Measurement of the $\Upsilon(1S)$ production cross-section in $pp$ collisions at $\sqrt{s} = 7$ TeV in ATLAS

ATLAS Collaboration

ABSTRACT

A measurement of the cross-section for $\Upsilon(1S) \rightarrow \mu^+ \mu^-$ production in proton–proton collisions at centre of mass energy of 7 TeV is presented. The cross-section is measured as a function of the $\Upsilon(1S)$ transverse momentum in two bins of rapidity, $|y^{\Upsilon(1S)}| < 1.2$ and $1.2 < |y^{\Upsilon(1S)}| < 2.4$. The measurement requires that both muons have transverse momentum $p_T^{\mu} > 4$ GeV and pseudorapidity $|\eta^{\mu}| < 2.5$ in order to reduce theoretical uncertainties on the acceptance, which depend on the poorly known polarisation. The results are based on an integrated luminosity of 1.13 pb$^{-1}$, collected with the ATLAS detector at the Large Hadron Collider. The cross-section measurement is compared to theoretical predictions: it agrees to within a factor of two with a prediction based on the NRQCD model including colour-singlet and colour-octet matrix elements as implemented in Pythia while it disagrees by up to a factor of ten with the next-to-leading order prediction based on the colour-singlet model.

1. Introduction

The production of $J/\psi$ and $\Upsilon$ mesons has been studied since their discovery in the 1970s [1,2], and even today there is no conclusive coherent theoretical picture of $J/\psi$ and $\Upsilon$ hadroproduction. There are major questions associated with the consistency of measurements made at the Tevatron, HERA and fixed target experiments [3]. In particular it is difficult to reconcile the cross-section measurements with those of the spin alignment [3], and there are significant disagreements between the two Tevatron experiments on the measurement of the spin alignment in the case of $\Upsilon$ production [4,5]. It is thus important for the LHC experiments to measure the production of these mesons in order to shed further light on the puzzle.

The CMS Collaboration has recently presented a measurement of $\Upsilon$ production [6], correcting for the detector acceptance of the two daughter muons in both angular and momentum range. This approach was also adopted in the ATLAS $J/\psi$ cross-section publication [7]. Correction of the data in this way introduces uncertainties due to the poorly known spin alignment of the $\Upsilon$ (or $J/\psi$). Note that CMS quotes the measurement for a wide variety of assumptions on the spin alignment, and the ATLAS $J/\psi$ measurement takes the differences between the corrections obtained with different spin alignments as an additional systematic uncertainty.

With that in mind, a somewhat different approach to the measurement of the $\Upsilon(1S)$ production cross-section is adopted in this analysis. The result is presented as a function of transverse momentum, $p_T$, and rapidity, $y$, of the $\Upsilon(1S)$, corrected for detector response and efficiencies but defined within a restricted range of muon kinematics where both muons have $p_T^{\mu} > 4$ GeV and absolute pseudorapidity $|\eta^{\mu}| < 2.5$. The relative fraction of $\Upsilon(1S)$ mesons where both muons fulfill the kinematic requirements compared to all $\Upsilon(1S)$ mesons depends strongly on the spin alignment of the $\Upsilon(1S)$. For instance, assuming full transverse or longitudinal spin alignment versus unpolarised production changes this fraction by typically 30%. By quoting the measurement in a restricted region of phase space where muons are detected, uncertainties due to the $T$ spin alignment on the measurement are almost eliminated so that the quoted cross-section is free of any assumptions about this property.

A single muon trigger with a threshold of $p_T^{\mu} > 4$ GeV is used. This limits the dataset used for this measurement to the low luminosity period of 2010 corresponding to an integrated luminosity of 1.13 ± 0.04 pb$^{-1}$.

In the following sections, a brief description of the ATLAS detector is given with emphasis on the aspects most relevant to this analysis. Next the measurement strategy is outlined, followed by a description of the Monte Carlo simulation used. The event selection and the determination of the number of $\Upsilon(1S)$ events are

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1. ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point (IP) in the centre of the detector and the z-axis along the beam pipe. The x-axis points from the IP to the centre of the LHC ring, and the y-axis points upward. Cylindrical coordinates $(r, \phi)$ are used in the transverse plane, $\phi$ being the azimuthal angle around the beam pipe. The pseudorapidity is defined in terms of the polar angle $\theta$ as $\eta = -\ln\tan(\theta/2)$.
then described before the results are presented, and a conclusion is given.

2. The ATLAS detector

The ATLAS detector [8] consists of an inner tracker, a calorimeter and a muon system. The inner detector (ID) directly surrounds the interaction point; it includes a silicon pixel detector (Pixel), a silicon strip detector (SCT) and a transition radiation detector (TRT), and is embedded in a solenoidal 2 T magnetic field. The ID covers the range $|\eta| < 2.5$ and is enclosed by a calorimeter system containing electromagnetic and hadronic sections. The calorimeter is surrounded by a large muon spectrometer (MS) inside an air-core toroid magnet system which contains a combination of monitored drift tubes (MDT) and cathode strip chambers (CSC), designed to provide precise position measurements in the bending plane and covering the range $|\eta| < 2.0$ and $2.0 < |\eta| < 2.7$, respectively. In addition, resistive plate chambers (RPC) and thin gap chambers (TGC) with a coarse position resolution but a fast response time are used primarily to trigger muons in the rapidity ranges $|\eta| < 1.05$ and $1.05 < |\eta| < 2.4$, respectively. Momentum measurements in the MS are based on track segments formed separately in at least two of the three station layers of the MDT and the CSC. The RPC and TGC are used to improve the pattern recognition and track reconstruction in the non-bending plane. They do not improve the position measurement in the bending plane.

The first level muon trigger looks for hit coincidences within different RPC or TGC detector layers inside programmed geometrical windows that define the muon $p_T$ and provide a rough estimate of their positions [9]. The lowest available $p_T$ threshold is used for this analysis. In addition, muons are required to pass a high-level trigger selection similar to that of the offline reconstruction and a transverse momentum threshold of $p_T > 4$ GeV.

3. Outline of the measurement

The differential $\Upsilon(1S)$ cross-section is given as

$$
\frac{d^2\sigma}{dp_T dy} = \frac{N_{\Upsilon(1S)}}{\int L \, dr \times \Delta p_T \times \Delta y},
$$

where $L$ is the integrated luminosity, and $\Delta p_T$ and $\Delta y$ are the bin sizes in $p_T^{\Upsilon(1S)}$ and $y^{\Upsilon(1S)}$, respectively. $N_{\Upsilon(1S)}$ is the corrected number of $\Upsilon(1S)$ mesons. It is determined with an unbinned maximum likelihood fit to the dimuon mass distribution after applying a weight to each candidate that is the inverse of its selection efficiency as described in Section 5. The cross-section is defined within the fiducial cuts $p_T^{\Upsilon(1S)} > 4$ GeV and $|y^{\Upsilon(1S)}| < 2.5$ on both muons, where the $\mu$ kinematics are those before any final state QED radiation.

The key aspects of this measurement are the efficiency determination and the fit to extract $N_{\Upsilon(1S)}$. These are described in detail in Sections 5 and 6, respectively.

The effect of bin migrations due to finite detector resolution and final state photon radiation has been studied. Given the good muon momentum resolution of $\sigma(p_T)/p_T < 0.5\%$ in the momentum range relevant for this analysis [10] and the relatively coarse binning used for this measurement, the bin migrations due to detector resolution and final state radiation are smaller than $2\%$ in all bins. This $2\%$ also accounts for the migrations across the $p_T^{\Upsilon(1S)}$ and $H_T$ cuts. This small effect is not corrected for and therefore considered as part of the systematic uncertainty, which is discussed in Section 7. Any residual impacts of the assumption on the $\Upsilon$ polarisation have also been assessed and are within this $2\%$ uncertainty.

4. Monte Carlo simulation

In this analysis, all efficiency factors are determined directly from the data. The differential cross-section expressed in Eq. (1) does not need any large acceptance corrections which would require a detailed modelling of the kinematic properties of the events. Monte Carlo (MC) simulation is only used to construct templates for the likelihood fits to the dimuon mass distribution, and to assess the corrections due to migrations between $p_T^{\Upsilon(1S)}$ and $y^{\Upsilon(1S)}$ bins.

MC events are generated using Pythia6 [11] with the ATLAS MC09 tune [12] and MRST LO* [13] parton distribution functions. They are simulated with the ATLAS simulation framework [14] using Geant4 [15] and fully reconstructed with the same software that is used to process the data from the detector.

For the $\Upsilon$ MC samples, Pythia6’s implementation of $\Upsilon$ production subprocesses using the non-relativistic QCD (NRQCD) [16] framework and the parameters recommended in Ref. [17] are used. In this model, quarkonium is produced in both a colour-singlet and a colour-octet state, and evolves non-perturbatively into physical quarkonium. Each $\Upsilon(nS)$ state is generated separately and includes direct production from the hard interaction, as well as production through radiative feed-down from $\Upsilon(nS) \rightarrow \Upsilon(nS)\gamma$ decays. The samples are generated without polar or azimuthal anisotropy in the decay of the $\Upsilon$ (the default in Pythia).

Background contributions come mainly from open production of charm and bottom quarks with subsequent decay of the $c$- or $b$-hadron to a muon. A further, much smaller contribution comes from Drell–Yan production. These continuum backgrounds are described using the minimum-bias processes in Pythia. In order to avoid double-counting, any generated minimum bias events containing $\Upsilon$-mesons are explicitly removed from the sample. This background sample is only used to estimate a systematic uncertainty on the background modelling.

In all samples final state QED radiation is considered using Photos [18] interfaced to Pythia.

5. Event selection and efficiency determination

Selected events are first requested to satisfy a single muon trigger with a threshold of $p_T^{\mu} > 4$ GeV. Then two offline muons are required with $p_T^{\mu} > 4$ GeV and $|y^{\mu}| < 2.5$.

Reconstructed muons that combine a track reconstructed in the MS with a track reconstructed in the ID are referred to as combined muons [8]. In order to recover efficiency for muons with low momenta, tagged muons extrapolate an ID track to the muon system and attach MS track segments that are not associated to any MS track. For both categories of muons in this analysis, the kinematic properties of the muons are solely determined from the parameters of the ID tracks associated with the muons. At least one of the two offline muons must be a combined muon, and at least one of them must match geometrically to a trigger muon. At low dimuon $p_T$ about $60\%$ of the events have two combined muons, and at high $p_T$ this fraction increases to about $90\%$. Since the measurement is restricted to $|y^{\Upsilon(1S)}| < 2.4$ and $p_T^{\Upsilon(1S)} > 4$ GeV at least one of the two decay muons is within the trigger pseudorapidity acceptance.

Both muon tracks are required to have at least one pixel hit and six SCT hits. Since the $\Upsilon$ is produced promptly, background from heavy flavour decays is suppressed by requiring the muons to originate from the primary vertex. Cuts requiring $|d_0| < 150$ µm and $|z_0| \sin \theta < 1.5$ mm are applied where $d_0$ ($z_0$) is the impact point.
The difference between the two methods is considered as a systematic dependence predicted by the simulation, and it is nearly independent of the dimuon mass and also relatively low compared to the signal. Additionally, the \( \Upsilon(1S) \) signal is not well separated from the \( \Upsilon(2S) \) and \( \Upsilon(3S) \), particularly in the forward region (1.2 < |m_\mu\mu| < 2.4), due to the limited track momentum resolution [21]. Resolving the \( \Upsilon(2S) \) and \( \Upsilon(3S) \) is even more difficult, and thus measurements of \( \Upsilon(2S) \) and \( \Upsilon(3S) \) production are not presented in this Letter.

A template based likelihood fit method is employed where four parameters are fitted independently in each kinematic bin: the numbers of \( \Upsilon(1S) \), \( \Upsilon(2S) \) and \( \Upsilon(3S) \) mesons, and a background normalisation parameter. The three \( \Upsilon \) signal templates are taken from the corresponding \( \Upsilon \) MC samples. The background templates are constructed from data by pairing a muon with a track reconstructed in the ID of opposite electric charge (OS) that passes the ID track selection requirements described in Section 5 and the kinematic requirements of \( p_T > 4 \text{ GeV} \) and \(|\eta| < 2.5\). This template (denoted as “OS \( \mu \) + track”) gives an adequate description of the background since its shape is primarily determined by the systematic selection requirements. The \( \Upsilon \) signal contamination in this sample is expected to be negligible.

The fit results for \( \mathcal{N}_\Upsilon(1S) \) are given in Fig. 1 for four kinematic bins. The goodness of fit is assessed by a \( \chi^2 \) test comparing the data to the template distributions using the normalisations determined by the likelihood fit to the data. In all bins the \( \chi^2 \) probability is > 5%.

Alternative templates for the shape of the background are constructed using dimuon events in \( bb \) and \( cc \) MC or \( \mu + \text{track} \) events with the same electric charge (SS \( \mu + \text{track} \)). A comparison of these alternative background templates shapes is shown in Fig. 2 for two representative bins. The shapes of the alternative templates are similar to the default template at both low and high \( p_T \), and any differences are considered as part of the systematic uncertainty, as discussed in Section 7.

The momentum resolution is determined from cosmic rays, \( J/\psi \) and \( Z \) mass distributions [21] and the central mass value for the \( \Upsilon(1S) \) is fixed to the expected value [22]. The validity of fixing the mass resolution and the overall mass scale is considered as part of the systematic uncertainty, as described in Section 7.

### 6. Determination of the number of \( \Upsilon(1S) \) events

The number of \( \Upsilon(1S) \) events is determined from an unbinned maximum likelihood fit to the dimuon mass distributions in each bin in \( p_T^{\mu\mu} \) and \( y^{\mu\mu} \) where \( p_T^{\mu\mu} (y^{\mu\mu}) \) is the \( p_T (y) \) of the dimuon system. The distributions and corresponding fit results are shown for four representative kinematic bins in Fig. 1.

The shape of these distributions is rather complex. The background varies substantially over the considered mass range and its shape changes significantly depending on the kinematic bin. At low \( p_T^{\mu\mu} \), the background increases sharply with \( m^{\mu\mu} \) and is significant in the \( \Upsilon(1S) \) mass range. At high \( p_T^{\mu\mu} \), the background is nearly independent of the dimuon mass and also relatively low compared to the signal. Once the \( \Upsilon(1S) \) signal is not well separated from the \( \Upsilon(2S) \) and \( \Upsilon(3S) \), particularly in the forward region (1.2 < |y^{\mu\mu}| < 2.4), due to the limited track momentum resolution [21]. Resolving the \( \Upsilon(2S) \) and \( \Upsilon(3S) \) is even more difficult, and thus measurements of \( \Upsilon(2S) \) and \( \Upsilon(3S) \) production are not presented in this Letter.

### 7. Systematic uncertainties

The following systematic uncertainties are considered:

- The luminosity calibration has been determined using the van der Meer scan technique [23] with a precision of 3.4% [24, 25]. It directly translates into a cross-section uncertainty of 3.4%.

- The uncertainties on the single muon reconstruction efficiency, trigger efficiency [19] and impact parameter resolution (described in Section 5) result in 1%, < 1% and 1–3.5% uncertainties on the cross-section measurement, respectively.
Fig. 1. Dimuon mass distributions for four representative bins in $y^{\mu \mu}$ and $p_T^{\mu \mu}$. The data (filled circles) are shown together with the result of the unbinned maximum likelihood fit (histogram) as explained in the text. The shaded histogram shows the background contribution, and the three other histograms show the contributions from the three $\Upsilon$ states. All histograms are normalised by the factor determined in the fit. In the individual plots, the fitted $N_{\Upsilon(1S)}$ yield with its statistical uncertainty, the $\chi^2$ and the number of degrees of freedom are also given. It should be noted that this is simply a binned graphical representation of the fit; the actual fit is unbinned and interpolates the template histograms to obtain the input probability density function.

Fig. 2. Two examples of the templates used for the description of the dimuon mass dependence of the background are shown. The solid histogram shows the opposite-sign (OS) $\mu$ + track, the dashed histogram shows the same-sign (SS) $\mu$ + track and the filled circles show the histogram derived from dimuon events in open $b\bar{b}$ and $c\bar{c}$ MC (heavy flavour MC). The error bars reflect the statistical uncertainty on the MC-based template. All histograms are normalised to the same absolute amount of background as determined in the fit for each kinematic bin (see also Fig. 1 and Section 6).
The impact of bin migrations is studied using MC, considering different shapes of the $p_T^{Υ(1S)}$ distribution. A systematic uncertainty of 2% is associated with this.

The uncertainties on the fit model are evaluated using pseudo-experiments: 1000 sets of pseudo-data are created assuming a Poisson distribution corresponding to the prediction of the default template used for the data fits, and these are then fit with an alternative model as described below. The difference between the mean value of the 1000 pseudo-experiments and the value from the default template is used to assess the systematic effect. This method is used in order to avoid the propagation of statistical uncertainties in the data as part of the systematic uncertainty. However, fitting the data directly with any of the alternative choices gives consistent results. The systematic uncertainties related to the fit arise from the following contributions.

- The uncertainty on the template for the background model is assessed by constructing alternative templates based on the $S\bar{S}$, $\mu^+$ track templates and on dimuon events in open $b\bar{b}$ and $c\bar{c}$ MC, as described in Section 6. The larger of the two differences, as determined using the pseudo-experiment technique described above, is taken as the uncertainty. The resulting uncertainty on the cross-section is typically about 4% but in some bins can be as high as 8%. Same-sign dimuon templates were also considered but are statistically too limited.

- The uncertainty on the signal model is assessed by changing from the signal templates to Crystal-Ball functions [26]. Here the three $Υ$ states are considered and the differences between their mass values is fixed to the PDG value [22]. The relative normalisations are allowed to float as in the default fit. In addition a common scale parameter and the parameters that describe the low-mass tail of the Crystal-Ball function float freely in the fit. The width is constrained to the value predicted by smeared MC to match resolutions measured in data. In the central region ($|y^{Υ(1S)}| < 1.2$) the resulting difference is typically 1% while in the forward region ($1.2 < |y^{Υ(1S)}| < 2.4$) it is about 5–10%, and these values are included in the systematic uncertainty. The data were also directly fitted with this alternative model and the result was found to be consistent with respect to the default fit.

- Both the overall mass scale and the separation between the three $Υ$ states are fixed in the fit. The position of the reconstructed $Υ(1S)$ mass peak is influenced by the overall momentum scale and the energy loss. The momentum scale has been determined using $J/ψ$ mesons and $Z$ bosons to better than 0.2% [21]. The energy loss has been evaluated from the variations of the $J/ψ$ and $K^0_s$ masses [20] in different regions of the detector. Evaluated at the world average $Υ(1S)$ mass, both effects together allow for a mass position uncertainty of 25 MeV. To assess the corresponding systematic uncertainty, pseudo-experiments are used to evaluate the impact of a possible shift in the mass scale by 25 MeV on $N_{Υ(1S)}$. The difference to the default fit is found to be less than 1% in most bins but up to 5% in the highest-$p_T$ forward bin. The mass separation is only influenced by the momentum scale: considering an uncertainty of 0.2% on the mass scale results in an uncertainty on the cross-section of at most 2% in any bin.

- The mass resolution is fixed to the value determined from detailed studies based on $J/ψ$, $Z$ and cosmic rays [10]. A systematic uncertainty is assessed by changing the momentum resolution by 1σ. The resulting systematic uncertainty on the cross-section measurement is below 3% in all bins.

All these systematic uncertainties are uncorrelated and added in quadrature to give the total systematic uncertainty. It is typically 5% in the central and 10% in the forward region. This can be compared to the statistical uncertainty of about 10% (15%) at low $p_T^{Υ(1S)}$ for the central (forward) region and 30% at the highest $p_T^{Υ(1S)}$.

The number of $Υ(1S)$ events and the statistical and systematic uncertainties for each bin are listed in Table 1.

### 8. Differential $Υ(1S)$ cross-section measurement and comparison to theoretical predictions

Fig. 3 shows the differential $Υ(1S)$ cross-section measurement for the central and forward regions in 8 bins in $p_T^{Υ(1S)}$ covering 0–26 GeV for $p_T^{Υ(1S)} > 4$ GeV and $|y| < 2.5$ on both muons. The results are also listed in Table 1. The cross-section falls by about a factor of 50 over this $p_T$-range in both the central and the forward region.

Two theoretical predictions for quarkonium production are compared to the experimental data in Fig. 3. The first is the result of predictions from PYTHIA 8.135 [27] using the NRQCD [16] framework. This prediction uses the NRQCD matrix elements as recommended in Ref. [17] and determined from Tevatron data, and a $p_T$ and $Q^2$ dependent reweighting of the differential cross-section as described in Ref. [28] to prevent a divergence of the cross-section at low $p_T$. The CTEQ5L parton distribution functions are used [29]. The second prediction is a next-to-leading order QCD calculation of the $Υ(1S)$ production cross-section in a colour-singlet state [30] as implemented in MCFM [31] which corresponds to the leading contribution in the NRQCD expansion (CSM NLO). This prediction is not available for $p_T^{Υ(1S)} < 4$ GeV ($p_T^{Υ(1S)} < 6$ GeV) in the central (forward) region since the perturbative expansion is not under proper control and this fixed order calculation fails to provide a reliable estimate [30]. Note that this prediction does not reproduce the differential cross-section measurements at the Tevatron unless additional higher order terms of

### Table 1

<table>
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<tr>
<th>$p_T^{Υ(1S)}$ (GeV)</th>
<th>$N_{Υ(1S)}$</th>
<th>$d^2σ/dp_Tdy$ (pb/GeV)</th>
<th>$\delta_{stat}$ (%)</th>
<th>$\delta_{syst}$ (%)</th>
<th>$\delta_{tot}$ (%)</th>
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<td>4</td>
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The differential $\Upsilon(1S)$ cross-section is almost independent of any assumption on the spin measurement is about 10–15% at low $p_T$. The measured differential cross-section has a different dependency on the spin alignment of the $\Upsilon(1S)$ mesons and is dominated by the statistical precision of the data.

The data significantly exceed the NLO prediction but this may well be explained due to contributions from higher mass bound states and by the need for additional higher order corrections to $\Upsilon(1S)$ production. In contrast, the data are in reasonable agreement with the NRQCD prediction as implemented in PyTHIA8 but differences in the shape of the $p_T$ spectrum of about a factor of two are observed. The data presented here will be useful to further understand the complex mechanisms that govern quarkonium production.

**Acknowledgements**

We thank CERN for the very successful operation of the LHC, as well as the support staff from our institutions without whom ATLAS could not have been operated efficiently. We also wish to thank Pierre Artisenet, John Campbell and Torbjörn Sjöstrand for their help with the theoretical predictions.

We acknowledge the support of ANPCyT, Argentina; YerPhI, Armenia; ARC, Australia; BMWF, Austria; ANAS, Azerbaijan; STFC, Belarus; CPNP and FAPESP, Brazil; NSERC, NRC and CFI, Canada; CERN; CONICYT, Chile; CAS, MOST and NSFC, China; COLCIENCIAS, Colombia; MSMT CR, MPO CR and VSC CR, Czech Republic; DNRF, DNSRC and Lundbeck Foundation, Denmark; ARTEMIS, European Union; IN2P3–CNRS, CEA–DAM/IRFU, France; GNAS, Georgia; BMBF, DFG, MPG and AvH Foundation, Germany; GSRT, Greece; ISF, MINERVA, GIF, DIP and Benoziyo Center, Israel; INFN, Italy; MEXT and JSPS, Japan; CNRST, Morocco; FOM and NWO, Netherlands; RCN, Norway; MNISW, Poland; GRICES and FCT, Portugal; MERSYS (MECTS), Romania; MES of Russia and ROSATOM, Russian Federation; JINR; MSTD, Serbia; MSSR, Slovakia; ARRS and MVZT, Slovenia; DST/NRF, South Africa; MICINN, Spain; SRC and Wallenberg Foundation, Sweden; SER, SNSF and Cantons of Bern and Geneva, Switzerland; NSC, Taiwan; TAEK, Turkey; STFC, the Royal Society and Leverhulme Trust, United Kingdom; DOE and NSF, United States of America.

The crucial computing support from all WLCG partners is acknowledged gratefully, in particular from CERN and the ATLAS Tier-1 facilities at TRIUMF (Canada), NDGF (Denmark, Norway, Sweden), CC-IN2P3 (France), KIT/GridKA (Germany), INFN-CNAF (Italy), NL-T1 (Netherlands), PIC (Spain), ASGC (Taiwan), RAL (UK) and BNL (USA) and in the Tier-2 facilities worldwide.

\(\vartheta(\alpha^2_T)\) are considered and the data are corrected for feed-down from $X_b \to \Upsilon\gamma$ production [32] and other excited $\Upsilon$ states. The renormalisation and factorisation scales for this prediction are set to $m_T = \sqrt{4m_b^2 + p_T^2}$, where $m_b = 4.75$ GeV and $p_T$ is the transverse momentum of the $\Upsilon(1S)$. These scales are varied by a factor of two to assess the sensitivity of the calculation to these parameters. The CTEQ6M parton distribution functions are used for this prediction [33].

The measured differential cross-section has a different $p_T$ dependence, but its normalisation is in reasonable overall agreement with the NRQCD prediction. In particular, at high (low) $p_T^{(1S)}$ the prediction is systematically higher (lower) than the data. The cross-section from data is higher than the NLO colour-singlet prediction over the entire $p_T$ range. Part of the discrepancy can likely be accounted for by the fact that this prediction does not include any feed-down from higher mass states which was estimated to contribute about a factor of 2 at the Tevatron [34]. In Ref. [32], it was shown that additional higher order corrections increase with increasing $p_T$ (they contribute about a factor of 10 at high $p_T$), and are required to describe the Tevatron data. This is qualitatively consistent with the discrepancies observed between the data presented here and the NLO prediction. Whether or not these data can be consistently understood together with the data from previous collider experiments within a consistent theoretical framework remains to be seen.

The data are not compared directly to previous measurements [4–6] since in the present analysis no correction is made when varying the renormalisation and factorisation scales by a factor of two. The CSM NLO calculation accounts only for direct production of $\Upsilon(1S)$ mesons and not for any feed-down from excited states. The NRQCD prediction as implemented in PyTHIA8 is also shown for a particular choice of parameters as discussed in the text.

The crucial computing support from all WLCG partners is acknowledged gratefully, in particular from CERN and the ATLAS Tier-1 facilities at TRIUMF (Canada), NDGF (Denmark, Norway, Sweden), CC-IN2P3 (France), KIT/GridKA (Germany), INFN-CNAF (Italy), NL-T1 (Netherlands), PIC (Spain), ASGC (Taiwan), RAL (UK) and BNL (USA) and in the Tier-2 facilities worldwide.
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