

The awakening beast in the Seyfert 1 Galaxy KUG 1141+371 – I

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ABSTRACT

KUG 1141+371 is a Seyfert 1 galaxy that shows a simultaneous flux increase in the optical and UV bands in the past decade. For instance, the latest *Swift* observation in 2019 shows that the UVW2 flux of the active galactic nucleus in KUG 1141+371 has increased by over one order of magnitude since 2009. Meanwhile, the soft X-ray flux of KUG 1141+371 also shows a steady increase by one order of magnitude since 2007. The significant multiwavelength luminosity change is likely due to a boost of mass accretion rate from approximately 0.6 per cent of the Eddington limit to 3.2 per cent, assuming a black hole mass of $10^8 M_{\odot}$. In this work, we conduct detailed multi-epoch X-ray spectral analysis focusing on the variability of the X-ray continuum emission and the puzzling soft excess emission. In addition, our SED models also suggest a simultaneous increase of disc temperature and a decreasing inner disc radius along with the increasing accretion rate. Finally, we discuss possible connection between KUG 1141+371 and black hole transients in outburst.

Key words: accretion, accretion discs – black hole physics – galaxies: Seyfert – X-rays: galaxies.

1 INTRODUCTION

The nuclei in Seyfert galaxies (Sys; Seyfert 1943) are very strong and variable emitters. The optical variability of most sources is consistent with small stochastic variability (e.g. Kelly, Bechtold & Siemiginowska 2009; MacLeod et al. 2010). Such variability might be related to the reprocessing of rapidly changing X-ray emission from a more compact region near the central black hole (BH, e.g. Clavel et al. 1992; Uttley et al. 2003; Buisson et al. 2017; Gallo et al. 2018). However, more significant magnitude change is often seen in some flaring or dimming Sys (e.g. Khachikyan & Weedman 1971; Tohline & Osterbrock 1976; Penston & Perez 1984; Cohen et al. 1986), which cannot be explained by reprocessing. Their optical emission is found to change significantly within a much shorter time interval than the viscous time-scales given by the standard thin disc model, e.g. approximately 10^5 yr for $R = 100 r_g$ around a $10^8 M_{\odot}$ BH. Some recent studies suggest that the optical continuum emission may originate from even larger radii (e.g. Shappee et al. 2014; Troyer

et al. 2016), corresponding to even longer time-scales. Some of these peculiar Sys also switch look between Sy1 and Sy2 by presenting simultaneous appearance or disappearance of broad optical permitted lines along with magnitude changes (e.g. Runnoe et al. 2016).

Two scenarios have been proposed to explain these rapidly changing Sys: a variable line-of-sight column density due to the clumpiness of the torus and a sudden change in the mass accretion rate. In the former case, our line of sight towards the source may intercept with the edge of the torus. A rapid change in the observed magnitude can happen when a moving clump comes across the line of sight (e.g. Goodrich 1989; Leighly et al. 2015). The ‘hide-and-seek’ of the broad emission lines can also be explained by such variable obscuration. In the latter case, a sudden change of the mass accretion rate in the disc may form or disrupt the broad line region. The broad permitted lines are photoionized by the continuum emission. Below a certain critical accretion rate/luminosity, no broad line regions can be formed, and thus the source shows disappearance of broad lines along with a dimming continuum emission, or vice versa (e.g. Runnoe et al. 2016; Marin 2017).

Optical polarization is one of the most efficient ways to disentangle the two scenarios mentioned above. The presence of broad emission

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lines in the polarized optical spectra of many Sy2s suggest the existence of a broad line region in Sy2s as in Sy1s (e.g. Moran et al. 2000). If a high level of polarization is still shown in a dimming Sy, the broad line region might still exist (e.g. Marin 2017). Radiation from the active galactic nucleus (AGN) is scattered on the inner edge of the torus, and a large polarization degree may be detected. The observed magnitude change is therefore due to variable obscuration along the line of sight. On the contrary, a rapid decrease of accretion rate may be responsible for the disappearance of the broad line region if the exhibited polarization is low in the optical band (e.g. Runnoe et al. 2016; Hutsemékers et al. 2017). The other approach of studying these unusual AGNs is to search for evidence of simultaneous X-ray variability. X-rays are less affected by photoelectric absorption in neutral material than longer wavelengths. Therefore, X-ray spectra analysis will enable us to identify any variable line-of-sight obscuration (e.g. Ricci et al. 2016) or any changes in the intrinsic AGN spectrum due to a varying accretion rate (e.g. soft excess; Noda & Done 2018).

In this paper, we focus on the long-term multiwavelength variability of an AGN called KUG 1141+371 (KUG 1141 hereafter), which is poorly studied in the X-ray band. KUG 1141 ($z = 0.038$) is a Seyfert 1 galaxy (Runco et al. 2016) with no evidence for nearby galaxy companions (Koss et al. 2012). Oh et al. (2015) studied the optical H α emission line of KUG 1141 and estimated the mass of the central SMBH to be around $\log(M_{\text{BH}}/M_{\odot}) = 7.99 \pm 0.06$ (statistical error) by following the method in Greene & Ho (2005). No obvious radio emission was found from KUG 1141 in the Very Large Array (VLA) FIRST Survey (Wadadekar 2004), indicating a radio-quiet nature of this source.

In Section 2, we introduce the data reduction process. In Section 3, we give an overview of the long-term flux increase in KUG 1141 in multiple wavelengths. In Section 4, we conduct detailed X-ray spectral analysis. In Section 5, we build SED models for KUG 1141. In Section 6, we discuss our results. In Appendix A, we estimate the uncertainty of the AGN flux of KUG 1141 in the optical band. In Appendix B, additional information of our X-ray spectral analysis is shown. In Appendix C, short-term light curves of KUG 1141 obtained by *XMM-Newton* and *NuSTAR* are presented.

2 DATA REDUCTION

A list of X-ray observations of KUG 1141 in the archive is shown in Table 1. They are numbered by dates. There is only one *XMM-Newton* observation (obs 5) and one *NuSTAR* observation (obs 12) in the archive for KUG 1141. A simultaneous *Swift* snapshot observation is analysed together with the *NuSTAR* observation.

2.1 *XMM-Newton*

2.1.1 EPIC

We reduce *XMM-Newton* data using the *XMM-Newton* Science Analysis Software (SAS, v.18.0.0) and calibration files (CCF, v.20190513). The cleaned EPIC calibrated event lists are generated by using EMPROC and EPPROC for EPIC-MOS and EPIC-pn data, respectively. We filter out the intervals that are dominated by flaring particle background. These high background intervals are defined as the periods when the single event count rate > 10 keV is higher than 0.35 cts s^{-1} for EPIC-MOS observations, and the single event count rate in the 10–12 keV band is higher than 0.4 cts s^{-1} for the EPIC-pn observation. We then extract EPIC-MOS and EPIC-pn spectra by selecting single and double events from a circular region with

Table 1. Observation details of KUG 1141.

No.	Mission ^a	Obs ID	Date ^b	XRT/EPIC/FPM (ks)	UVOT/OM (ks)
1	SW	00037136002	07-10-17	7.6	0
2	SW	00037136003	07-10-19	8.9	0
3	SW	00037136004	07-10-21	7.1	0
4	SW	00037565001	09-02-21	1.5	1.4
5	<i>XMM</i>	0601780501	09-05-23	2 (7, 7) ^c	16
6	SW	00037565002	12-10-10	1.6	1.6
7	SW	00037565003	12-10-11	1.6	1.6
8	SW	00091632001	13-10-10	2.7	2.6
9	SW	00091632002	13-10-11	2.5	2.4
10	SW	00037565005	14-10-10	1.7	1.7
11	SW	00093060002	17-11-19	1.6	1.5
12	SW	00081097001	19-12-26	6.5	6.5
	Nu	60160449002	19-12-26	21	–

^aSW: *Swift*; *XMM*: *XMM-Newton*; Nu: *NuSTAR*.

^bThe dates are reported in the year-month-day format.

^cThe net exposure time of the EPIC-pn observation after removing the time intervals when the flaring particle background dominates. The values in the bracket show the net exposure of two EPIC-MOS observations.

radius of 20 arcsec for EPIC-MOS data and 25 arcsec for EPIC-pn. No evidence of pile-up effects is found by running the EPATPLOT tool. A polygon background region on the same chip is used to extract background spectrum for EPIC-pn, avoiding the areas dominated by background Cu K emission from the underlying electronic circuits. A circular region of 50 arcsec is used to extract background spectra for EPIC-MOS. The redistribution matrix and ancillary response files are generated by running the RMFGEN and ARFGEN tools. The EPIC spectra are grouped to have a minimum signal to noise of 6 and oversample the spectral resolution by a factor of 3, and are modelled over 0.5–10 keV.

2.1.2 OM

The Optical Monitor (OM) data are extracted using the task OMICHAIN. As part of the OMICHAIN task, the source and background regions given by the OMDetect function are used. The source region is a circle around the source with radius of 5 arcsec. The background is subtracted by OMDetect using the pixels within an annulus region. The inner and outer diameters of the annulus are 37 and 42 pixels.¹ Only UVW1 and UVM2 filters were used during the *XMM-Newton* observation of KUG 1141. We use the OM2PHA tool to convert the photometry data to the OGIP Type II data format in order to be used in XSPEC. The response files for OM are downloaded from the SAS website.²

2.2 *NuSTAR*

We use the standard pipeline NUPIPELINE v.0.4.6 in HEASOFT v.6.26.1 to reduce the *NuSTAR* data. The calibration file version is v.20181030. A circular region with radii of 50 arcsec is used to extract source spectra. The background spectra are extracted from the remaining regions on the same chip. The spectra are generated using the NUPRODUCTS tool. The FPMA and FPMB spectra are both grouped to have a minimum signal to noise of 6 and to oversample

¹The size of each pixel is 0.48 arcsec.

²<ftp://xmm.esac.esa.int/pub/ccf/constituents/extras/responses/OM>

the energy resolution by a factor of 3. The FPM spectra are modelled over 3–60 keV.

2.3 *Swift*

2.3.1 XRT

All of our X-ray Telescope (XRT) observations were operated in the photon counting mode. The calibration file version used is v.20180103. The source spectrum is extracted from a circular region with a radius of 20 pixels and the background spectrum is extracted from an annular region centred at the source with an inner radius of 40 pixels and an outer radius of 100 pixels. The spectrum is binned to have a minimum signal to noise of 3 and oversample by a factor of 3. The XRT spectra are modelled over 0.5–7 keV. During obs 11 when the X-ray flux of KUG 1141 is the highest, XRT has an averaged count rate of 0.13 cts s^{-1} , which is much lower than the pile-up threshold of XRT³ (0.5 cts s^{-1} for the Photon Counting mode).

2.3.2 UVOT

The UV and Optical Telescope (UVOT) was not operated during obs 1–3. For the rest of the *Swift* observations, we follow the UVOT reduction threads for the data reduction.⁴ Available observations with all six filters are considered. For each filter, the ‘Level 2’ FITS files are summed by using the UVOTSUM tool, and the source magnitudes are extracted by using the UVOTSOURCE tool. A circular region with a radius of 5 arcsec is used for the extraction of the source flux and a nearby circular region with a radius of 60 arcsec is used to estimate the background flux. For the three UV filters (UVW1, UVM2, and UVW2) of which the effective wavelengths are less than $\sim 3000 \text{ \AA}$, we simply adopt the 5 arcsec aperture photometric measurements as the AGN fluxes, as the host galaxy starlight is generally negligible within the aperture (see figs 8 and 10 in Vasudevan et al. 2009, for illustration). While for the three optical filters (*V*, *B*, and *U*), the host galaxy starlight may contribute a significant fraction to the measured fluxes, and thus needs to be subtracted.

A useful way to measure galaxies in digital images is to model their light distribution by performing two-dimensional profile fitting. GALFIT is a popular computer algorithm in which the parameters in one or more functions can be adjusted to try and match the shape and profile of galaxies (Peng et al. 2002, 2010). In this work, we implement the image decomposition on UVOT images with GALFIT. A similar procedure was also carried out in Vasudevan et al. (2009) and Cheng et al. (2019). For each of the image, a point spread function (PSF) is constructed from nearby stars in the field of view with count rates comparable to that of KUG 1141 (within $\sim 0.1\text{--}0.3$ dex). The background is calculated from a source-free region near the source independently and fixed in the fitting. The AGN component is modelled with the point-like source, i.e. a PSF function, with an initial magnitude from the results of UVOTSOURCE. The host galaxy component is modelled with an exponential-disc function or a more general Sérsic function, whichever shows a better fit. A more sophisticated model with additional components being included, such as a bulge or a bar can hardly be performed on UVOT data due to the low spatial resolution (the typical FWHM

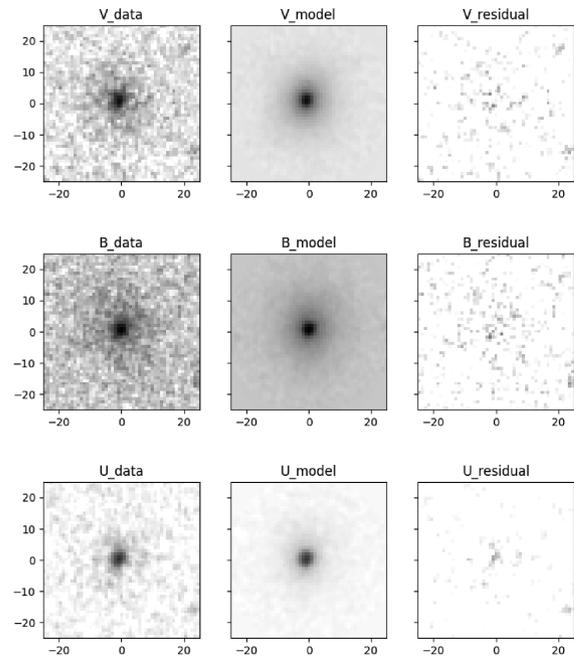


Figure 1. An example of the 2D image decomposition procedure (obs 4). The rows from top to bottom represent the results in *V*, *B*, and *U* bands, respectively. In each row, the first column shows the data image, the second column shows the fitting model (AGN + ‘exponential-disc’ + background), and the third column shows the residual image derived by subtracting the model from the original data.

of the PSF is ~ 2 arcsec). The goodness of fit is judged by both the value of the χ^2/ν , and the distribution of the residuals. In practice, it is found that for some of the observational data, the more general Sérsic function does not work (mainly due to the low spatial resolution of the UVOT image), while the exponential-disc function appears to work throughout. Therefore, to maintain consistency, the ‘PSF + exponential-disc + background’ model is adopted for all observations.

We implement the image decomposition in two steps. First, for each of the 24 images (3 optical bands \times 8 UVOT observations), the fitting procedure is carried out in a quick and simple way that all the parameters in the PSF and exponential-disc functions can be adjusted. It is found that for a given band, most of the disc parameters obtained in different observations, including the magnitude, effective radius, and axial ratio, are broadly consistent. For instance, the resulting magnitudes of the host galaxy remain consistent statistically over various epochs. Specifically, the fluctuations in the measured galactic flux are ~ 12 per cent in *V* band, ~ 16 per cent in *B* band, and ~ 15 per cent in *U* band, respectively. This is fairly reasonable as the emission of the host galaxy is generally stable within the observational interval (~ 10 yr). Next, we would like to improve the fits by taking advantage of these consistent measurements. For the image decomposition within a given band, the parameters associated with the host galaxy component can be determined by averaging the individual measurements obtained in various observations and fixed in the fitting procedure. This time only one parameter, i.e. the AGN magnitude in the PSF function, is freely fitted. In this way we conduct the image decomposition again for the eight UVOT observations in the *V*, *B*, and *U* bands, respectively, and obtain the AGN magnitudes with the host galaxy contamination reasonably eliminated. Fig. 1 shows an example of the image fitting, corresponding to obs 4.

³<https://www.swift.ac.uk/analysis/xrt/pileup.php>

⁴<http://www.swift.ac.uk/analysis/uvot/index.php>

Finally, with the PSF magnitudes obtained from GALFIT, we correct for the coincidence loss effect (the phenomenon when multiple photons arrive at a similar location on the detector during a single frame, similar to the ‘pile-up’ effect in X-rays) by equations 1–4 in Poole et al. (2008), and obtain the final AGN fluxes for spectral fitting in the three optical filters. The uncertainties in these measurements are estimated by the ‘error propagation formula’, making use of photometric errors of the whole galaxy and the fluctuations in the starlight of the galactic disc measured in multiple observations (see Appendix A for a detailed interpretation on the estimation of the uncertainties). The response file is downloaded from the *Swift* website⁵ to include UVOT data in XSPEC for SED modelling.

3 LONG-TERM FLUX VARIABILITY

In this section, we give an overview of the long-term variability of KUG 1141 in the X-ray, UV, and optical bands. Detailed calculations of flux values can be found in Appendix A and B.

3.1 X-rays

A long-term X-ray light curve of KUG 1141 is shown in the top panel of Fig. 2. The observed flux is calculated in the overlapping 0.5–7 keV band of *Swift* XRT and *XMM-Newton* EPIC-pn. As shown in the figure, the X-ray flux from KUG 1141 increases by almost one order of magnitude from $\log(F_X/\text{erg cm}^{-2} \text{ s}^{-1}) = -11.79$ in 2007 to $\log F_X = -10.92$ in 2017 (hereafter the X-ray flux values are reported in units of $\text{erg cm}^{-2} \text{ s}^{-1}$). The latest observation in 2019 (obs 12) shows that KUG 1141 still remains in a high flux state with $\log F_X = -11.08$ since 2017.

The X-ray softness of KUG 1141, which is defined as the flux ratio between the 0.5–2 and 2–7 keV bands, is shown in the second panel of Fig. 2. The variability of the softness ratio indicates that the X-ray spectrum of KUG 1141 shows a ‘softer-when-brighter’ pattern, and is the softest in 2017 when the X-ray flux reaches the highest level. Obs 12 in 2019 shows a slightly harder continuum compared to obs 11.

3.2 UV and optical bands

Long-term UV and optical light curves of the AGN in KUG 1141 are shown in the third and fourth panels of Fig. 2. Note that the *XMM-Newton* OM was operated with only UVW1 and UVM2 filters during obs 5. The rest of the flux values are given by the *Swift* UVOT observations in the archive. The following conclusions can be drawn:

First, the UV and optical emission from the AGN of KUG 1141 shows simultaneous flux increase in the period of 2009–2019. For instance, UVW2 band flux has increased by more than one order of magnitude from 0.12 mJy in 2009 to 1.23 mJy in 2019; *B*-band flux has increased by a factor of 3 from 0.47 mJy in 2009 to 1.53 mJy in 2019.

Secondly, we find that the UV flux increases by a larger factor than the optical flux. In the fifth panel of Fig. 2, we show of the UVW2 and *V* flux ratio as an example. Note that the *V* and UVW2 bands are, respectively, the longest and the shortest UVOT wavelengths. The flux ratio between these two bands indicates the steepness of

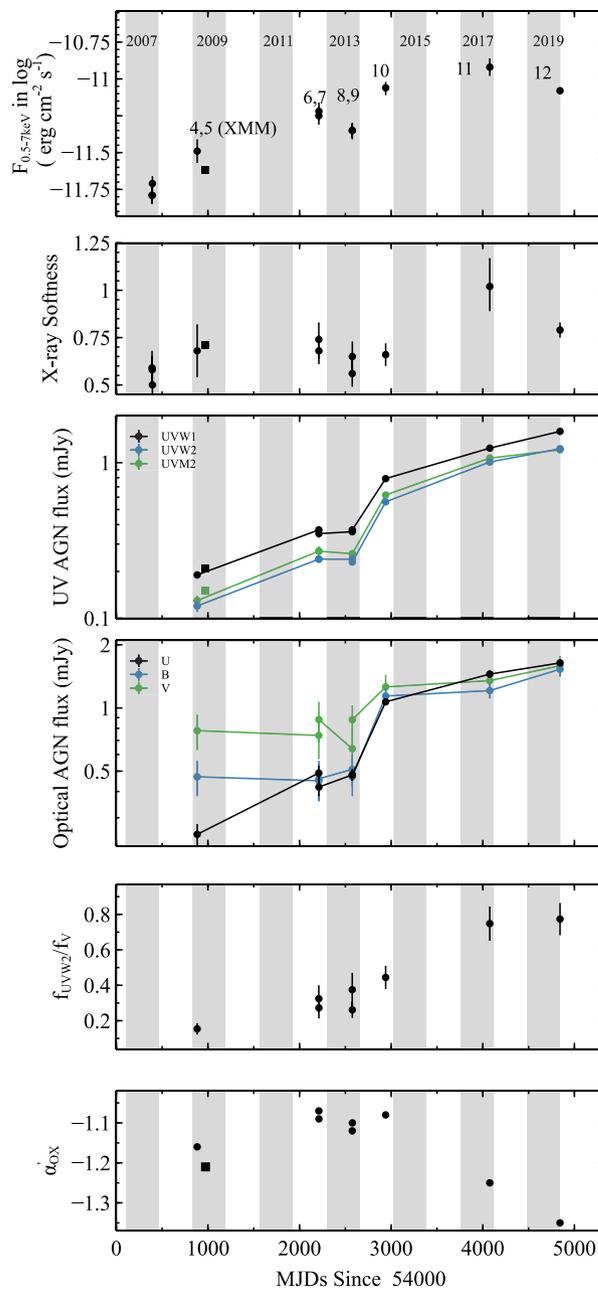


Figure 2. From top to bottom: (1) A soft X-ray (0.5–7 keV) light curve of KUG 1141 in units of $\text{erg cm}^{-2} \text{ s}^{-1}$. (2) X-ray softness defined by the flux ratio between the 0.5–2 and 2–7 keV bands. (3) AGN UV flux in units of mJy given by *Swift* UVOT and *XMM-Newton* OM observations. (4) AGN optical flux in units of mJy given by UVOT observations. (5) Optical *V*-band and UVW2 band flux ratio. (6) Flux density ratio between the UVW1 and 2 keV bands. The grey bands mark calendar years.

the UV–optical continuum emission. As shown in Fig. 2, the UV–optical flux ratio is the highest in 2009 when the source is in a low optical/UV flux state; the ratio is the lowest in 2017 and 2019 when the source is in a high optical/UV flux state.

Thirdly, we follow the same approach as in Vignali et al. (2003) to calculate α_{OX} , which is defined as $0.384 \log(f_{2\text{keV}}/f_{2500})$. $f_{2\text{keV}}$ and f_{2500} are, respectively, the flux density in units of $\text{erg cm}^{-2} \text{ s}^{-1} \text{ Hz}^{-1}$ at 2 keV and 2500 Å in the rest frame. 2500 Å in the rest frame of KUG 1141 corresponds to 2598 Å in the observer’s frame, which

⁵ftp://xmm.esac.esa.int/pub/ccf/constituents/extras/responses/OM

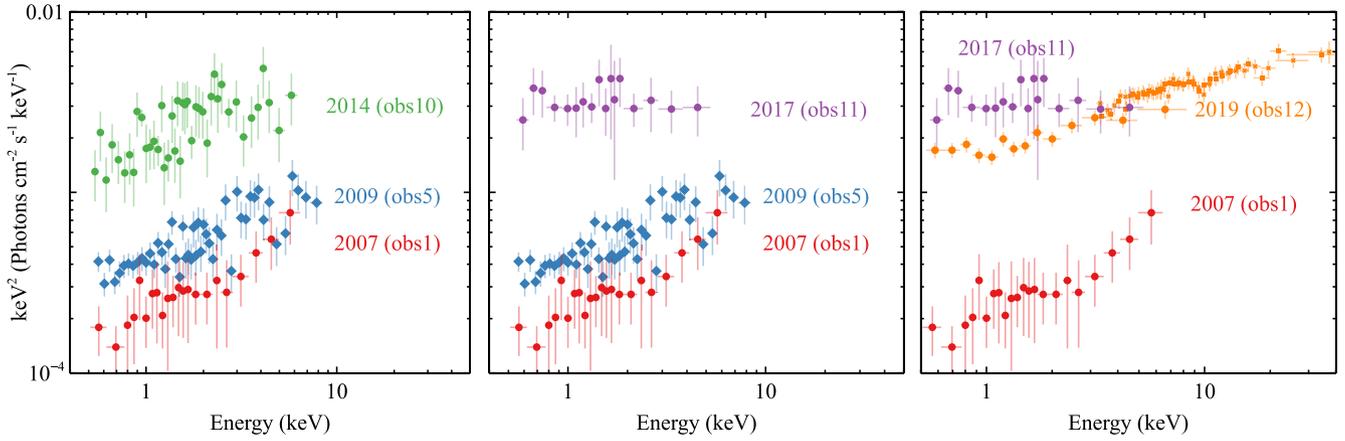


Figure 3. X-ray spectra of KUG 1141. Only some observations are shown in this figure for clarity. A power-law model with $\Gamma = 0$ is used to unfold the spectra in order to remove the effects of instrumental response. Only the EPIC-pn spectrum of obs 5 (blue diamonds) is shown in the left-hand and middle panel for simplicity. The yellow crosses and squares in the right-hand panel show, respectively, the FPMA and FPMB spectra of obs 12. The rest of the spectra are all extracted from XRT observations.

is within the wavelength range of the UVW1 filter (2600 Å) on UVOT. By approximating the UVW1 flux as the 2500 Å flux, we calculate $\alpha_{\text{OX}} \approx \alpha'_{\text{OX}} = 0.384 \log(f_{2\text{keV}}/f_{\text{UVW1}})$. The values of α'_{OX} are shown by the right y-axis of the sixth panel in Fig. 2. α'_{OX} is relatively constant before 2015, and the source becomes X-ray-weak since 2017. The relative weakness of X-ray emission in KUG 1141 might be related to enhanced reflection or absorption (e.g. Gallo 2006).

4 X-RAY SPECTRAL ANALYSIS

In this section, we conduct X-ray spectral analysis for KUG 1141. Before detailed modelling, we show X-ray spectra extracted from some of the observations in Fig. 3 to give an overview of the X-ray spectral variability in KUG 1141. The spectra are all unfolded using a power law with $\Gamma = 0$ to remove the effects of instrumental response for demonstration purposes.

As shown in Fig. 3, the X-ray continuum of KUG 1141 shows a power-law shape with a steady increase of flux. In 2017, the X-ray flux during obs 11 reaches the highest level, and the X-ray continuum is the softest. For reference, a power law with $\Gamma = 2$ would be a horizontal line in this figure. The latest observation (obs 12) in 2019 shows a slightly lower X-ray flux state than obs 11 with a harder X-ray continuum. The variability of the spectra agrees with the flux and X-ray softness variation shown in Fig. 2.

Detailed X-ray spectral analysis and SED modelling in the later section are all conducted in XSPEC (v.12.10.1f, Arnaud 1996). The line-of-sight Galactic absorption towards KUG 1141 is $N_{\text{H}} = 1.76 \times 10^{20} \text{ cm}^{-2}$, and the Galactic extinction is $E(B - V) = 0.02$ (Willingale et al. 2013). The `tbnnew` (Wilms, Allen & McCray 2000) and the `zdst` (Pei 1992) models in XSPEC are used for the Galactic X-ray absorption and optical/UV extinction. Their values are all fixed during the spectral fitting. A simple convolution model `zmshifit` in XSPEC is used to account for the source redshift ($z = 0.038$).

In the rest of this section, we start with analysing the *XMM-Newton* (obs 5) and the *NuSTAR* observations (obs 12) of KUG 1141, which have higher signal to noise than *Swift* XRT observations. A simultaneous *Swift* snapshot observation is considered for obs 12. In the end of this section, we present the analysis of all the *Swift* XRT observations.

Table 2. The best-fitting values for the X-ray spectra of obs 5 (EPIC), 12 (FPM and XRT), and 11 (XRT). Model 1 is a power-law model with a high-energy cut-off. Model 2 has an additional `bbbody` component to test for soft excess emission. See the text for more details.

Obs no.	Parameter	Unit	Model 1	Model 2
5 <i>XMM</i>	Γ	–	1.71 ± 0.04	1.60 ± 0.10
	E_{cut}	keV	500	500
	kT	keV	–	<0.25
	norm	10^{-5}	–	Unconstrained
	χ^2/ν	–	155.12/164	149.03/162
12 <i>NuSTAR</i> and <i>Swift</i>	Γ	–	1.71 ± 0.03	$1.67^{+0.03}_{-0.08}$
	E_{cut}	keV	>220	>90
	kT	keV	–	$0.10^{+0.04}_{-0.03}$
	norm	10^{-5}	–	3^{+9}_{-2}
	χ^2/ν	–	427.43/402	413.16/400
1 <i>Swift</i>	Γ	–	1.54 ± 0.19	1.54 ± 0.19
	E_{cut}	keV	500	500
	kT	keV	–	0.10
	norm	10^{-5}	–	<0.4
	χ^2/ν	–	20.69/20	20.60/19
11 <i>Swift</i>	Γ	–	2.0 ± 0.2	1.9 ± 0.3
	E_{cut}	keV	500	500
	kT	keV	–	0.10
	norm	10^{-5}	–	<10
	χ^2/ν	–	27.88/15	27.60/14

4.1 *XMM-Newton* (obs 5)

We first model the three EPIC spectra of obs 5 with an absorbed power-law model `cutoffpl` (Model 1). Due to the lack of simultaneous hard X-ray observation, we fix the high-energy cut-off parameter E_{cut} at 500 keV. Model 1 is able to fit the EPIC spectra very well with $\chi^2_{\text{red}} = 0.95$. The best-fitting parameters are shown in Table 2, and the corresponding ratio plot is shown in Fig. 4. We did not find obvious evidence for narrow emission feature or absorption edge features in the iron band.

In order to test for possible soft excess emission, we add an additional `bbbody` model (Model 2), which improves the fit by only $\Delta\chi^2 = 6$ with two more parameters. See the left-hand panel of Fig.

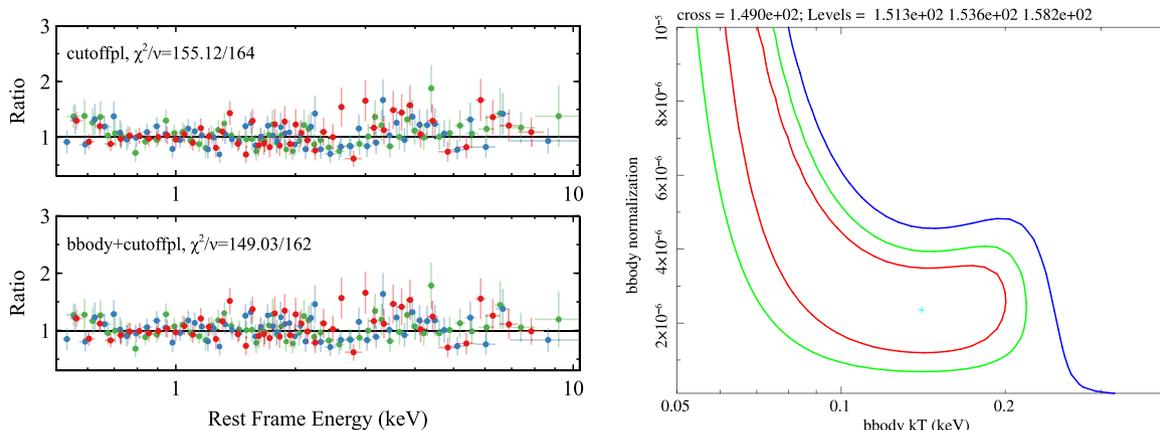


Figure 4. Left: Ratio plots for the EPIC spectra of obs 5 using different continuum models. Red: pn; blue: MOS1; green: MOS2. An additional `bbody` component improves the fit by $\Delta\chi^2 = 6$ with two more free parameters. Right: A contour plot of χ^2 distribution on the plane of kT versus the normalization of the `bbody` model. The red, green, and blue contours show the 1σ , 2σ , 3σ regions.

4 for comparison of the fits. Only an upper limit of the temperature parameter is obtained ($kT < 0.2$ keV). The normalization parameter of the `bbody` model is not constrained. A contour plot of χ^2 distribution on the kT versus normalization parameter plane is shown in the right-hand panel of Fig. 4. The normalization of the `bbody` component is consistent with a very low value, e.g. $< 1 \times 10^{-6}$, within a 3σ uncertainty range. When kT is at a very low value, e.g. < 0.07 keV, the fit is no longer sensitive to kT due to the lower limit of the energy coverage of EPIC.

We also test for any extra absorption along the line of sight towards KUG 1141 by adding an additional `tbnew` model at the source redshift. We only obtain an upper limit of the column density (a 90 per cent confidence range of $N_{\text{H}} < 3 \times 10^{20} \text{ cm}^{-2}$).

To sum up, we conclude that the X-ray spectra of KUG 1141 during obs 5 are consistent with a Galactic-absorbed power law. There is no/little evidence for soft excess emission or additional line-of-sight absorption.

4.2 NuSTAR (obs 12)

4.2.1 Continuum emission

We first follow the approach in Section 4.1 by modelling the FPM and simultaneous XRT spectra of obs 12 with `cutoffpl` (Model 1). The best-fitting parameters are shown in Table 2. A power law with a high-energy cut-off of $E_{\text{cut}} > 220$ keV is able to describe the spectra very well above 1 keV. Similar to *XMM-Newton* spectra, we did not find obvious evidence for any narrow emission line or absorption edge in the iron band of FPM spectra. Therefore, we conclude that the spectra have no or little contribution from any distant cold reflector.

However, we find clear evidence for soft excess emission below 1 keV (see the left top panel of Fig. 5). An additional `bbody` model for the soft excess emission (Model 2) can improve the fit by $\Delta\chi^2 = 14$ with two more free parameters. The constraint of the parameters of the `bbody` component is shown in the right-hand panel of Fig. 5. The best-fitting kT is $0.10^{+0.04}_{-0.08}$ keV, which is similar to the typical value for other Sy1s (e.g. Walter & Fink 1993). Such soft excess emission is however not found in the *XMM-Newton* observation (obs 5) in 2009. Note that Model 2 requires a slightly harder continuum ($\Gamma = 1.67$) with a smaller lower limit of the high-energy cut-off ($E_{\text{cut}} > 90$ keV) than Model 1.

4.2.2 Ultra-fast outflow?

We also notice that there is evidence for a weak narrow absorption line between 9 and 10 keV in both the FPMA and FPMB spectra of obs 12. The black arrows in the left-hand panels of Fig. 5 show the position of the line. This feature is often interpreted as a blueshifted Fe XXV or Fe XXVI line from an ultra-fast outflow (e.g. Tombesi et al. 2011). By modelling the absorption line feature with the `zgauss` model, we obtain the best-fitting line energy of 9.72 ± 0.01 keV at the source frame, line width of $\sigma < 0.28$ keV, equivalent width of 136 ± 20 eV. This additional line model is able to improve the fit by $\Delta\chi^2 = 12$ with three more parameters, corresponding to an F -statistic value of 4.3 with probability of 0.005.

We construct a photoionization absorption grid model by using `xstar` (Kallman & Bautista 2001) assuming a power-law illuminating spectrum with $\Gamma = 1.7$. Solar abundances are assumed. A turbulent velocity of 2000 km s^{-1} is used. The free parameters are the column density (N_{H}), the ionization state of the absorber (ξ), and the blueshift parameter (z). By modelling the absorption line with `xstar`, we obtain $N_{\text{H}} = (4 \pm 3) \times 10^{23} \text{ cm}^{-2}$, $\log(\xi) = 3.7 \pm 0.4$, and $z = 0.258^{+0.011}_{-0.015}$. The value of z is calculated in the observer's frame, which corresponds to a line-of-sight velocity of $v = 0.32 \pm 0.02c$.

According to the best-fitting `xstar` model, the absorption line at 9.72 keV is mainly Fe XXVI line with a little contribution from the Fe XXV line. See Fig. 6 for the best-fitting absorption model. FPMs are unable to resolve these two lines due to their limited energy resolution. There was no simultaneous high-resolution observation in soft X-rays, e.g. from *XMM-Newton* RGS or *Chandra* LEGT. Thus, we are also unable to check for blueshifted O VII line as predicted by our model. To sum up, we only point out the tentative evidence for an ultra-fast outflow with line-of-sight velocity of $v \approx 0.32c$ during obs 12, which was taken when KUG 1141 is in a high X-ray and optical/UV flux state.

4.3 Swift

4.3.1 Two extreme flux states: obs 1 and 11

Obs 1 and obs 11 were taken by *Swift* in 2007 and 2017. During these two observations, KUG 1141 shows, respectively, the historical lowest and the highest X-ray flux states in the *Swift* archive.

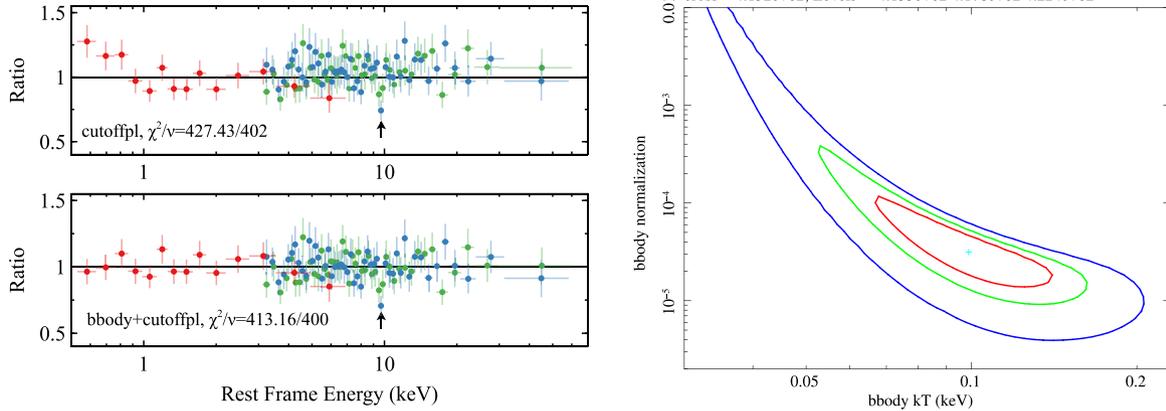


Figure 5. Left: Ratio plots for the FPM and XRT spectra of obs 12 using different continuum models. Red: XRT; blue: FPMA; green: FPMB. An additional `bbbody` component improves the fit by $\Delta\chi^2 = 14$ with two more free parameters. The black arrows show the narrow absorption line in the FPMA and FPMB spectra. Right: A contour plot of χ^2 distribution on the plane of kT versus the normalization of the `bbbody` model. The red, green, and blue contours show the 1σ , 2σ , 3σ regions.

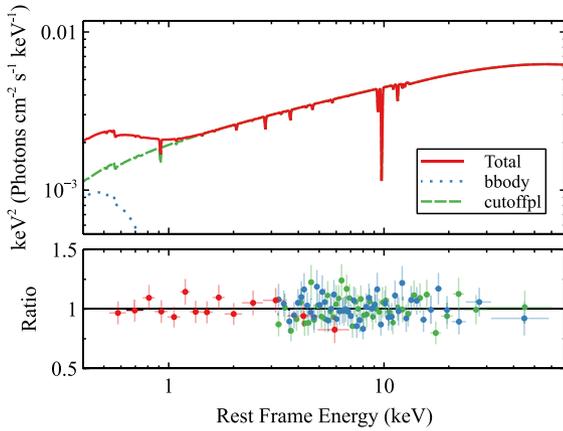


Figure 6. Top: The best-fitting model for obs 12 after considering absorption from an ultra-fast outflow. Red solid line: total model; green dashed line: power-law continuum; blue dotted line: blackbody component. Bottom: The corresponding data/model ratio plot. Red: XRT; blue: FPMA; green: FPMB.

We first model the XRT spectra of these two observations with `cutoffpl` with E_{cut} fixed at 500 keV. The best-fitting values are shown in Table 2, and the corresponding ratio plots are shown in the left two panels of Fig. 7. The absorbed power-law models are able to describe the data very well with $\chi^2/\nu = 20.69/20$ for obs 1 and $\chi^2/\nu = 27.88/15$ for obs 11. The best-fitting photon indices for obs 1 and 11 are 1.54 ± 0.19 and 2.0 ± 0.2 , respectively, suggesting a softer X-ray continuum in the highest flux state than in the lowest flux state.

Alternatively, the spectral difference between obs 11 and obs 1, as shown in the middle panel of Fig. 3, might be due to not only a change in the intrinsic flux but also a variable line-of-sight column density. For example, we fit the spectrum of obs 1 with an additional neutral absorption model `tbnew` at the source redshift. The photon index of the power-law continuum is fixed at the best-fitting value for obs 11 ($\Gamma = 2$). An additional absorber with a column density of $(1.3 \pm 0.6) \times 10^{21} \text{ cm}^{-2}$ is then required to fit the spectrum of obs 1. Such a model also provides a good fit with $\chi^2/\nu = 21.65/20$. In this scenario, the intrinsic X-ray continuum emission of KUG 1141 remains similar steepness with only an increase of flux from 2007 to

2017. An additional variable Compton-thin absorber is required to explain the spectral variation. However, it is important to point out that the *XMM-Newton* observation (obs 5), which was also taken in a low flux state, rules out the possibility of such an absorber (see Section 4.1). Therefore, we conclude that the scenario of variable intrinsic continuum emission is preferred rather than a Compton-thin absorber crossing the line of sight in coincidence with a change in the intrinsic X-ray flux.

Secondly, as shown in Fig. 7, there is no significant evidence for soft excess emission. We here put an upper limit of the soft excess by assuming the best-fitting kT given by obs 12 ($kT = 0.1$ keV, see Section 4.2.1). We show $\Delta\chi^2$ versus the normalization of the `bbbody` model component for obs 1 and obs 11 in the right-hand panel of Fig. 7. We obtain an upper limit of $<4 \times 10^{-6}$ and $<1 \times 10^{-4}$ for obs 1 and obs 11, respectively. The inclusion of such weak components does not affect the power-law continuum modelling (see Table 2 for comparison of Models 1 and 2). In summary, we find no evidence of soft excess emission in these two *Swift* observations of KUG 1141.

4.3.2 Other *Swift* observations

Following the conclusions above, we model the XRT spectra extracted from the other *Swift* observations using an absorbed power-law model by following the same approach. The best-fitting parameters are shown in Table 3, and the corresponding ratio plots can be found in Fig. B1. Similarly, we do not find statistically significant evidence for soft excess in these observations. The best-fitting photon index shows a slightly increase with the observed X-ray flux from ≈ 1.5 in 2007 to ≈ 1.7 in 2014. However, the values are statistically consistent within a 90 per cent confidence range. By comparing these *Swift* observations before 2015 with obs 11 in 2017, we find that the intrinsic continuum emission of KUG 1141 is significantly softer in the highest X-ray flux state ($\Gamma = 2.0 \pm 0.2$).

5 MULTIWAVELENGTH SED ANALYSIS

So far, we have obtained the best-fitting models for the X-ray continuum emission in all the epochs. We find that the X-ray spectra of obs 1–11 all show a power-law shape. Only obs 12 shows weak soft excess emission below 1 keV, which is consistent with a blackbody

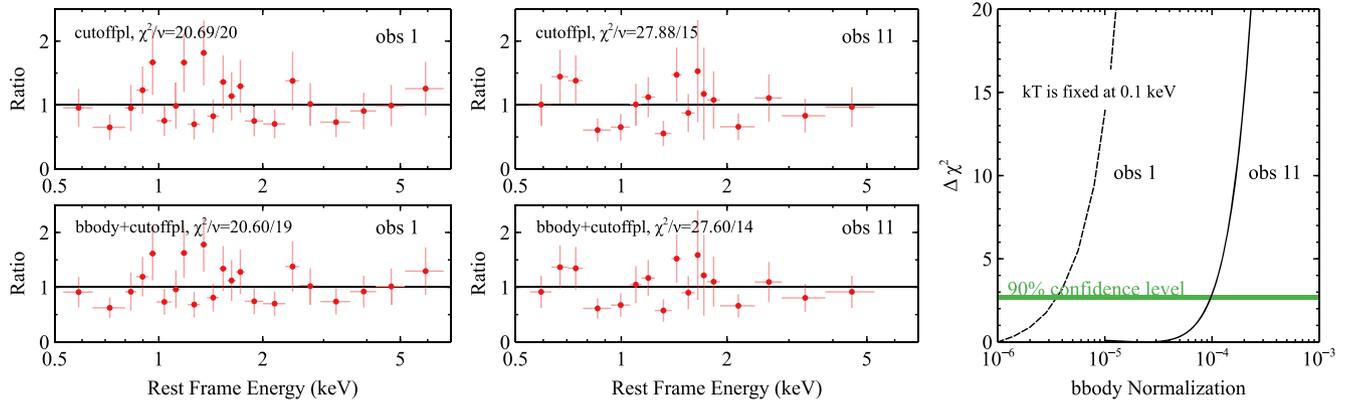


Figure 7. Left two panels: Ratio plots of the XRT spectra of KUG 1141 extracted from obs 1 and 11, which show the lowest flux state and the highest flux state in the *Swift* archive, using different continuum models. An additional *bbbody* component does not improve the fit significantly. Right: The constraint of the normalization parameter of the *bbbody* component with kT fixed at 0.1 keV.

Table 3. The best-fitting Model 1 for *Swift* XRT observations of KUG 1141. The parameters for obs 1, 5, 11, and 12 can be found in Table 2.

Obs no.	Γ	χ^2/ν
2	1.54 ± 0.15	27.92/31
3	1.43 ± 0.16	32.25/29
4	$1.65^{+0.20}_{-0.18}$	4.12/9
6	1.7 ± 0.2	24.32/21
7	1.67 ± 0.18	15.30/23
8	1.52 ± 0.18	31.63/30
9	1.62 ± 0.16	32.36/30
10	1.65 ± 0.13	49.59/40

with $kT = 0.1$ keV. No significant evidence of soft excess emission is found in the soft X-ray band of other epochs. No additional line-of-sight absorption was found during obs 5 (*XMM-Newton*) when the source was in a low flux state and obs 12 (*NuSTAR* and *Swift*) when the source was in a high flux state. In this section, we model the multiwavelength SEDs of KUG 1141 in all the epochs with simultaneous observations from UVOT or OM (obs 4–12).

5.1 SED modelling

We first model the disc thermal emission of KUG 1141 using the *diskbb* model. This model assumes a thin disc temperature profile of $T \propto r^{-0.75}$ and has two parameters: the inner temperature of the disc (kT_{in}) and the normalization parameter. The normalization parameter is defined as $(R'_{\text{in}}/D_{10})^2 \cos i$, where R'_{in} is the ‘apparent’ inner radius of the disc in km, D_{10} is the source distance in 10 kpc, and i is the inclination angle of the disc. See Section 5.2 for more discussion concerning the normalization parameter of *diskbb*. Note that both kT_{in} and the normalization parameter can change the total flux of the model.

Secondly, we calculate the Comptonization spectrum of the hot coronal region using the convolution model *simpl* (Steiner et al. 2009). The *simpl* model calculates a power-law-shaped Comptonization spectrum that self-consistently accounts for the fraction of scattered radiation. The spectrum of the seed photons is the *diskbb* model as described above. In this way, we are able to constrain the strength of the disc thermal emission by consistently considering the scattering process, and thus estimate the inner radius of the disc (R_{in}). Such a method was previously used to measure R_{in} in BH transients

when the sources are not necessarily in a thermal-dominant state (e.g. Steiner et al. 2009). The free parameters are the scattering fraction f_{scatt} and the photon index of the power-law continuum (Γ).

The total model is `tbnew * zdust * zmshift * (simpl * diskbb)` in the XSPEC format. Such a model can describe the SEDs of most epochs very well except obs 12. An additional weak *bbbody* model is used to model the soft excess shown in obs 12 as demonstrated in Section 4.2.1. The best-fitting SED models are shown in Fig. 8, and the best-fitting parameters are shown in Table B2 and Fig. 9. In the top panel of Fig. 9, we also show the absorption-corrected broad-band flux calculated between 0.01 eV and 100 keV using our best-fitting AGN component. The following conclusions can be drawn from our SED modelling:

(1) An increase of the disc temperature together with an increase of the broad-band flux is clearly seen according to our SED modelling. For instance, the best-fitting inner temperature of the disc is $kT_{\text{in}} = 2.0 \pm 0.2$ eV during obs 4; a higher temperature of $kT_{\text{in}} = 4.8^{+0.5}_{-0.4}$ eV is required for obs 11. See the bottom middle panel of Fig. 8 for comparison of these two epochs. The increase of the disc temperature is able to explain the variability of the optical–UV continuum: the UV emission is more sensitive to the increase of the disc temperature than the optical emission, and thus increases by a larger factor than the optical emission as shown in fifth panel of Fig. 2.

(2) Despite an increase of the disc temperature, the normalization of the *diskbb* model decreases from $\approx 2.6 \times 10^{10}$ in 2009 to $\approx 5 \times 10^9$ in 2019. Note that, again, an increase of either kT_{in} or the normalization parameter can increase the flux of the model. The decrease of the normalization parameter suggests a decreasing inner radius of the disc as this parameter is proportional to R_{in}^2 . See Section 5.2 for more discussion concerning the inner radius of the disc.

(3) The scattering fraction parameter (f_{scatt}) shows the number fraction of the disc seed photons that are up-scattered to the X-ray band in the coronal region and gives a physical interpretation of the X-ray–UV ratio shown in Fig. 2. f_{scatt} is the highest during obs 11, where the X-ray flux and the X-ray–UV ratio are both shown to be the highest among all the epochs. It is interesting to note that the best-fitting f_{scatt} for obs 12 is similar to the values for obs 4–10 when the source is in a much lower UV and optical flux state.

A change in the coronal region might be a possible explanation: during the high flux state during obs 11, a different corona, e.g. of a larger size in which more disc seed photons are up-scattered, might exist. Therefore, a high fraction of accretion power is released

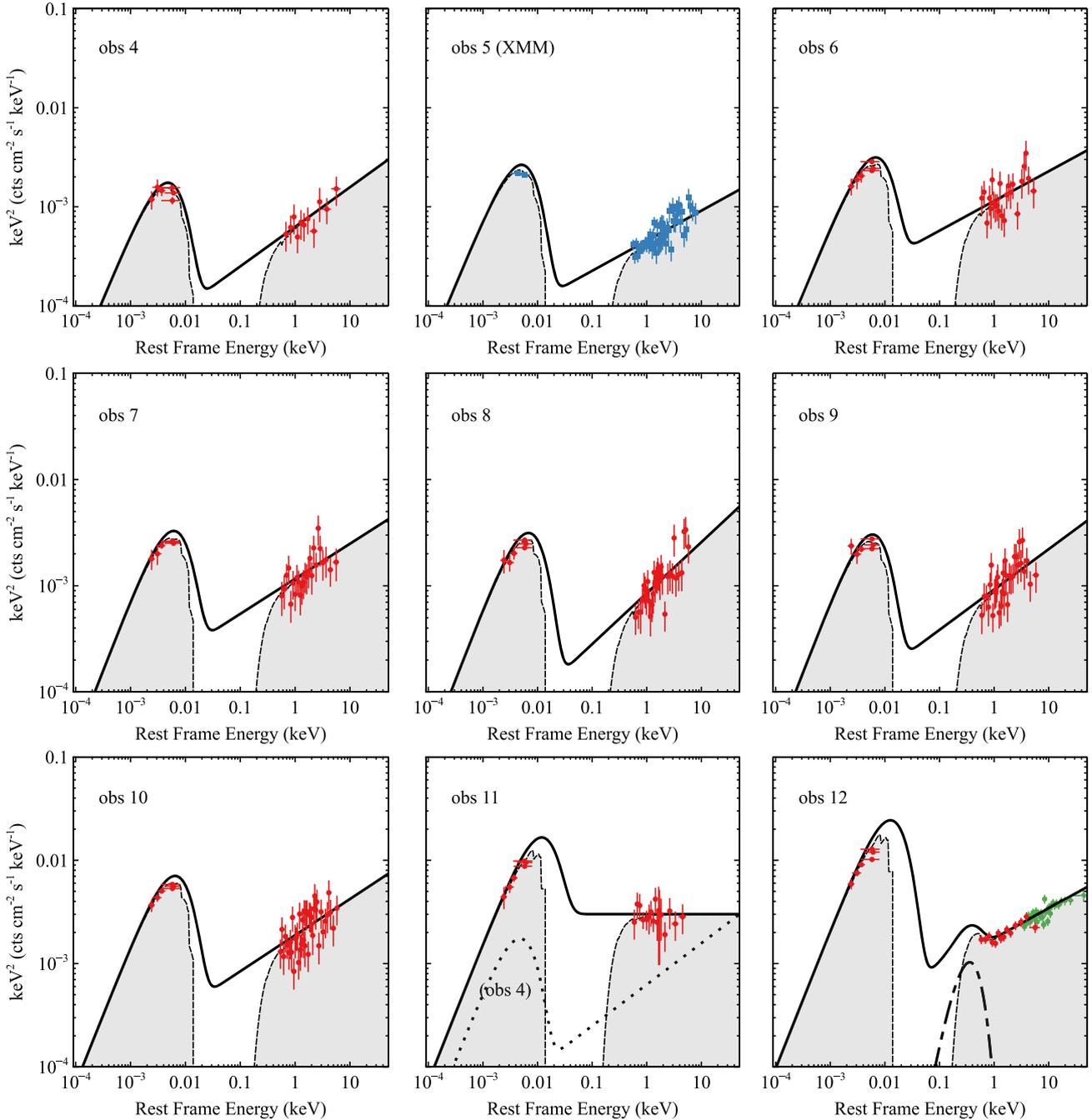


Figure 8. Multiwavelength SEDs of KUG 1141 extracted from all the epochs with simultaneous UV or optical observations. Red circles: *Swift*; blue squares: *XMM–Newton*; green diamonds: *NuSTAR*. The MOS spectra of obs 5 and the FPMB spectrum of obs 12 are not shown in the corresponding panels for clarity. The dashed lines are the best-fitting SED models. The black solid lines are the best-fitting AGN component after removing Galactic absorption and extinction. We show the best-fitting AGN model for obs 4 (dotted line) in the bottom middle panel, which is the same as the solid line in the first panel, in comparison with obs 11. The dot–dashed line in the last panel is the bbody component that is used to model the soft excess emission in obs 12.

in the form of non-thermal X-ray emission rather than the thermal emission from the disc during obs 11. The corona during obs 12 might however be similar to those during obs 4–10 despite a higher mass accretion rate. Our studies suggest that the X-ray–UV ratio f_{scatt} do not necessarily show strict correlation with mass accretion rate in an individual AGN.

(4) The photon index of the X-ray continuum (Γ) changes with f_{scatt} . This suggests that the optical depth of the corona is sensitive to not only the mass accretion rate as shown by statistical studies of AGN surveys (e.g. Brightman et al. 2013) but also the energy interplay between the disc and the corona.

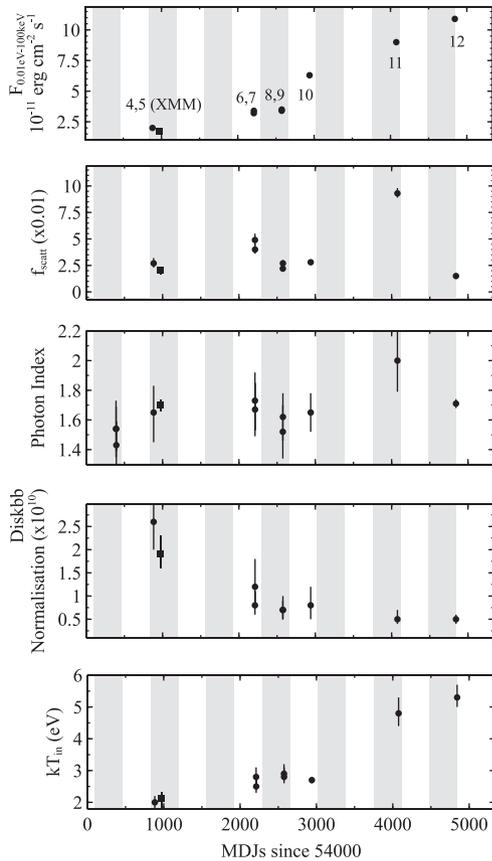


Figure 9. The best-fitting SED model parameters for each epoch. Circles: *Swift*; squares: *XMM-Newton*. The best-fitting photon indexes for obs 1–3 are obtained from the analysis of only X-ray data.

5.2 Estimating λ_{Edd} and R_{in}

Assuming $L_{\text{Bol}} \approx L_{0.01\text{eV}-100\text{keV}}$ and a BH mass of $10^8 M_{\odot}$,⁶ we estimate the Eddington ratio of KUG 1141 in the past decade. For example, a broad-band flux of $F_{0.01\text{eV}-100\text{keV}} = 2 \times 10^{-11} \text{ erg cm}^{-2} \text{ s}^{-1}$ during obs 4 corresponds to an Eddington ratio of $\lambda_{\text{Edd}} = 0.6$ per cent. Our best-fitting SED model suggests that the bolometric luminosity of KUG 1141 increases from ≈ 0.6 per cent of the Eddington limit in 2009 to ≈ 3.2 per cent in 2019. A similar fraction of mass accretion rate increase has also been seen in other flaring AGNs (e.g. NGC 1566; Parker et al. 2019).

From the normalization parameter of the `diskbb` model, we are also able to estimate the inner radius of the disc. This parameter is defined as $(R'_{\text{in}}/D_{10})^2 \cos i$ as introduced above, where R'_{in} is the ‘apparent’ inner radius. We assume a correction factor of 0.412 (Kubota et al. 1998) to convert R'_{in} to the real inner radius R_{in} . For instance, assuming a viewing angle of $i = 10^\circ$, the best-fitting normalization parameter of `diskbb` for obs 4 is 2.6×10^{10} corresponding to an inner radius of $R_{\text{in}} \approx 8 r_{\text{g}}$. Based on our calculations, the inner disc radius of KUG 1141 decreases from $8 r_{\text{g}}$ in 2009 to $3 r_{\text{g}}$ in 2017 and

2019 assuming $i = 10^\circ$. An assumption for a higher viewing angle leads to a larger estimated value: R_{in} decreases from $20 r_{\text{g}}$ in 2009 to $8 r_{\text{g}}$ in 2019 assuming $i = 80^\circ$. However, KUG 1141 is an Sy1 galaxy which is unlikely to be viewed from an edge-on angle according to the standard model of AGN (e.g. Antonucci 1993) assuming the torus plane and the optical-emitting disc plane share the same inclination.

In conclusion, we find evidence for a possible decreasing inner radius simultaneously with an increasing mass accretion rate in KUG 1141, and the value R_{in} has decreased by a factor of approximately 2.7. The assumptions on viewing angle and black hole mass do not affect the change of parameters we observe but only the absolute values.

6 DISCUSSION

6.1 The behaviour of the disc in KUG 1141

Runco et al. (2016) studied the SDSS and Keck observations of KUG 1141 in the optical band, which were taken, respectively, in 2005 and 2009. These optical spectra of KUG 1141 are consistent with a typical Sy1. Meanwhile, these two observations are mostly consistent, which suggests that the disc of KUG 1141 does not show large variability in the period of 2005–2009.

By analysing the observations after 2009, we find that KUG 1141 has shown a steady increase of optical and UV flux. The luminosity of KUG 1141 increases from 0.6 per cent of the Eddington limit in 2009 to 3.2 per cent in 2017. The latest UVOT observation suggests that UV and optical flux of KUG 1141 is still increasing.

Detailed SED modelling shows that the inner radius of the disc (R_{in}) in KUG 1141 decreases by a factor of approximately 2.7. The exact value of R_{in} depends on the assumption for the disc inclination angle: R_{in} decreases, for example, from $8 r_{\text{g}}$ ($20 r_{\text{g}}$) in 2009 to $3 r_{\text{g}}$ ($8 r_{\text{g}}$) in 2019 assuming $\theta = 10^\circ$ (80°). Saxton et al. (2015) estimated the filling time of a truncated thin disc in the framework of the standard thin disc model (Shakura & Sunyaev 1973). They found that, for example, it takes more than 800 yr to refill a truncated disc with $R_{\text{in}} = 20 r_{\text{g}}$ around a $10^8 M_{\odot}$ BH as in KUG 1141 based on viscous time-scales. Therefore, the change of R_{in} in KUG 1141 is much faster than expected.

The mechanisms behind a quick boost of mass accretion rate as in KUG 1141 are also still unclear. It might be related to the instability of a gas pressure-dominated disc at a low Eddington ratio (Saxton et al. 2015), the propagation process in the disc (e.g. Ross et al. 2018) or tidal disruption events (TDEs; Rees 1988).

In the case of TDEs, it is interesting to note that theoretically the rise time for a TDE to reach the peak luminosity is less than 1 yr, assuming a $1 M_{\odot}$ star disrupted by a $10^8 M_{\odot}$ BH as in KUG 1141+371 and the tidal radius is twice the periastron radius (De Colle et al. 2012). Indeed similar conclusions have been found in TDEs that have been very well monitored before their peak luminosity (e.g. Bade, Komossa & Dahlem 1996; Cenko et al. 2012; Arcavi et al. 2014). However, KUG 1141 has shown a steady flux increase in the past decade.

Moreover, there are three typical types of TDE X-ray spectra: (1) strong thermal emission (e.g. ASASSN-14li; Grupe, Komossa & Saxton 2015); (2) very soft power-law continuum (e.g. $\Gamma > 2$ in XMMSL2 J144605.0+685735; Saxton et al. 2019); and (3) hard power-law continuum (e.g. $\Gamma \approx 1.6$ in *Swift* J164449.3+573451; Burrows et al. 2011). The spectra of KUG 1141 do not agree with the former two types but only the third type of TDEs, which is only found in radio-loud galaxies with significant jet emission in X-rays. This is however not the case for KUG 1141 where there is

⁶Oh et al. (2015) estimated $\log(M_{\text{BH}}) = 7.99 \pm 0.06$ (statistical error) for KUG 1141 based on the study of $H\alpha$ emission line by following the method in Greene & Ho (2005). Systematic errors of this method can lead to a larger uncertainty range, such as the relation between $\text{FWHM}_{H\alpha}$ and $\text{FWHM}_{H\beta}$ (Greene & Ho 2005) and the uncertain geometry of the broad-line region (Kaspi et al. 2000; McLure & Dunlop 2004).

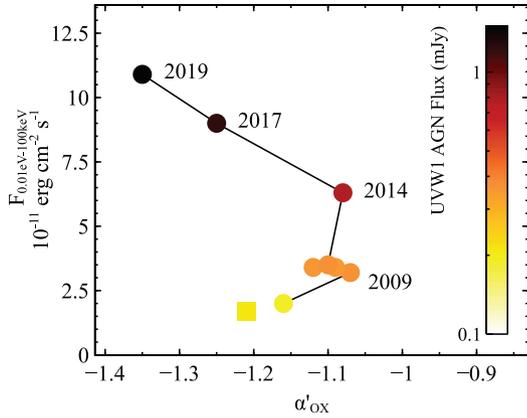


Figure 10. A broad-band flux versus X-ray–UV ratio diagram for KUG 1141. The circles and the square represent *Swift* and *XMM–Newton* observations, respectively. The colour bar of the plot symbol indicates the observed UVW1 flux during the corresponding observation.

no obvious evidence for radio emission from KUG 1141 in the VLA FIRST Survey (Wadadekar 2004). Therefore, the increase of the mass accretion rate in KUG 1141 is unlikely due to TDEs, or KUG 1141 is at least not consistent with typical TDEs we have observed.

6.2 Comparison to BH transient state changes

In this section, we discuss possible connection between KUG 1141 and BH transients in outburst. BH transients are binary systems where the central accretors are stellar-mass BHs. The existence of two different flux states in these sources have been realized for decades (e.g. Oda et al. 1971). Their X-ray spectra can change from a soft spectrum characterized by a strong thermal component to a hard spectrum characterized by a power-law component. Such a transition is commonly seen during an outburst of a transient and shows a ‘Q’-shaped pattern in the X-ray hardness–intensity diagram (e.g. see a *MAXI* HID of GX 339–4 in Jiang et al. 2019a).

Similarly, we show the HID for KUG 1141 in Fig. 10. The circles in the figure represent *Swift* observations, and the square represents the *XMM–Newton* observation in 2009. The ‘intensity’ is the total absorption-corrected AGN flux in the 0.01 eV–100 keV band given by the best-fitting SED models. The thermal emission from the disc in AGN is in the optical and UV bands. Therefore, we show X-ray–UV ratio, which we calculate in Section 3, in the diagram as ‘hardness’ instead of X-ray hardness as for BH transients.

As shown in Fig. 10, KUG 1141 starts from the bottom right corner of the diagram in 2009 when the UV, optical, and X-ray emission is all at a low flux level. Then the total luminosity of KUG 1141 starts increasing in 2014 while the X-ray–UV ratio still remains consistent. When the source reaches the highest X-ray flux state in 2017, a lower X-ray–UV ratio is found. The latest observation in 2019 shows that the UV and optical flux is still increasing. The current state of KUG 1141 is near the top left corner of the HID. Such a pattern is very similar to the ‘Q’-shaped HID of many BH transients in outburst.

The latest *Swift* and *NuSTAR* observation of KUG 1141 (obs 12) suggests a hard X-ray continuum of $\Gamma = 1.7$ at a high accretion rate. The combination of a hard X-ray power-law emission and strong disc thermal emission makes obs 12 of KUG 1141 a similar case to the intermediate state seen in some outbursts of BH transients. During these states, a stellar-mass BH transient often shows a modest mass accretion rate of $\lambda_{\text{Edd}} \approx 1$ per cent, and the disc thermal component

and the non-thermal power-law component of $\Gamma < 2$ make similar contribution to the X-ray emission (e.g. GRS 1716–249; Jiang et al. 2020a).

Besides, the outbursts of BH transients can last for various time-scales, e.g. weeks (e.g. *MAXI* 1659+152; Negoro et al. 2010) or years (e.g. *Swift* J1753.5–0127; Soleri et al. 2013). The corresponding lengths for a flaring AGNs scaled by BH mass are much longer than observable time-scales, e.g. $\approx 10^6$ yr. Therefore, sources like KUG 1141 can show an outburst with a significant increase of accretion rate similar to a BH transient on very short time-scales are very intriguing.

Last but not least, a persistent radio jet is often seen during the hard state observations of BH transients (e.g. Fender, Belloni & Gallo 2005) while a quenching of the radio emission is observed during the transition to the soft state (e.g. Fender et al. 1999). On contrary, KUG 1141 was not observed by the VLA FIRST survey (Wadadekar 2004), which was taken before the transition shown in Fig. 10 started. If KUG 1141 indeed shows a BH transient-like outburst, our hypothesis would predict simultaneous variability in the radio emission. A radio monitoring program for KUG 1141 in future will be able to answer the question.

6.3 Comparison to typical changing-look AGNs

Changing-look AGNs are rare cases of AGNs, where the optical continuum flux increases or decreases and the broad emission lines appear or disappear within short time-scales. In previous studies, Noda & Done (2018) suggested that some ‘changing-look’ AGNs may have strong radiation or magnetic pressure in the disc, which may shorten the state transitions in AGNs that are similar to BH transients. Ruan et al. (2019) showed that the combined $\alpha_{\text{OX}} - \lambda_{\text{Edd}}$ evolution of a sample of ‘changing-look’ AGNs show a similar shape as KUG 1141 does in Fig. 10 with a similar increase of λ_{Edd} .

All of these properties make KUG 1141 a similar case to changing-look AGNs. However, it is also important to note that KUG 1141 does not seem to change ‘look’, e.g. Sy2 to Sy1, along with the boost of accretion rate: the *XMM–Newton* observation taken in 2009 when the source was in a low- λ_{Edd} state was able to rule out a Compton-thick scenario that is commonly seen in Sy2 AGN (Risaliti, Maiolino & Salvati 1999); during the high- λ_{Edd} state, e.g. obs 12 in 2019, the X-ray spectra of KUG 1141 still remained unobscured. Besides, the Keck observation in the optical band presented in Runco et al. (2016) showed that KUG 1141 already had a typical Sy1 spectrum in 2009 during the low- λ_{Edd} state.⁷

6.4 The puzzling soft excess emission

We find strong evidence for soft excess below 1 keV only in obs 12 when KUG 1141 is in the high flux state ($\lambda_{\text{Edd}} \approx 3$ per cent). By modelling the soft excess emission with a phenomenological blackbody model (bbody), we obtain $kT = 0.1$ keV, which is similar to the value of a typical Sy1 (e.g. Gierliński et al. 1999).

Noda & Done (2018) suggest that a rising soft excess should be seen when an AGN goes through a ‘changing-look’ phase with a modest mass accretion rate, e.g. $\lambda_{\text{Edd}} \approx 1$ per cent. However, we are only able to obtain an upper limit of the soft excess emission in obs 11 taken in 2017 when the X-ray flux is the highest and the continuum

⁷Our follow-up optical observations taken by Lijiang Observatory during the high- λ_{Edd} state will be presented in the second paper of this series.

Table 4. Best-fitting warm corona and relativistic disc reflection model parameters for obs 12. The values of AGN flux are in units of 10^{-11} erg cm^{-2} s^{-1} .

Warm corona model	Parameter	Value	Reflection model	Parameter	Value
nthcomp	kT_e (keV)	$0.17^{+0.27}_{-0.06}$	relconv	q	3^{+6}_{-2}
	Γ_{warm}	$2.5^{+0.2}_{-1.3}$		i (deg)	67^{+12}_{-42}
	kT_{in} (eV)	$3.6^{+0.2}_{-0.3}$		R_{in} (r_g)	<80
simpl	norm	$(1.4 \pm 1.0) \times 10^{-4}$	reflionx	$\log(\xi/\text{erg cm s}^{-1})$	$1.7^{+0.6}_{-0.2}$
	f_{scatt}	$(1.9^{+1.0}_{-0.4}) \times 10^{-2}$		kT_{in} (eV)	5.3 ± 0.3
	Γ_{hot}	1.71 ± 0.03		Γ	$1.72^{+0.02}_{-0.03}$
diskbb	kT_{in}	Linked	simpl	norm	$(7^{+3}_{-2}) \times 10^{-3}$
	norm	$(1.2^{+0.3}_{-0.2}) \times 10^{10}$		$\log(n_e/\text{cm}^{-3})$	<20
				Γ	Linked
			diskbb	f_{scatt}	$(1.2 \pm 0.3) \times 10^{-2}$
				kT_{in}	Linked
				norm	$(4.6^{+1.2}_{-1.2}) \times 10^9$
	χ^2/ν	492.14/404		χ^2/ν	486.04/401
	$F_{\text{AGN}, 0.01 \text{ eV} - 100 \text{ keV}}$	12.0		$F_{\text{AGN}, 0.01 \text{ eV} - 100 \text{ keV}}$	10.9

is the softest. This is contrary to the example of Mrk 1018 given by Noda & Done (2018).

There are two most popular explanations for the origin of the soft excess emission in Sy galaxies: warm corona and relativistic disc reflection.

6.4.1 Warm corona

In the warm corona scenario, the Comptonization spectrum from an optically thick corona ($\tau = 10\text{--}20$) with a relatively low temperature of $kT_e < 1$ keV is used to explain the soft excess emission (e.g. Petrucci et al. 2018). This additional corona has a much lower temperature than the ‘hot corona’, and thus is called ‘warm corona’. In order to test for this model, we calculate the warm coronal emission by using the Comptonization model `nthcomp`. A disc blackbody-shaped seed photon spectrum is considered in consistency with the thermal disc component `diskbb`. The temperature parameter for the seed photon spectrum in `nthcomp` is linked to the corresponding parameter of the `diskbb` model. The total model is `tbnew * zdust * (nthcomp + simpl*diskbb)`. The best-fitting parameters are shown in Table 4, and the best-fitting model is shown in the top left panel of Fig. 11. Corresponding data/model ratio plots are shown in the bottom left panel of Fig. 11.

According to our warm corona-based SED model, the extreme UV emission (0.02 eV–0.2 keV) of KUG 1141 is dominated by the warm coronal emission. Consequently, a higher bolometric luminosity is predicted by this model ($F_{\text{AGN}} = 1.2 \times 10^{-10}$ erg cm^{-2} s^{-1} in the 0.01 eV–100 keV band corresponding to an Eddington ratio of $\lambda_{\text{Edd}} \approx 3.6$ per cent).

Similar conclusions are achieved in other warm corona-based analyses of narrow-line Sy1s (e.g. Jin et al. 2017) and ‘changing-look’ AGNs (e.g. Noda & Done 2018), where they also find the emission at longer wavelength dominated by warm coronal emission. For instance, Noda & Done (2018) modelled the variable soft excess in the ‘changing-look’ AGN Mrk 1018 with warm corona models, and concluded that the phase transition between Sy1 and Sy1.9 in Mrk 1018 is controlled by the variable warm Comptonization region. It is interesting to note that, in comparison, KUG 1141 only shows evidence for weak soft excess in obs 12 but not in obs 11 when KUG 1141 is in the highest and the softest X-ray state and a high

optical and UV flux state. We do not expect the extreme UV emission to switch completely between a thermal-dominant state to a non-thermal/warm corona-dominant state without much flux and spectral change as suggested by the warm corona model.

6.4.2 Disc reflection

In the disc reflection scenario, the soft excess is explained by reflection from the innermost region of the disc (e.g. Crummy et al. 2006; Walton et al. 2013; Jiang et al. 2019b) within only 10–20 R_g from the BH (e.g. Morgan et al. 2008; Reis et al. 2013; Chartas et al. 2017; Fabian et al. 2020). The decreasing inner radius inferred by our SED models in Section 5.2 also suggests a thin disc that is forming in the region very close to the ISCO. The soft excess may arise as part of the reflection spectrum from the inner disc.

In order to test for reflection models, we replace the `bbbody` model with an extended version of the ionized plasma model `reflionx` (Ross & Fabian 1993). The illuminating spectrum of `reflionx` is calculated using `nthcomp`. A variable disc seed photon temperature is considered and linked to the inner temperature of the disc kT_{in} (Jiang et al. 2020b). The convolution model `relconv` is used to account for relativistic effects (Dauser et al. 2013). The best-fitting parameters are shown in the last column of Table 4, and the best-fitting model is shown in the top right panel of Fig. 11. Corresponding data/model ratio plots are shown in the lower panel.

The relativistic reflection model provides an equally good fit as the warm corona model with $\Delta\chi^2 = 6$ and three more free parameters. The inclination angle of the disc is consistent with either a face-on ($i \approx 25^\circ$) or a high-inclination ($i \approx 79^\circ$) scenario within a 90 per cent confidence uncertainty range. Due to the limited signal to noise, we are only able to obtain an upper limit of the inner radius of the disc ($R_{\text{in}} < 80 r_g$), which is statistically consistent with measurements in Section 5.2.

In comparison with the warm corona model, the reflection model indicates stronger disc thermal emission in the optical and UV band (see the top two panels in Fig. 11). The properties of the thermal disc component and the up-scattering fraction in the hot coronal region are consistent with the results obtained by modelling the soft excess with a blackbody model as in Section 5. A similar Eddington ratio of $\lambda_{\text{Edd}} \approx 3.2$ per cent is also obtained.

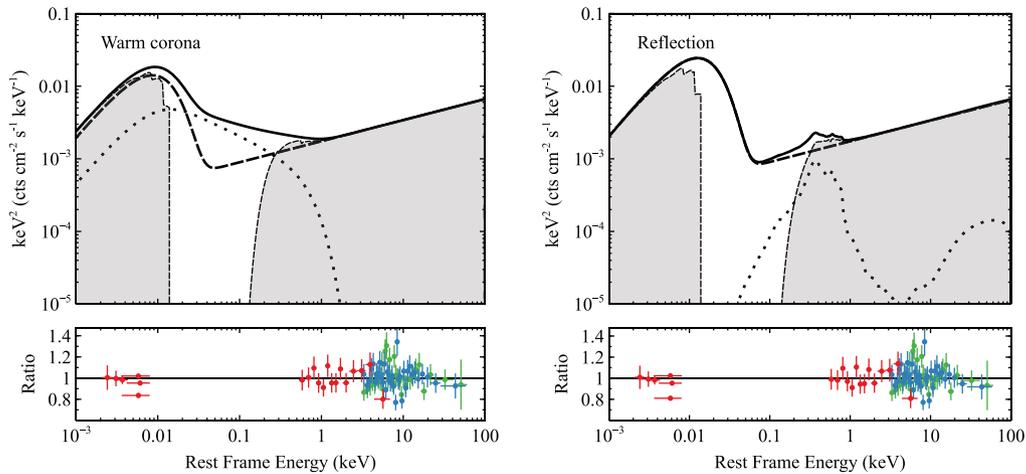


Figure 11. Top panels: Best-fitting warm corona-based (left) and reflection-based (right) SED models for obs 12. Grey shaded regions: total SED models; black solid lines: unabsorbed total models; the dotted line in the left-hand panel: the warm corona emission (`nthcomp`); the dotted line in the right-hand panel: the best-fitting relativistic disc reflection component; dashed lines: the disc thermal emission and the hot coronal emission (`simplcut*diskbb`). The best-fitting warm corona model suggests that the extreme UV emission from the AGN of KUG 1141 is dominated by warm coronal emission. Bottom panels: Data/model ratio plots using the corresponding SED model in the top panel.

The apparent absence of broad Fe K emission line in the data does not rule out of the disc reflection scenario especially when the soft excess emission is shown (e.g. Gallo 2006; García et al. 2019; Jiang et al. 2020b). They might be related to, e.g. (1) a low reflection fraction (f_{refl}); (2) strong relativistic effects; (3) certain properties of the disc, e.g. ionization and density; and (4) limited signal to noise of the data in the iron band.

In the case of KUG 1141, it is important to note that our best-fitting reflection model suggests a low- f_{refl} scenario during obs 12 of KUG 1141 despite the clear evidence of soft excess emission. For instance, the reflection component only takes 2 per cent of the total flux in the iron band (4–8 keV). A similar f_{refl} is shown in the hard X-ray band (20–30 keV), where the Compton hump is shown. Therefore, the lack of clear evidence for broad Fe K emission line is possibly caused by the low- f_{refl} nature of the source during obs 12 and the limited signal to noise of the data. Observations by future missions in soft X-rays, e.g. *Athena*, in combination with high-sensitivity hard X-ray mission, e.g. *HEX-P*, will provide a unique opportunity to constrain the broad Fe K emission line and the Compton hump in a low- f_{refl} scenario as in KUG 1141.

7 CONCLUSIONS

In this work, we present multi-epoch X-ray spectral analysis and SED modelling of an Sy1 called KUG 1141. KUG 1141 shows a simultaneous steady flux increase in the optical and UV bands since 2009. For instance, the UVW1 flux of the AGN in KUG 1141 has increased by more than one order of magnitude during the latest *Swift* observation on 2019 December 26 compared to the observation in 2009. It is interesting that the optical–UV continuum becomes flatter at a higher flux state, which can be explained by an increase of the temperature and the accretion rate in the disc.

The X-ray luminosity of KUG 1141 shows a steady increase by one order of magnitude from 2007 to 2017 as well. The broad-band HID of KUG 1141 in the last decade is very similar to the X-ray HID of stellar-mass BH transients in outburst. Such a rapid boost of mass accretion rate makes KUG 1141 a very interesting source. By modelling multiwavelength SEDs, the luminosity of

KUG 1141 increases from 0.6 per cent of the Eddington limit in 2009 to 3.2 per cent in 2019. Along with the rapid increase of mass accretion rate, a possible decreasing inner radius of the disc is suggested by our SED models. We only find obvious evidence of soft excess in the latest observation in 2019, during which KUG 1141 has an Eddington ratio of > 3 per cent and the UV and optical flux is shown to be the highest.

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DATA AVAILABILITY

The data underlying this article are available in the High Energy Astrophysics Science Archive Research Center (HEASARC), at <https://heasarc.gsfc.nasa.gov>.

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APPENDIX A: ERROR ESTIMATION ON THE UVOT PHOTOMETRIC MEASUREMENTS

Here, we briefly introduce our methods on the error estimation for the UVOT photometric measurements. Given that the image decomposition procedures are only implemented on the optical images, we take two different strategies for UV and optical data, respectively.

Table A1. The estimated flux density for the host galaxy in three optical bands. The flux densities are in units of mJy. For *U* band, we do not take the results for the second UVOT observation (Obs ID. 00037565002) due to the poor data quality (the parameters in the exponential disc cannot be well constrained).

Obs no.	$F_{v,\text{gal}}, V$	$F_{v,\text{gal}}, B$	$F_{v,\text{gal}}, U$
4	2.95	1.44	0.35
6	2.50	1.67	–
7	2.42	1.57	0.37
8	2.10	1.10	0.25
9	2.41	1.25	0.38
10	2.15	1.17	0.28
11	2.68	1.18	0.38
12	2.72	1.25	0.36
$\overline{F_{v,\text{gal}}}$	2.49	1.33	0.34
$\sigma(F_{v,\text{gal}})$	0.10	0.07	0.02

Table A2. The standard deviation of the AGN + host galaxy emission flux density, $\sigma(F_{v,\text{all}})$, in the three optical bands for different UVOT observations. The flux densities are in units of mJy.

Obs no.	$\sigma(F_{v,\text{all}}), V$	$\sigma(F_{v,\text{all}}), B$	$\sigma(F_{v,\text{all}}), U$
4	0.11	0.05	0.02
6	0.13	0.06	0.03
7	0.16	0.07	0.04
8	0.12	0.06	0.03
9	0.11	0.05	0.03
10	0.15	0.07	0.03
11	0.14	0.07	0.05
12	0.15	0.08	0.06

For the photometric uncertainties in three UV filters (UVW1, UVM2, and UVW2), we simply adopt the measurements returned by the UVOTSOURCE tool, including both systematic and statistical errors. The systematic errors mainly refer to the uncertainties on the zero-point and the conversion from counts to flux (depending on the assumed spectral shape), and are added in quadrature to the statistical errors in the calculation of the total errors (Poole et al. 2008; Breeveld et al. 2010).

The estimation of the uncertainties for AGN fluxes at longer wavelengths (*V*, *B*, and *U* filters) are addressed in a different way, as the errors in the image decomposition procedure needs to be taken into account. One of the highlights in our work is that a similar

image decomposition procedure was carried out on the same object in multiple observations. Therefore, it is doable to obtain an error of the host galaxy flux from (some of) these measurements and assess the error of the AGN flux through the ‘error propagation formula’.

A straightforward relation between the observed flux is that

$$F_{\text{AGN}} = F_{\text{all}} - F_{\text{gal}} - F_{\text{back}} \quad (\text{A1})$$

in which F_{all} is the flux for the whole galaxy, including the AGN emission F_{AGN} , the host starlight F_{gal} and the background F_{back} . With the error propagation formula we can get

$$\sigma(F_{\text{AGN}}) = \sqrt{\sigma(F_{\text{all}})^2 + \sigma(F_{\text{gal}})^2}, \quad (\text{A2})$$

note that $\sigma(F_{\text{back}})$ can be neglected. Therefore, $\sigma(F_{\text{AGN}})$ can be obtained by calculating $\sigma(F_{\text{all}})$ and $\sigma(F_{\text{gal}})$.

$\sigma(F_{\text{gal}})$ is estimated from the multiple image fitting results. We can get the averaged flux density and its standard deviation at the (observed) frequency ν , by

$$\overline{F_{v,\text{gal}}} = \frac{\sum_{i=1}^N F_{v,\text{gal}}(i)}{N}, \quad (\text{A3})$$

$$\sigma(\overline{F_{v,\text{gal}}}) = \sqrt{\frac{\sum_{i=1}^N (F_{v,\text{gal}}(i) - \overline{F_{v,\text{gal}}})^2}{N \times (N - 1)}}. \quad (\text{A4})$$

Table A1 lists the photometry for the host galaxy starlight for the eight UVOT observations in three optical bands, as well as the averaged flux densities and 1σ errors.

$\sigma(F_{\text{all}})$ is estimated by using the UVOTSOURCE tool. Compared to the aperture utilized in the UV bands (5 arcsec), a larger aperture of 15 arcsec is employed. The results are listed in Table A2. With equation (A2), the errors of the AGN emission in three optical bands can be obtained. The photometric uncertainties utilized in the spectral fitting are summarized in Table A4, containing those in all the six UVOT filters.

One thing should be pointed out that above method for the error estimation of the optical data is based on the premise that the model employed in the image fitting, i.e. ‘PSF + exponential-disc + background’ is the *true* model for the description of the light distribution of KUG 1141. Therefore, the estimated errors does not contain those arising from the deviations of the model from the reality, i.e. an extra component may need to be included, such as a bulge/bar. Due to the low spatial resolution of the UVOT images, to thoroughly address this issue is beyond the scope of this paper.

Table A3. The AGN flux density utilized in the spectral fitting, F_{AGN} , in all six UVOT filters. The flux densities are in units of mJy.

Obs no.	$F_{v,\text{AGN}}, V$	$F_{v,\text{AGN}}, B$	$F_{v,\text{AGN}}, U$	$F_{v,\text{AGN}}, \text{UVW1}$	$F_{v,\text{AGN}}, \text{UVM2}$	$F_{v,\text{AGN}}, \text{UVW2}$
4	0.78	0.47	0.25	0.19	0.13	0.12
6	0.74	0.45	0.49	0.37	0.27	0.24
7	0.88	0.46	0.42	0.35	0.27	0.24
8	0.64	0.51	0.48	0.36	0.26	0.24
9	0.88	0.47	0.48	0.37	0.26	0.23
10	1.26	1.14	1.07	0.79	0.62	0.56
11	1.35	1.21	1.45	1.24	1.07	1.01
12	1.59	1.53	1.64	1.59	1.21	1.23

Table A4. The standard deviation of the AGN flux density utilized in the spectral fitting, $\sigma(F_{\text{AGN}})$, in all six UVOT filters. The flux densities are in units of mJy.

Obs no.	$\sigma(F_{v,\text{AGN}}), V$	$\sigma(F_{v,\text{AGN}}), B$	$\sigma(F_{v,\text{AGN}}), U$	$\sigma(F_{v,\text{AGN}}), \text{UVW1}$	$\sigma(F_{v,\text{AGN}}), \text{UVM2}$	$\sigma(F_{v,\text{AGN}}), \text{UVW2}$
4	0.15	0.09	0.03	0.01	0.01	0.01
6	0.17	0.09	0.04	0.02	0.01	0.01
7	0.19	0.10	0.04	0.01	0.02	0.01
8	0.16	0.09	0.03	0.02	0.01	0.01
9	0.15	0.09	0.03	0.02	0.01	0.01
10	0.18	0.10	0.04	0.04	0.02	0.02
11	0.17	0.10	0.05	0.05	0.03	0.03
12	0.18	0.11	0.06	0.06	0.04	0.04

Table B1. Observed X-ray flux values in units of $\text{erg cm}^{-2} \text{s}^{-1}$.

Obs no.	$\log(F_{0.5-7 \text{ keV}})$	$\log(F_{0.5-2 \text{ keV}})$	$\log(F_{2-7 \text{ keV}})$
1	-11.79 ± 0.06	-12.22 ± 0.06	-11.99 ± 0.09
2	-11.79 ± 0.05	-12.23 ± 0.05	-11.99 ± 0.07
3	-11.71 ± 0.05	-12.19 ± 0.05	$-11.89^{+0.07}_{-0.08}$
4	-11.49 ± 0.08	-11.89 ± 0.08	$-11.72^{+0.13}_{-0.16}$
5	$-11.616^{+0.015}_{-0.006}$	-11.997 ± 0.012	-11.85 ± 0.02
6	-11.25 ± 0.06	-11.62 ± 0.05	-11.49 ± 0.09
7	-11.22 ± 0.06	-11.62 ± 0.05	-11.45 ± 0.09
8	$-11.35^{+0.05}_{-0.06}$	-11.79 ± 0.05	-11.54 ± 0.09
9	-11.35 ± 0.05	-11.76 ± 0.05	-11.57 ± 0.07
10	$-11.06^{+0.04}_{-0.05}$	-11.46 ± 0.04	-11.28 ± 0.08
11	-10.92 ± 0.06	-11.22 ± 0.06	$-11.23^{+0.11}_{-0.12}$
12	-11.08 ± 0.02	-11.43 ± 0.02	-11.33 ± 0.04

APPENDIX B: ADDITIONAL INFORMATION OF X-RAY AND SED ANALYSIS

Detailed X-ray flux extracted from all the epochs is shown in Table B1 and visualized in Fig. 2. Data/model ratio plots for the *Swift* observations of KUG 1141 using an absorbed power law are shown in Fig. B1. The best-fitting SED parameters for obs 4–12 are listed in Table B2 and visualized in Fig. 9.

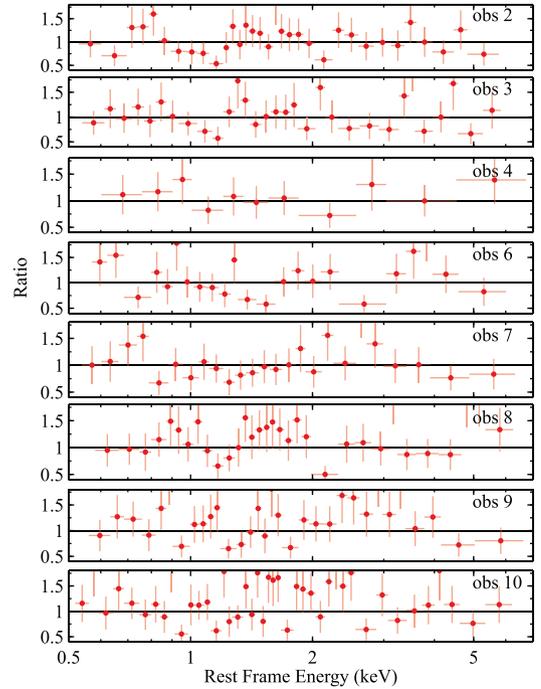

Figure B1. Data/model ratio plots for *Swift* XRT spectra of KUG 1141 using an absorbed power-law model. The ratio plots for obs 1, 5, 11, and 12 can be found in Figs 4, 5, and 7.

Table B2. The best-fitting SED model parameters for obs 4–12.

Obs no.	kT_{in} (eV)	diskbb norm (10^{10})	Γ	f_{scatt} (10^{-2})	F_{tot}^a (10^{-11} erg cm $^{-2}$ s $^{-1}$)	χ^2/ν
4	2.0 ± 0.2	$2.6_{-0.6}^{+0.8}$	1.7 ± 0.2	$2.7_{-0.4}^{+0.5}$	2.0	19.13/13
5	$2.1_{-0.3}^{+0.2}$	$1.9_{-0.3}^{+0.4}$	1.70 ± 0.04	$2.1_{-0.4}^{+0.3}$	1.7	157.87/164
6	2.8 ± 0.3	$0.8_{-0.2}^{+0.3}$	1.70 ± 0.04	$4.9_{-0.5}^{+0.6}$	3.2	44.68/25
7	$2.5_{-0.2}^{+0.3}$	$1.2_{-0.4}^{+0.6}$	1.67 ± 0.18	$4.0_{-0.4}^{+0.5}$	3.4	27.59/27
8	$2.9_{-0.2}^{+0.3}$	0.7 ± 0.2	1.52 ± 0.18	2.2 ± 0.2	3.4	48.23/34
9	$2.8_{-0.2}^{+0.3}$	$0.7_{-0.2}^{+0.3}$	1.62 ± 0.06	$2.7_{-0.2}^{+0.3}$	3.5	49.22/34
10	2.7 ± 0.1	$0.8_{-0.3}^{+0.4}$	1.65 ± 0.11	2.8 ± 0.2	6.3	60.88/44
11	$4.8_{-0.4}^{+0.5}$	$0.5_{-0.3}^{+0.4}$	2.0 ± 0.2	$9.3_{-0.4}^{+0.5}$	9.0	43.55/19
12	$5.3_{-0.3}^{+0.4}$	0.5 ± 0.2	1.71 ± 0.03	1.5 ± 0.2	10.9	489.81/405

^aThe flux of the AGN emission is calculated using the best-fitting model in the 0.01 eV–100 keV band.

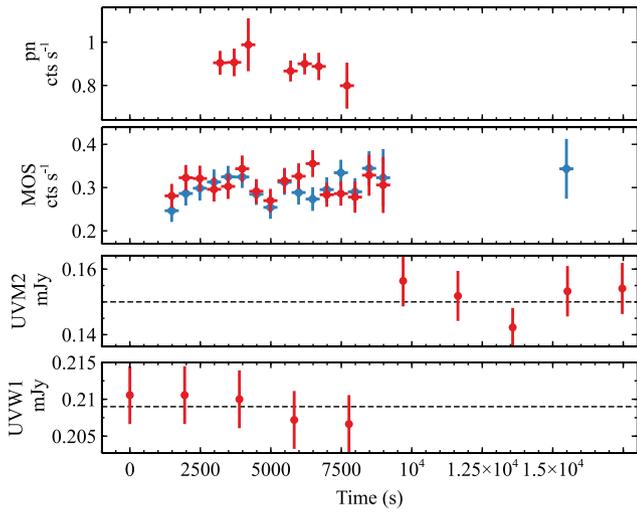


Figure C1. X-ray (0.5–10 keV, top two panels) and UV (UVW1, UVM2, bottom two panels) light curves extracted from the archival *XMM–Newton* observation (obs 5) of KUG 1141. The gaps in the X-ray light curves are due to high flaring particle background. The X-ray light curves are in 500 s bin. The red crosses in the second panel show the MOS1 light curve and the blue crosses show the MOS2 light curve. The UV light curves show the flux given by each OM exposure. The dashed lines in the bottom panels show the mean observed flux during this observation.

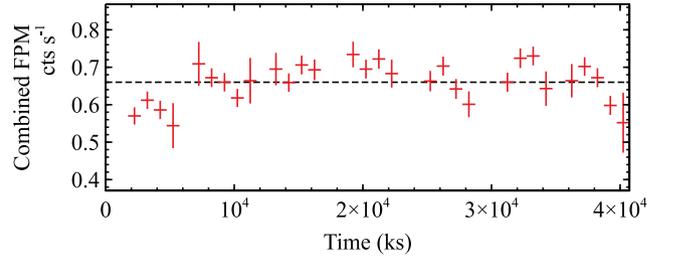


Figure C2. A 0.5–60 keV light curve extracted from the *NuSTAR* (obs 12) of KUG 1141. The dashed line shows the averaged combined FPM count rate.

APPENDIX C: SHORT-TERM VARIABILITY ON KILO-SECOND TIME-SCALES

Figs C1 and C2 show the *XMM–Newton* and *NuSTAR* light curves of KUG 1141 extracted from obs 5 and 12. The gaps in the EPIC light curves are due to high flaring particle background. Both the X-ray and UV emission remains at a consistent flux level within this observation. This suggests that the multiwavelength flux increase shown in Fig. 2 is not due to the lack of frequent monitoring of a very fast intrinsic AGN variability on time-scales of kiloseconds.

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