FIRST DETECTION OF MID-INFRARED VARIABILITY FROM AN ULTRALUMINOUS X-RAY SOURCE
HOLMBERG II X-1

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ABSTRACT

We present mid-infrared (IR) light curves of the Ultraluminous X-ray Source (ULX) Holmberg II X-1 from observations taken between 2014 January 13 and 2017 January 5 with the Spitzer Space Telescope at 3.6 and 4.5 $\mu$m in the Spitzer Infrared Intensive Transients Survey (SPIRITS). The mid-IR light curves, which reveal the first detection of mid-IR variability from a ULX, is determined to arise primarily from dust emission rather than from a jet or an accretion disk outflow. We derived the evolution of the dust temperature ($T_d \sim 600 - 800$ K), IR luminosity ($L_{IR} \sim 3 \times 10^4 L_\odot$), mass ($M_d \sim 1 - 3 \times 10^{-6} M_\odot$), and equilibrium temperature radius ($R_{eq} \sim 10 - 20$ AU). A comparison of X-1 with a sample spectroscopically identified massive stars in the Large Magellanic Cloud on a factor of about 644

1. INTRODUCTION

Ultraluminous X-ray sources (ULXs) are characterized by extreme X-ray luminosities ($L_X > 10^{39}$ ergs s$^{-1}$) and are primarily located outside the nuclear regions of their host galaxies (e.g. Fabbiano 2006). The energy output rates from ULXs exceeds the Eddington limit for accretion onto a 10 $M_\odot$ black hole (Feng and Soria 2011), which requires super-Eddington accretion or intermediate mass black hole accretors ($M_{IMBH} > 100 M_\odot$; Colbert & Mushotzky 1999). Intriguingly, recent observational studies have revealed a population of ULXs that exhibit X-ray pulsations consistent with rapidly rotating and magnetized neutron stars (Bachetti et al. 2014; Israel et al. 2016; Füst et al. 2016). Accretion onto the neutron star in these ULXs must therefore occur at super-Eddington rates: ULX-1 in NGC 5907 shows peak luminosities of $\sim 500$ times the neutron star Eddington limit (Israel et al. 2016). Such extreme accretion rates are difficult to explain with current theoretical accretion models. Obtaining a better understanding of the mass transfer from the donor star onto the compact object companion is therefore crucial for determining the processes that drive the ultraluminous X-ray emission.

Mid-infrared (mid-IR) wavelengths ($\lambda \sim 3 - 30 \mu$m) can provide valuable insights into the circumstellar environment of X-ray binary systems by probing emission from warm ($\gtrsim 100$ K) dust, accretion disk winds, and/or jets (e.g. Munuera & Mauerhan 2006; Moon et al. 2007; Rahoui et al. 2010; Mauerhan et al. 2010). Studies of ULXs at optical wavelengths are complicated by extinction and multiple emission components such as the accretion disk, the photosphere of the companion star, and/or surrounding nebulosity.

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2. MID-IR IMAGING OBSERVATIONS FROM SPIRITS

The SPitzer InfraRed Intensive Transient Survey (SPIRITS, PIDs 10136, 11063, & 13053; Kasliwal et al. 2017) targets 194 nearby galaxies within 20 Mpc to a depth of 20 mag on the Vega system at 3.6 and 4.5 μm. Imaging observations with seven dithered 100 s exposures were performed in the Channel 1 and 2 bands of Spitzer/IRAC. X-1 was serendipitously included in observations of Holmberg II, one of the SPIRITS galaxies, and was observed at 10 epochs between Jan 2014 and Jan 2017 as indicated in Tab. 1. Archival mid-IR IRAC imaging data of Holmberg II taken 2004 Oct 8 and 2004 Oct 10 (PID 159) were utilized in this study.

The mid-IR counterpart to X-1 is detected in both archival and SPIRITS images located at a position consistent with the X-ray and near-IR Epoch 2000 coordinates: RA - 08:19:28.99, Dec - 70:42:19.4 (Error - 0.7", Swartz et al. 2004; Heida et al. 2014). The astrometric error for the Spitzer/IRAC observations is ~ 0.6". Spitzer/IRAC 4.5 μm imaging data of X-1 (Fig. 1A), the baseline-subtracted image (Fig. 1B), and a higher spatial resolution Ks-band image from Heida et al. (2014) taken from the William Herschel Telescope with the Long-slit Intermediate Resolution Infrared Spectrograph (WHT/LIRIS, Fig. 1C) show that the mid- and near-IR counterparts are spatially coincident. A description of producing baseline-subtracted/difference images from SPIRITS and the appearance of false positives (e.g. subtraction of point spread functions at different field rotation angles) is provided in Kasliwal et al. (2017).

Aperture photometry was performed on the Post Basic Calibrated Data, where the flux was summed in a 5 pixel (3") radius aperture centered on the mid-IR counterpart of X-1. Sky and the local nebular background was measured within an annulus from 7 to 12 pixels sur-
The black circles in Fig. 1A and B corresponds to the size and position of the aperture and shows there is no significant contamination from other sources of IR emission and that the variability of X-1 is significantly greater than that of the background. The 3.6 and 4.5 µm fluxes of all available IRAC imaging of X-1 are provided (in µJy) in Tab. I and the photometry is shown in Fig. 1D. 

1. σ flux uncertainties were determined from the background annulus and are consistent with the warm point-source sensitivity provided by the IRAC instrument handbook.

3. ANALYSIS AND DISCUSSION

3.1. Mid-IR Light Curve and Serendipitous, Near-Contemporaneous X-ray/Radio Observations

The mid-IR light curve of X-1 (Fig. 1D) reveals two events of significant mid-IR variability at both 3.6 and 4.5 µm in the observations taken on 2014 May 18 and 2015 Jun 4. At 3.6 µm, the 2014 May and 2015 Jun fluxes were \( \sim 60\% \) (\( \sim 4\sigma \)) and \( \sim 90\% \) (\( \sim 6\sigma \)) greater than the mean “baseline” flux of the archival observations taken 2004 Oct 8 and 2004 Oct 10. The consistency of the 3.6 µm flux measured 4 months prior and 1 month after each variability event suggests both events exhibited a similar duration of \( \sim 5 \) months. The 4.5 µm flux exhibits a similar trend as the 3.6 µm values except for the higher flux measured on 2015 Jul 2. Mid-IR fluxes between 2014 Jan and 2015 Jul are consistently \( 2\sigma \) higher than the baseline, whereas the three observations taken in Jan 2016 and the most recent one taken in Jan 2017 exhibit fluxes consistent with the baseline values.

Serendipitous, near-contemporaneous X-ray and radio observations of X-1 were performed by Cseh et al. (2015) within a \( \sim \) week of mid-IR observations of the first variability event taken on 2014 Jan 13 and 2014 May 19 (see Fig. 1D). Although X-1 is known to exhibit variations in X-ray luminosity by up to a factor of 14 on timescales of 4 months (Grisé et al. 2010), Swift observations taken between 2014 Jan 17-22 (\( L_X = 9.1 \pm 0.6 \times 10^{39} \) erg s\(^{-1}\)) and Chandra observations taken on 2014 May 25 (\( L_X = 9.0 \pm 0.8 \times 10^{39} \) erg s\(^{-1}\)) did not reveal any significant variability in the 0.3-10 keV band (Cseh et al. 2015). These values are close to the 0.3-10 keV luminosity measured by XMM-Newton on 2004 April 15 (\( L_X = 1.1 \times 10^{40} \) erg s\(^{-1}\)); Goad et al. 2006), which was obtained within 6 months prior to the baseline mid-IR observation. For the radio emission, it is difficult to infer any variability due to different bands used for each observation (1.6, 5, and 8-10 GHz) and the uncertainties in the spectral index (Cseh et al. 2014, 2015).

3.2. Nature of the Mid-IR Emission

Multiple explanations have been proposed for the mid-IR emission associated with X-1 and other ULXs (Bergha et al. 2010a; Dudik et al. 2016). Possible origins include heated dust shells like those detected around red supergiants, circumbinary dust disks, jets, and/or free-free emission from accretion disk winds. The latter mechanism was the proposed interpretation of the mid-IR emission from the luminous X-ray binary SS 433, a potential ULX-like source within the galaxy (Fuchs et al. 2006). In order to determine the nature of the mid-IR emission and flaring activity from X-1, we consider origins from a jet, disk outflow, and dust.

3.2.1. Jet and Disk Outflow Hypothesis

First we consider mid-IR emission from a jet, which is plausible since jet ejections have been inferred from Very Large Array (VLA) radio observations of X-1 (Cseh et al. 2014, 2015). The X-band (8 - 10 GHz) observations presented by Cseh et al. (2015) were taken a week after the mid-IR detection of the 2014 May peak. The near-contemporaneous nature of the mid-IR and radio measurement allows us to determine if the mid-IR emission can be reproduced by the power-law jet models fit to the radio flux. For the jet emission, we adopted the power-law fit by Cseh et al. (2014) with a spectral index of \( \gamma = -0.8 \pm 0.2, \) where \( F_{\nu,jet} \propto \nu^{-\gamma}. \) This jet emission model normalized to the X-band flux of the central source (\( F_X = 50 \pm 10 \) µJy; Cseh et al. 2015) shows that the predicted mid-IR jet emission is over two orders of magnitude lower than the observed mid-IR flux (Fig. 2). It is therefore unlikely that the mid-IR emission originates from the radio jet.

The disk outflow hypothesis is difficult to address due to the lack of mid-IR spectroscopy of X-1. Assuming the mid-IR flux from X-1 arises from free-free emission, it should exhibit a spectral index of \( \alpha \sim -0.1, \) where \( F_{\nu,ff} \propto \nu^\alpha, \) if it is optically thick or \( \alpha \sim 0.6 \) if it is optically thin. The decreasing mid-IR flux from X-1 as a function of frequency suggests it would be tracing optically thick emission; however, the mid-IR spectral index measured from the baseline and during the 2015 variability event are \( \alpha = -2.26^{+0.85}_{-0.72} \) and \( -1.38^{+0.37}_{-0.34}, \) significantly steeper than the expected \( \alpha \sim -0.1 \) that is fit to the mid-IR emission from SS 433 (Fuchs et al. 2006).

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4 The \( \sim 3 \) – \( 12 \) yr adiabatic cooling timescales derived for the jet (Cseh et al. 2015) further validate this use of the near-contemporaneous mid-IR and radio data.
Additionally, at radio wavelengths X-1 is dominated by non-thermal emission (Cseh et al. 2014), which further disputes the presence of a significant free-free emission component.

### 3.2.2. Warm Dust Hypothesis and Derived Dust Properties

We suggest that the mid-IR counterpart of X-1 is dominated by dust continuum emission. A dust-hostile environment around X-1 might be inferred from the detection of high ionization lines such as [O IV] (Berghea et al. 2010a) with the Spitzer Infrared Spectrograph (IRS: Houck et al. 2004). However, the large angular size of the aperture used by Berghea et al. (2010a: ~ 9″, ~ 130 pc) is dominated by the extended and bright nebulosity surrounding X-1. Additionally, the ~ 1 mJy sensitivity of the high spectral resolution modes of Spitzer/IRS were not likely able to detect the dust continuum emission from X-1.

With high spatial resolution (~ 0.7″, ~ 10 pc) and background-subtracted near-IR spectroscopy from Keck/MOSFIRE, Heida et al. (2016) detect a strong low-excitation [Fe II] (λ = 1.644 μm) emission line that implicates the presence of a self-shielded region in the vicinity of X-1 where dust may also exist. Indeed, the mid-IR dust models described in this section fit the spectral shape of the mid- and near-IR photometry shown in Fig. 2 unlike the other emission models. We note that only the 3.6 and 4.5 μm fluxes were used to derive the fit since emission from sources in the ULX other than dust like the stellar photosphere of the donor star will start to contribute in the near-IR. Based on the red mid-IR color of X-1, photospheric emission is unlikely a significant contributor to the mid-IR flux.

Dust properties derived from the mid-IR photometry are summarized in Tab. 1. The 1 − σ uncertainties of the dust properties were determined by applying the ±1 − σ flux uncertainties to the parameter fits described in the following paragraphs.

The dust properties of the X-1 mid-IR counterpart over time can be determined from the measured 3.6 and 4.5 μm fluxes and provide insight on the mechanism that drives the emission. We note that longer wavelength coverage beyond 4.5 μm would provide more robust dust property calculations, however there are currently no platforms sensitive enough to detect such emission. The mid-IR dust emission is assumed to take the form $F_\lambda \propto Q_{\text{Sil}}(\lambda, a) B_\lambda(T_d)$, where $F_\lambda$ is the flux at wavelength $\lambda$, $Q_{\text{Sil}}(\lambda, a)$ is the grain emissivity model for silicate grains of radius $a$, and $B_\lambda(T_d)$ is the Planck function for a dust temperature, $T_d$. Dust temperatures were derived by solving for $T_d$ from the 3.6 and 4.5 μm flux ratio.
assuming a single temperature component of optically thin $a = 0.1 \, \mu m$-sized silicate grains. The dust temperatures range from $T_d \sim 600 - 800$ K (Fig. 3A).

The IR luminosity, $L_{IR}$, was estimated by integrating over the fitted dust emission model and assuming a distance of 3.39 Mpc to X-1 (Karachentsev et al. 2002). We find that $L_{IR}$ does not exhibit substantial variations from the baseline value of $L_{IR} = 3.1^{+1.2}_{-0.7} \times 10^4 \, L_\odot$ (Fig. 3B).

The mass of the emitting dust, $M_d$, was derived from the mid-IR flux and dust model as shown in Eq. 2

$$M_d = \frac{(4/3) \, \rho_b \, F_\lambda \, d^2}{Q_{\text{Sil}}(\lambda, a) \, B_\lambda(T_d)},$$

where $\rho_b$ is the bulk density of the dust grains and $d$ is the distance to the source. A bulk density of $\rho_b = 3 \, \text{gm cm}^{-3}$ (e.g. Draine & Li 2007) is assumed for the emitting silicate grains. The dust mass exhibited minima around 2014 May and 2015 June with values of $M_d = 1.0^{+0.5}_{-0.3} \times 10^{-6} \, M_\odot$ and $0.8^{+0.3}_{-0.2} \times 10^{-6} \, M_\odot$, respectively (Fig. 3C).

Assuming the dust is heated radiatively, the equilibrium temperature radius, $R_{eq}$, can be derived as the distance from the heating source where the energy input from the radiation field is equal to the radiative output from the dust grains of temperature $T_d$. The value of $R_{eq}$ provides an estimate of the lower limit or inner radius of the surrounding warm dust and can be approximated by

$$R_{eq} \approx \left( \frac{Q_{abs}}{Q_e} \frac{L_{IR}}{16 \pi \sigma T_d^4} \right)^{1/2},$$

where $Q_{abs}$ and $Q_e$ are the grain absorption and emission Planck mean cross sections (see Draine 2011), respectively, and $\sigma$ is the Stefan-Boltzmann constant. A typical value of $(Q_{abs}/Q_e) \approx 0.3$ for a stellar heating source (e.g. Smith et al. 2016) is assumed for the calculation. Similar to the dust mass, $R_{eq}$ exhibits local minima around 2014 May and 2015 June where $R_{eq} \approx 11.9^{+2.4}_{-2.0} \, \text{AU}$ and $10.1^{+1.7}_{-1.2} \, \text{AU}$, respectively (Fig. 3D). We suggest that dust is likely confined to a disk-like geometry given that the IR luminosity is $\sim 4\%$ of X-1's average isotropic X-ray luminosity of $4 \times 10^{39} \, L_\odot$ (Kaares et al. 2004).

### 3.3. X-1 Donor: A Supergiant B[e] Star?

The mid-IR colors and absolute magnitude can be used to interpret the nature of the mid-IR counterpart of X-1 by comparing against a sample of various classes of identified massive stars. We utilize the Bonanos et al. (2009) sample of 1750 massive stars in the Large Magellanic Cloud (LMC) observed with Spitzer/IRAC. The mid-IR color-magnitude diagram (CMD) shown in Fig. 4 reveals that X-1 is consistent with the region of phase space occupied by supergiant (sg) B[e]-stars, which may be the stellar type of the mass donor in the system. The $[3.6]-[4.5]$ colors of X-1 ($0.7 - 1.0$) are also consistent with the $[3.6]-[4.5]$ colors exhibited by the population of sgB[e] stars in M31 and M33 due to the presence of warm circumstellar dust (Humphreys et al. 2016).

SgB[e] stars are B-type supergiants that exhibit forbidden emission lines and an IR excess attributed to circumstellar dust in a dense equatorial disk or torus (Lamers et al. 1998; Kastner et al. 2006; Clark et al. 2013). These stars are thought to be in a short lived post-main sequence phase in the evolutionary track of a massive star. The mechanism that produces the dense dust disks around sgB[e] stars is currently uncertain, but their enhanced equatorial mass-loss has been suggested to be linked with binary interaction (de Wit et al. 2014 and ref. therein).

Previous spectroscopic observations of X-1 reveal properties that support the sgB[e] interpretation. The presence of the [Fe II] ($\lambda = 1.644 \, \mu m$) emission line, a series of hydrogen Brackett lines (Heida et al. 2016), and the mid-IR excess detected from X-1 are common characteristics of B[e] and sgB[e] stars (Lamers et al. 1994; Humphreys et al. 2016). The resolved width of the [Fe II] line is $\sim 120 \, \text{km s}^{-1}$ (Heida et al. 2016), which is consistent with the $\sim 100 \, \text{km s}^{-1}$ equatorial outflows observed from sgB[e] stars (de Wit et al. 2014 and ref. therein). Lastly, strong [Fe II] and H-emission lines have also been detected from dust-obscured sgB[e] high-mass X-ray binary (HMXB) systems like IGR J16318-4848 (Filliatre & Charty 2004; Moon et al. 2007).

The derived dust properties (Fig. 3 and Tab. 1) are consistent with the sgB[e] hypothesis. The range of IR luminosities exhibited by X-1 ($L_{IR} \sim 3 - 4 \times 10^4 \, L_\odot$) agree with the lower luminosity range of sgB[e] stars (several times $10^4 \, L_\odot$). We note that our derived IR luminosity is a lower limit of the total luminosity of the heating source since we are only sensitive to warm ($\sim 600 \, \text{K}$) dust at 3.6 and 4.5 $\mu$m. Remarkably, the equilibrium temperature radius of surrounding dust ($R_{eq} \sim 10 - 20 \, \text{AU}$) matches the inner edge radii of spatially resolved galactic sgB[e] disks: $\lesssim 30 \, \text{AU}$ for CPD-52 9243 (Cidale et al. 2012) and $\sim 20 - 30 \, \text{AU}$ for MWC 300 (Wang et al. 2012).

X-1 is therefore a member of an emerging class of luminous X-ray sources with donor stars that resemble sgB[e] stars such as IGR J16318-4848 (Filliatre & Chaty 2004), GX 301-2 (Servillat et al. 2014), and SN 2010da (Binder et al. 2016; Lau et al. 2016; Villar et al. 2016). We note that a majority of known sgB[e] stars do not exhibit significant photometric or spectroscopic variability (e.g. Zickgraf et al. 1986); however, there are some sgB[e] where significant photometric IR variability has been observed such as CI Cam (Thureau et al. 2009) and SN 2010da, both of which are HMXBs. This suggests that the mid-IR variability exhibited by these X-ray luminous systems may be linked to the presence of a close companion.

### 3.4. Interpreting the Mid-IR Variability

Mid-IR variability traced by dust emission at X-1 implies fluctuations in the energetics and/or total mass of the emitting dust. The consistently higher dust temperature around 2014 May and 2015 June over the baseline (Fig. 3A) and the lower dust masses (Fig. 3B) suggest the mid-IR variability is due to increased dust heating as opposed to enhanced dust production. Due to the uncertainties of the derived dust properties, it is unclear whether the increased dust heating is caused by variations in the heating source luminosity and/or fluctuations...
tations in the geometry of the emitting dust. However, the consistent X-ray flux from X-1 reported by Cseh et al. (2015) around the first mid-IR variability event suggests it was not associated with X-ray activity from the ULX.

Assuming the mass donor of X-1 is a sgB[e] star, we can gain further insight on the mid-IR variability and circumstellar dust geometry by estimating the binary separation, $a$, and orbital period, $P$. The luminous X-ray flux from X-1 requires significant mass transfer from the donor to the accretor that likely occurs through Roche Lobe overflow. The Roche lobe radius of the donor star, $R_L$, can be related to $a$ and the mass ratio of the donor and accretor, $q$, by the following expression (Eggleton 1983):

$$\frac{R_L}{a} = \frac{0.49q^{2/3}}{0.6q^{2/3} + \log(1 + q^{1/3})}.$$  \hspace{1cm} (4)

For the donor star, we adopt a mass and radius of $M_\star = 30 M_\odot$ and $R_\star = 27 R_\odot$, consistent with the sgB[e] star in IGR J16318-4848 (Filliatre & Chaty 2004; Rahoui et al. 2008), and we assume that the accretor is a 25 $M_\odot$ black hole (Cseh et al. 2014). If $R_L = R_\star$, we find that

$$a \sim 0.32 \text{ AU and } P \sim 8.8 \text{ d},$$  \hspace{1cm} (5)

where $P$ has been derived from Kepler’s Third Law. Given that $a < R_{eq} \sim 10 - 20 \text{ AU}$ (Fig. 3D), the dust is likely in a circumbinary disk/torus. Circumbinary dust confined to a torus may therefore be shielded from the ULX by the accretion disk surrounding the compact object, which could explain why the mid-IR variability does not appear to follow the X-ray luminosity. Due to the week- to month- timescales of the mid-IR observations, it is difficult to determine if the variability from the dust emission is linked to a $\sim 9$-day orbital period. Ultimately, we leave the details of the physical processes causing increased dust heating as an open question and emphasize the importance of obtaining contemporaneous multi-wavelength observations as well as high-cadence ($\sim$ day timescale) IR observations.

4. CONCLUSIONS AND OUTLOOK

We presented Spitzer/IRAC data from the SPIRITS survey revealing mid-IR variability from the ULX Holmberg II X-1, which we attribute to increased heating of dust in a circumbinary torus. We have also shown that the mid-IR counterpart and likely mass donor in X-1 resembles a sgB[e]-star based on its location in a mid-IR CMD, its near-IR spectral features, and the emitting dust properties. It is currently unclear if there is a link between the evolutionary state of sgB[e] stars and interactions with compact object companions, but the continued discovery of X-ray luminous sgB[e] systems with mid-IR counterparts holds exciting prospects for future multi-wavelength studies.

In addition to continued X-ray/IR/radio monitoring, characterizing the emitting dust at wavelengths longer than 4.5 $\mu$m with mid-IR spectroscopy from upcoming IR platforms such as the James Webb Space Telescope will be crucial for exploring possible connections between circumbinary material and the ultra luminous X-ray activity from these enigmatic sources.

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Telescope operated by the Jet Propulsion Laboratory, California Institute of Technology, under a contract with NASA (PIDS 10136, 11063, & 13053). This work was partially carried out at the Jet Propulsion Laboratory, California Institute of Technology, under a contract with the National Aeronautics and Space Administration.
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Note. — Fluxes at 3.6 and 4.5 µm are indicated by $F_{3.6}$ and $F_{4.5}$, $T_d$, $M_d$, $L_{IR}$, and $R_{eq}$ are the dust temperature, dust mass, IR luminosity, and equilibrium temperature radius, respectively. 1 – σ flux uncertainties are provided in the parentheses.
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