LETTER TO THE EDITOR

Herschel measurements of the D/H and $^{16}$O/$^{18}$O ratios in water in the Oort-cloud comet C/2009 P1 (Garradd)*, ***

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Received 4 June 2012 / Accepted 24 July 2012

ABSTRACT

The D/H ratio in cometary water is believed to be an important indicator of the conditions under which icy planetesimals formed and can provide clues to the contribution of comets to the delivery of water and other volatiles to Earth. Available measurements suggest that there is isotopic diversity in the comet population. The Herschel Space Observatory revealed an ocean-like ratio in the Jupiter-family comet 103P/Hartley 2, whereas most values measured in Oort-cloud comets are twice as high as the ocean D/H ratio. We present here a new measurement of the D/H ratio in the water of an Oort-cloud comet. HDO, H$_2$O, and H$_2^{18}$O lines were observed with high signal-to-noise ratio in comet C/2009 P1 (Garradd) using the Herschel HIFI instrument. Spectral maps of two water lines were obtained to constrain the water excitation. The D/H ratio derived from the measured H$_2^{16}$O and HDO production rates is $(2.06 \pm 0.22) \times 10^{-4}$. This result shows that the D/H in the water of Oort-cloud comets is not as high as previously thought, at least for a fraction of the population, hence the paradigm of a single, archetypal D/H ratio for all Oort-cloud comets is no longer tenable. Nevertheless, the value measured in C/2009 P1 (Garradd) is significantly higher than the Earth’s ocean value of $1.558 \times 10^{-7}$. The measured $^{16}$O/$^{18}$O ratio of 523 $\pm$ 32 is, however, consistent with the terrestrial value.

Key words. comets: general – submillimeter: planetary systems – astrochemistry – comets: individual: C/2009 P1 (Garradd) – Oort cloud

1. Introduction

Having retained and preserved pristine material from the solar nebula, comets contain unique clues to the history and evolution of the solar system (Irvine et al. 2000). Isotopic ratios are important indicators of the conditions under which cometary materials formed, since isotopic fractionation is very sensitive to physical conditions. In addition, the characterization of the isotopic composition of long-period comets from the Oort cloud and of short-period comets from the Jupiter family can provide clues to their formation regions in the early solar system.

The D/H ratio in water has been determined in several Oort-cloud comets (OCC) using different techniques, with most measurements agreeing with a value of $\sim 3 \times 10^{-7}$ (Jehin et al. 2009, and references therein). In addition, the ratio was measured using the ESA Herschel Space Observatory (Pilbratt et al. 2010)

* Herschel is an ESA space observatory with science instruments provided by European-led principal investigator consortia and with important contribution from NASA.
** Appendices are available in electronic form at http://www.aanda.org

We present in this paper a new measurement of the D/H ratio in the water of an Oort-cloud comet, obtained with the same instrumentation and methodology as those used for comet 103P/Hartley 2. Comet C/2009 P1 (Garradd) was observed with the Heterodyne Instrument for the Far-Infrared (HIFI, de Graauw et al. 2010) in the framework of the Herschel
guaranteed time key programme “Water and related chemistry in the solar system” (Hartogh et al. 2009).

2. Observations

Comet C/2009 P1 (Garradd) is a long-period comet originating from the Oort cloud ($P = 127,000$ yr, orbit inclination of 106° with respect to the ecliptic; Nakano 2012). Discovered on 13 August 2009 at a heliocentric distance $r_{h} = 8.7$ AU (McNaught & Garradd 2009), it passed perihelion on 23 December 2011 at $r_{h} = 1.55$ AU. The HDO observations with the HIFI instrument were performed on 6 October 2011, when the comet was at $r_{h} = 1.88$ AU, and a distance from Herschel of 1.76 AU. The HDO and H2O lines were observed simultaneously. Since the H2O ground state rotational lines in comets are optically thick (Bensch & Bergin 2004; Zakharov et al. 2007), lines of the rare oxygen isotopic counterpart H18O should, in principle, provide a more reliable reference for the D/H determination.

The observing sequence followed the same scheme as that used for comet 103P/Hartley 2 (Hartogh et al. 2011). It consisted of ten 30-min long observations of the HDO 110–101 rotational line at 509.292 GHz, interleaved with 6-min simultaneous measurements of the H2O and H18O 101–100 ortho lines at 556.936 GHz and 547.676 GHz, respectively. In addition to these single-point measurements, two on-the-fly maps of the H2O 110–101 transition (of 16-min duration) were acquired at the beginning and end of the sequence. Finally, a map of the H2O 202–111 para transition at 987.926 GHz was performed with an integration time of 25 min. The full sequence spanned 6.85–14.71 UT on 6 October, with the maps serving to constrain the H2O excitation (Hartogh et al. 2010; de Val-Borro et al. 2010).

The H2O and H18O 110–101 lines were observed in the upper and lower sidebands of the HIFI band 1a mixer, respectively. The HDO line was also observed with the band 1a mixer, whereas data on the H2O 202–111 line at 988 GHz were acquired using the band 4a mixer. The single-point observations were carried out in the frequency-switching mode (FSW) with a frequency throw of 94.5 MHz. On-the-fly 557 GHz and 988 GHz maps were acquired using Nyquist sampling, and spatial coverages of $4' \times 4'$ and $2' \times 2'$, respectively. The observing mode for the maps used a reference position at 10$'$ from the comet in R.A. Spectra were acquired with both the Wideband Spectrometer (WBS) and High Resolution Spectrometer (HRS). The spectral resolution of the WBS is 1.1 MHz. The HRS was used either in high-resolution (125 kHz) or nominal-resolution mode (250 kHz), enabling us to sample the line shapes at a spectral resolution of 70–150 $\text{m s}^{-1}$. The telescope beam sizes at the frequencies of the three lines observed in band 1a are similar (half-power beam widths of $38'1$, $38'7$, and $41'6$ for the H2O, H18O, and HDO lines, respectively), so that the three molecules were observed over the same (∼55,000 km diameter) region of the coma.

Figure 1 shows the HRS spectra of the single pointing measurements (HDO, H2O, and H18O 101–101 lines), as well as the H2O 202–111 spectrum extracted from the central part of the map. Data reduction and calibration uncertainties are discussed in Appendix A. The HDO line is detected with a line-integrated signal-to-noise ratio of 17. Maps are shown in Fig. 2. Line intensities and velocity offsets ($\Delta v$) in the comet rest-frame are given in Table 1. The H2O 110–101 line at 557 GHz is optically thick and has an asymmetric profile owing to self-absorption in the foreground coma. Intensity variations with time of up to 7% are observed for this line, which is related to intrinsic comet variability or instrumental effects. Optically thin HDO and H18O lines have approximately symmetric profiles. As the phase angle was $32^\circ$, the small negative-velocity offset observed for these lines suggests a modest excess of outgassing toward the Sun.

3. Analysis

The analysis was carried out using one-dimensional excitation models of HDO, H2O, and H18O (Biver et al. 2007). Models include collisions with H2O and electrons, which dominate the excitation in the inner coma, and solar infrared pumping of vibrational bands followed by spontaneous decay, which establishes fluorescence equilibrium in the outer coma. Radiation trapping strongly affects H2O excitation and is considered using the escape probability formalism. Consistent results were obtained

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**Fig. 1.** HIFI spectra of comet C/2009 P1 (Garradd) observed on 6 October 2011 with the HRS. HDO (509 GHz), H2O (557 GHz), and H18O (548 GHz) 101–101 spectra are the average of single-point measurements. The spectrum of the H2O 202–111 line at 988 GHz is extracted from the map, by averaging data at offsets <10$'$ from the peak. The velocity scale is given with respect to the comet rest frame. Synthetic line profiles obtained with 30% extended production (see text) are shown by red dotted lines. Gas acceleration (velocity increasing from 0.48 km s$^{-1}$ to 0.58 km s$^{-1}$ from $r = 10^4$ km to $10^5$ km) is considered to fit more closely the wings of the profiles (Combi et al. 2004).

**Fig. 2.** On-the-fly maps of H2O in C/2009 P1 (Garradd) obtained with the WBS. Left: the 101–101 557 GHz line observed on 6.29 UT 2011 UT. Right: the 202–111 line at 988 GHz observed on 6.59 UT 2011 UT. The contour spacing is 1 K km s$^{-1}$ in brightness temperature, corresponding to ∼6σ. The Sun direction is indicated at lower right. The beam sizes are $38'1$ and $21'5$ at 557 GHz and 988 GHz, respectively.
using state-of-the-art radiation transfer methods (Hartogh et al. 2010; Bensch & Bergin 2004; Zakharov et al. 2007). Synthetic spectra are then computed using radiation transfer modelling. We assumed isotropic outgassing at a constant velocity. The model input parameters are: i) the gas expansion velocity, assumed to be 0.6 km s\(^{-1}\), corresponding to the half widths of optically thin HDO, \(\text{H}_2\text{O}\) (988 GHz), and \(\text{H}_3\text{O}\) lines; ii) the gas temperature profile; iii) the \(n_{\text{e}}\) scaling factor of the electron density profile, taken to be equal to 0.2 (Biver et al. 2007; Hartogh et al. 2010; de Val-Borro et al. 2010). The \(\text{H}_2\text{O}\) ortho-to-para ratio is assumed to be 3.

Line intensities for spectra probing the inner coma are sensitive to the gas temperature profile, which controls both the population of the rotational levels and the optical depth of the lines. Hence, the evolution of the intensity of the \(\text{H}_2\text{O}\) \(1_{0,10}^{-1_{0,10}}\) and \(2_{0,2}^{-1_{0,11}}\) lines with beam offset, \(\rho\) (km), carries information about the temperature profile. Figure 3 presents the apparent \(\text{H}_2\text{O}\) production rate \(Q_{\text{app}}(\text{H}_2\text{O})\) as a function of \(\rho\) deduced from the maps. With appropriate modelling, the \(Q_{\text{app}}\) curve should be flat, if the nucleus is the dominant source of water vapour with a constant outgassing rate. Figure 3 presents the results for \(T_{\text{kin}} = 47\) K, a value consistent with multi-line observations of methanol undertaken with the IRAM 30-m telescope in September and October 2011 with a 17\(^{\circ}\) beam comparable to the HIFI beam (Biver et al. 2012). For both lines, \(Q_{\text{app}}(\text{H}_2\text{O})\) increases with increasing \(\rho\) for a constant temperature profile. Deviations from constant \(Q_{\text{app}}(\text{H}_2\text{O})\) are enhanced when using \(n_{\text{e}} > 0.2\) (Hartogh et al. 2010). The temperature law \(T_{\text{law}}\) that minimizes the deviations of \(Q_{\text{app}}(\text{H}_2\text{O})\) from a constant value, and provides consistent (within 15\%) water production retrievals from the two lines, has a minimum of 20 K at a distance \(r = 4\times10^3\) km from the nucleus (thereby increasing the self-absorption and \(Q_{\text{app}}\) values) and then increases up to 150 K at \(r = 8\times10^3\) km (Fig. 3). This temperature increase may be related to the increased efficiency of photolytic heating (Combi et al. 2004). We note that the line intensities are weakly sensitive to the temperature at \(r < 1000\) km and \(r > a few 10^3\) km. The velocity offsets \(\Delta v\) of the on-nucleus synthetic 557(988) GHz line profiles are \(+292\) (+50) and \(+259\) (+39) m s\(^{-1}\), for \(T_{\text{law}}\) and \(T_{\text{kin}} = 47\) K, respectively. Hence, the model with a variable temperature also fits more closely the large positive \(\Delta v\) of the central 557 GHz spectra (+320 m s\(^{-1}\)) (Table 1), as it enhances the optical thickness of the line.

We examined whether the increase in \(Q_{\text{app}}(\text{H}_2\text{O})\) with \(\rho\) obtained with constant \(T_{\text{kin}}\) could instead be attributed to water production from icy grains in the outer coma. For \(T_{\text{kin}} = 47\) K, we are able to obtain a flat \(Q_{\text{app}}(\text{H}_2\text{O})\) profile for the 557 GHz line when assuming that 90\% of water production is extended with a characteristic Haser scale-length of \(L_{\text{ext}} = 30000\) km. However this model is unsatisfactory since: i) the apparent production rate given by the 988 GHz line now decreases with increasing \(\rho\); 2) the predicted \(\Delta v\) of the 557 GHz line is 30\% lower than observed; 3) the inferred \(^{18}\text{O}/^{16}\text{O}\) ratio in water is then three times lower than the Earth value. On the other hand, subliming icy grains were possibly present in C/2009 P1 (Garradd)’s coma (Paganini et al. 2012). We therefore considered an alternative model with moderate (30\%) water production from long-lived icy grains were possibly present in C/2009 P1 (Garradd)’s coma (Paganini et al. 2012). We therefore considered an alternative model with moderate (30\%) water production from long-lived icy grains (model outputs are insensitive to an unresolved source of water). This model explains the water 557 and 987 GHz maps for \(L_{\text{ext}} = 50000\) km and a temperature law similar to \(T_{\text{law}}\) (minimum of 20 K at \(r = 4\times16\times10^3\) km). The retrieved production rates and production rate ratios are identical (within 3\%) to those found for nuclear production with \(T_{\text{law}}\). This model accounts satisfactorily for the observed line profiles (Fig. 1).

Table 1 presents the production rates calculated with \(T_{\text{law}}\), along with those for constant \(T_{\text{kin}} = 25\) K and 47 K. To compute the error bars in the production rate and isotopic ratios (derived from the simultaneous single-point data), we take into account
a 5% relative calibration uncertainty (Appendix A). Using $T_{\text{law}}$, the HDO/H$_2$O production rate ratio is 0.215 ± 0.023. This is significantly larger than the value 0.161 ± 0.017 measured for 103P/Hartley 2 (Hartogh et al. 2011). For $T_{\text{kin}} = 25$ K and 47 K, one finds HDO/H$_2$O = 0.185 and 0.195 (±0.020), respectively, hence the retrievals are only slightly temperature-dependent.

The H$_2^{18}$O/H$_2$O production rate ratios derived from the band 1a observations using $T_{\text{kin}} = 47$ K is 419 ± 26, while for $T_{\text{law}}$ and $T_{\text{kin}} = 25$ K one finds 523 ± 32 and 470 ± 29, respectively. These latter values are in good agreement with previous measurements in comets (Jehin et al. 2009, and references therein), as well as with the $^{16}$O/$^{18}$O = 498.7 VSMOW value, giving further confidence that our radiation-transfer model properly accounts for the opacity of the H$_2$O lines, provided a low gas temperature is adopted.

We adopt the model with $T_{\text{law}}$ for the determination of the D/H ratio, as it best explains the H$_2$O maps and line profiles. The D/H measurement for 103P/Hartley 2 was based on the HDO/H$_2$O ratio (Hartogh et al. 2011), assuming $^{16}$O/$^{18}$O = 500 ± 50. Using the same method, we derive D/H = (2.15 ± 0.32) × 10$^{-4}$ for C/2009 P1 (Garradd). Using instead directly the HDO/H$_2$O production-rate ratio results in D/H = (2.06 ± 0.22) × 10$^{-4}$. This value will be adopted for the discussion. We note that consistent results are derived using constant (25–47 K) gas temperatures and 30% extended production (central values ranging from 1.96 to 2.33 × 10$^{-4}$).

The water production rate derived using $T_{\text{law}}$ is in the high range of values measured by other techniques in October 2011 (see discussion in Appendix B). Using a lower water implied that the water of C/2009 P1 (Garradd) is highly enriched in $^{18}$O relative to both the Sun and all rocky bodies of the inner solar system (McKeegan et al. 2011). On the other hand, only an extreme $^{18}$O enrichment ($^{16}$O/$^{18}$O ratio of ~330, i.e., $^{18}$O/H = +500‰ in geochemical notation) would reconcile the D/H ratio in comet C/2009 P1 (Garradd) with the canonical Oort-cloud value of 3 × 10$^{-4}$. Such high $^{18}$O enrichments have not been found so far in any solar system body (McKeegan et al. 2011), except for CO in the Titan’s atmosphere (Courtié et al. 2011). Our preferred interpretation is thus that the $^{16}$O/$^{18}$O ratio in comet Garradd is consistent with the VSMOW value.

4. Discussion

The discovery of a D/H value equal to that of the Earth’s oceans in the Jupiter-family comet 103P/Hartley 2 showed that the reservoir of Earth-like water in the solar system is substantially larger than previously thought, including now both carbonaceous meteorites and comets (Hartogh et al. 2011). It also revealed that isotopic diversity is present in the comet population, with members of the Oort cloud having a deuterium enrichment of up to a factor of two with respect to VSMOW (Fig. 4). As discussed by Hartogh et al. (2011), the suggested dichotomy between Oort-cloud and Jupiter-family comets is difficult to explain in the context of current models predicting deuterium enrichments in cometary ices, and, if real, would imply to revisit the source regions of OCCs and JFCs. Actually, the only dynamical theory that could explain in principle an isotopic dichotomy in D/H is the one arguing that a substantial fraction of Oort-cloud comets were captured from other stars when the Sun was in its birth cluster (Levison et al. 2010).

The paradigm for a single, archetypal D/H ratio for all Oort-cloud comets is no longer tenable. The value of (2.06 ± 0.22) × 10$^{-4}$ measured in comet C/2009 P1 (Garradd) is smaller than the mean of previous determinations in Oort-cloud comets (2.96 ± 0.25) × 10$^{-4}$, Hartogh et al. 2011), and is consistent with the upper limit of 2.5 × 10$^{-4}$ measured in comet 153P/Ikeya-Zhang (Fig. 4, Biver et al. 2006). We note that Brown et al. (2012) reexamined mass-spectrometer measurements for 1P/Halley (Eberhardt et al. 1995), reevaluating this value to be 2.1 × 10$^{-4}$. Altogether, the available data suggest that the deuterium enrichment in the water of Oort-cloud comets is not as high as previously thought, at least for a fraction of the population. Nevertheless, the D/H ratio measured in comet C/2009 P1 (Garradd) is significantly higher (by 2.3σ) than the VSMOW value measured in 103P/Hartley 2. Interestingly, the range of D/H ratios measured in Stardust samples from comet 81P/Wild 2 (McKeegan et al. 2006) is the same as measured in the bulk water of various comets.

Dynamical modelling suggests that the distribution of planetesimals underwent large-scale mixing during the stages of planetary migration (Walsh et al. 2011). Therefore, variations in the cometary D/H may be expected within each population, if ancestor reservoirs were isotopically different. Isotopic diversity may be linked to the large compositional diversity observed within both OCC and JFC populations (Bockelée-Morvan 2011). Finally, experimental studies of ice sublimation suggest that the D/H measured in the evaporated vapour might be enhanced (or depleted with respect to the bulk D/H in the cometary nucleus (Brown et al. 2012). This demonstrates the need to increase the sample of comets with accurate measurements of the D/H ratio, as well as perform further modelling.

Acknowledgements. HIFI has been designed and built by a consortium of institutes and university departments from across Europe, Canada, and the United States (NASA) under the leadership of SRON, Netherlands Institute for Space Research, Groningen, The Netherlands, and with major contributions from Germany, France and the US. Support for this work was provided by NASA through an award issued by JPL/Caltech. S.S. was supported by polish MNiSW funds (18/N-HSO/2008/0).

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Appendix A: Data reduction and HIFI calibration

The comet was tracked using an up-to-date ephemeris provided by the JPL Horizons system. The Herschel rms pointing accuracy is approximately 1″.

The data were reduced to level 2 products using the Herschel Interactive Processing Environment (HIPE 7.3). All lines were observed in the two orthogonal H and V polarizations. The two orthogonal polarizations were averaged. Note that the two polarizations are observed with different mixers, and their respective apertures are imperfectly co-aligned. The beam offset for the H and V average spectra is ~4″ with respect to the pointed position.

The line intensities integrated over velocity were computed on the main-beam brightness-temperature scale using beam efficiencies of 0.75 and 0.73 for bands a and 4a, respectively, and a forward efficiency of 0.96. Based on the calibration error budget (Roelfsema et al. 2012), a conservative value for the uncertainty in the absolute intensity calibration is 10% for both bands. Most sources of errors are eliminated when comparing band 1a data, and the relative uncertainty is at most 5% in this case (sideband ratio, hot-load coupling, and temperature). Finally, the calibration uncertainty for the ratio of band 1a to band 4a lines is 10%.

Appendix B: Water production rate: comparison with other measurements

Water production rates measured for C/2009 P1 (Garradd) from September to October 2011 are shown in Fig. B.1. Reported values include retrievals from OH 18-cm observations (Colom et al. 2011, and in prep.), OH narrowband photometry (Schleicher et al., personal communication), and near-IR observations of water (DiSanti et al. 2012; Paganini et al. 2012; Villanueva et al. 2012). Herschel/HIFI and OH 18-cm observations, which were acquired close in date, provide consistent values. The data suggest that the activity of comet Garradd underwent significant variations, and reached a maximum at the time the HIFI observations were performed. The 987 GHz H$_2$O line observed with HIFI was also observed on 16 October 2011 with the Spectral and Photometric Imaging Receiver (SPIRE) aboard Herschel; the line intensity is ~40% weaker than on 6 October 2011, when the HIFI measurements were conducted (Swinyard et al., in prep.), implying a water production rate consistent with the value measured from OH narrowband photometry on 18 and 20 October 2011 (65 days before perihelion, Fig. B.1). The low values derived from the near-IR measurements, compared to other measurements, possibly reflect sublimation from short-lived icy grains in the inner coma since the field-of-view for these observations was much smaller (by a factor of ten or more) than for the other techniques.

Fig. B.1. Water production rates measured pre-perihelion in comet C/2009 P1 (Garradd). The time is with respect to the perihelion (23 December 2011). The plain and empty circles correspond to the 6-October single-point and mapping HIFI observations, respectively, analysed with the model with $T_{low}$. 