

Dynamics of hydrogen atom and proton transfer reactions. Nearly degenerate asymmetric case

V. K. Babamov

Centro de Graduados, Instituto Tecnológico de Tijuana, Apdo Postal 1166, Tijuana, B.C., Mexico

V. Lopez and R. A. Marcus

Noyes Laboratory of Chemical Physics, California Institute of Technology, ^{a)} Pasadena, California 91125

(Received 20 September 1982; accepted 4 November 1982)

A method for approximate treatment of collinear H atom or proton transfer reactions $AH + B \rightarrow A + HB$ which involve two nearly degenerate vibrational states is developed. The method is based on constructing a diabatic representation of the problem, i.e., one in which the reactant and the product vibrational states are distinguished as such throughout the collision. The diabatic representation is constructed with the aid of the adiabatic one discussed in an earlier paper. The treatment can also be applied to symmetric exchange reactions, in which case it yields good agreement with other results.

I. INTRODUCTION

In an earlier paper,¹ henceforth referred to as I, a simplified approximate treatment of symmetric collinear reactions in which an H atom or a proton is transferred between two heavier particles was developed. The treatment was based on the adiabatic near separability of the faster H-atom motion from the slower relative motion of the heavy particles. It was useful to employ polar coordinates: The radial coordinate represents the translational motion of the heavier particles and the angular coordinate corresponds to the vibrational motion of the H atom.

Due to the exact degeneracy of the vibrational motion of the reactants and the products, the adiabatic vibrational states are symmetric and antisymmetric linear combinations of the reactants' and products' vibrational states, which are exactly decoupled by symmetry. As a result, the reactive transition probabilities between states with the same vibrational quantum number can be evaluated approximately within the adiabatic approximation.

There have been some accurate numerical calculations on collinear H-atom transfer reactions since the publication of I which provide an opportunity to test the validity of the approximations used there. The comparisons of the results of the accurate numerical calculations with those of I are presented in Sec. II. A somewhat different approach to the symmetric H-atom exchange, which has the advantage of being more readily extendable to the nonsymmetric case, is also developed and tested in Sec. III.

The transfer of an H atom in an asymmetric reaction^{2,2(c)} is considerably more difficult to treat. Unlike in the symmetric case, the reactant and product states are no longer exactly degenerate and the reaction can occur only as a result of a breakdown of the adiabatic approximation. Consequently, two or more coupled vi-

brational states are generally involved in the dynamics and approximate solutions to a set of coupled equations must be developed.

Reasonably good analytical approximations to the reaction probability over a wide energy range can be developed only in the case when the problem can be reduced to the interaction of only two coupled vibrational states, the initial reactant state and the final product state, namely, when they are nearly degenerate. This is the case which is treated in the remainder of the paper.

The procedure used in the paper to treat the above problem is the following:

- (i) The diagonal elements of the equations in the adiabatic representation are first obtained using the procedure described in I.
- (ii) The diabatic equations are then obtained in an approximate way utilizing the above-mentioned adiabatic ones (a) for the case of strong and moderate coupling and (b) (semiclassically) for the case of very weak coupling (Sec. IV).
- (iii) The transition probability is finally obtained from the coupled diabatic equations using an exponential DWBA approximation.

The reason for step (i) is that the coupled adiabatic equations in terms of polar coordinates constitute a very compact representation^{1,2} of the system. The reason for going through step (ii) is that a simple analytic approximation to the solution of two coupled equations can most readily be obtained in the diabatic representation.

A perturbative (DWBA) method for solving the diabatic equations in the weak coupling limit and a procedure for extending the validity of the results to cases of higher coupling are then used (Sec. V) to obtain the transition probabilities.

Numerical results are presented in Sec. VI.

^{a)}Contribution No. 6726.

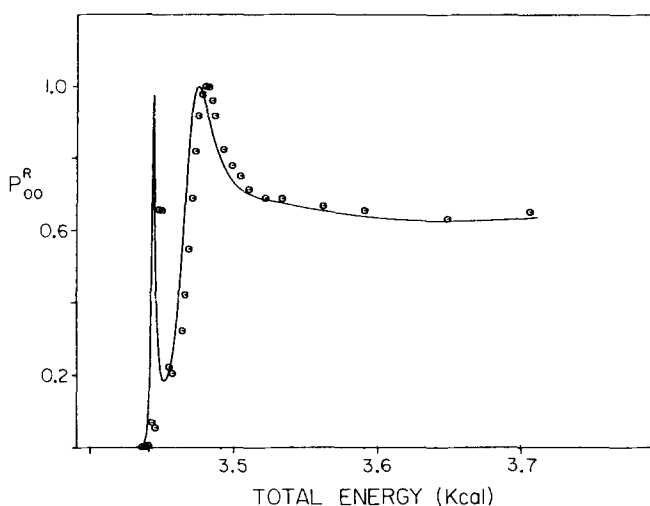


FIG. 1. Ground vibrational state transition probability for the $IH+I \rightarrow I+HI$ reaction. The solid line is the result of Eq. (2.3) and the circles are the accurate numerical results of Ref. 4.

II. SYMMETRIC H-ATOM EXCHANGE. COMPARISON WITH ACCURATE NUMERICAL RESULTS

It was shown in I that the Schrödinger equation for a collinear H-atom transfer collision can be transformed into a set of coupled ordinary differential equations in the adiabatic representation (with $\hbar = 1$ throughout):

$$\left[-\frac{1}{2} \frac{d^2}{d\rho^2} - \left(E - \epsilon_i + \frac{1}{8\rho^2} \right) \right] \phi_i(\rho) + \sum_j \left(-iP_{ij} \frac{d}{d\rho} + \frac{1}{2} Q_{ij} \right) \phi_j(\rho) = 0. \quad (2.1)$$

The symbols are defined in I. It was also shown that for a symmetric system the reactive transition probability, without a change in vibrational quantum number, P_{00}^R can be obtained from the elastic collision phase shifts for the two lowest states of Eq. (2.1). Those states satisfy

$$\left[-\frac{1}{2} \frac{d^2}{d\rho^2} + \epsilon_0^s(\rho) \right] \phi_0^s(\rho) = E \phi_0^s(\rho), \quad (2.2a)$$

$$\left[-\frac{1}{2} \frac{d^2}{d\rho^2} + \epsilon_0^a(\rho) \right] \phi_0^a(\rho) = E \phi_0^a(\rho), \quad (2.2b)$$

which are exactly decoupled by symmetry. P_{00}^R is given by

$$P_{00}^R = |S_{00}^R|^2 = \sin^2(\xi_0^s - \xi_0^a), \quad (2.3)$$

where ξ_0^s and ξ_0^a are the phase shifts for Eqs. (2.2a) and (2.2b), respectively. A term $-1/8\rho^2$ should be present in the brackets in Eq. (2.2). It is negligible and is omitted for notational brevity.

There have been some accurate numerical calculations on collinear H-atom transfer reactions since the publication of I, namely, for the $IH+I$ and $I+MuI$ systems^{2(b),4} which enables us to test the accuracy of the approximation developed in I. Figure 1 shows the comparison of the results of Eq. (2.3) and of the accurate numerical calculation^{2(b)} for the IHI system. It can be seen that if the mass of the end atoms is sufficiently greater than the mass of the center atom, the results are practically

identical with the results of the accurate numerical calculations.

The only difference in the case of the IHI system^{2(b)} is that the P_{00}^R vs energy curve obtained from Eq. (2.3) shifted by $\sim 0.5 \times 10^{-4}$ kcal toward the lower energies in respect to the accurate numerical results.⁵ Such shifts were also discussed in I and are roughly proportional to the ratio of the masses of the end and the middle atom. For the $IMuI$ system, the corresponding shift can be expected to be about an order of magnitude smaller, which is most likely well within the limits of accuracy⁶ of the numerical calculation.⁴

Equation (2.2) is, as confirmed by the agreement in Fig. 1, a very convenient one for evaluating the ground state reaction transition probabilities for a symmetric H-atom exchange reaction.

This treatment, however, can not be directly generalized to an asymmetric system which lacks the symmetry basis for the decoupling. To facilitate the extension to the asymmetric case, another two state approximation, one in the so-called diabatic representation, will be developed and tested in the next section. Since in the two state approximation [Eq. (2.2)], the set of coupled adiabatic equations [Eq. (2.1)] for a symmetric system is exactly solvable, its solution is used to check the accuracy of the alternate diabatic approach, for which it constitutes the starting point.

III. DIABATIC TREATMENT OF THE SYMMETRIC CASE

The equations in the diabatic representation are the equations for the ρ -dependent coefficient in an expansion of the wave function in the form

$$\psi(s;\rho) = \sum_i \psi_i(\rho) \eta_i(s;\rho), \quad (3.1)$$

where $\eta_i(s;\rho)$ are s -dependent vibrational wave functions⁷ with a weak parametric dependence on ρ . They can be written in a matrix form as

$$\left[-\frac{1}{2} \frac{d^2}{d\rho^2} + \mathbf{V}(\rho) \right] \psi(\rho) = E \psi(\rho), \quad (3.2)$$

where $\mathbf{V}(\rho)$ is a Hermitian matrix whose elements V_{ij} are all scalars, i. e., do not contain any derivatives, and $\psi(\rho)$ is a column vector with elements $\psi_i(\rho)$.

A set of coupled equations in the adiabatic representation can, in general,⁸ be transformed into the diabatic representation by finding a transformation matrix \mathbf{T} which acts on the solution wave function vector ϕ .

$$\psi = \mathbf{T} \phi. \quad (3.3)$$

\mathbf{T} is given by the solution of the differential equation:

$$p\mathbf{T} = \mathbf{P}\mathbf{T}, \quad (3.4)$$

where p is the momentum operator $p = -i(d/d\rho)$, and \mathbf{P} is the kinetic coupling matrix with elements P_{ij} in the set of coupled equations in the adiabatic representation. The appropriate boundary condition for the equation in the diabatic representation is that ψ reduces to the prop-

erly separable asymptotic translational wave function at large ρ .

In the particular case of Eq. (2.2), the coupling matrix \mathbf{P} in Eq. (2.2) vanishes because of symmetry. The solution of Eq. (3.4), namely $\mathbf{T} = \text{const}$, is then trivial, the value of the constant matrix \mathbf{T} being

$$\mathbf{T} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}, \quad (3.5)$$

since at large ρ , ϕ^s and ϕ^a are symmetric and antisymmetric linear combinations of the proper asymptotic states. The transformation (3.3), with \mathbf{T} given by Eq. (3.5), transforms Eq. (2.2) into the form (3.2), namely:

$$\left[-\frac{1}{2} \frac{d^2}{d\rho^2} + V_{11}(\rho) - E \right] \psi_1(\rho) = -V_{12} \psi_2(\rho), \quad (3.6)$$

$$\left[-\frac{1}{2} \frac{d^2}{d\rho^2} + V_{22}(\rho) - E \right] \psi_2(\rho) = -V_{21} \psi_1(\rho),$$

where

$$V_{11} = V_{22} = \epsilon^+; \quad \epsilon^+ = \frac{1}{2}(\epsilon_1 + \epsilon_2), \quad (3.7a)$$

$$V_{12} = V_{21} = \epsilon^-; \quad \epsilon^- = \frac{1}{2}(\epsilon_2 - \epsilon_1). \quad (3.7b)$$

Solving the coupled equations (3.6) numerically would, of course, give the same results already given by Eq. (2.3). Equation (3.6) can also be solved perturbationally yielding what is usually known as the DWBA⁹ solution for the transition matrix element:

$$T_{12}^0 = \int_0^\infty \psi_1^0(\rho) V_{12}(\rho) \psi_2^0(\rho) d\rho, \quad (3.8)$$

where $\psi_i^0(\rho)$ are the solutions of the analogs Eq. (3.6) with the right-hand side, i.e., the coupling V_{12} set to zero. Linearizing the V_{ii} and the exponent of V_{12} ($= -\ln V_{12}$) around the turning point, one can evaluate the integral (3.8) analytically to yield (cf. Sec. V):

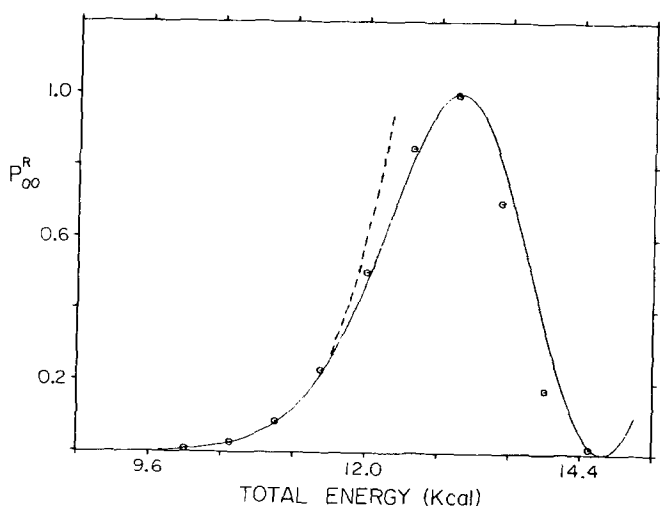


FIG. 2. Ground vibrational state transition probability for the model (Ref. 12) surface I. The solid line is the exact solution of Eq. (2.2) given by Eq. (2.3), and the circles are the result of the present exponential DWBA approximation [Eq. (3.10)]. The dotted line is the DWBA without the exponential approximation.

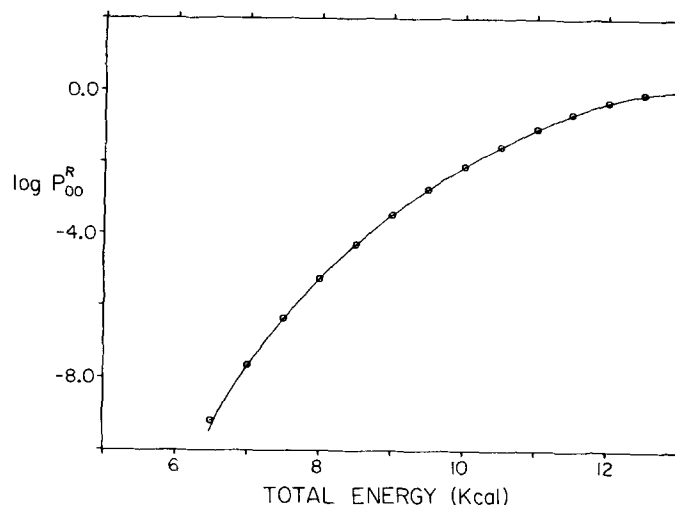


FIG. 3. The logarithm of the transition probability vs energy for surface I. The solid line is the result of Eq. (2.3) and the circles are the present result [Eq. (3.10)].

$$|S_{12}|^{1/2} = 2\pi T_{12}^0 = \frac{2\pi V_{12}^0}{(2\pi\alpha F)^{1/2}} \exp(\alpha^3/24F). \quad (3.9)$$

Equation (3.9) differs from the semiclassical result (5.11) in I only by the exponential factor in Eq. (3.9), which is in most cases very close to unity. A more accurate, albeit more complicated, analytic approximation to Eq. (3.8) than Eq. (3.9) also exists.¹⁰ For the present purposes, however, the simpler expression (3.9) is sufficiently accurate.

The perturbative DWBA expression [Eq. (3.8)] is valid only in the weak coupling case ($\psi \approx \psi_1^0$, $|T_{12}|^2 \ll 1$). It can, however, be readily extended to the case of higher coupling (i.e., higher transition probabilities) by introducing in an *ad hoc* way the sinusoidal dependence of the transition probability

$$P_{00}^R = \sin^2(2\pi T_{12}^0), \quad (3.10)$$

known as the exponential DWBA approximation.¹¹

The transition probability vs energy obtained from Eqs. (3.9) and (3.10) is shown in Fig. 2, together with the exact solution of Eq. (2.2) given by Eq. (2.3). The logarithm of the transition probability vs energy in the tunneling region, obtained from Eqs. (3.10) and (2.3), is shown in Fig. 3. The surface used is a LEPS surface with the asymptotic parameters being those of the $\text{H} + \text{I} \rightarrow \text{I} + \text{HI}$ reaction.¹² Its potential energy contour plot is shown in Fig. 4.

It is seen from Figs. 2 and 3 that the exponential DWBA approximation to the result of the coupled equations in the diabatic representation yields for the symmetric case a result in satisfactory agreement with the exact result [Eq. (2.3)]. The exponential DWBA approximation to the solution of a pair of coupled equations can, therefore, be assumed to give a good approximation to their solution.

In the next section, a procedure for obtaining a pair of coupled equations in the diabatic representation for

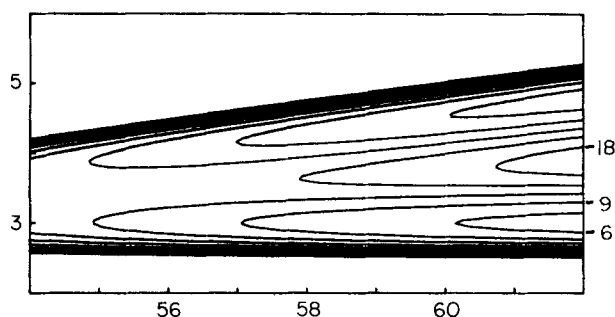


FIG. 4. Contour plot for the surface I (Ref. 12). The contour energies are in kcal mol⁻¹.

a nearly degenerate asymmetric H-atom exchange problem is developed.

IV. THE NEARLY DEGENERATE H-ATOM TRANSFER. GENERATING THE DIABATIC REPRESENTATION

A. Moderate to strong coupling

We consider the case where two of the adiabatic diagonal terms in Eq. (2.1), one of which asymptotically corresponds to a reactant state and the other to a product state, are nearly degenerate at large values of ρ . The nature of the interaction between such two states is closely related to that between two states which have the same quantum number in a symmetric system. For the nearly degenerate case, the following closely related approximate treatment is developed.

First, the adiabatic eigenvalues are calculated, either quantum mechanically as described in I, or if one prefers less computation at a slight loss of accuracy, from a semiclassical treatment of a double minimum potential.¹³

The most important simplification arises from the fact that the two nearly degenerate states interact much more strongly with each other than with the other states of the system, and the interaction with the other states can be neglected. The problem can hence be reduced to interaction of two states, a case particularly amenable to an approximate solution.

Upon neglecting all but the two nearly degenerate states, Eq. (2.1) reduces to

$$\begin{aligned} \left[-\frac{1}{2} \frac{d^2}{d\rho^2} + \epsilon_1 - \frac{1}{8\rho^2} - E + Q_{11} \right] \phi_1(\rho) &= iP_{12} \frac{d}{d\rho} \phi_2(\rho), \\ \left[-\frac{1}{2} \frac{d^2}{d\rho^2} + \epsilon_2 - \frac{1}{8\rho^2} - E + Q_{22} \right] \phi_2(\rho) &= iP_{21} \frac{d}{d\rho} \phi_1(\rho). \end{aligned} \quad (4.1)$$

An example for which such near-degeneracy occurs is the ClH + Br - Cl + HBr reaction.¹² A contour plot for a model LEPS surface with the asymptotic parameters chosen so as to fit the above reaction is shown on Fig. 5. The diagonal elements of Eq. (4.1) for this surface are shown in Fig. 6 with the full lines.

The usual method for transforming from an adiabatic to a diabatic representation by diagonalizing the kinetic energy matrix can generally not be used when there is

a transfer of a particle involved, except in the somewhat trivial case of Eq. (2.2) where the kinetic coupling matrix vanishes. The reason is that a part of the parametric dependence of the basis set $\chi(s; \rho)$, and consequently, of the kinetic coupling, is an artifact of the way in which the $\chi(s; \rho)$ are defined and does not correspond to an actual interaction of the underlying diabatic states. This problem appears frequently in electron transfer reactions and is known as the translation factor problem.^{14,15} Another way of determining the diabatic matrix elements V_{11} , V_{22} , and V_{12} which does not make use of the nonadiabatic coupling element needs to be devised.

The diagonalization condition for a two state diabatic potential energy matrix can be written as

$$\epsilon_{1,2} = \frac{1}{2}(V_{11} + V_{22}) \pm \sqrt{\frac{1}{4}(V_{22} - V_{11})^2 + V_{12}^2} \quad (4.2)$$

(ϵ_1 corresponds to the plus sign and ϵ_2 to the minus), or, in a form more convenient for our purposes in terms of ϵ^* and V^* , as

$$\epsilon^* = \frac{1}{2}(\epsilon_1 \pm \epsilon_2); \quad V^* = \frac{1}{2}(V_{11} \pm V_{22}). \quad (4.3)$$

One finds from Eq. (4.2) that

$$V^+ = \epsilon^+ \quad (4.4)$$

and

$$V_{12}^2 = (\epsilon^-)^2 - (V^-)^2. \quad (4.5)$$

That is, the sum of the two diabatic diagonal terms equals the sum of the adiabatic ones in the two state approximation. However, in order to obtain the diabatic interaction matrix element V_{12} [Eq. (4.5)], as well as the individual diagonal diabatic elements V_{ii} [Eq. (4.3)], the difference between the diagonal diabatic matrix element for the two states involved must be known.

It can be assumed on physical grounds that the diabatic vibrational states, which in general can be identified with a particular configuration throughout the collision, are associated with one of the wells of the double well potential (Fig. 7). Eigenstates localized in a single well can be defined in a multitude of ways: These eigenvalues will generally be somewhat sensitive to the choice and cannot be readily identified with the diabatic states' eigenvalues. The difference between the single well eigenvalues is substantially less sensitive to that choice and will be assumed to approximate fairly closely the

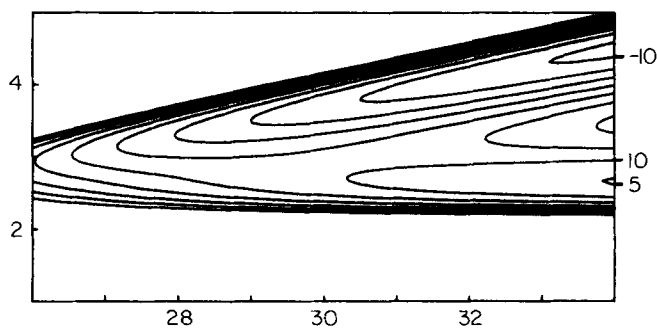


FIG. 5. Contour plot of the surface II [Refs. 2(c) and 18]. The contour energies are in kcal mol⁻¹.

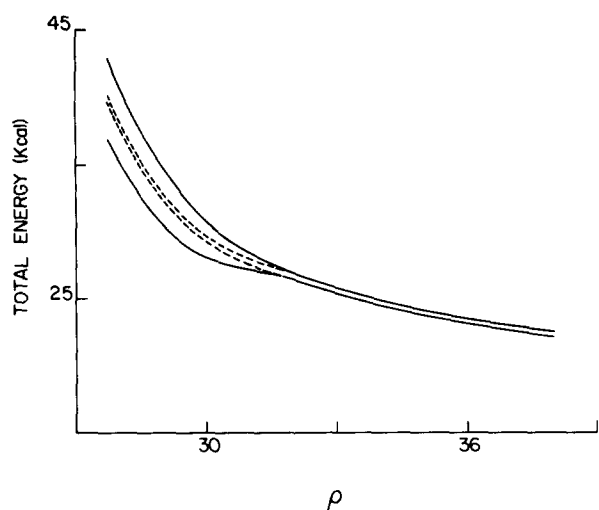


FIG. 6. Diagonal adiabatic (full line) and diabatic (dashed line) matrix elements for model surface II (ClH+Br \rightarrow Cl+HBr).

difference between the diabatic eigenvalues. Accordingly, we make the approximation

$$V^- \cong \frac{1}{2}(E_2^0 - E_1^0), \quad (4.6)$$

where E_1^0 and E_2^0 are suitably defined single-well eigenvalues. Both the diagonal and the off-diagonal elements of the diabatic potential energy matrix can then be evaluated using Eqs. (4.3)–(4.6).

The single well eigenvalues E_1^0 and E_2^0 in Eq. (3.7), which now define V_{11} , V_{22} , and V_{12} via Eqs. (4.3)–(4.6) are strictly defined only if the E_i^0 lie below the barrier top, which seems to limit the present treatment to tunneling energies. However, the V^- in Eq. (3.7) is a very weak function of ρ , which makes it possible to simply extrapolate V^- and thus determine V_{11} and V_{22} at smaller values of ρ , where E_1^0 and E_2^0 are difficult to determine directly. This extrapolation enables one to extend the treatment to energies throughout one full cycle of increasing and decreasing P_{ij}^R in the transition probability P_{ij}^R vs energy curve.

The resulting V_{11} and V_{22} vs ρ for surface II is shown in Fig. 6 with the dashed line. $\log V_{12}$ vs ρ is shown in Fig. 8. The right end of the curve for $\log V_{12}$ denotes the limit of the reliability of the small numbers V_{12} obtained as a difference between two much larger numbers, [Eq. (4.5)]. A much more reliable treatment for this region of ρ 's (large ρ 's), based on the semiclassical treatment of a double minimum potential, is given next.

B. Weak coupling, semiclassical evaluation of V_{12}

The interaction matrix element V_{12} is evaluated in Eq. (4.5) from the difference between $(\epsilon^-)^2$ and $(V^-)^2$, which in turn are given in terms of the differences between the two adiabatic and the differences between the two diabatic levels, respectively [Eq. (4.3)]. In cases when the barrier between the two wells is very large,

i. e., V_{12} is very small, this evaluation of a small number as a difference of two large ones can, and does lead to a serious loss of accuracy. Another more direct approach to evaluating V_{12} which circumvents the above problem in the low V_{12} limit is next obtained from the semiclassical treatment of the eigenvalues of a double minimum potential.

The eigenvalues of a double minimum potential which lie below the barrier top can be obtained semiclassically by finding the energies E_1 or E_2 at which the semiclassical double well eigenvalue condition (4.7) is satisfied^{13,16,17}:

$$\tan\left[\alpha_1(E) - \frac{\pi}{2} + \frac{\phi}{2}\right] \tan\left[\alpha_2(E) - \frac{\pi}{2} + \frac{\phi}{2}\right] = \Gamma^2(E). \quad (4.7)$$

The symbols in Eq. (4.7) are given by

$$\Gamma^2(E) = \frac{\exp[-2Q(E)]}{1 + \sqrt{1 + \exp[-2Q(E)]}}, \quad (4.8)$$

$$Q(E) = \int_{s_2}^{s_3} |p(E)| ds, \quad \alpha_1(E) = \int_{s_1}^{s_2} p(E) ds,$$

$$\alpha_2(E) = \int_{s_3}^{s_4} p(E) ds, \quad (4.9)$$

$$\phi(E) = \frac{Q}{\pi} + \arg \Gamma\left(\frac{1}{2} + i \frac{Q}{\pi}\right) - \frac{Q}{\pi} \ln \left| \frac{Q}{\pi} \right|, \quad (4.10)$$

where $\hbar = 1$ throughout and

$$p_i = \{2[E_i - V(s; \rho)]\}^{1/2}, \quad (4.11)$$

and the turning points s_i in Eqs. (4.9) and (4.10) are marked on the cut through the surface at $\rho = \text{const}$, shown in Fig. 7.

On the other hand, if the wells are considered separately, one can define distorted single well eigenvalues influenced by the presence of the barrier, but for which $\Gamma(E)$ in Eq. (4.7) is assumed, purely for this definition to be zero, i. e.,

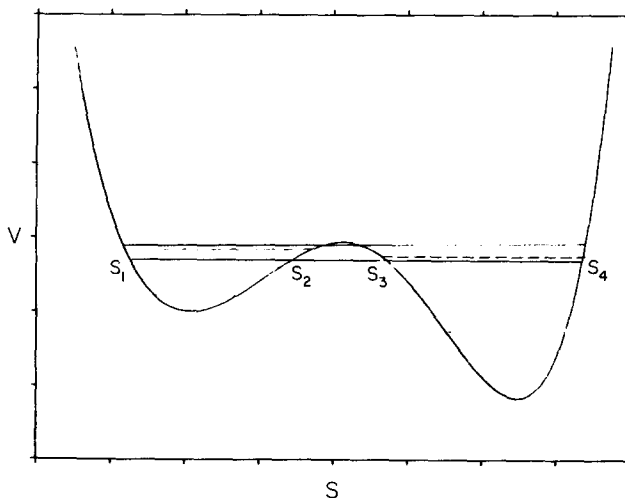


FIG. 7. A schematic cut through model surface II at $\rho = \text{const}$. The solid horizontal lines show the adiabatic levels and the dashed ones the diabatic levels. The s_i in the figure are the integration limits for Eqs. (4.9) and (4.10) for $E = E_1$.

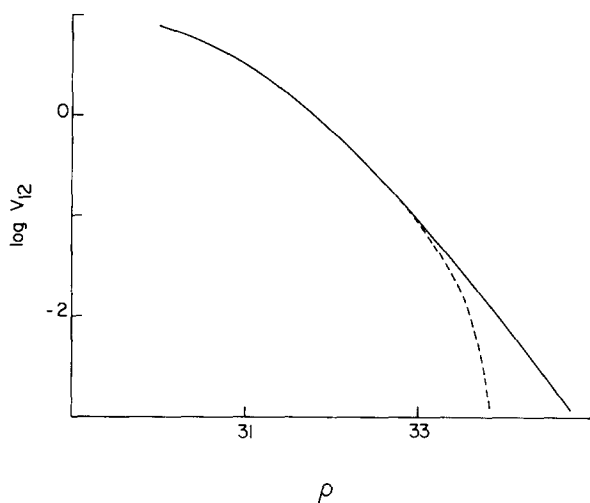


FIG. 8. The $\log V_{12}$ vs ρ for model surface II. The dashed part of the curve corresponds to the region where Eq. (4.5) becomes unreliable ($\text{ClH} + \text{Br} \rightarrow \text{Cl} + \text{HBr}$).

$$\alpha_i(E_i^0) = \frac{1}{2}(2n_i + 1)\pi + \frac{1}{2}\phi, \quad (4.12)$$

where n_i is the vibrational quantum number.

Since one expects that each of the two E_i would be close to the E_i^0 , one can simplify Eq. (4.7) by expanding it around one of these E_i^0 's. One obtains

$$\left(\frac{d\alpha_1}{dE}\right)(E_1 - E_1^0) \tan\left[\alpha_2(E_1) - \frac{\pi}{2} + \frac{\phi}{2}\right] = \Gamma^2(E_1). \quad (4.13)$$

Keeping in mind that the E_i are nearly degenerate, one can further simplify Eq. (4.13) by expanding the tangent about E_2^0 to get the shift due to the presence of the other well. Thereby, we have

$$E_1 - E_1^0 = \frac{\nu_1 \nu_2}{E_1 - E_2^0} 4 \Gamma^2(E_1), \quad (4.14)$$

where ν_i is the local frequency, or (apart from a factor of 2π) the reciprocal density of states, given by

$$\nu_i = \frac{1}{2\pi} \frac{dE_i^0}{dn_i} = \frac{1}{2} \left(\frac{d\alpha_i}{dE_i}\right)^{-1}. \quad (4.15)$$

Similarly,

$$E_2 - E_2^0 = \frac{4\nu_1 \nu_2}{E_2 - E_1^0} \Gamma^2(E_2). \quad (4.16)$$

Subtracting the two level shifts for the eigenvalues for the left and right well, i. e., subtracting Eq. (4.14) from Eq. (4.16), and approximating $E_2 - E_1^0$ and $-(E_1 - E_2^0)$ by $\Delta E + \Delta E^0$ [prompted by the consequences of Eqs. (4.2) and (4.6)] one gets:

$$\Delta E - \Delta E^0 = \frac{4\nu_1 \nu_2}{\Delta E + \Delta E^0} \left(\frac{\Gamma_1^2 + \Gamma_2^2}{2}\right), \quad (4.17)$$

where

$$\Delta E = \frac{1}{2}(E_2 - E_1), \quad \Delta E^0 = \frac{1}{2}(E_2^0 - E_1^0),$$

$$\Gamma_1 \equiv \Gamma(E_1), \quad \Gamma_2 \equiv \Gamma(E_2). \quad (4.18)$$

Identifying the "half-difference" of the two double well

eigenvalues ΔE in Eq. (4.5) with the half-difference of the adiabatic eigenvalues ϵ^- and the half-difference of the distorted single well eigenvalues ΔE^0 with that of the diabatic eigenvalues V^- , rewriting Eq. (4.5) as

$$\Delta E - \Delta E^0 = \frac{V_{12}^2}{\Delta E + \Delta E^0} \quad (4.19)$$

and, comparing Eqs. (4.17) and (4.19), one obtains

$$V_{12}^2 = 2\nu_1 \nu_2 (\Gamma_1^2 + \Gamma_2^2), \quad (4.20)$$

which in the limit of a symmetric system ($\nu_1 = \nu_2 = \nu$, $\Gamma_1 = \Gamma_2 = \Gamma$) becomes the usual degenerate level splitting result:

$$V_{12} = 2\nu\Gamma. \quad (4.21)$$

Equation (4.20) is the desired equation for calculating V_{12}^2 in this small coupling limit. The principal condition for the validity of Eq. (4.20) is the near degeneracy of the E_i , i. e., that the spacing of E_2 or E_1 from any other level in the system is much larger than $E_2 - E_1$, which is the basis of the two state approximation.

V. EVALUATION OF THE TRANSITION PROBABILITIES

Once an approximation to the diabatic matrix elements V_{ii} has been obtained, one can, in principle, solve for the uncoupled analogs of Eq. (3.6),

$$-\frac{1}{2} \frac{d^2}{d\rho^2} \psi_i^0(\rho) = [E - V_{ii}(\rho)] \psi_i^0(\rho), \quad (5.1)$$

in order to obtain the transition probability via Eq. (3.8).

If $V_{ii}(\rho)$ in Eq. (5.1) has no multiplet turning points, a good approximation to the solution for the purpose of using it in Eq. (3.8) can be obtained by solving the equivalent of Eq. (5.1) for a potential linearized around the turning point

$$E - V_{ii}(\rho) \cong F(\rho - \rho_i), \quad (5.2)$$

where $F = (dV_{ii}/d\rho)$ evaluated at $\rho = \rho_i$ ($i = 1, 2$), and ρ_i are the classical turning points. Writing V_{12} as $\exp[-v(\rho)]$ and expanding $v(\rho)$ around the outer turning point, one obtains the integral (3.8) in a form which can be evaluated analytically:

$$T_{12}^0 = \frac{2V_{12}^0}{\beta} \int_{-\infty}^{\infty} \text{Ai}[-\beta(\rho - \rho_1)] \times \text{Ai}[-\beta(\rho - \rho_2)] \exp[-\alpha(\rho - \rho_1)] d\rho, \quad (5.3)$$

where $\beta = (2F)^{1/3}$, $\alpha = (dv/d\rho)_{\rho=\rho_1}$ and $V_{12}^0 = \exp[-v(\rho_1)]$.

Using the integral form for the Airy functions, Eq. (5.3) transforms into

$$T_{12}^0 = \frac{V_{12}^0}{2\pi^2\beta} \int_{-\infty}^{\infty} dx \int_{-\infty}^{\infty} dy \exp[(i/3)(x^3 + y^3) - i\beta\delta y] \times \int_{-\infty}^{\infty} d\rho \exp\{-i[\beta(x+y) - i\alpha\rho]\}, \quad (5.4)$$

where δ is $\rho_2 - \rho_1$. After some algebra, one gets

$$T_{12}^0 = \left[\frac{V_{12}}{(2\pi\alpha F)^{1/2}} \exp\left(\frac{\alpha^3}{24F}\right) \right] \exp\left\{-\frac{\Delta^2}{2\alpha F}\right\}, \quad (5.5)$$

where \bar{V}_{12} and Δ are the values of $V_{12}(\rho)$ and $[V_{22}(\rho) - V_{11}(\rho)]$ halfway between the turning points. Equation (5.5) differs from its counterpart for the symmetric case Eq. (3.9) by the exponent outside the square bracket.

The DWBA result, Eq. (5.5), could also be replaced, in analogy with Eq. (3.10), with an "exponential" approximation to the probability P^R of the transition:

$$P^R = \sin^2(2\pi T_{12}^0). \quad (5.6)$$

This equation predicts a transition probability as high as unity. Numerical results of Abu Salbi and Kouri (to be published), however, make clear the shortcomings of this resonant type equation.

Improved extensions of the DWBA results (Eq. 5.5) to higher energies, which take into account the lack of exact resonance, as well as direct approximate solutions^{19,20} to the two-state problem posed by Eq. (4.1), will be discussed elsewhere.

VI. NUMERICAL RESULTS

The calculations for the nearly degenerate H-atom transfer were made on a model LEPS potential energy surface which has the asymptotic parameters for the $\text{ClH} + \text{Br} \rightarrow \text{Cl} + \text{HBr}$ reaction. The Sato parameters were set to: 0.02, 0.02, and 0.0 in order to obtain a surface with a barrier height of $\sim 12 \text{ kcal mol}^{-1}$.^{2(c),18}

The adiabatic eigenvalues ϵ_i used to obtain V^+ via Eq. (4.4) were calculated using the finite element method²¹ used in I. The one-well eigenvalues used to obtain V^- via Eq. (4.6) were obtained (a) from potentials such that the potential was set to a constant equal to the barrier top energy from the barrier top into the other well, using the same method, and (b) from E_i^0 's obtained semiclassically using Eq. (4.12). The V^- was used only for values of ρ for which the results of (a) and (b) were in agreement.

V_{12} was calculated from Eqs. (4.5) and (4.20), respectively, in the regions of ρ noted in Fig. 8.

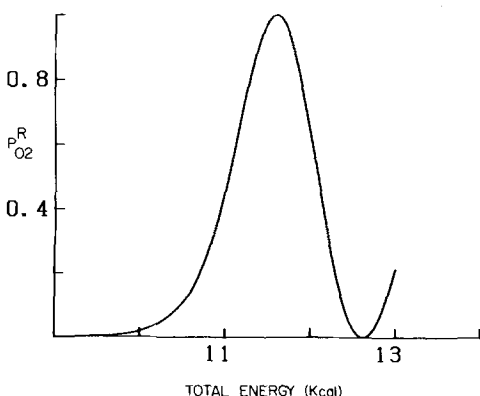


FIG. 9. The transition probability vs energy [Eq. (5.5)] for the model surface II ($\text{ClH} + \text{Br} \rightarrow \text{Cl} + \text{HBr}$).

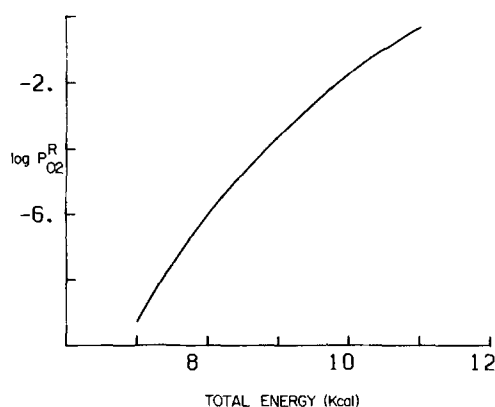


FIG. 10. The log of the transition probability for surface II ($\text{ClH} + \text{Br} \rightarrow \text{Cl} + \text{HBr}$) in the tunneling region.

For surface II the nearly degenerate transition is a (0-2) one. The transition probability P_{02}^R was evaluated using Eq. (5.6) and is given in Fig. 9 as a function of the energy. The log of the transition probability P_{02}^R vs energy in the tunneling region is given in Fig. 10. At present there are no exact results with which to compare these results of the present equations, for the surface used in Figs. 9 and 10.

ACKNOWLEDGMENTS

We are pleased to acknowledge the support of this research by a contract with the Office of Naval Research, and (to V. L.) a Fulbright/Spanish Ministry of Education and Science Fellowship.

¹V. K. Babamov and R. A. Marcus, *J. Chem. Phys.* **74**, 1790 (1981).

²(a) A. Kuppermann, J. A. Kaye, and J. P. Dwyer, *Chem. Phys. Lett.* **74**, 257 (1980); (b) J. A. Kaye and A. Kuppermann, *ibid.* **77**, 573 (1981); (c) **92**, 574 (1982).

³E. J. Shipsey, *J. Chem. Phys.* **58**, 232 (1973); E. A. Pshenichnov and N. D. Sokolov, *Dokl. Akad. Nauk SSSR [Sov. Phys. Dokl.]* **159**, 179 (1974); M. Ya. Ovchinnikova, *Chem. Phys.* **36**, 85 (1979).

⁴J. Manz and J. Rommelt, *Chem. Phys. Lett.* **81**, 179 (1981).

⁵The energy of the first peak for the result of the adiabatic model of Ref. 1 (4.7 meV translational energy) and the energy of the second peak for the accurate numerical results (6.08 meV) were listed in Table II of Ref. 3 next to each other implying a somewhat larger shift ($\sim 3 \cdot 10^{-3}$ kcal). We have checked with the authors of Ref. 3 and confirmed the lower shift of $0.5 \text{ kcal mol}^{-1}$ reported here.

⁶We were only able to make a comparison of this precision with Ref. 3 by using the eigenvalues obtained in Ref. 3. Even in the IHI case two calculations from different laboratories are likely to differ more than the shift observed, due to slight differences in the masses, physical constants or numerical techniques used.

⁷The $\eta(s; \rho)$ are a set of orthogonal vibrational states which retain the configuration of either the reactants or the products and at large ρ reduce to either the reactants' or the products' asymptotic states.

⁸F. T. Smith, *Phys. Rev.* **179**, 111 (1969); V. K. Babamov, *J. Chem. Phys.* **69**, 3414 (1979).

- ⁹For example, M. S. Child, *Molecular Collision Theory* (Academic, New York, 1974).
- ¹⁰T. Uzer and M. S. Child, *Mol. Phys.* **41**, 1177 (1980).
- ¹¹R. D. Levine, *Mol. Phys.* **22**, 497 (1971).
- ¹²(a) M. D. Pattengill and J. C. Polanyi, *Chem. Phys.* **3**, 1 (1974); (b) The parameters are also the same as those of Ref. 3, except for the Sato parameter which is equal to zero.
- ¹³J. N. L. Connor, *Chem. Phys. Lett.* **4**, 419 (1969).
- ¹⁴D. R. Bates and R. McCarrol, *Proc. R. Soc. London A* **245**, 175 (1958); A. Riera and A. Salin, *J. Phys. B* **9**, 2977 (1976).
- ¹⁵W. R. Thorson and J. B. Delos, *Phys. Rev. A* **18**, 135 (1978); L. F. Errea, L. Mendez, and A. Riera, *J. Phys. B* **15**, 101 (1982).
- ¹⁶N. Froman, *Ark. Fys.* **32**, 79 (1966).
- ¹⁷W. H. Miller, *J. Phys. Chem.* **83**, 960 (1979).
- ¹⁸The Sato parameter was reduced, to obtain a higher barrier on the surface and make the V_{ii} in Eq. (5.1) monotonic functions of ρ . If the V_{ii} have wells, which is the case for H-atom transfer reactions with very low barriers, one must evaluate the integral (3.8) numerically.
- ¹⁹Y. N. Demkov, *Sov. Phys. JETP* **18**, 138 (1964).
- ²⁰D. S. F. Crothers, *Adv. Phys.* **20**, 405 (1971).
- ²¹D. J. Malik, J. Eccles, and D. Secrest, *J. Comput. Phys.* **38**, 157 (1980).