

Oxygen and carbon isotopic compositions of gases respired by humans

(isotopic fractionation/hemoglobin levels/lung membrane diffusion/atmospheric oxygen)

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ABSTRACT Oxygen-isotope fractionation associated with respiration in human individuals at rest is linearly related to the fraction of the O₂ utilized in the respiration process. The slope of this relationship is affected by a history of smoking, by vigorous exercise, and by the N₂/O₂ ratio of the inhaled gas. For patients who suffer anemia-related diseases, the slope of this relationship is directly proportional to their level of hemoglobin. These results introduce a new approach for studying the mechanisms of O₂ consumption in human respiration and how they are affected by related diseases.

The preferential use of the ¹⁶O isotope in respiration was known from the early work of Dole and Jenks (1), Lane and Dole (2), and Dole (10), from their analysis of gas samples involved in respiration by plants and by one sample from a human individual. However, this early work was primarily aimed at explaining why atmospheric O₂ is enriched in ¹⁸O compared to the oxygen in the hydrosphere.

In this paper we present a study of the isotopic fractionation of O₂ associated with the respiration by humans. We analyzed breath samples from people of different sexes, ages, and weights, as well as samples from people who suffered anemia-related diseases. We determined the relative proportions of O₂, N₂, and CO₂ gases in the breath samples, as well as the isotopic composition of oxygen in both O₂ and CO₂ and carbon in the CO₂ gases. The isotopic analyses are reported as:

$$\delta^{18}\text{O} = \left(\frac{^{18}\text{O}/^{16}\text{O} (\text{sample})}{^{18}\text{O}/^{16}\text{O} (\text{standard})} - 1 \right) \times 1000.$$

For ^δ¹³C, the ¹⁸O/¹⁶O ratios are substituted by the ¹³C/¹²C ratio. The precision of measurement is ±0.05‰ (part per thousand).

Although in this paper we deal only with human respiration, the techniques we developed here as well as the results we obtained should be applicable to studies of the mechanisms of oxygen-isotope fractionation involved in the different members of the terrestrial and marine biota. One of the objectives of this work was to provide a basis for future clinical investigations of problems relating to consumption of O₂ by humans as well as to contribute to studies of the causes of the ¹⁸O enrichment of atmospheric O₂.

EXPERIMENTAL

The experimental procedures are based on well-established techniques (3-5). Our subjects inhaled atmospheric air, whose O₂ concentration and isotopic composition are accurately known. They held their breath from between 10 and 60

sec and exhaled some of the air into a balloon. A fraction of the air in the balloon was quickly transferred to a glass volume sealed by two stopcocks. A measured aliquot of this sample was transferred into a vacuum line for separation into its different components for volumetric and isotopic analyses. In some cases the latter part of the exhaled air was selected for analyses to maximize the fraction of the O₂ used in the respiration process.

The respired CO₂ and H₂O was extracted by cycling a 20- to 30-cm³ aliquot of the exhaled air for about 15 min through a liquid nitrogen-cooled trap. This process isolated the condensable CO₂ and H₂O from the noncondensable gas in the transpired sample. The CO₂ was released by warming the trap in a dry-ice bath and was transferred into a sample tube for manometric and isotopic measurements. The H₂O was pumped away. The air O₂ was converted to CO₂ by cycling the remaining CO₂/H₂O-free aliquot of the exhaled air over a carbon rod that was heated to red heat by passing current through it (see Fig. 3) (5). Upon formation, the CO₂ was frozen out in liquid nitrogen-cooled traps and isolated for manometric and oxygen-isotope analyses. The kinetics of the O₂-to-CO₂ reaction was tested on air samples, whose concentration and ^δ¹⁸O content of O₂ is well known, to determine the best conditions for complete and rapid conversion of O₂ to CO₂. Incomplete conversion can cause serious errors in the yields and in the isotopic data. An incomplete conversion of exhaled air O₂ to CO₂ is usually due to the formation of noncondensable CO near the end of the reaction. Consequently the residual noncondensable air fraction, which should consist almost entirely of N₂ and be free of any oxygen-containing compounds, was tested for the presence of CO by circulating it over cupric oxide at 850°C. When CO was present, it was converted to condensable CO₂, which could be isolated and measured precisely. Its presence in the N₂ fraction indicates that the original conversion of the O₂ to CO₂ in the exhaled sample was incomplete. Such samples were discarded, and the experiment was repeated. Actually, only upon rare occasions was it necessary to discard such a sample. In summary, the O₂ and the expired CO₂ present in the aliquots of the exhaled breath sample were both analyzed separately for their volume and ^δ¹⁸O values. The ^δ¹³C was also determined for the expired CO₂.

The oxygen-isotope fractionation associated with the respiration processes was determined by plotting the fraction (*X*) of the inhaled O₂ used in respiration against the ^δ¹⁸O of the unreacted O₂. The value of *X* was calculated in the following way.

For the aliquot of an exhaled sample: $X = 1 - A/A^\circ$, where *A* is the volume of O₂ in the aliquot of the expired air, and *A*[°] is the volume of the initial O₂ in the aliquot of inhaled air. Since the ratio of N₂/O₂ in the atmosphere is 3.76, $A^\circ = B/3.76$, where *B* is the volume of N₂ in the aliquot of the respired sample.

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Now $B = V - (A + C)$, where V is the total volume of the aliquot of expired air from which the H_2O was removed, and C is the volume of CO_2 in the exhaled sample. Thus $A^\circ = [V - (A + C)]/3.76$.

Therefore, $X = 1 - [A(3.76)]/[V - (A + C)]$. The quantities C , A , and V are measured. Consequently X is calculable. The value of X also may be calculated as X_1 , where: $X_1 = 1 - A/(A + C)$. However, the value of X_1 is lower and less accurate because some of the O_2 is used in the production of H_2O , and the volume of CO_2 in an expired sample is not equivalent to the volume of O_2 taken up in the blood. The difference between X and X_1 is actually small but variable, depending upon the experiment. We used X_1 only in the case when air samples enriched in O_2 were used in the respiration experiments.

RESULTS AND DISCUSSION

The Relationship Between $\delta^{18}O$ of O_2 in Respired Air and X ; the z Value. The change in the isotopic composition of O_2 as a function of the amount used during respiration was determined for 14 normal, healthy volunteers (Table 1), who varied in age between 6 and 64 years. Straight-line relationships with characteristic slopes were obtained for each of the people involved (Fig. 1). This relationship for a specific person was obtained by using exhaled breath samples taken at random times, each exhaled sample representing a single point on the line. The longer the subject held his breath after inhaling, the larger the fraction of the inhaled O_2 that was used and the higher the $\delta^{18}O$ of the O_2 in the expired sample.

The data points are not included in Fig. 1 because it would complicate the graphs too much by some overlapping points. However, a representative curve, which includes the data points taken as described above for subject 5, is shown in Fig. 2. Included in this figure are the data obtained for six consecutive samples (1-5, 10) from a single exhalation. As expected, the first aliquot was the least depleted in O_2 ; the last sample was the most depleted in its O_2 and had the highest $\delta^{18}O$ value. These graphs (Figs. 1 and 2) show that it is almost irrelevant how the exhaled breath is sampled to preserve the characteristic line for a person, as long as the subject is not performing strenuous exercise (see below).

The lines shown in Fig. 1 are the least squares-calculated curves of the data using Eq. 1:

$$z = (y - b)/X, \tag{1}$$

in which y is the $\delta^{18}O$ of the O_2 in the exhaled sample, X is the fraction of the inhaled O_2 that is used in respiration, and b is

Table 1. The vital statistics of participants in respiration experiment

Participant	Years smoked	Age	Sex	Height, cm	Weight, kg
1	13	43	M	176	90
2	22	40	M	185	93
3	9	27	F	168	52
4	12	33	M	176	82
5	32*	63	M	173	82
6	5	22	F	172	55
7	25	45	F	168	58
8	0	6	M	112	19
9	0	52	F	155	57
10	0	35	M	189	86
11	0	26	M	191	91
12	0	30	F	166	52
13	0	28	F	170	61
14	0	30	F	167	59

*Smoked for 32 years; stopped 10 years ago.

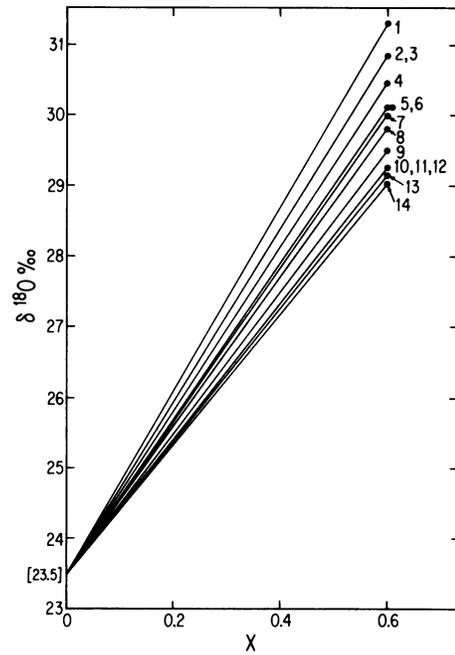


FIG. 1. Relationship between $\delta^{18}O$ of O_2 in the exhaled breath samples and the fraction of the inhaled O_2 utilized (X). The curves represent the least-squares line for the data for each subject (see Table 2). The $\delta^{18}O$ of the atmospheric oxygen is 23.5‰. The relationship was measured for 14 subjects, but the curves for some of the subjects were the same within experimental error. Consequently, only one curve was drawn to represent these subjects (e.g., 2 and 3, 5 and 6, and 10, 11, and 12).

the $\delta^{18}O$ of the atmospheric O_2 inhaled. The value of z indicates the magnitude of the oxygen-isotope fractionation associated with the respiration of any particular person. The values for z and σ , the standard deviation, are given in Table 2.

Three interesting aspects of the oxygen-isotope fractionation in human respiration are: (i) humans preferentially use ^{16}O in respiration and affect the $^{18}O/^{16}O$ ratio of atmospheric O_2 , confirming the initial, but less accurate, preliminary work of Lane and Dole (2); (ii) the relationship between the $\delta^{18}O$ of the O_2 in the respired sample of air and the fraction of O_2 used (X) is linear; and (iii) the isotopic fractionation associated with respiration in humans varies significantly between individuals.

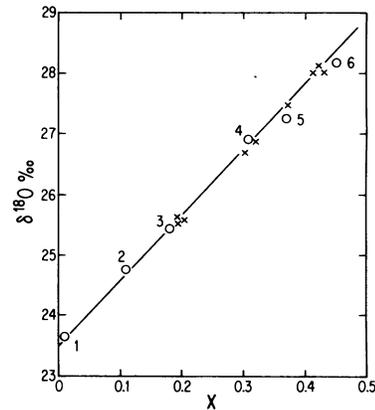


FIG. 2. Relationship as in Fig. 1 for subject 5. The x points represent different exhaled samples; the longer the time the breath was held, the higher were the X and $\delta^{18}O$ values. The data represented by circles numbered 1-6 are samples from a single exhalation. They are numbered in the succession they were taken.

Table 2. The fractionation of oxygen isotopes per fraction of O₂ used and the δ¹⁸O and δ¹³C of the respired CO₂

Participant	Respired O ₂		Respired CO ₂	
	z‰	σ‰	δ ¹⁸ O‰	δ ¹³ C‰
1	13.0	0.04		
2	12.2	0.05	-5.4	-19.6 to -22.6
3	12.2	0.09	-6.8	-21.9 to -22.0
4	11.6	0.09	-5.6	-20.0 to -23.0
5	11.0	0.14	-5.4	-22.4 to -23.0
6	11.0	0.06		
7	10.8	0.04		
8	10.5	0.23	-4.3	-20.0 to -22.5
9	10.0	0.04	-7.4	-21.8 to -23.2
10	9.6	0.12	-6.1	-21.6 to -22.6
11	9.6	0.07	-6.4	-18.7 to -19.8
12	9.6	0.05	-4.9	-22.0 to -23.0
13	9.4	0.05	-4.9	-22.0 to -23.5
14	9.2	0.12	-5.7	-21.0 to -23.5

σ is the standard deviation. The number of data, per participant, ranged between 5 and 17. z = (δ¹⁸O of O₂ in the exhaled gas - 23.5)/X, where X is the fraction of the atmospheric O₂ used and 23.5 is the δ¹⁸O of atmospheric O₂.

A number of experiments were performed to determine some of the nonbiological factors affecting the degree of oxygen-isotope fractionation during respiration.

Isotopic Fractionation in Combustion of Graphite. Basically O₂ is consumed by humans for oxidation of organic matter. It was of interest to ascertain if some very simple experiments, such as the oxidation of carbon, could provide some information useful for evaluation of the factors that govern the isotopic effects observed in Fig. 1. By using the apparatus shown in part in Fig. 3, samples of pure O₂ of known δ¹⁸O values and atmospheric O₂ samples were converted into CO₂ to various degrees of completion. The resulting CO₂ was analyzed for CO₂ yields and δ¹⁸O values. With these data and a simple material balance, the yield and δ¹⁸O of the unreacted oxygen were calculated, and plots similar to those for the respired samples shown in Fig. 1 were constructed.

Two types of experiments were performed. In one case the oxygen or air samples were circulated over a hot graphite rod by means of a Toepler pump shown in Fig. 3. The heated graphite rod was in a glass trap that represented about one-fourth of the total volume of the system. It was immersed in liquid N₂ to freeze out the CO₂ as it formed. In the other case, the total reacting gas was confined in the trap containing the heated graphite. Under these circumstances, the gas circulated because of the strong temperature gradient established

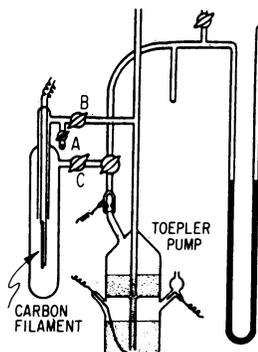


FIG. 3. Toepler pump apparatus, when connected to the rest of the vacuum line, circulates a sample of air over the hot carbon filament to convert the O₂ in the air quantitatively to CO₂. A is a short tube that contains a molecular sieve that allows the transfer by cooling of the original CO₂/H₂O-free aliquot of air sample or pure O₂ to the trap volume within stopcocks A, B, and C.

between the heated graphite in the center of the trap and the cold walls. This rapid circulation decreases the effect of diffusion of the O₂ through the N₂ to the location of the hot carbon, and the collision rate of the O₂ on the surface of the hot graphite probably controls the initial isotopic fractionation.

The relation between the δ¹⁸O of the unreacted O₂ and X, the fraction of the O₂ reacted, for pure O₂ and for air is shown in Fig. 4. For the purpose of comparison, the δ¹⁸O of the initial O₂ in all experiments was normalized to a value of 23.5‰.

The results in Fig. 4 show that the δ¹⁸O of the pure O₂ varies most strongly with X (curve 1). This reaction, in the confined volume of the trap, shows the most simple relationship described by the Rayleigh equation:

$$\frac{(^{18}\text{O}/^{16}\text{O})_s}{(^{18}\text{O}/^{16}\text{O})_o} = \frac{1000 + \delta^{18}\text{O}_s}{1000 + \delta^{18}\text{O}_o} = f^{(\alpha-1)}$$

The δ¹⁸O of the residual O₂ (s), which has not reacted as compared with the δ¹⁸O of the initial O₂ (o), is equal to the fraction of the O₂ unreacted (f) to the power of α - 1, where α is the fractionation factor for this reaction. Note that in our terminology, X = 1 - f.

For pure O₂ gas, we calculate α = 1.031, which is equal to (34/32)^{1/2}, indicating that the fractionation could be due to the collision frequency of the oxygen with the graphite rod.

In the case of the atmospheric gas (21% O₂), where the gas involved in the reaction is in the confined volume of the trap (Fig. 4, curve 2), the initial oxygen-isotope fractionation of 1.031 is similar to that for pure O₂, but decreases as the O₂ is removed from the air and the N₂/O₂ ratio increases. The value of z (Eq. 1) is 31‰. The reaction that gives the lowest fractionation factor associated with the combustion of carbon involves the circulation of the air through the Toepler pump and trap system (Fig. 4, curve 3). In this case, the value of z (Eq. 1) is 18.5‰ and is governed by the presence of a high N₂/O₂ ratio. The initial oxygen-isotope-fractionation factor for the human respiration is only ≈1.013, and the z value is 13‰ (Fig. 4, curve 4).

A comparison of the δ¹⁸O vs. X plot for a respired sample with those obtained from the combustion of carbon provides some useful hints on the factors that govern the isotopic

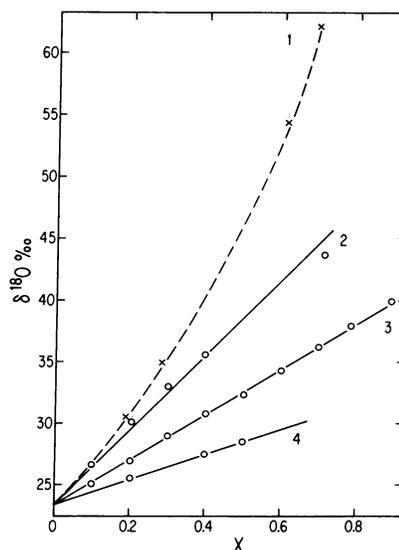


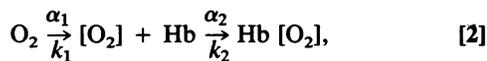
FIG. 4. Relationship between δ¹⁸O and X curves: 1, the conversion of pure O₂ to CO₂ in the confined volume of the trap (the x points are the data, and the broken line is the calculated relationship with the Rayleigh equation); 2, the same experiment as 1, using air as the starting material; 3, circulation of air through the vacuum line and over the graphite; 4, subject 5 from Fig. 2 for comparison.

fractionation found in the respiration process. The condition most similar to O₂ uptake in the lung is probably represented by curve 2 in Fig. 4, where the oxidation was carried out in a confined volume containing the total air sample and the hot graphite rod. Like O₂ in the lung, the O₂ in experiment 2 of Fig. 4 is in continuous contact with the site of O₂ uptake (the graphite rod). However, the oxygen-isotope fractionation observed for the respired samples (Fig. 4, curve 4) is lower than any of those observed for the graphite oxidation. This fact alerts us to the possibility that, in the respiratory process, factors other than simple oxidation of organic matter affects oxygen-isotope fractionation.

The data in Fig. 4 point out the importance of the N₂/O₂ ratio in affecting oxygen-isotope fractionation—namely, the higher the N₂/O₂ ratio is, the lower the isotopic fractionation. This effect was found in human respiration as well (Fig. 5). The slope of the curve of the δ¹⁸O vs. *X* relationship increases by a factor of 2, reminiscent of the fractionation factor observed for the combustion of graphite with pure O₂.

A Mechanism for Isotopic Fractionation in Air-Hemoglobin Interaction. It is well known that respiration in humans is a multistep process. O₂ in the alveoli diffuses through two membranes into the pulmonary capillaries and then into the blood cells where it reacts with the hemoglobin. There are various diffusion steps, as well as chemical steps, that may be responsible for the fractionation of the oxygen isotopes. Our experiments may be useful to identify the steps in the respiration process that are critical in determining the magnitude of the oxygen-isotope fractionation.

Let us consider the simplest type of multistep mechanism, whereby the oxygen goes through a two-step process in the production of CO₂. The two-step process could involve oxygen diffusing through the pulmonary membranes with a rate constant *k*₁, followed by the reaction of O₂ with the hemoglobin with a rate constant *k*₂, followed by other reactions to form CO₂.



in which α is the fractionation factor and *k* represents relative rates. Let us suppose that these two steps have different kinetic oxygen-isotope fractionations (α₁ and α₂, respectively). The oxygen-isotope fractionation of the total process depends on the relative rates of steps *k*₁ and *k*₂. If *k*₁ is the slow step and, thus, the rate-controlling one for the total respiration process, the oxygen-isotope-fractionation factor associated with step 1 (α₁) will be primarily responsible for the overall oxygen-isotope-fractionation factor in the respiration process. Thus, this step will determine the total oxygen-

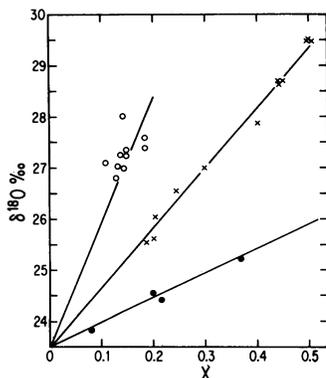


FIG. 5. Comparison of the δ¹⁸O versus *X* plot for the breath samples of subject 4 (Fig. 1) at rest (×), with air enriched in O₂ (○), and during vigorous exercise (●). Note the high *z* values for the enriched-O₂ case and the low *z* values for the vigorous exercise case.

isotope fractionation that we observe. Similarly, if step *k*₂ is the rate-controlling step, then the fractionation factor α₂ will determine the overall fractionation for the O₂ utilization. In the first case, once the O₂ passes through the membranes, the O₂ will be used rapidly and completely; thus, no isotopic fractionation will take place in the second step. In the second case, O₂ will simply diffuse back and forth through the membranes destroying the diffusion-fractionation effect. If the rates for step *k*₁ and step *k*₂ are comparable, then the total fractionation factor will be determined by a combination of α₁ and α₂. If this simple model is useful, then it should account for the magnitude of the oxygen-isotope-fractionation factors of respiration as well as suggest experiments whose results could be predicted based on this simple model.

Our data in Tables 1 and 2 show that those people who smoke have a significantly higher fractionation factor than the nonsmokers have. Of the 14 subjects tested, those who smoke have *z* values greater than 10.5 ‰ and those who do not smoke have *z* values less than 10.5 ‰. This observed effect is well beyond experimental error. The higher fractionation factor could be due to damage of membranes and, presumably because of this, greater difficulty for O₂ to diffuse through these membranes (6). This should result in a slower *k*₁ step (Eq. 2). The slower the rate, the larger the effect of step *k*₁ in determining the total oxygen-isotope fractionation. If α₁ is greater than α₂, then the effect of smoking on the oxygen-isotope fractionation we observed is expected. Obviously, this important isotope effect can be tested further by examining a larger number of subjects.

The Relationship Between the Degree of Oxygen-Isotope Fractionation (*z* Value) and the Level of Hemoglobin. The simple model proposed above could be tested another way. The rate of uptake of O₂ from the lung to the blood should depend on the hemoglobin concentration. The lower the concentration of hemoglobin, the smaller is the *k*₂ value and the more important is α₂ in determining the overall oxygen fractionation (*z* value) for the respiration process.

We have analyzed the isotopic composition of O₂ in breath samples taken from patients who suffer from various degrees of anemia of a variety of etiologies. This allows analysis of the effect of hemoglobin count on oxygen-isotope fractionation during respiration. There is a dramatic decrease in the *z* value for oxygen-isotope fractionation of patients with reduced hemoglobin counts (Fig. 6). The *z* value varies between 12 ‰ and 3 ‰ (Table 2). These data suggest that, at a low concentration of hemoglobin, the incorporation of O₂ by the hemoglobin becomes more influential in determining the overall fractionation factor, as if the reaction with hemoglobin is at least in part the rate-controlling step. The oxygen-isotope-fractionation factor associated with this step would be much lower than that associated with the diffusion

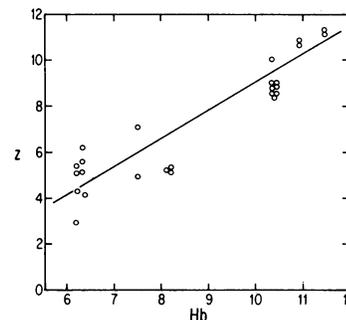


FIG. 6. Relationship between the *z* value and the hemoglobin count of the subjects examined. The diameter of the data points approximately equals the experimental error of the δ¹⁸O values. The spread in *z* values is probably partially due to individual differences other than those due to hemoglobin concentration.

of oxygen through the pulmonary membranes. However, it remains to be determined whether the isotopic effect is due to the uptake of O₂ by hemoglobin *per se* or the actual oxidation process in the tissues.

The Effect of Exercise on the α Values. A series of runs were made to determine the effect of vigorous exercise on the relationship between $\delta^{18}\text{O}$ and X . Fig. 5 includes a plot of the isotope data for subject 4 at rest and during exercise. The fractionation of the oxygen isotopes drops drastically when the samples are taken during exercise. An exercising subject behaves as if the hemoglobin in the lungs is decreased, resulting in a decreased k_2 and a greater importance of α_2 in the overall fractionation. Alternatively, the greater expansion of the alveoli associated with exercise might increase the diffusibility of O₂ (perhaps by altering the membrane characteristics), resulting in a larger k_1 value and increasing the role of α_2 in determining the overall fractionation. Both of these alternatives would result in the relationship observed in Fig. 5. Clearly, the actual physical processes resulting in our observations await further study.

The $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ of the CO₂ Formed During Respiration. We also measured the $\delta^{13}\text{C}$ and the $\delta^{18}\text{O}$ of the CO₂ formed in the respiration process. These are shown in Table 2. The oxygen atoms of the CO₂ and those of the body H₂O are rapidly exchanged, catalyzed by carbonic anhydrase. Therefore, the $\delta^{18}\text{O}$ of the CO₂ should reflect the $\delta^{18}\text{O}$ of the body H₂O. The $\delta^{18}\text{O}$ of the body H₂O is the steady-state balance of the intake and output of oxygen atoms in various forms: for example, the $\delta^{18}\text{O}$ of air O₂, drinking H₂O, oxygen of food, etc., balanced by the $\delta^{18}\text{O}$ loss by evaporation, CO₂ and H₂O loss by respiration, and loss of oxygen by other natural processes. Luz *et al.* (7) have presented a discussion of this issue. For our subjects the $\delta^{18}\text{O}$ of the body H₂O has a range of about 3.1‰, which probably reflects each individual's diet, drinking habits, and oxygen-isotope fractionation during respiration. For members of the same family, subjects 4, 8, and 14, the $\delta^{18}\text{O}$ range is only 1.3‰.

The $\delta^{13}\text{C}$ should reflect the source of organic matter that is being used to provide the body energy. Although it will be generally true that the $\delta^{13}\text{C}$ content of the body reflects the $\delta^{13}\text{C}$ of the food used (8), the $\delta^{13}\text{C}$ of the CO₂ is probably less representative of the $\delta^{13}\text{C}$ of the total body carbon but more of the food intake at the time prior to sampling (9).

SUMMARY AND CONCLUSIONS

We have shown conclusively that the oxygen-isotope fractionation associated with respiration by humans is large compared to our precision of measurement. This fractionation varies from individual to individual. In addition, the fractionation of oxygen isotopes during respiration is af-

fectured by the hemoglobin count in the blood, by smoking, and by vigorous exercise. Our data suggests a variety of experiments that can be done to elucidate the mechanisms involved in causing these large oxygen-isotope fractionations. We can foresee that measuring the $\delta^{18}\text{O}$ of expired O₂ and CO₂ may provide a useful way of monitoring certain types of respiratory and blood diseases.

From a geochemical point of view, it would be useful to study the $\delta^{18}\text{O}$ of O₂ from a variety of sources, including ancient iron oxides and atmospheric O₂ in various localities. In particular, it would be interesting to ascertain the isotopic composition of O₂ in the early history of the Earth and to get some ideas of the magnitude of the respiration processes in the biota at that time. This kind of data may provide information about the N₂/O₂ ratio in the early Earth's atmosphere.

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