were invoked by Y. Ne'eman, Phys. Rev. 134, B1355 (1964), to explain the breaking of SU(3) symmetry. Ne'eman's "fifth force" is rather strongly coupled to matter, and this leads to a number of contradictions with experiment, as discussed by D. Beden, R. Dashen, and S. Frautschi, to be published. Our main argument, and the astrophysical argument at the end of this Letter, can also both be applied to the fifth force, but our main argument has the advantage of applying even when the coupling constant is extremely small.


6Coupling a massless spin-one particle to a nonconserved current would violate the Lorentz invariance of the $S$ matrix (unless the coupling vanished in the low-frequency limit, in which case there could be no long-range interaction). See S. Weinberg, Phys. Rev. 135, B1049 (1964); Phys. Letters 9, 357 (1964); and to be published.

7The hyperon is emitted by the incoming $K$-meson line, this being the only term which becomes of order $m^{-2}$ at low hyperon energy. Corresponding formulas are well known in electrodynamics; see, e.g., J. M. Jauch and F. Rohrlich, Theory of Photons and Electrons (Addison-Wesley Publishing Company, Inc., Reading, Massachusetts, 1955), p. 392.

8This was suggested to me by J. Bell. Using the earth as source means that the hyperon field is very anisotropic, so detection of this field in an Eötvös-type experiment becomes possible in principle. The hyperon potential energy of a nucleon is supposed to be the same as for a $K$ meson, or about $0.5 \times 10^{-8}$ eV, while its gravitational potential energy is 0.7 eV, so the ratio of the gravitational to hyperon force should be $1.4 \times 10^8$. However, the hypercharge of ordinary matter is closely proportional to its mass, the ratio varying by only 0.89% from hydrogen to iron; hence it would be necessary to look for differences in the apparent ratio of gravitational to inertial mass at most of order $1.5 \times 10^{15}$.

9The thought unavoidably crosses one's mind that perhaps the events seen by Christenson et al. and Abashian et al. are actually due to the $CP$-conserving decay of $K^0$ into two pions and a very soft hyperon. However, although the ordinary inner bremsstrahlung spectrum is sharply peaked at low photon energy, Eq. (2) shows that this peak is absent in the hyperon emission spectrum. There would be a low-energy peak for emission of very light spin-zero particles, but they would have to couple oppositely to $K^0$ and $\bar{K}^0$, and this is theoretically unappealing.)

10There is a difficulty in taking vector particles of zero bare mass coupled to nonconserved currents, pointed out by G. Feinberg (to be published). We are supposing here that the bare mass is smaller than the self-mass, though not necessarily zero.

BOOTSTRAP THEORY OF OCTET ENHANCEMENT*

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Recently it has become apparent that many violations of SU(3) follow an octet pattern. For example, (i) the Gell-Mann-Okubo sum rule represents the main features of strong mass splittings among members of a supermultiplet, indicating that the splittings transform like the eighth component of an octet; (ii) the electromagnetic mass splittings within the baryon isospin multiplets seem to transform mostly like the third component of an octet; (iii) nonleptonic weak decays also seem to be dominantly octet in view of the $|\Delta I| = \frac{1}{2}$ rule. If, as is generally assumed, both the weak and electric currents have octet transformation properties, then second-order electromagnetic and weak phenomena such as (ii) and (iii) will generally contain both $8$ and $27$ terms. The observed octet dominance thus requires some explanation. In case (i), we do not know what causes the violation—possibly the basic mechanism is pure octet; but, in any case, one wonders why higher order effects do not introduce nonoctet terms, particularly 27 terms.

Extrapolating from cases (i)-(iii), Coleman and Glashow have suggested that octet dominance is a very general feature of SU(3) symmetry breaking. To explain this phenomenon, they proposed an, as yet undiscovered, octet of $0^+$ bosons which could enhance the octet violations of SU(3) through the "tadpole" mechanism.

An alternative mechanism for octet enhancement in terms of "bootstrap" ideas has been proposed by Cutkosky and Tarjanne. The boot-
strap mechanism does not specifically require octets of spin-zero particles, and employs $S$-matrix concepts which appear to be quite distinct from the field-theoretic ideas of the tadpole mechanism. The purposes of the present paper are to emphasize that the bootstrap mechanism can provide a simple, unified explanation of octet enhancement in strong, electromagnetic, and weak symmetry breaking, and to present a model of how octet enhancement works for mass splittings of the $J = \frac{1}{2}^+ \Delta$ decuplet and the $J = \frac{3}{2}^+ \Delta$ decuplet.

We begin by assuming the bootstrap theory of strong interactions, describing each strongly interacting particle as a bound or resonant state of strongly interacting particles. Unstable particles and stable ones are treated on the same footing. If SU(3) symmetry held exactly, each supermultiplet would appear as a set of degenerate poles in the various scattering amplitudes with appropriate quantum numbers.

Now for purposes of describing the octet enhancement mechanism, we consider the specific case of electromagnetic mass corrections of order $e^2$, ignoring the effect of strong violations. The electromagnetic interaction causes shifts in the positions of the poles in each supermultiplet from their original common value. To understand the behavior of the shifts, first consider the more familiar case of electromagnetic corrections to an isotopic-spin multiplet. The $e^2$ corrections have parts proportional to $1$, $T_3$, and $T_3^2$. In terms of irreducible representations one has a singlet mass correction $dM_{1,1}$ transforming like $I = 0$, a triplet correction $dM_{3,1,1}$ transforming like the $n$th component of the $I = 1$ state ($n$ runs from 1 to 3; in practice the $T_3$ component is involved), and a correction $dM_{5,1,1}$ transforming like the $n$th component of $I = 2$.

Similarly the $e^2$ corrections to an SU(3) supermultiplet include a shift $dM_{1,1}$ that transforms like a singlet, a shift $dM_{8,1,1}$ transforming like the $n$th component of an octet ($n = 1, \cdots , 8$), and a 27-plet term $dM_{27,1,1}$ ($n = 1, \cdots , 27$).

The shifts in mass of the bound or resonant supermultiplet states are caused in part by direct electromagnetic effects such as photon exchange. We call these effects the driving terms $D$. In our isotopic-spin example a driving term transforming according to a definite representation, e.g., $D_{3,1,1}$, drives only the mass shift transforming in the same way, e.g., $dM_{3,1,1}$.

Similarly in SU(3), $dM_{8,1,1}$ is driven only by $D_{8,1,1}$, and so forth.

In the bootstrap theory, the shifts in mass of the bound states also occur in response to electromagnetic mass shifts of external particles and of exchanged particles. For example, if we think of the $\Delta$ decuplet as a resonance occurring in the $B + \Pi + B + \Pi$ and other scattering amplitudes ($\Pi$ is the 0' octet), $dM_{\Delta}$ is affected by shifts $dM_{B}$ in the external- and exchanged-baryon masses, by shifts $dM_{\Pi}$, by shifts $dM_{\Delta}$ in $\Delta$ exchange, and so forth. Just as with the direct driving terms $D$, a shift $dM_{8,1,1}$ affects only (in our lowest order considerations) the shift $dM_{8,1,1}$ which transforms in the same way. Thus we obtain relations for the octet symmetry violations of the form

\[ 
\begin{align*}
    dM_{8,1,1} &= A_{8}^{B_{S}B_{D}dM_{8,1,1}} + B_{S}B_{D}dM_{8,1,1} + B_{S}B_{D}dM_{8,1,1} \\
    &\quad + A_{8}^{B_{S}B_{D}dM_{8,1,1}} + B_{S}B_{D}dM_{8,1,1} + B_{S}B_{D}dM_{8,1,1} \\
    &\quad + A_{8}^{B_{S}B_{D}dM_{8,1,1}} + B_{S}B_{D}dM_{8,1,1} + B_{S}B_{D}dM_{8,1,1}. \\
\end{align*}
\]

where the two independent octet terms in the baryon mass, the $D$ type (labeled $B_{D}$) and $F$ type (labeled $B_{F}$), must be kept separate. Note that the $A$ matrix contains no SU(3) violation; that is why it connects 8 only to 8, n only to n, and is independent of n. The $A$ matrix does connect $B_{S}$ and $B_{D}$ since $D$ and $F$ terms do mix even when SU(3) symmetry is preserved. Similar relations apply to the 1 and 27 shifts, except that each of these cases contains only one baryon term instead of two.

Let us relabel the mass relations, such as (1), in the form

\[ (1 - A_{8}^{B}) \alpha \beta dM_{8,1,1} \beta = -D_{8,1,1} \alpha, \]

where $\alpha$ and $\beta$ run over the independent octets of mass matrices such as the $\Delta$ term, the $D$ and $F$ baryon terms, and so forth. We can invert (2),

498
obtaining

\[ dM_{\delta,n}^{\alpha} = \frac{1}{\Delta A_{\delta}} D_{\delta,n}^{\beta}. \]  

(3)

Now in terms of Eqs. (1)-(3), the problem set forth at the beginning of this paper was that \( D_{\delta} \) and \( D_{\pi} \) are of comparable magnitude but \( dM_{\delta} \) emerges as the dominant mass splitting. It is easy to see how this can occur in our formalism. If one or more of the eigenvalues of \( A_{\delta} \) is near unity, then \( dM_{\delta,n} \) will contain a large term multiplying the associated eigenvector(s). If the matrix \( A_{\pi} \) lacks eigenvalues near unity, the octet is preferentially enhanced.

The mechanism just described for electromagnetic octet enhancement applies generally to any violations of SU(3) which are linear in the masses, since the eigenvalues and eigenvectors of \( A_{\delta} \) and \( A_{\pi} \) are independent of the driving term \( D \) and independent of the axis \( n \) along which the violation lies in SU(3) space.\(^8\) Thus, barring the unlikely case that the driving term has no component along the enhanced eigenvector, isospin-conserving strong mass splittings \((\nu = 8)\) and isospin-violating electromagnetic mass splittings \((\nu = 3)\) (as well as the hard-to-observe weak mass splittings) should all exhibit octet enhancement and all lie along the same eigenvector (i.e., all have the same ratios among the independent octet mass matrices such as the \( \Delta \) term and the \( D \) and \( F \) baryon terms).

Nonleptonic weak interactions also appear to be octet dominated, but here it is couplings, not mass shifts, that one normally observes. The formalism we have discussed for linear mass shifts can evidently be generalized to include linear changes in coupling constants together with mass differences, so that our equations would be replaced by linear equations involving \( d_{\delta} \)’s and \( dM \)’s. Again octet enhancement can result from finding octet eigenvalues near unity, with 27-plet eigenvalues far away, and a universal pattern of octet enhancement may emerge for the strong, electromagnetic, and (parity-conserving) weak SU(3) symmetry violations in coupling constants.\(^9\)

To test the correctness of the bootstrap theory of octet enhancement, an extensive program of calculations involving the various supermultiplets will be required. We report here on a simple estimate of a small piece of the general problem—the mass shifts of the \( B \) octet and \( \Delta \) decuplet. We specialize to the two-particle amplitude \( B + \Pi \rightarrow B + \Pi \) for both supermultiplets, and use the static model with a linear denominator function. The left-hand cut is simplified to two poles representing \( B \) and \( \Delta \) exchange, and the right-hand cut to two poles representing the appearance of \( B \) and \( \Delta \) in the direct channel. We consider only mass shifts \( dM_{B} \) and \( dM_{\Delta} \) in this approximate calculation, leaving the changes in coupling and pion mass shifts in the driving term.

To calculate the \( A \) matrix we first separate the effects of exchanged and external masses; i.e., we write \( A = A_{\text{exch}} + A_{\text{ext}} \). Group theory can be used\(^9\) to find ratios like \( A_{1 \text{ exch}}^{\Delta B} A_{27 \text{ exch}}^{\Delta B}, A_{1 \text{ exch}}^{BB}, A_{8 \text{ ext}}^{BB}, A_{8 \text{ ext}}^{B_{S}B_{S}}, \ldots \); thus all the dynamics is contained in the \( A_{1} \)'s. \( A_{1 \text{ exch}} \) can be determined from a simple SU(3)-symmetric calculation using the \( S \)-matrix method of Dashen and Frautschi.\(^10\) Then \( A_{1 \text{ ext}} \) is determined by using the fact that the static model is invariant under a uniform shift of \( B \) and \( \Delta \) masses.

The only free parameter in \( A \) is the \( F/D \) ratio \( \lambda \) for the \( BB\Pi \) couplings. Several experimental and dynamical arguments\(^11\) indicate that \( \lambda \) lies between 0.3 and 0.5. With \( \lambda \) in this range we find that the eigenvalues of \( A_{\delta} \) are far from unity, whereas \( A_{\pi} \) always has an eigenvalue near one. The eigenvectors and eigenvalues of \( A_{\pi} \) vary smoothly with \( \lambda \) and at \( \lambda = 0.46 \), \( A_{\delta} \) has an eigenvalue of exactly one, whose associated eigenvector\(^12\) is

\[ dM_{\delta}^{B_{s}/dM_{\delta}} B_{\delta} = -0.24, \quad dM_{\delta}^{\Delta} /dM_{\delta} B_{\delta} = 1.15. \]  

(4)

The appearance of an eigenvalue exactly equal to one is quite likely due to the present, oversimplified model. However, a study of some possible improvements of the model indicates that the qualitative features of this result, i.e., a large octet enhancement along a direction similar to that of Eq. (4), are a general property of the \( B-\Delta \) system in SU(3) and would survive a more detailed calculation.

Keeping in mind the crude nature of the approximations made, we turn to the comparison with experiment. For the strong mass shifts

\[ dM_{\delta}^{B_{s}/dM_{\delta}} B_{\delta} = -0.25 \]

and

\[ dM_{\delta}^{\Delta} /dM_{\delta} B_{\delta} = 1.25. \]

Neglecting strong violations of SU(3), the observed electromagnetic mass shifts in the \( B \) octet satisfy

\[ dM_{\delta}^{B_{s}/dM_{\delta}} B_{\delta} = -0.4 \pm 0.1. \]

Thus experiment supports the prediction that
In an earlier Letter with this title,\textsuperscript{1} parity-preserving nonleptonic decays were related to the violation of SU(3) coupling-constant equalities. It was assumed, for the discussion of pionic hyperon decays, that SU(3) symmetry is strictly maintained within the $\pi$-baryon couplings and within the $K$-baryon couplings, but that the SU(3) equality of $\pi$ and $K$ coupling constants is broken. It is somewhat disorienting for this point of view to conclude that the fractional violation of the coupling-constant equality is significantly different for the two SU(3) coupling constants $f^{(1)}$ and $f^{(2)}$. We wish to point out that the situation is improved by including another contribution, the importance of which was not appreciated in I.

It was there remarked that weak mixing of the baryon octuplet and weak meson mixing cancel completely if the breakdown of SU(3) symmetry is limited to the mass displacements produced by the vacuum ($\langle S_3 \rangle$). There are other effects, however, which enable this parity-preserving decay mechanism to operate. One is the coupling-constant inequality, $f_K \neq f_\pi$. A second one is the weak mixing of the baryon octuplet with the singlet of the broken $W_3$ nonplet. A third one is the failure of the Gell-Mann–Okubo octuplet mass formula, which we have attributed to strong octuplet-singlet mixing. The last effect was not considered in I, owing perhaps to the psychological influence of the oft repeated statement that the GMO formula is accurate to within half of one percent. The more relevant number is the mass ratio
\[
\rho = \frac{\Lambda - \frac{1}{4}(2N + 2Z - \Sigma)}{(\Lambda - \Sigma)} = 0.045,
\]
and its consequences are not negligible.

The $p$-wave coupling constants implied by the three contributing factors are
\[
\begin{align*}
&f_{\Sigma^+} = -g_s [\frac{4}{3} \rho (f^{(1)} + f^{(2)}) + \frac{1}{3} g f^Y]; \\
&f_{\Sigma^-} = \theta [\Delta f^{(2)} - 3 \rho (f^{(1)} + f^{(2)}) - \frac{1}{3} g f^Y]; \\
&f_\Lambda = 6^{-1/2} [2 \Delta f^{(2)} - \Delta f^{(1)} - 3 \rho f^{(2)}]; \\
&f_\Xi^- = 6^{-1/2} [2 \Delta f^{(2)} - \Delta f^{(1)} + 3 \rho \frac{\Lambda - \Sigma}{Z - \Lambda} f^{(2)}] ;
\end{align*}
\]