THE FORTNIGHTLY TIDE AND THE TIDAL TRIGGERING OF EARTHQUAKES

By Stephen Hartzell and Thomas Heaton

In this paper we test the southern California network earthquake catalog and the world-wide earthquake catalog for a fortnightly tidal periodicity and find none. In studies that test the hypothesis of tidal triggering of earthquakes, it is usually necessary to resolve the tidal shear stress onto the plane of the fault and in the direction of the slip vector to determine if the stress is compatible with the fault motion (Heaton, 1975, 1982). This analysis requires accurate focal mechanisms and knowledge of the focal plane on which slip occurred for as many earthquakes as possible to improve the statistical sampling of the data set. However, if we consider the fortnightly tide, which is a simple amplitude modulation, focal mechanism information is not required. Our analysis assumes that we have a large data base of earthquakes with varying mechanisms. The alignment of the slip vector with the tidal shear stress will vary from earthquake to earthquake. However, if tidal triggering of earthquakes does occur, more earthquakes should be triggered out of the total population when the tidal stress is large than when it is small. If the largest semi-diurnal tide is normalized to an amplitude of 1.0, the fortnightly tide would have an amplitude of 0.17 on this scale and a period of about 2 weeks (Munk and MacDonald, 1960). Therefore, the effect on the occurrence of earthquakes by the tidal shear stress will be modulated with a 2 week period. Considering the fortnightly tide allows us to utilize entire catalogs of data to test the tidal triggering hypothesis without knowledge of the focal mechanisms.

A simple Fourier transform of the times of occurrence of earthquakes should in principle show any periodicities present in the data. However, as was recognized by Knopoff (1964), the Fourier spectrum can be very difficult to interpret due to the many spectral lines of the tidal function and due to the fact that even the simplest time domain sampling function with events at a constant time interval has a rather complex spectrum (Bracewell, 1965). The method of analysis we have found to be most useful consists of searching the data for one periodicity at a time by plotting the times of occurrence of earthquakes on a rose diagram. A rose diagram can be viewed as the face of a clock for which one complete revolution is equal to the period in question. We divide each diagram into 24 sections into which the earthquakes are sorted as the clock wraps upon itself. A periodicity in the data manifests itself as a clustering of events in one or more of the sections of the diagram. The statistical significance of the clustering is evaluated by a method developed by Rayleigh (1919). Consider a random walk in two dimensions (Fig. 1). Let the phase of each earthquake ϕ_i be given by its azimuth on the rose diagram and represent a unit step in the ϕ_i direction. If the magnitude of the vector sum of m unit twodimensional vectors is denoted by R, then the probability P_R that a random set of m phases will produce a vector sum whose magnitude exceeds R is approximately equal to $e \frac{-R^2}{m}$. This approximation holds when m is larger than 10. Thus, the

smaller P_R is, the greater the significance of the periodicity in the data.

Two different catalogs of earthquake data are considered: the USGS-CALTECH southern California network catalog and the NEIC world-wide catalog. Three different periodicities are tested: fortnightly period of 13.6626 days, 1 day, and 365

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days. Tidal triggering effects are not expected to be seen at periods of 1 day and 365 days, but they are included as points of comparison. The results are shown in Table 1. The tabulated information includes the years covered by the catalogs, the lower magnitude cutoff if any, the periodicity being tested for, the number of earthquakes included, and the probability P_R . Consider the results for the southern California catalog first. They show an initially surprising outcome. Statistically significant periodicities are obtained for not only 13.6626 days but also 1 day and 365 days. However, two important factors have been overlooked: the lower magni-

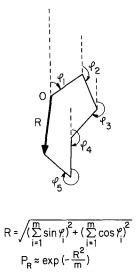


FIG. 1. Geometric interpretation of P_R , the probability that a random walk consisting of m steps will traverse a distance of R or greater.

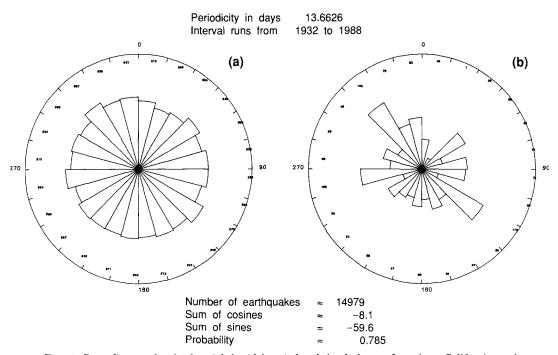
CATALOG	Years	Magnitude Cutoff	Periodicity (days)	Number of Events	Probability P_R
Southern Calif.	1932–1988	none	1.	151834	1.33×10^{-24}
Southern Calif.	1932 - 1988	2.5	1.	25484	$7.30 imes 10^{-3}$
Southern Calif.	1932 - 1988	none	13.6626	151834	$8.94 imes10^{-36}$
Southern Calif.	1932 - 1988	2.5	13.6626	25484	$4.95 imes 10^{-13}$
Southern Calif.	1932 - 1987	none	365.	141984	$7.52 imes 10^{-109}$
Declustered South. Calif.	1932 - 1988	none	1.	30366	$3.07 imes 10^{-3}$
Declustered South. Calif.	1932 - 1988	2.0	1.	25191	$1.78 imes 10^{-3}$
Declustered South. Calif.	1932 - 1988	2.5	1.	14979	0.292
Declustered South. Calif.	1932 - 1988	none	13.6626	30366	0.984
Declustered South. Calif.	1932 - 1988	2.5	13.6626	14979	0.785
Declustered South. Calif.	1932 - 1987	none	365.	25506	$9.66 imes 10^{-7}$
Declustered South. Calif.	1932 - 1987	2.5	365.	12048	0.126
World-Wide	1900 - 1988	none	1.	189385	$7.86 imes10^{-7}$
World-Wide	1900 - 1988	5.0	1.	38630	0.195
World-Wide	1900 - 1988	none	13.6626	189385	0.144
World-Wide	1900 - 1988	5.0	13.6626	38630	0.074
World-Wide	1900 - 1988	none	365.	189385	$6.60 imes10^{-60}$
World-Wide	1900 - 1987	none	365.	184057	$7.01 imes 10^{-15}$
World-Wide	1900 - 1988	5.0	365.	38630	$2.35 imes 10^{-4}$
World-Wide	1900 - 1987	5.0	365.	37951	0.892

TABLE 1

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tude completeness level of the catalog and clustering of events in aftershock sequences. If a lower magnitude limit of 2.5 is introduced, P_R increases markedly, indicating a reduction in the significance of the periodicity. However, the values of P_R are still low enough that the observed periodicities are significant. Since many of the earthquakes in the southern California catalog occur in aftershock sequences and are not independent events, the same periodicities are tested for using a declustered catalog (Lucile Jones, personal comm.). The declustering is done using the method of Reasenberg (1985) in which events are removed that are closely related to each other temporally and spatially. Using the declustered catalog reduces the significance of the periodicities substantially, however they are not removed (P_R on the order of 0.1 or larger) until a magnitude cutoff of 2.5 is also introduced. Figure 2 shows the rose diagram for the fortnightly tidal period and the declustered southern California earthquake catalog. The results are displayed in two ways; with all the events plotted, and with the number of events in the least populated segment of the diagram subtracted out.

One may argue that aftershocks and smaller earthquakes in general might be more sensitive to tidal effects because they are closer to failure. McNutt and Beavan (1981) observed a correlation for volcanic induced seismicity, between the occurrence of small earthquakes and the solid earth tide. However, it is not possible to isolate periodicities in aftershock sequences that are caused by earth tides from those that are a result of the nonindependent nature of the events. What we can say is that at a level at which the declustered catalog is complete there is no tidal effect seen. The low probabilities obtained for periods of 1 day and 365 days from



Southern California Earthquake Catalog

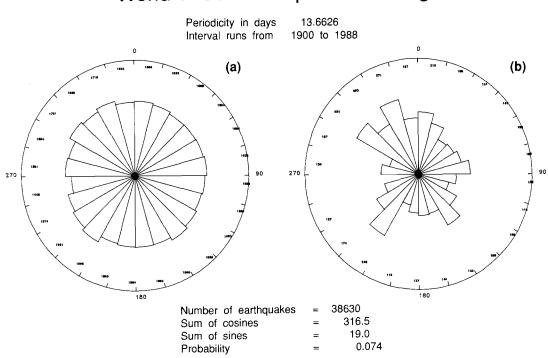
FIG. 2. Rose diagram for the fortnightly tidal period and the declustered southern California earthquake catalog. A lower magnitude cutoff of 2.5 is used. The results are displayed with all events plotted (a), and with the number of events in the least populated segment subtracted out (b).

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the declustered southern California network catalog assuming no magnitude cutoff most likely have different explanations. At a period of 1 day, we may be seeing the result of lower noise levels at night with the resulting detection of more events. At a period of 365 days, the periodicity may be a result of expansions or improvements in the network which take place at periods on the order of years.

The results for the world-wide catalog are given at the bottom of Table 1. Because of the larger magnitude completeness level of the world-wide catalog, clustering of events in aftershock sequences is apparently not a problem. However, erroneously small values of P_R are again obtained if a magnitude cutoff limit of 5.0 is not used. With this limit in place, no periodicities are found in the data. The rose diagrams for the fortnightly period and the world-wide catalog are shown in Figure 3. An additional effect is seen in testing for a periodicity of 365 days. If an integer number of years is not used, an apparent periodicity is obtained. From Table 1 the probability for years 1900 through 1988 is 2.35×10^{-4} and 0.892 for years 1900 through 1987. These values are a result of the fact that the world-wide catalog in use is current through May 1988. Therefore, the runs in Table 1 covering years 1900 through 1988 include 88.5 years, whereas the runs covering years 1900 through 1987 include 88 years. A much longer time sample would be required before a partial year could be included and still obtain a valid probability.

In summary, no statistically significant periodicity is seen in either the southern California network catalog or the world-wide catalog at the fortnightly tidal period or at periods of 1 day or 365 days. However, perhaps even more interesting are the many pitfalls that exist in performing this kind of statistical analysis. Clustering of



World-Wide Earthquake Catalog

FIG. 3. Rose diagram for the fortnightly tidal period and the world-wide earthquake catalog. A lower magnitude cutoff of 5.0 is used. The results are displayed with all the events plotted (a), and with the number of events in the least populated segment subtracted out (b).

earthquakes in aftershock sequences, lower magnitude completeness levels, and insufficient number of sampling cycles can all lead to erroneous periodicities.

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U. S. GEOLOGICAL SURVEY 525 SOUTH WILSON AVENUE PASADENA, CALIFORNIA 91106

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