On the Mechanism of Cavitation Damage by Nonhemispherical Cavities Collapsing in Contact With a Solid Boundary

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Introduction

U N TIL the present, most of the theoretical efforts to explain damage by cavitation were spent in attempts to understand the collapse process of spherical cavities in an infinite liquid. This problem is complicated because effects of compressibility, surface tension, and viscosity play an important role. Furthermore, the behavior of the gaseous substances inside the cavity, and especially the nonequilibrium behavior of the included vapor under high rates of compression are not known.

It has been realized for some time that the spherical shape of a collapsing cavity is unstable in the sense that a finite initial perturbation of the spherical shape will grow very large as the cavity collapses. This instability is demonstrated elegantly in a publication by Plesset and Mitchell [1].

In view of the asymmetric perturbing influences which act on a cavity collapsing close to, or in contact with, a solid boundary, it appears reasonable to expect that cavities which do not remain spherically symmetric during collapse could play an important role in cavitation damage. Eisenberg [2] recognized the possibility in 1950 when he speculated that jets formed during the unsymmetrical collapse of cavitation bubbles could be responsible for the damage. Kornfeld and Suvorov [3] also suggested this mechanism of damage in a 1944 publication.

The object of the present study is to investigate the collapse process of, and the mechanism of damage by, cavities in contact with a solid boundary which collapse nonhemispherically as a result of initial perturbations of the hemispherical shape. It is hoped that such a study may be the first step in understanding the mechanism of damage by cavities which collapse nonhemispherically as a result of other effects.

Theory

Consider a cavity which is symmetric about a line normal to a solid plane boundary. A spherical co-ordinate system as shown in Fig. 1 is chosen for the formulation of the problem.

![Fig. 1 The spherical co-ordinate system](image)

The following assumptions will be used:

1. The liquid is incompressible.
2. The pressure inside the cavity is constant.
3. The effect of viscosity is negligible.
4. Surface tension and adhesion are negligible.
5. The effect of gravity is negligible.

A brief discussion of the validity of the assumptions follows at the end of the theoretical work.

With the assumptions listed above the problem is reduced to solving the differential equation

\[ \nabla^2 \Phi (r, \theta, \phi) = 0 \]  

where \( \Phi \) is a scalar potential function such that \( \nabla \Phi = \mathbf{g} \), the velocity vector. The following boundary conditions must be satisfied:

\[ \Phi \to 0 \quad \text{as} \quad r \to \infty \]  

\[ \frac{1}{r} \frac{\partial \Phi}{\partial r} = 0 \quad \text{on} \quad \theta = \pi \]  

\[ \left[ \frac{\partial \Phi}{\partial z} + \frac{1}{2} \left( \frac{\partial \Phi}{\partial r} \right)^2 + \frac{1}{2} r^2 \left( \frac{\partial \Phi}{\partial \theta} \right)^2 \right]_{z=0} = \frac{p_a - p}{\rho} \]  

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where \( p_\infty \) and \( p_r \) are the constant pressures at infinity and in the cavity, respectively, and \( \rho \) is the liquid density.

\[
\left[ \frac{\partial \phi}{\partial r} - \frac{1}{r^2} \frac{\partial \phi}{\partial \theta} \cdot \frac{\partial R}{\partial \theta} \right]_{r=R} = \frac{\partial t}{\partial t} \tag{5}
\]

where \( t \) is the time. A solution to Equation (1) which satisfies Equations (2) and (3) is

\[
\Phi(r, \theta, t) = \frac{\phi_0(t)}{r} + \sum_{n=1}^{\infty} \phi_{2n}(t) \frac{1}{r^{2n+1}} P_{2n}(\cos \theta) \tag{6}
\]

where \( P_{2n}(\cos \theta) \) are Legendre polynomials, and \( \phi_0, \phi_{2n} \) are time-dependent coefficients in the expansion. One is thus led to express

\[
R(\theta, t) = R_0(t) + \sum_{n=1}^{\infty} R_{2n}(t) P_{2n}(\cos \theta) \tag{7}
\]

where \( R_0 \) and \( R_{2n} \) are time-dependent coefficients in the expansion. The procedure for solution is then to substitute Equations (6) and (7) into Equations (4) and (5), thus obtaining the differential equations that must be satisfied by \( \phi_0, \phi_{2n}, R_0 \), and \( R_{2n} \). These differential equations are then solved with the initial conditions,

\[
R(\theta, 0) = R_0(0) + \sum_{n=1}^{\infty} R_{2n}(0) P_{2n}(\cos \theta) \tag{8}
\]

\[
\frac{dR}{dt} (\theta, 0) = \sum_{n=1}^{\infty} \frac{dR_{2n}}{dt} (0) P_{2n}(\cos \theta) \tag{9}
\]

The quantities \( R_0(0), R_{2n}(0), \) and \( \frac{dR_{2n}}{dt} (0) \) are specified. Time \( t = 0 \) is chosen such that \( dR_0/dt = 0 \).

In view of the nonlinearity of Equations (4) and (5), an attempt is made to obtain a perturbation solution for restricted values of the quantities \( \phi_{2n} \) and \( R_{2n} \).

**First Perturbation Procedure.** Assume that the sums on the right-hand sides of Equations (6) and (7) are small compared to \( \phi_0/r \) and \( R_0 \), respectively, so that all interactions between terms in these sums may be neglected. Substituting Equations (6) and (7) into Equations (4) and (5), and using the orthogonality of Legendre polynomials, one finds

\[
\phi_0 = \left[ \frac{2}{3} \frac{p_\infty - p_r}{\rho} R_0 R_0(0) - R_0^3 \right]^{1/2} \tag{10}
\]

\[
t = \left[ \frac{2}{3} \frac{p_\infty - p_r}{\rho} \right]^{1/2} \int_0^1 \frac{R_0}{R_0(0)} \left[ \frac{1}{x^2} - 1 \right]^{1/2} \tag{11}
\]

The time at which \( R_0 \) becomes zero is given by

\[
T = \frac{0.015 R_0(0)}{p_\infty - p_r} \tag{12}
\]

The \( P_{2n}(\cos \theta) \) component of the bubble thus still satisfies the Rayleigh theory.

With the substitutions

\[
\left\{ \begin{array}{l} R_0(0) \to x \\ R_0 \to y_2 \end{array} \right. \quad \text{and} \quad R_{2n}(0) \to y_{2n}
\]

the following equation is obtained for the \( R_{2n} \):

\[
(1 - x) x \frac{d^2 y_{2n}}{dx^2} + \left( \frac{1}{3} - \frac{5}{6} x \right) \frac{dy_{2n}}{dx} - \frac{1}{6} (2n - 1) y_{2n} = 0. \tag{13}
\]

Equation (13) is of hypergeometric form [4], with

\[
c = \frac{1}{3} \tag{14}
\]

\[
a(n) = \frac{1}{12} (-1 + i(48n - 25)^{1/2}) \tag{15}
\]

and the general solution can be written in the form [4]

\[
y_{2n} = A_{2n} x^{c-n} F \left( a, a + \frac{2}{3}; \frac{1}{2}; 1 - \frac{1}{x} \right)
\]

\[
+ B_{2n} x^{-n} F \left( -b + \frac{1}{3}; -b + 1; \frac{3}{2}; 1 - \frac{1}{x} \right)
\]

\[
= A_{2n} y_{2n_1} + B_{2n} y_{2n_2} \tag{16}
\]

where the hypergeometric function \( F \) is given by

\[
F(a, b; c; z) = \sum_{m=0}^{\infty} \frac{(a)_m (b)_m}{(c)_m} z^m \tag{16}
\]

The constants \( A_{2n} \) and \( B_{2n} \) are readily determined from the initial conditions

\[
A_{2n} = 1
\]

\[
B_{2n} = 0.892 \frac{dy_{2n}}{dt(T)} \tag{17}
\]

The solutions \( y_{2n_1} \) and \( y_{2n_2} \) are shown in Figs. 2 and 3, respec-
tively, as functions of $R_0/R_d(0)$. The solutions given here are the same as the one obtained by Plesset and Mitchell [1]. In the present case, odd Legendre polynomials have been eliminated because of the boundary. Both $y_{in}$ and $y_{in}$ exhibit an interesting characteristic, namely, that they oscillate with increasing amplitude and frequency as $R_0$ approaches zero. Physically this implies that a finite initial perturbation $\sum R_{n}P_{n}$, of the hemispherical shape could grow so large that the cavity wall could strike the solid boundary before $R_0$ becomes zero. Because of the large perturbation quantities that are involved at this stage of collapse it is not possible to apply the simple theory that has been presented. As far as damage by cavitation is concerned, the suggestions offered by this theory are interesting enough to stimulate further investigation.

Second Perturbation Procedure. Consider a somewhat more physically realistic cavity for which $R_0 > R_0 > R_0 < R_0$, and $R_{n}$, is negligibly small for $n \geq 4$ in Equation (7). The most significant interactions between terms in the sums of Equations (6) and (7) will be retained in this analysis in an attempt to obtain a better description of the behavior of the cavity when perturbations of the hemispherical shape become fairly large.

One then finds that $R_0$ and $\phi_0$ will satisfy Equations (10) and (11) within terms of order $\left[ \frac{R_2(0)}{R_2(0)} \right]^2$.

$$\frac{R_{n+1}}{R_n(0)} = y_{n+1} \text{ now satisfies}$$

$$(1 - x) x \frac{d^2 y_{n+1}}{dx^2} + \left( \frac{5}{3} \right) \frac{d y_{n+1}}{dx} - \frac{1}{6} (2n + 1) y_{n+1} = F_n(x)$$

for $n = 1, 2, 3$. (18)

$$F_2 = 0 + O \left( \frac{R_2(0)}{R_2(0)} \right)$$

(19)

$$F_4 = - \frac{1}{315} \left[ \frac{R_4(0)}{R_2(0)R_4(0)} \right] \left( x^{-\frac{1}{2}} \right) \left[ (49x + 32) y_{n+1} \right]$$

$$+ 474(x - 1)x \frac{d y_{n+1}}{dx} y_{n+1} - 639(x - 1)x^2 \left( \frac{d y_{n+1}}{dx} \right)^2$$

$$+ O \left( \frac{R_2(0)}{R_2(0)} \right)$$

(20)

$$F_6 = - \frac{R_6(0)}{297} \left[ \frac{R_6(0)}{R_2(0)R_4(0)} \right] \left( x^{-\frac{1}{2}} \right) \left[ (129x + 96) y_{n+1} \right]$$

$$+ 765(x - 1)x \frac{d y_{n+1}}{dx} y_{n+1} + 639(x - 1)x y_{n+1} \frac{d y_{n+1}}{dx}$$

$$- 1485(x - 1)x^2 \frac{d y_{n+1}}{dx} \frac{d y_{n+1}}{dx} + \frac{1}{3465} \left[ \frac{R_4(0)}{R_2(0)R_4(0)} \right] \left( x^{-\frac{1}{2}} \right)$$

$$\left[ 5(x - 1)x \frac{d y_{n+1}}{dx} + 19395(x - 1)x^2 \frac{d y_{n+1}}{dx} \right] + O \left( \frac{R_2(0)}{R_2(0)} \right)$$. (21)

The only difference between Equations (13) and (18) is that (18) contains a forcing function $F_{2n}$. One may thus write

$$y_{2n+1} = y_{2n} + y_{2n+1}^{sp}$$

(22)

where $y_{2n+1}^{sp}$ is a particular integral of Equation (18). The particular integral can be found readily by variation of parameters [5].

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The Validity of the Assumptions

1 An estimate of the error introduced by neglecting compressibility can be obtained from the work of Gilmore [6]. For a cavity collapsing in water under a constant pressure difference of 1 atm, the error in cavity radius reaches about 10 per cent when $R_0/R_0(0) = 0.1$.

2 For a vaporous cavity the assumption of constant cavity pressure will become poor when the cavity wall velocity becomes comparable to the velocity of the vapor molecules at the temperature inside the cavity. Assuming isothermal collapse, which is probably pessimistic, the assumption will become poor for a cavity in water when the wall velocity reaches 2000 ft/sec. With a pressure difference of 1 atm, this corresponds to $R_0/R_0(0) = 0.05$.

3 A rough estimate of the effect of viscosity can be obtained by using the two-dimensional boundary-layer theory for a suddenly accelerated plane wall. The boundary-layer thickness $\delta$ is given by [7] $\delta = 4 \sqrt{p/\nu}$ where $\nu$ is the viscosity and $t$ is the time after the motion began. Replacing $t$ with $T$ from Equation (12) one finds that for cavities with $R_0(0) > 0.1$ in. in water, the boundary-layer thickness will reach at most 20 per cent of $R_0$ when $R_0/R_0(0)$ becomes 0.15, provided the pressure difference is 1 atm. The effect becomes much smaller as $R_0(0)$ is increased.

4 A comparison of surface tension forces with inertia forces shows that, for bubbles collapsing in water, under 1 atm pressure difference, radii of curvature of the order of $10^{-3}$ ft have to occur at $R_0/R_0(0) = 0.05$ before these forces become comparable.

5 A rough calculation based on the work of Cole [8] shows that the effect of the solid boundary greatly supersedes the effect of gravity for cavities of the type considered here.

Experimental Results

Because the convergence of the perturbation theory which was presented could not be proved, and because perturbation quantities become very large during the final stages of collapse, it seemed necessary to perform some experiments to cast more light on the subject.

A series of motion pictures of cavities generated by spark methods were taken with the Ellis Kerr cell camera [9]. The magnitude of the perturbation of the hemispherical shape was varied by simply changing the distance of the spark gap from the solid boundary. Since indented portions of the cavity wall could not be photographed, an indirect means of observing the jet entering the cavity had to be found. Use of a photoelastic solid boundary proved to be the most successful method.

In Fig. 7 a typical motion-picture record of a cavity collapsing on a CR-39 photoelastic boundary is presented. Frames below one another in vertical columns are consecutive, and columns follow one another from left to right. $R_0$, $R_1$, $R_2$, and $R_4$ as functions of time were found from a Legendre analysis of the motion pictures. $R_0$ reached its maximum of 0.244 in. in frame 9 of the first column. At this time, $R_2(0)$ and $R_4(0)$ were 0.133 and $-0.0334$ in., respectively. $B_2$ and $B_4$ had the values $-0.57$ and $-2.4$. The time for complete collapse $T$ was approximately $570 \mu s$. The small disturbance which originates near the center of the cavity base in frame 12 of column 5 is believed to be the result of the impinging jet.

The large disturbance in frame 9 of column 6 probably corresponds to the compression of gases in the cavity, because afterward the cavity volume increases again.

In Figs. 8, 9, and 10 experimental points of $R_0/R_0(0)$ as a function of time, and $R_2/R_2(0)$ and $R_4/R_4(0)$ as functions of $R_0/R_0(0)$ are compared with the theory. Dotted vertical lines in these figures indicate when the cavity became indented. Points to the right of the dotted lines depend on an estimate of the shape of the indented portion which was greatly aided by a knowledge of when the jet struck the solid boundary. The dotted curve in Fig. 10 shows the results of the first perturbation procedure, while the solid curve was calculated by means of the second perturbation method. Agreement between theory and experiment for this particular cavity was better than average. Considering the scatter of the experimental data, agreement was good in the range of cavities which were considered.

In Figs. 11 and 12 an attempt was made to obtain a simultaneous comparison of $R_0/R_0(0)$ for ten different cavities with the theory. Such a comparison is necessarily based on an apportioning of the difference between theory and experiment to the $y_{n1}$ and $y_{n2}$ parts of $R_2/R_2(0)$. The method of apportioning that was used is as follows:

Suppose that at $R_0/R_0(0) = \omega$, an experimental value of $R_2/R_2(0)$ was found to be $y_{n2}$. The difference $\epsilon$ between theory and experiment at this point is then

$$\epsilon = y_{n1}(\omega) - y_{n2}(\omega) = y_{n1}(\omega) + B_2 y_{n2}(\omega) - y_{n2}(\omega).$$

One may then write

$$y_{n2}(\omega) = \left[ y_{n1}(\omega) - \epsilon \frac{y_{n1}(\omega)}{y_{n2}(\omega) + B_2 y_{n2}(\omega)} \right] + B_2 \left[ y_{n2}(\omega) - \epsilon \frac{B_2}{B_1} \frac{y_{n1}(\omega)}{y_{n2}(\omega) + B_2 y_{n2}(\omega)} \right] = y_{n2}(\omega) + B_2 y_{n2}(\omega).$$

The “experimental” points of $y_{n1}$ and $y_{n2}$ are then compared with the theory.
Fig. 7 A cavity collapsing on the CR-39 photoelastic boundary. Time between frames is 10 microsec.
Despite the evident weakness of the method of apportioning, Figs. 11 and 12 give a good indication of the scatter involved in the experimental results. The experimental points seem to be well grouped around the theoretical curves.

A similar representation of experimental results for $R/R_d(0)$ was not attempted, because $y_d$ is a linear combination of five different functions of $R/R_d(0)$.

Experimental data for $R/R_d(0)$ were very erratic because the magnitude of $y_d$ was of the same order as errors in the measurements. These results are not presented.

Although the photoelastic fringes (ischromatics) were of great value in determining the time at which a jet struck the solid boundary, it was not possible to derive quantitative information regarding the pressures which occurred from the fringe patterns because the area over which the pressure acted and the pressure distribution were unknown. Some quantitative information regarding the magnitude of the compression pulse could be obtained with the use of a quartz crystal pressure pickup.

The pickup is shown schematically in Fig. 13. The crystal $Q$ is glued into the end of an aluminum bar which is made up of tubes $C_1$, $C_2$, $C_3$, and the rod $C_4$. All these elements are electrically insulated from one another, and thus form connections to four different areas on the back of the crystal. The insulation of $C_1$, $C_2$, $C_3$, and $C_4$ was achieved by anodizing them before they were glued together. The aluminum plate $P$ forms the connection to the front of the crystal. Troubles from reflections were minimized by lead bar $L$ which was glued to the other end of the unit.

Electrical connections to $C_1$, $C_2$, $C_3$, and $C_4$ are obtained with wires through size 80 holes drilled down the center of 0-80 nylon screws $S_1$, $S_2$, and $S_3$. An 0-80 brass screw $S_4$ forms the connection to $C_1$.

In Fig. 14 the output obtained from $C_2$ (upper trace) and $C_4$ (lower trace) with $C_1$ and $C_3$ grounded is shown when a $\frac{1}{16}$ in. ball bearing is dropped through 2 in. on the center of the crystal. The vertical scale is 0.005 volts per div while the horizontal scale is 10$\mu$s per div. A 25 megacycle $X$-cut quartz crystal was used in obtaining these curves. The pickup was originally constructed for purposes of measuring the impact of the jet on the boundary. For this purpose it was a complete failure, because even the $\frac{1}{16}$ in. diam center area was much larger than the jet, and also because of poor high-frequency response. It did, however, provide a means of measuring the pressure of the gases in the cavity, because the minimum base diameter of the cavities were larger than $\frac{1}{16}$ in., and the frequencies involved were not as high.

Experimental measurements of pressures resulting from a compression of gases in the cavity at different spark gap distances from the solid boundary are shown in Fig. 15. The spark energy was kept constant during these observations and spherical cavities which were produced had a maximum radius of approximately 0.16 in. Fig. 16 shows a picture of the cavity corresponding to
point A of Fig. 15 at maximum $R_0$, and a few frames at the time of the compression pulse. Fig. 17 shows the same frames for the cavity corresponding to point B in Fig. 15.

It is seen that pressures on the boundary resulting from a compression of gases inside the cavity for cavities in contact with the boundary decrease rapidly as the perturbation of the hemispherical shape is increased. This is probably because the collapse process becomes less spherically symmetric. The pressure starts rising again when the distance of the spark gap from the boundary becomes large enough so that the cavities collapse away from the boundary, and the collapse becomes more spherically symmetric. A maximum is reached when the gain in pressure due to more spherically symmetric collapse is canceled by the inverse square dropoff with increasing distance from the boundary and afterward pressures on the boundary diminish gradually. It is of interest to note that shock-wave pressures on the boundary can be higher than pressures resulting from a compression of gases of cavities which collapse on the boundary. These shock-wave pressures are, however, quite low for the spark bubbles which were considered here, and although such pressures could increase greatly if cavities contained less permanent gas, it seems unlikely that shock waves will play an important role in cavitation damage.

The only information regarding the impact of the jet on the solid boundary was obtained from a study of the damage by cavities of this nature on aluminum samples. Fig. 18 shows a typical cavity used in the damage studies at $R_0/R_0(0)$ values of approximately 1.0, 0.8, 0.6, and 0.46, and close to minimum volume. The pit produced by this cavity in an annealed and chemically polished high purity aluminum sample is shown in Fig. 19. The scale in Fig. 18 is 0.004 in. per div, and the minimum base diameter of the cavity in Fig. 19 is 0.179 in. The pit diameter is thus only $1/10$ of the minimum cavity base diameter, so that the possibility that the pit could have been caused by a compression of the gases in the cavity can be ruled out, and the impact of the jet must have been responsible.

Damage studies of this nature yielded some information that cannot easily be explained in terms of the predicted mechanism. Cavities with somewhat larger initial perturbations of the hemi-
A reduction of the initial perturbations of the hemispherical shape to values smaller than those of Fig. 18 drastically increased the damage potential of the cavities. A single cavity with \( R_0(0) = 0.232 \text{ in.} \), \( R_0(0) = 0.012 \text{ in.} \), \( R_0(0) = 0.0114 \text{ in.} \), \( B_1 = -3.25 \), \( B_1 = 2.00 \), and \( T = 524\mu\text{s} \) could produce a pit in an aluminum alloy with a yield strength of 50,000 psi. No experimental explanation for this increase in the impact pressure could be found because the detailed behavior of the indented portions of the cavity wall could not be observed. The theory suggests a possible explanation which will be discussed briefly. The theoretical curves of \( R_0/R_2(0) \) and \( R_1/R_4(0) \) for this cavity are demonstrated in Figs. 20 and 21. The distance \( D \) of the tip of the jet from the solid boundary is shown in Fig. 22 as a function of \( R_0/R_4(0) \). The dotted line in this figure corresponds to the cavity of Fig. 18.

It is thus seen that in the present situation, the jet which enters the cavity performs one complete oscillation before it strikes the boundary, while the jet entering the cavity in Fig. 18 continues its motion toward the boundary until the impact occurs. This oscillatory behavior could be the reason for the increased damage potential that was observed, because the impact velocity is greatly increased. The theoretical impact velocity in this case is 3350 ft/sec as compared to a mere 300 ft/sec for the cavity in Fig. 18.

**Conclusions**

1. Experimental results on the shape of cavities collapsing in contact with a boundary confirmed the theory derived by means of the second perturbation procedure.
2. Jets which enter cavities and damage the solid boundary through direct impact were observed experimentally. The occurrence of these jets is in agreement with suggestions of the theory.
3. The theory provided a partial explanation of the increase in damage potential of cavities when initial perturbations of the hemispherical shape were reduced. In addition to the impact velocity of the jet, another parameter, possibly the radius of the tip of the jet, seemed to influence the damage.
4. Shock wave pressures due to cavities of 0.16 in. radius collapsing away from the solid boundary under 1 atm pressure difference were measured. These pressures had a maximum of about 1300 psi, and even though spark bubbles may contain more permanent gas than actual cavitation bubbles, it appears unlikely that cavities collapsing away from a boundary play an important role in cavitation damage.

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**Fig. 19** The pit produced in high purity aluminum by the cavity in Fig. 18

**Fig. 20** \( R_0/R_2(0) \) as a function of \( R_0/R_4(0) \) for the second cavity discussed under the damage studies

**Fig. 21** \( R_0/R_4(0) \) as a function of \( R_0/R_4(0) \) for the second cavity discussed under the damage studies

**Fig. 22** The reduced distance \( D/R_0(0) \) of the tip of the jet from the solid boundary for the two cavities discussed under the damage studies
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