Parameter constraints for flat cosmologies from CMB and 2dFGRS power spectra

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The 2dFGRS data and covariance matrix, and the CMB data compilation used here, are available from \texttt{http://www.roe.ac.uk/~wjp/}.

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1 INTRODUCTION

The 2dF Galaxy Redshift Survey (2dFGRS; see e.g. Colless et al. 2001) has mapped the local Universe in detail. If the galaxy distribution has Gaussian statistics and the bias factor is independent of scale, then the galaxy power spectrum should contain all of the available information about the seed perturbations of cosmological structure: it is statistically complete in the linear regime. The power spectrum of the data as of early 2001 was presented in Percival et al. (2001), and was shown to be consistent with recent cosmic microwave background (CMB) and nucleosynthesis results.

In Efstathiou et al. (2002) we combined the 2dFGRS power spectrum with recent CMB datasets in order to constrain the cosmological model (see also subsequent work by Lewis & Bridle 2002). Considering a wide range of possible assumptions, we were able to show that the universe must be nearly flat, requiring a non-zero cosmological constant $\Lambda$. The flatness constraint was quite precise ($|1 - \Omega_{\text{tot}}| < 0.05$ at 95% confidence); since inflation models usually predict near-exact flatness ($|1 - \Omega_{\text{tot}}| < 0.001$; e.g. Section 8.3 of Kolb & Turner 1990), there is strong empirical and theoretical motivation for considering only the class of exactly flat cosmological models. The question of which flat universes match the data is thus an important one to be able to answer. Removing spatial curvature as a degree of freedom also has the practical advantage that the space of cosmological models can be explored in much greater detail. Therefore, throughout this work we assume a universe with baryons, CDM and vacuum energy summing to $\Omega_{\text{tot}} = 1$ (cf. Peebles 1984; Efstathiou, Sutherland & Maddox 1990).

In this work we also assume that the initial fluctuations were adiabatic, Gaussian and well described by power law spectra. We consider models with and without a tensor component, which is allowed to have slope and amplitude independent of the scalar component. Recent Sudbury Neutrino Observatory (SNO) measurements (Ahmad et al. 2002) are most naturally interpreted in terms of three neutrinos of cosmologically negligible mass ($\lesssim 0.05$ eV, as opposed to current cosmological limits of order 2 eV – see Elgaroy et al. 2002). We therefore assume zero neutrino mass in this analysis. In most cases, we assume the vacuum energy to be a ‘pure’ cosmological constant with equation of state $w \equiv p/\rho c^2 = -1$, except in Section 3 where we explore $w > -1$.

In Section 2 we use a compilation of recent CMB observations (including data from VSA (Scott et al. 2002) and CBI (Pearson et al. 2002) experiments) to determine the maximum-likelihood amplitude of the CMB angular power spectrum on a convenient grid, taking into account calibration and beam uncertainties where appropriate. This compression of the data is designed to speed the analysis presented here, but it should be of interest to the community in general.

In Section 3 we fit to both the CMB data alone, and CMB + 2dFGRS. Fits to CMB data alone reveal two well-known primary degeneracies. For models including a possible tensor component, there is the tensor degeneracy (Efstathiou 2002) between increasing tensors, blue tilt, increased baryon density and lower CDM density. For both scalar-only and with-tensor models, there is a degeneracy related to the geometrical degeneracy present when non-flat models are considered, arising from models with similar observed CMB peak locations (cf. Efstathiou & Bond 1999). In Section 4 we discuss this degeneracy further and explain how it may be easily understood via the horizon angle, and described by the simple relation $\Omega_m h^3 = \text{constant}$. Section 5 considers a possible extension of our standard cosmological model allowing the equation of state parameter $w$ of the vacuum energy component to vary. By combining the CMB data, the 2dFGRS data, and an external constraint on the Hubble constant $h$, we are able to constrain $w$. Finally, in Section 6 we discuss the range of CMB angular power spectral values allowed by the present CMB and 2dFGRS data within the standard class of flat models.

2 THE CMB DATA

Recent key additions to the field of CMB observations come from the VSA (Scott et al. 2002), which boasts a smaller calibration error than previous experiments, and the CBI (Pearson et al. 2002, Mason et al. 2002), which has extended observations to smaller angles (larger $\ell$s). These data sets add to results from BOOMERaNG (Netterfield et al. 2002), Maxima (Lee et al. 2001) and DASI (Halverson et al. 2002), amongst others. Rather than compare models to each of these data sets individually, it is expedient to combine the data prior to analysis. This combination often has the advantage of allowing a consistency check between the individual data sets (e.g. Wang et al. 2002). However, care must be taken to ensure that additional biases are not introduced into the compressed data set, and that no important information is lost.

In the following we consider COBE, BOOMERaNG, Maxima, DASI, VSA and CBI data sets. The BOOMERaNG data of Netterfield et al. (2002) and the Maxima data of Lee et al. (2002) were used assuming the data points were independent, and have window functions well described by top-hats. The $\ell < 2000$ CBI mosaic field data were used assuming that the only significant correlations arise between neighbouring points which are anti-correlated at the 16% level as discussed in Pearson et al. (2002). Window functions for these data were assumed to be Gaussian with small negative side lobes extending into neighbouring bins approximately matched to figure 11 of Pearson et al. (2002). We also consider the VSA data of Scott et al. (2002), the DASI data of Halverson et al. (2002), and the COBE data compilation of Tegmark et al. (1996).

<p>| Table 1. Best-fit relative power calibration corrections for the experiments considered are compared to expected rms errors. In addition, we recover a best fit beam error for BOOMERaNG of +0.4%, measured relative to the first data point in the set, and +0.07% for Maxima. |</p>
<table>
<thead>
<tr>
<th>experiment</th>
<th>power calibration error</th>
<th>best-fit (%)</th>
<th>rms (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>BOOMERaNG</td>
<td>$-13.5$</td>
<td>20</td>
<td></td>
</tr>
<tr>
<td>Maxima</td>
<td>$+1.6$</td>
<td>8</td>
<td></td>
</tr>
<tr>
<td>DASI</td>
<td>$+0.9$</td>
<td>8</td>
<td></td>
</tr>
<tr>
<td>VSA</td>
<td>$-0.3$</td>
<td>7</td>
<td></td>
</tr>
<tr>
<td>CBI</td>
<td>$+0.7$</td>
<td>10</td>
<td></td>
</tr>
</tbody>
</table>
Parameter constraints for flat cosmologies from CMB and 2dFGRS power spectra

Figure 1. Top panel: the compilation of recent CMB data used in our analysis (see text for details). The solid line shows the result of a maximum-likelihood fit to these data allowing for calibration and beam uncertainty errors in addition to intrinsic errors. Each observed data set has been shifted by the appropriate best-fit calibration and beam correction. Bottom panel: the solid line again shows our maximum-likelihood fit to the CMB power spectrum now showing the nodes (the points at which the amplitude of the power spectrum was estimated) with approximate errors calculated from the diagonal elements of the covariance matrix (solid squares). These data are compared with the compilation of Wang et al. (2002) (stars) and the result of convolving our best fit power with the window function of Wang et al. (crosses). In order to show the important features in the CMB angular power spectrum plots we present in this paper we have chosen to scale the x-axis by $(\log \ell)^{2.5}$.

In order to combine these datasets, we have fitted a model for the true underlying CMB power spectrum, consisting of power values at a number of $\ell$ values (or nodes). Between these nodes we interpolate the model power spectrum using a smooth Spline3 algorithm (Press et al. 1992). The assumption of smoothness is justified because we aim to compare CMB data with CDM models calculated using CMBFAST (Seljak & Zaldarriaga 1996). Internally, this code evaluates the CMB power spectrum only at a particular set of $\ell$ values, which are subsequently Spline3 interpolated to cover all multipoles. It is therefore convenient to use as our parameters the CMB power values at the same nodes used by CMBFAST in the key regime $150 \leq \ell \leq 1000$. By using the same smoothing algorithm and nodes for our estimate of the true power spectrum, we ensure that no additional assumptions are made in the data compilation compared with the models to be tested. For $\ell < 150$ and $\ell > 1000$ the data points are rather sparsely distributed, and we only selected a few $\ell$ values at which to estimate the power. The best-fit amplitude of the power spectrum at an extra node at $\ell = 2000$ was determined in our fit to the observed CMB data, in order that the shape of the interpolated curve around $\ell = 1500$ had the correct form. This was subsequently removed from the analysis, and models and data were only compared for $\ell \leq 1500$. In addition to requiring no interpolation in CMBFAST, this method of compression has a key advantage for our analysis. Normally, CMB data are expressed as bandpowers, in which one specifies the result of convolving the CMB power spectrum with some kernel.
This remains true of some previous CMB data compilations (e.g. Wang et al. 2002). In contrast, we estimate the true power at that multipole (which we estimate from our model). A low observational point is thus given a spuriously low error, and this is capable of biasing the averaged data to low values. The final best fit power spectrum amplitudes given the 6 data sets analysed are presented in Table 2, with the corresponding $\ell$-values of the nodes and rms errors. Formally this fit gave $\chi^2_{\text{min}} = 31.9$, given 34 degrees of freedom (there are 68 data points, and we estimate 27 power spectrum values, 5 calibration and 2 beam corrections). This result demonstrates that the different data sets are broadly consistent after allowing for calibration and beam uncertainty. The Hessian matrix of the likelihood provides an estimate of the inverse covariance matrix for the power spectrum estimates. This was calculated numerically and is available together with the averaged data, from http://www.roe.ac.uk/~wjp/. As emphasised previously, these are estimates of the true power at the $\ell$ values given and therefore do not require window functions. In the following Section we use these CMB results to constrain flat cosmological models.

### 3 COSMOLOGICAL MODELS

#### 3.1 Parameter space

In the following we parametrise flat cosmological models with seven parameters (plus two amplitudes): these are the physical baryon density $\Omega_b h^2$, the physical CDM density $\Omega_c h^2$, the Hubble constant $h$, the optical depth to the last scattering surface $\tau$, the scalar spectral index $n_s$, the tensor spectral index $n_t$ and the tensor-to-scalar ratio $r$. The tensor-to-scalar ratio $r$ is defined as in Efstathiou et al. (2002): the scalar and tensor $C_\ell$’s are normalized so that

$$\frac{1}{4\pi} \sum_{\ell=2}^{1000} (2\ell+1) \hat{C}_\ell^S = (4 \times 10^{-5})^2,$$

(1)

$$\frac{1}{4\pi} \sum_{\ell=2}^{50} (2\ell+1) \hat{C}_\ell^T = (2 \times 10^{-5})^2.$$  

(2)

$C_\ell$ is then given by $C_\ell = Q^2 (\hat{C}_\ell^S + r \hat{C}_\ell^T)$, where $Q^2$ is the normalization constant. We marginalize over both this and the amplitude of the 2dFGRS power spectra in order to avoid complications caused by galaxy biasing and redshift space distortions (Lahav et al. 2002).

CMB angular power spectra have been calculated using CMBFAST (Seljak & Zaldarriaga 1996) for a grid of ~$2 \times 10^8$ models. For ease of use, a uniform grid was adopted with a varying resolution in each of the parameters (details of this grid are presented in Table 1). Likelihoods were calculated by fitting these models to the reduced CMB data set presented in Section 2. Similarly, large scale structure (LSS) power spectra were calculated for the relevant models using the fitting formula of Eisenstein & Hu (1998), and were convolved with the window function of the 2dFGRS sample, before being compared to the 2dFGRS data as in Percival et al. (2001).

In order to constrain parameters, we wish to determine the probability of each model in our grid given the available

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* As usual, $\Omega_b, \Omega_c$ are the densities of baryons & CDM in units of the critical density, and $h$ is the Hubble constant in units of 100 km s$^{-1}$ Mpc$^{-1}$. ‘Derived’ parameters include the matter density $\Omega_m = \Omega_c + \Omega_b$, and $\Omega_\Lambda = 1 - \Omega_m$. 

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Table 2. Recovered best-fit power spectrum values with rms values given the 6 data sets analysed.

<table>
<thead>
<tr>
<th>$\ell$</th>
<th>$\delta T^2 / \mu K^2$</th>
<th>rms error / $\mu K^2$</th>
</tr>
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<tr>
<td>2</td>
<td>314</td>
<td>443</td>
</tr>
<tr>
<td>4</td>
<td>803</td>
<td>226</td>
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<tr>
<td>8</td>
<td>770</td>
<td>156</td>
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<tr>
<td>15</td>
<td>852</td>
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<td>1414</td>
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<tr>
<td>90</td>
<td>2796</td>
<td>673</td>
</tr>
<tr>
<td>150</td>
<td>3784</td>
<td>546</td>
</tr>
<tr>
<td>200</td>
<td>5150</td>
<td>627</td>
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</tr>
<tr>
<td>650</td>
<td>1849</td>
<td>244</td>
</tr>
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<td>2023</td>
<td>274</td>
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<tr>
<td>750</td>
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<td>1008</td>
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<tr>
<td>1500</td>
<td>530</td>
<td>178</td>
</tr>
</tbody>
</table>
Parameter constraints for flat cosmologies from CMB and 2dFGRS power spectra

Figure 2. Two parameter likelihood surfaces for scalar only models. Contours correspond to changes in the likelihood from the maximum of $2\Delta \ln L = 2.3, 6.0, 9.2$. Dashed contours are calculated by only fitting to the CMB data, solid contours by jointly fitting the CMB and 2dFGRS data. Dotted lines show the extent of the grid used to calculate the likelihoods.

Table 3. The distribution of parameters (defined in the text) in the $\sim 2 \times 10^8$ flat cosmological models considered in this paper. The grid used was linear in each parameter between the limits given in order to simplify the marginalization assuming a uniform prior on each.

<table>
<thead>
<tr>
<th>parameter</th>
<th>min</th>
<th>max</th>
<th>grid size</th>
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</thead>
<tbody>
<tr>
<td>$\Omega_m h^2$</td>
<td>0.01</td>
<td>0.04</td>
<td>25</td>
</tr>
<tr>
<td>$\Omega_b h^2$</td>
<td>0.05</td>
<td>0.22</td>
<td>25</td>
</tr>
<tr>
<td>$h$</td>
<td>0.40</td>
<td>1.00</td>
<td>25</td>
</tr>
<tr>
<td>$\tau$</td>
<td>0.00</td>
<td>0.10</td>
<td>2</td>
</tr>
<tr>
<td>$n_s$</td>
<td>0.80</td>
<td>1.30</td>
<td>25</td>
</tr>
<tr>
<td>$n_t$</td>
<td>-0.20</td>
<td>0.30</td>
<td>10</td>
</tr>
<tr>
<td>$r$</td>
<td>0.00</td>
<td>1.00</td>
<td>25</td>
</tr>
</tbody>
</table>

CMB and 2dFGRS data. However, we can only easily calculate the probability of the data given each model. In order to convert between these probabilities using Bayes theorem, we need to adopt a prior probability for each model or parameter. In this work, we adopt a uniform prior for the parameters discussed above between the limits in Table 3, i.e. we assume that the prior probability of each model in the grid is the same. Assuming a uniform prior for physically motivated parameters is common in the field, although not often explicitly mentioned. Note that the constraints placed by the current data are tight compared with the prior, and that the biases induced by this choice are therefore relatively small.

The likelihood distribution for a single parameter, or for two parameters can be calculated by marginalizing the estimated probability of the model given the data over all other parameters. Because of the grid adopted in this work, we can do this marginalization by simply averaging the $L$ values calculated at each point in the grid.

In Fig. 2 we present two-parameter likelihood contour plots (marginalized over the remaining parameters) for the subset of scalar-only models i.e. $r$ fixed at 0. For these scalar-only models, we choose to plot $\tau$ only against $\Omega_m h^2$ as $\tau$ is poorly constrained by the CMB data, and has no degeneracies with the other parameters. In Fig. 3 we present two-parameter likelihood contour plots (marginalized over the remaining parameters) for models allowing a tensor component. The spectral index of the tensor contribution is poorly constrained by the CMB data so, as for $\tau$, we only show one plot with this parameter.

Figs 2 & 3 reveal two key directions in parameter space that the CMB data have difficulty constraining. When a tensor component is included, we have the tensor degeneracy – a trade-off between increasing tensors, increasing $n_s$, increasing $\Omega_m h^2$ and decreasing $\Omega_c h^2$ (for more detail see Efstathiou 2002). In addition, in both the scalar-only and with-tensor cases, there is a degeneracy between $\Omega_m h^2$ and $h$, that results in the Hubble parameter $h$ being poorly constrained by the CMB data alone. This degeneracy is discussed in detail in the next Section.

We note that nearly all of the likelihood is contained well within our prior regions, except for the case of tensor models with CMB-only data in Fig 3: here there is a region allowed by CMB outside our priors with high tensor frac-
$h > 1$, $n_s \simeq 1.3, \Omega_c h^2 \simeq 0.06$. These parameters are ruled out by many observations apart from 2dFGRS, so the truncation is not a concern.

### 3.2 Results

The recovered mean and standard rms error calculated for each parameter (except $\tau$ which is effectively unconstrained) are given in Table 4. What is striking is how well specified many of the parameters are.

The general features are as follows: changing from the Wang et al. compilation to our compilation slightly shrinks the error bars (due to VSA and CBI), but the central values are similar except for a slight shift in $n_s$. Allowing tensors widens the error bars and causes modest shifts in central values (the best fit has a zero tensor fraction, but the fact that $r$ must be non-negative explains the shifts). The CMB data alone constrains $\Omega_b h^2$ and $n_s$ well and $\Omega_c h^2$ quite well, but $\Omega_m$ and $h$ less well. Adding the 2dFGRS data shrinks the errors on $\Omega_c h^2$, $h$ and thus $\Omega_m$ and $\Omega_b/\Omega_m$ by more than a factor of 2.

The most restrictive case is the set of scalar-only models. These yield $h = 0.665$ with only a 7% error, which is substantially better than any other method. The matter density parameter comes out at $\Omega_m = 0.313$, with a rather larger error of 18%; errors on $h$ and $\Omega_m$ are anticorrelated so the physical matter density is well determined, $\Omega_m h^2 = 0.136 \pm 7\%$. We show below in Section 4 that this is because the CMB data measure very accurately the combination $\Omega_m h^2$, so that an accurate measurement of $\Omega_m$ requires $h$ to be known almost exactly.

Moving from matter content to the fluctuation spectrum, the scalar-only results give a tantalizing hint of red tilt, with $n_s = 0.963 \pm 0.042$. Current data are thus within a factor of 2 of the precision necessary to detect plausible degrees of tilt (e.g. $n_s = 0.95$ for $\lambda \phi^4$ inflation; see Section 8.3 of Liddle & Lyth 2000). Inflation of course cautions against ignoring tensors, but it would be a great step forward to rule out an $n_s = 1$ scalar-only model.
Including the possibility of tensors changes these conclusions only moderately. The errors on $h$ and $\Omega_m$ hardly alter, whereas the error on $n_s$ rises to 0.066. The preferred model has $r = 0$, although this is rather poorly constrained. Marginalizing over the other parameters, we obtain a 95% confidence upper limit of $r < 0.7$. One way of ruling out the upper end of this range may be to note that such tensor-dominated models predict a rather low normalization for the present-day mass fluctuations, as we now discuss.

### 3.3 Normalization

An advantage of the new CMB data included here is that the most recent experiments have a rather small calibration uncertainty. It is therefore possible to obtain precise values for the overall normalization of the power spectrum. As usual, we take this to be specified by $\sigma_8$, the rms density contrast averaged over spheres of $8h^{-1}$ Mpc radius. For the scalar-only grid of models shown in Fig. 2, this yields

$$\sigma_8 = (0.72 \pm 0.03 \pm 0.02) \exp \tau.$$  

The first error figure is the 'theory error': the uncertainty in $\sigma_8$ that arises because the conversion between the observed $C_l$ and the present $P(k)$ depends on the uncertain values of $\Omega_m$ etc. The second error figure represents the uncertainty in the normalization of the $C_l$ data (see Fig. 7). The total error in $\sigma_8$ is the sum in quadrature of these two figures.

This result confirms with greater precision our previous conclusions that allowed scalar-only models prefer a relatively low normalization (Efstathiou et al. 2002; Lahav et al. 2002). As discussed by Lahav et al. (2002), a figure of $\sigma_8 = 0.72$ is consistent with the relatively wide range of estimates from the abundance of rich clusters, but is lower than the $\sigma_8 \sim 0.9$ for $\Omega_m \sim 0.3$ preferred by weak lensing studies. The obvious way to reconcile these figures is via the degenerate dependence of $\sigma_8$ on $\tau$. The lowest plausible value for this is $\tau = 0.05$, corresponding to reionization at $z_\tau = 8$ for the parameters given here. To achieve $\sigma_8 = 0.9$ requires $\tau = 0.22$, or reionization at $z_\tau = 22$, which is somewhat higher than conventional estimates ($z_\tau < 15$; see e.g. Loeb & Barkana 2001). Additional evidence in this direction comes from the possible first detection of Sunyaev-Zeldovich anisotropies at $\ell > 200$ by the CBI (Mason et al. 2002). This signal is claimed to require $\sigma_8 \leq 1$ (Bond et al. 2002), which would raise $z_\tau$ to almost 30. Further scrutiny of these independent estimates for $\sigma_8$ will be required before one can claim evidence for first object formation at extreme redshifts, but this is an exciting possibility.

Finally, we note that these problems are sharpened if the CMB power spectrum has a substantial tensor component. As shown by Efstathiou et al. (2002), the model with the maximal allowed tensor fraction ($r = 0.6$) has a normalization lower by a factor 1.18 than the best scalar-only model. This pushes $z_\tau$ to almost 40 for $\sigma_8 = 1$, which starts to become implausibly high, even given the large uncertainties associated with the modelling of reionization.

### 4 THE HORIZON ANGLE DEGENERACY

In this section we explore the degeneracy observed in Figs. 3 & 4 between $\Omega_m h^2$ and $h$. This is related (but not identical) to the geometrical degeneracy that exists when non-flat models are considered, and we now show that it is very closely related to the location of the acoustic peaks.
Below, we first review the basics of the geometrical degeneracy, secondly note why this is only weakly broken by the flatness assumption, and thirdly give a simple heuristic argument why this degeneracy approximately follows a contour of nearly constant $\Omega_m h^3$.

4.1 The geometrical degeneracy

The ‘geometrical degeneracy’ in the CMB is well known (Zaldarriaga et al. 1997; Bond et al. 1997; Efstathiou & Bond 1999). If we take a family of models with fixed initial perturbation spectra, fixed physical densities $\omega_m \equiv \Omega_m h^2$, $\omega_b \equiv \Omega_b h^2$, and vary both $\Omega_\Lambda$ and the curvature $\Omega_k$ to keep a fixed value of the angular size distance to last scattering, then the resulting CMB power spectra are identical (except for the integrated Sachs-Wolfe effect at low multipoles which is hidden in cosmic variance, and second-order effects at high $\ell$). This degeneracy occurs because the physical densities $\omega_m$, $\omega_b$ control the structure of the perturbations in physical Mpc at last scattering, while curvature and $\Lambda$ (plus $\omega_\Lambda$) govern the proportionality between length at last scattering and observed angle. Note that $h$ is a ‘derived’ parameter in the above set, via $h = [\omega_m/(1-\Omega_k-\Omega_\Lambda)]^{0.5}$, so the geometrical degeneracy is broken by an external measurement of $h$.

4.2 The flat-universe case

Assuming a flat universe, $\Omega_k = 0$, thus also breaks the geometrical degeneracy. However, as noted by e.g. Efstathiou & Bond (1999), and investigated below, there is a closely related degeneracy based on varying two free parameters (chosen from $\Omega_m$, $\omega_m$, $h$, $\Omega_\Lambda$) so as to almost preserve the locations of the first few CMB acoustic peaks. This is illustrated in Fig. 1, where the likelihood contours in the $(\Omega_m, h)$ plane for CMB-only data form a long and narrow ‘banana’ with its long axis at approximately constant $\Omega_m h^3$. The banana is surprisingly narrow in the other direction; this means that $\Omega_m h^3$ is determined to about 12% ($1\sigma$) by the CMB data.

This ‘banana’ is similar in form to the line in Fig. 4 of Efstathiou & Bond (1999), though different in detail because they used simulations with $\omega_m = 0.25$. It is also similar to that in Fig. 1 of Knox et al. (2001) as expected. However both those previous papers presented the degeneracy in the $(\Omega_m, h)$ plane; although this is just a mirror-image of the $(\Omega_m, h)$ plane, it is less intuitive (e.g. changing $\Omega_\Lambda$ alters observables that have no explicit $\Lambda$-dependence, via the constraint $\Omega_m = 1-\Omega_\Lambda$), so the simple $\Omega_m h^3$ dependence has not been widely recognised.

4.3 Peak locations and the sound horizon

The locations $\ell_m$ of the first few CMB acoustic peaks may be conveniently expressed (e.g. Hu et al. 2001, Knox et al. 2001) as

$$\ell_m = \ell_A(m - \phi_m), \quad m = 1, 2, 3$$

$$\ell_A \equiv \pi/\theta_s$$

$$\theta_s \equiv \frac{r_s(z_s)}{D_A(z_s)}$$

where $r_s$ is the sound horizon size at last scattering (redshift $z_s$), $D_A$ is the angular diameter distance to last scattering, therefore $\theta_s$ is the ‘sound horizon angle’ and $\ell_A$ is the ‘acoustic scale’. For any given model, the CMB peak locations $\ell_m(m = 1, 2, 3)$ are given by numerical computation, and then Eq. (4) defines the empirical ‘phase shift’ parameters $\phi_m$. Hu et al. (2001) show that the $\phi_m$’s are weakly dependent on cosmological parameters and $\phi_1 \sim 0.27$, $\phi_2 \sim 0.24$, $\phi_3 \sim 0.25$. Extensive calculations of the $\phi_m$’s are given by Doran & Lilley (2002).

Therefore, although $\theta_s$ is not directly observable, it is very simple to compute and very tightly related to the peak locations, hence its use below. Knox et al. (2001) note a ‘coincidence’ that $\theta_s$ is tightly correlated with the age of the universe for flat models with parameters near the ‘concordance’ values, and use this to obtain an accurate age estimate assuming flatness.

4.4 A heuristic explanation

Here we provide a simple heuristic explanation for why $\theta_s$ and hence the $\ell_m$’s are primarily dependent on the parameter combination $\Omega_m h^3$.

Of the four ‘FRW’ parameters $\Omega_m, \omega_m, h, \Omega_\Lambda$, only 2 are independent for flat models, and we can clearly choose any pair except for ($\Omega_m, \Omega_\Lambda$). The standard choice in CMB analyses is ($\omega_m, \Omega_\Lambda$) while for non-CMB work the usual choice is ($\Omega_m, h$). However in the following we take $\omega_m$ and $\Omega_m$ to be the independent parameters (thus $h, \Omega_\Lambda$ are derived); this looks unnatural but separates more clearly the low-redshift effect of $\Omega_m$ from the pre-recombination effect of $\omega_m$. We take $\omega_b$ as fixed unless otherwise specified (its effect here is small).

We first note that the present-day horizon size for flat models is well approximated by (Vittorio & Silk 1985)

$$r_h(z = 0) = 2c/H_0 \Omega_m^{-0.4} = 6000 \text{ Mpc} \frac{\Omega_m^{0.1}}{\sqrt{\omega_m}}$$

(The distance to last scattering is $\sim 2\%$ smaller than the above due to the finite redshift of last scattering). Therefore, if we increase $\Omega_m$ while keeping $\omega_m$ fixed, the shape and relative heights of the CMB peaks are preserved but the peaks move slowly rightwards (increasing $\ell$) proportional to $\Omega_m^{0.1}$ (Equivalently, the Efstathiou-Bond $R$ parameter for flat models is well approximated by $1.94 \Omega_m^{0.1}$).

This slow variation of $\ell_A \propto \Omega_m^{0.1}$ at fixed $\omega_m$ explains why the geometrical degeneracy is only weakly broken by the restriction to flat models: a substantial change in $\Omega_m$ at fixed $\omega_m$ moves the peaks only slightly, so a small change in $\omega_m$ can alter the sound horizon length $r_s(z_s)$ and bring the peaks back to their previous angular locations with only a small change in relative heights. We now give a simplified argument for the dependence of $r_s$ on $\omega_m$.

The comoving sound horizon size at last scattering is defined by (e.g. Hu & Sugiyama 1995)

$$r_s(z_s) \equiv \frac{1}{H_0 \Omega_m^{1/2}} \int_0^{z_s} \frac{c_s}{(a + a_{eq})^{1/2}} da$$

where vacuum energy is neglected at these high redshifts; the expansion factor $a \equiv (1+z)^{-1}$ and $a_{eq}$, $a_{eq}$ are the values at recombination and matter-radiation equality respectively. Thus $r_s$ depends on $\omega_m$ and $\omega_b$ in several ways.
(i) The expansion rate in the denominator depends on $\omega_m$ via $a_{eq}$.
(ii) The sound speed $c_s$ depends on the baryon/photon ratio via $c_s = c/\sqrt{3(1 + R)}$, $R = 30496 \omega_b a$.
(iii) The recombination redshift $z_r$ depends on both the baryon and matter densities $\omega_b, \omega_m$ in a fairly complex way. Since we are interested mainly in the derivatives of $r_3$ with $\omega_m$, $\omega_b$, it turns out that (i) above is the dominant effect. The dependence (iii) of $z_r$ on $\omega_m, \omega_b$ is slow. Concerning (ii), for baryon densities $\omega_b \approx 0.02$, $c_s$ declines smoothly from $c/\sqrt{3}$ at high redshift to $0.8 c/\sqrt{3}$ at recombination. Therefore to a reasonable approximation we may take a fixed ‘average’ $c_s \approx 0.90 c/\sqrt{3}$ outside the integral in Eq. (8), and take a fixed $z_r$, giving the approximation

$$r_3(z_r) \approx \frac{0.90}{\sqrt{3}} r_H(z = 1100)$$

where $r_H$ is the light horizon size; this approximation is very accurate for all $\omega_m$ considered here and $\omega_b \approx 0.02$. For other baryon densities, multiplying the rhs of Eq. (9) by $(\omega_b/0.02)^{-0.07}$ is a refinement. (Around the concordance value $\omega_b = 0.02$, effects (ii) and (iii) partly cancel, because increasing $\omega_b$ lowers the sound speed but also delays recombination i.e. increases $a_{eq}$).

From above, the (light) horizon size at recombination is

$$r_H(z_r) = \frac{c}{H_0 \Omega_m^{1/2}} \int_0^{a_{eq}} \frac{1}{(a + a_{eq})^{1/2}} da$$

$$= \frac{6000 \text{Mpc}}{\sqrt{\omega_m}} \left[ \sqrt{1 + \frac{a_{eq}}{a}} - \frac{a_{eq}}{a} \right].$$

Dividing by $D_A \approx 0.98 r_H(z = 0)$ from Eq. (5) gives the angle subtended today by the light horizon,

$$\theta_H \approx 1.02 \frac{\Omega_m^{0.1}}{\sqrt{1 + z_r}} \left[ \sqrt{1 + \frac{a_{eq}}{a}} - \frac{a_{eq}}{a} \right].$$

Inserting $z_r = 1100$ and $a_{eq} = 23900 \omega_m^{-1}$, we have

$$\theta_H = 1.02 \frac{\Omega_m^{0.1}}{\sqrt{1101}} \left[ \sqrt{1 + 0.313 \frac{0.147}{\omega_m}} - \frac{0.313}{\omega_m} \right].$$

and $\theta_H \approx \theta_H \times 0.9/\sqrt{3}$ from Eq. (9). This remarkably simple result captures most of the parameter dependence of CMB peak locations within flat $\Lambda$CDM models. Note that the square bracket in Eq. (12) tends (slowly) to 1 for $a_{eq} \ll a$, i.e. $\omega_m \gg 0.046$; thus it is the fact that matter domination was not much earlier than recombination which leads to the significant dependence of $\theta_H$ on $\omega_m$ and hence $h$.

Differentiating Eq. (12) near a fiducial $\omega_m = 0.147$ gives

$$\frac{\partial \ln \theta_H}{\partial \ln \Omega_m} \bigg|_{\omega_m} = -0.1,$$

$$\frac{\partial \ln \theta_H}{\partial \ln \omega_m} \bigg|_{\Omega_m} = -0.2 \left( 1 + \frac{a_{eq}}{a} \right)^{-1/2} = +0.24,$$

and the same for derivatives of $\ln \theta_H$ from the approximation above. In terms of $(\Omega_m, h)$ this gives

$$\frac{\partial \ln \theta_H}{\partial \ln \Omega_m} \bigg|_h = +0.14, \quad \frac{\partial \ln \theta_H}{\partial \ln h} \bigg|_{\Omega_m} = +0.48,$$

in good agreement with the numerical derivatives of $\ell_\lambda$ in Eq. (A15) of Hu et al. (2001). Note also the sign difference between the two $\partial/\partial \ln \Omega_m$ values above.

For moderate variations from a ‘fiducial’ model, the CMB peak locations scale approximately as $\ell_\lambda \propto \Omega_m^{0.14} h^{-0.48}$, i.e. the condition for constant CMB peak location is well approximated as $\Omega_m h^{3.4} \equiv constant$. This condition can also be written $\omega_m \Omega_m^{0.041} = constant$, and we see that, along such a contour, $\omega_m$ varies as $\Omega_m^{0.41}$, and hence the peak heights are slowly varying and the overall CMB power spectrum is also slowly varying.

There are four approximations used for $\theta_H$ above: one in Eq. (8), two (constant $c_s$ and $z_r$) in Eq. (9), and finally the $\Omega_m h^{3.4}$ line is a first-order (in log) approximation to a contour of constant Eq. (12). Checking against numerical results, we find that each of these causes up to 1% error in $\theta_H$; but they partly cancel: the exact value of $\theta_H$ varies by < 0.5% along the contour $h = 0.7 (\Omega_m/0.3)^{-1/3.4}$ between 0.1 $\leq \Omega_m \leq 1$. The peak heights shift the numerical degeneracy by more than this (see below), so the error is unimportant.

A line of constant $\Omega_m h^{3.4}$ is compared to the likelihood surface recovered from the CMB data in Fig. 4. In order to calculate the required likelihoods, we have made a change of variables from $\omega_b$ & $\Omega_m h^2$ to $\Omega_m$ & $\Omega_b/\Omega_m$, and have marginalized over the baryon fraction assuming a uniform prior in $\Omega_b/\Omega_m$ covering the same region as the original grid. The extent of the grid is shown by the dotted lines. The dot-dash line follows the locus of models through the likelihood maximum with constant $\Omega_m h^{3.4}$. The solid line is a fit to the likelihood valley and shows the locus of models with constant $\Omega_m h^{3.4}$ (see text for details).

![Figure 4. Likelihood contours for $\Omega_m$ against $h$ for scalar only models, plotted as in Fig. 4. Variables were changed from $\Omega_m h^2$ and $\Omega_b h^2$ to $\Omega_m$ and $\Omega_b/\Omega_m$, and a uniform prior was assumed for $\Omega_b/\Omega_m$ covering the same region as the original grid. The extent of the grid is shown by the dotted lines. The dot-dash line follows the locus of models through the likelihood maximum with constant $\Omega_m h^{3.4}$. The solid line is a fit to the likelihood valley and shows the locus of models with constant $\Omega_m h^{3.4}$ (see text for details).](image-url)
at constant $\omega_m$, hence the actual likelihood ridge is a 'compromise' between constant peak location (constant $\Omega_m h^{3.4}$) and constant relative heights (constant $\Omega_m h^2$); the peak locations have more weight in this compromise, leading to a likelihood ridge along $\Omega_m h^{3.0} \simeq$ const. This is shown by the solid line in Fig. 4. To demonstrate where this alteration is coming from, we have plotted three scalar only models in the top panel of Fig. 5. These models lie approximately along the line of constant $\Omega_m h^{3.4}$, with $\Omega_m = 0.93, 0.36, 0.10$. Parameters other than $\Omega_m$ and $h$ have been adjusted to fit to the CMB data. The differing peak heights (especially the 3rd peak) between the models is clear (though not large) and the data therefore offer an additional constraint that slightly alters the observed degeneracy. The bottom panel of Fig. 5 shows three models that lie along the observed degeneracy, again with $\Omega_m = 0.93, 0.36, 0.11$. The narrow angle of intersection between contours of constant $\Omega_m h^{3.4}$ and $\Omega_m h^2$ (only 10 degrees in the $(\ln \Omega_m, \ln h)$ plane) explains why the likelihood banana is long.

The exponent of $h$ for constant $\theta_H$ varies slowly from 2.9 to 4.1 as $\omega_m$ varies from 0.06 to 0.26. Note that Hu et al. (2001) quote an exponent of 3.8 for constant $\ell_1$; the difference from 3.4 is mainly due to the slight dependence of $\phi_1$ on $\omega_m$ which we ignored above. However since that paper, improved CMB data has better revealed the 2nd and 3rd peaks, and the exponent of 3.4 is more appropriate for preserving the mean location of the first 3 peaks. Also, note that the near-integer exponent of 3.0 for the likelihood ridge in Fig. 4 is a coincidence that depends on the observed value of $\omega_m$, details of the CMB error bars etc. However, the arguments above are fairly generic, so we anticipate that any CMB dataset covering the first few peaks should (assuming flatness) give a likelihood ridge elongated along a contour of constant $\Omega_m h^p$, with $p$ fairly close to 3.

To summarise this section, the CMB peak locations are closely related to the angle subtended by the sound horizon at recombination, which we showed is a near-constant fraction of the light horizon angle given in Eq. (12). We have thus called this the ‘horizon angle degeneracy’ which has more physical content than the alternative ‘peak location degeneracy’.

\[ \Omega_m = 0.93, h = 0.45 \]
\[ \Omega_m = 0.36, h = 0.63 \]
\[ \Omega_m = 0.10, h = 0.95 \]
degeneracy'. A contour of constant $\theta_0$ is very well approximated by a line of constant $\Omega_m h^{3.4}$ and information on the peak heights slightly ‘rotates’ the measured likelihood ridge near to a contour of constant $\Omega_m h^{3.0}$.

5 CONSTRaining QUINtessence

There has been recent interest in a possible extension of the standard cosmological model that allows the equation of state of the vacuum energy $w \equiv \rho_{vac}/\rho_{vac}c^2$ to have $w \neq -1$ (e.g. Zlatev, Wang & Steinhardt 1999), thereby not being a ‘cosmological constant’, but a dynamically evolving component. In this section we extend our analysis to constrain $w$; we assume $w$ does not vary with time since a model with time-varying $w$ generally looks very similar to a model with suitably-chosen constant $w$ (e.g. Kujat et al. 2002). The shapes of the CMB and matter power spectra are invariant to changes of $w$ (assuming vacuum energy was negligible before recombination): the only significant effect is to alter the angular diameter distance to last scattering, and move the angles at which the acoustic peaks are seen. For flat models, a useful approximation to the present day horizon size is given by

$$r_H(z=0) = \frac{2c}{H_0} \Omega_m^{\alpha}, \quad \alpha = \frac{-2w}{1-3.8w},$$

(compare with Eq. 2 for $w = -1$). As discussed previously, the primary constraint from the CMB data is on the angle subtended today by the light horizon (given for $w = -1$ models by Eq. 2). If $w$ is increased from $-1$ at fixed $\Omega_m, h$, the peaks in the CMB angular power spectrum move to larger angles. To continue to fit the CMB data, we must decrease $\Omega_m h^{3.4}$ to bring $\theta_0$ back to its ‘best-fit’ value. However, the 2dFGRS power spectrum constraint limits $\Omega_m h = 0.20 \pm 0.03$, so to continue to fit both CMB+2dFGRS we must reduce $h$.

The CMB and 2dFGRS datasets alone therefore constrain a combination of $w$ and $h$, but not both separately. The dashed lines in Fig. 6 show likelihood contours for $w$ against $h$ fitting to both the CMB and 2dFGRS power spectra showing this effect. Here, we have marginalized over $\Omega_m$ assuming a uniform prior $0.0 < \Omega_m < 1.3$. An extra constraint on $h$ can be converted into a limit on $w$ if we include the measurement $h = 0.72 \pm 0.08$ from the HST key project (Freedman et al. 2001) we obtain the solid likelihood contours in Fig. 6. The combination of these three data sets then gives $w < -0.52$ (95% confidence); the limit of the range considered, $w = -1.0$, provides the smallest uncertainty. The 95% confidence limit is comparable to the $w < -0.55$ obtained from the supernova Hubble diagram plus flatness (Garnavich et al. 1998). See also Efstathiou (1999), who obtained $w < -0.6$ from a semi-independent analysis combining CMB and supernovae (again assuming flatness).

6 Predicting the CMB Power Spectrum

An interesting aspect of this analysis is that the current CMB data are rather inaccurate for $20 \lesssim \ell \lesssim 100$, and yet the allowed CDM models are strongly constrained. We therefore consider how well this model-dependent determination of the CMB power spectrum is defined, in order to see how easily future data could test the basic CDM+flatness paradigm.

Using our grid of $\sim 2 \times 10^8$ models, we have integrated the CMB+2dFGRS likelihood over the range of parameters presented in Table 3 in order to determine the mean and rms CMB power at each $\ell$. These data are presented in Table 3 at selected $\ell$ values, and the range of spectra is shown by the grey shaded region in the top panel of Fig. 6. A possible tensor component was included in this analysis, although this has a relatively minor effect, increasing the errors slightly (as expected when new parameters are introduced), but hardly affecting the mean values. The predictions are remarkably tight: this is partly because combining the peak-location constraint on $\Omega_m h^{3.4}$ with the 2dFGRS constraint on $\Omega_m h$ gives a better constraint on $\Omega_m h^2$ than the CMB data alone, and this helps to constrain the predicted peak heights.

The bottom panel of Fig. 6 shows the errors on an expanded scale, compared with the cosmic variance limit and the predicted errors for the MAP experiment (Page 2002). This comparison shows that, while MAP will beat our present knowledge of the CMB angular power spectrum for all $\ell \lesssim 800$, this will be particularly apparent around the first peak. As an example of the issues at stake, the scalar-only models predict that the location of the first CMB peak should be at $\ell = 221.8 \pm 2.4$. Significant deviations observed by MAP from such predictions will imply that some aspect of this model (or the data used to constrain it) is wrong. Conversely, if the observations of MAP are consistent with this band, then this will be strong evidence in favour of the model.
Figure 7. Upper panel: the grey shaded region shows our prediction of the CMB angular power spectrum with 1σ errors (see text); points show the data of Table 1. The lower panel shows fractional errors: points are the current data, dashed line is the errors on our prediction, and the three solid lines are expected errors for the MAP experiment (Page 2002) for ∆ℓ = 50 and the 6 month, 2 year and 4 year data (top–bottom). The dotted line shows the expected cosmic variance, again for ∆ℓ = 50, assuming full sky coverage (the MAP errors assume 80% coverage). As can be seen, the present CMB and LSS data provide a strong prediction over the full ℓ-range covered by MAP.

7 SUMMARY AND DISCUSSION

Following recent releases of CMB angular power spectrum measurements from VSA and CBI, we have produced a new compilation of data that estimates the true power spectrum at a number of nodes, assuming that the power spectrum behaves smoothly between the nodes. The best-fit values are not convolved with a window function, although they are not independent. The data and Hessian matrix are available from [http://www.roe.ac.uk/~wjp/CMB](http://www.roe.ac.uk/~wjp/CMB). We have used these data to constrain a uniform grid of ∼ 2 × 10^8 flat cosmological models in 7 parameters jointly with 2dFGRS large scale structure data. By fully marginalizing over the remaining parameters we have obtained constraints on each, for the cases of CMB data alone, and CMB+2dFGRS data. The primary results of this paper are the resulting parameter constraints, particularly the tight constraints on h and the matter density Ω_m: combining the 2dFGRS power spectrum data of Percival et al. (2001) with the CMB data compilation of Section 2, we find h = 0.665 ± 0.047 and Ω_m = 0.313 ± 0.055 (standard rms errors), for scalar-only models, or h = 0.700 ± 0.053 and Ω_m = 0.275 ± 0.050, allowing a possible tensor component.

We have also discussed in detail how these parameter constraints arise. Constraining Ω_tot = 1 does not fully break the geometrical degeneracy present when considering models with varying Ω_tot, and models with CMB power spectra that peak at the same angular position remain difficult to distinguish using CMB data alone. A simple derivation of this degeneracy was presented, and models with constant peak locations were shown to closely follow lines of constant Ω_m h^3.4. We can note a number of interesting phenomenological points from this analysis:

(i) The narrow CMB Ω_m − h likelihood ridge in Fig. 1 derives primarily from the peak locations, therefore it is insensitive to many of the parameters affecting peak heights,
In principle, accurate non-CMB measurements of both $\Omega_m$ and $h$ can give a robust prediction of the peak locations assuming flatness. If the observed peak locations are significantly different, this would give evidence for either non-zero curvature, quintessence with $w \neq -1$ or some more exotic failure of the model. Using the CMB data to constrain the horizon angle, and 2dFGRS data to constrain $\Omega_m h$, there remains a degeneracy between $w$ and $h$. This can be broken by an additional constraint on $h$; using $h = 0.72 \pm 0.08$ from the HST key project (Freedman et al. 2001), we find $w < -0.52$ at 95% confidence. This result is comparable to that found by Efstathiou (1999) who combined the supernovae sample of Perlmutter et al. (1999) with CMB data to find $w < -0.6$.

In Section 9 we considered the constraints that combining the CMB and 2dFGRS data place on the CMB angular power spectrum. This was compared with the predicted errors from the MAP satellite in order to determine where MAP will improve on the present data and provide the strongest constraints on the cosmological model. It will be fascinating to see whether MAP rejects these predictions, thus requiring a more complex cosmological model than the simplest flat CDM-dominated universe.

Finally, we announce the public release of the 2dFGRS power spectrum data and associated covariance matrix determined by Percival et al. (2001). We also provide code for the numerical calculation of the convolved power spectrum and a window matrix for the fast calculation of the convolved power spectrum at the data values. The data are available from either http://www.roe.ac.uk/~wjp/ or from http://www.mao.anu.edu.au/2dFGRS as we have demonstrated, they are a critical resource for constraining cosmological models.

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