institut für Physik, Universität Mainz, Mainz, Germany

School of Physics and Astronomy, University of Manchester, Manchester, United Kingdom

CPPM, Aix-Marseille Université and CNRS/IN2P3, Marseille, France

Department of Physics, University of Massachusetts, Amherst, Massachusetts, USA

Department of Physics, McGill University, Montreal, Quebec, Canada

School of Physics, University of Melbourne, Victoria, Australia

Department of Physics, The University of Michigan, Ann Arbor, Michigan, USA

Department of Physics and Astronomy, Michigan State University, East Lansing, Michigan, USA

INFN Sezione di Milano, Italy

B.I. Stepanov Institute of Physics, National Academy of Sciences of Belarus, Minsk, Republic of Belarus

National Scientific and Educational Centre for Particle and High Energy Physics, Minsk, Republic of Belarus

Department of Physics, Massachusetts Institute of Technology, Cambridge, Massachusetts, USA

Group of Particle Physics, University of Montreal, Montreal, Quebec, Canada

F.N. Lebedev Institute of Physics, Academy of Sciences, Moscow, Russia

Institute for Theoretical and Experimental Physics (ITEP), Moscow, Russia

Moscow Engineering and Physics Institute (MEPhI), Moscow, Russia

Skobeltsyn Institute of Nuclear Physics, Lomonosov Moscow State University, Moscow, Russia

Fakultät für Physik, Ludwig-Maximilians-Universität München, München, Germany

Max-Planck-Institut für Physik (Werner-Heisenberg-Institut), München, Germany

Nagasaki Institute of Applied Science, Nagasaki, Japan

Graduate School of Science, Nagoya University, Nagoya, Japan

INFN Sezione di Napoli, Italy

Dipartimento di Fisica, Università di Napoli, Napoli, Italy

Department of Physics and Astronomy, University of New Mexico, Albuquerque, New Mexico, USA

Institute for Mathematics, Astrophysics and Particle Physics, Radboud University Nijmegen/Nikhef, Nijmegen, The Netherlands

Nikhef National Institute for Subatomic Physics and University of Amsterdam, Amsterdam, The Netherlands

Department of Physics, Northern Illinois University, DeKalb, Illinois, USA

Budker Institute of Nuclear Physics (BINP), Novosibirsk, Russia

Department of Physics, New York University, New York, New York, USA

The Ohio State University, Columbus, Ohio, USA

Faculty of Science, Okayama University, Okayama, Japan

Homer L. Dodge Department of Physics and Astronomy, University of Oklahoma, Norman, Oklahoma, USA

Department of Physics, Oklahoma State University, Stillwater, Oklahoma, USA

Palacký University, RCPTM, Olomouc, Czech Republic

Center for High Energy Physics, University of Oregon, Eugene, Oregon, USA

LAL, Université Paris-Sud and CNRS/IN2P3, Orsay, France

Graduate School of Science, Osaka University, Osaka, Japan
Centro de Investigaciones, Universidad Antonio Narino, Bogota, Colombia
Department of Physics and Astronomy, University of California Irvine, Irvine, California, USA
INFN Gruppo Collegato di Udine, Italy
ICTP, Trieste, Italy
Dipartimento di Fisica, Università di Udine, Udine, Italy
Department of Physics, University of Illinois, Urbana, Illinois, USA
Department of Physics and Astronomy, University of Uppsala, Uppsala, Sweden
Instituto de Fisica Corpuscular (IFIC) and Departamento de Fisica Atomica, Molecular y Nuclear and Departamento de Ingenieria Electronica and Instituto de Microelectronica de Barcelona (IMB-CNMI), University of Valencia and CSIC, Valencia, Spain
Department of Physics, University of British Columbia, Vancouver, British Columbia, Canada
Department of Physics and Astronomy, University of Victoria, Victoria, British Columbia, Canada
Waseda University, Tokyo, Japan
Department of Particle Physics, The Weizmann Institute of Science, Rehovot, Israel
Department of Physics, University of Wisconsin, Madison, Wisconsin, USA
Fakultät für Physik und Astronomie, Julius-Maximilians-Universität, Würzburg, Germany
Fachbereich C Physik, Bergische Universität Wuppertal, Wuppertal, Germany
Department of Physics, Yale University, New Haven, Connecticut, USA
Yerevan Physics Institute, Yerevan, Armenia
Domaine scientifique de la Doua, Centre de Calcul CNRS/IN2P3, Villeurbanne Cedex, France
Faculdade de Ciencias and CFNUL, Universidade de Lisboa, Lisboa, Portugal
Department of Physics, University of Coimbra, Coimbra, Portugal
Institute of Particle Physics (IPP), Canada
Università di Napoli Parthenope, Napoli, Italy
California Institute of Technology, Pasadena, California, USA
Institut für Experimentalphysik, Universität Hamburg, Hamburg, Germany
Manhattan College, New York, New York, USA
Departamento de Fisica, Universidade de Minho, Braga, Portugal
School of Physics and Engineering, Sun Yat-sen University, Guanzhou, China
KFKI Research Institute for Particle and Nuclear Physics, Budapest, Hungary
Institute of Physics, Jagiellonian University, Krakow, Poland
Department of Physics, California State University, Fresno, California, USA
Louisiana Tech University, Ruston, Louisiana, USA
Academia Sinica Grid Computing, Institute of Physics, Academia Sinica, Taipei, Taiwan
Department of Physics and Astronomy, University of South Carolina, Columbia, South Carolina, USA
\footnote{Deceased.}
\footnote{Also at Laboratorio de Instrumentacao e Fisica Experimental de Particulas–LIP, Lisboa, Portugal.}
\footnote{Also at Faculdade de Ciencias and CFNUL, Universidade de Lisboa, Lisboa, Portugal.}
\footnote{Also at CPPM, Aix-Marseille Université and CNRS/IN2P3, Marseille, France.}
\footnote{Also at TRIUMF, Vancouver BC, Canada.}
\footnote{Also at Department of Physics, California State University, Fresno, CA, USA.}
\footnote{Also at Faculty of Physics and Applied Computer Science, AGH-University of Science and Technology, Krakow, Poland.}
\footnote{Also at Department of Physics, University of Coimbra, Coimbra, Portugal.}
\footnote{Also at Università di Napoli Parthenope, Napoli, Italy.}
\footnote{Also at Institute of Particle Physics (IPP), Canada.}
\footnote{Also at Louisiana Tech University, Ruston, LA, USA.}
\footnote{Also at Group of Particle Physics, University of Montreal, Montreal, QC, Canada.}
\footnote{Also at Institute of Physics, Azerbaijan Academy of Sciences, Baku, Azerbaijan.}
\footnote{Also at Institut für Experimentalphysik, Universität Hamburg, Hamburg, Germany.}
\footnote{Also at Manhattan College, New York, NY, USA.}
\footnote{Also at School of Physics and Engineering, Sun Yat-sen University, Guanzhou, China.}
\footnote{Also at Academia Sinica Grid Computing, Institute of Physics, Academia Sinica, Taipei, Taiwan.}
\footnote{Also at High Energy Physics Group, Shandong University, Shandong, China.}
\footnote{Also at California Institute of Technology, Pasadena, CA, USA.}
\footnote{Also at Particle Physics Department, Rutherford Appleton Laboratory, Didcot, United Kingdom.}
\footnote{Also at Section de Physique, Université de Genève, Geneva, Switzerland.}
\footnote{Also at Departamento de Fisica, Universidade de Minho, Braga, Portugal.}
w Also at Department of Physics and Astronomy, University of South Carolina, Columbia, SC, USA.

x Also at KFKI Research Institute for Particle and Nuclear Physics, Budapest, Hungary.

y Also at Institute of Physics, Jagiellonian University, Krakow, Poland.

z Also at Department of Physics, Oxford University, Oxford, United Kingdom.

aa Also at DSM/IRFU (Institut de Recherches sur les Lois Fondamentales de l’Univers), CEA Saclay (Commissariat a l’Energie Atomique), Gif-sur-Yvette, France.

bb Also at Laboratoire de Physique Nucléaire et de Hautes Energies, UPMC and Université Paris-Diderot and CNRS/IN2P3, Paris, France.

cc Also at Department of Physics, Nanjing University, Jiangsu, China.