

# Survey of metal oxides for coatings of ultra-stable optical cavities

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**Abstract:** A survey of the optical properties and mechanical loss of metal-oxide thin films is reported in a search for optimum materials for mirror coatings for gravitational wave detectors. Ta<sub>2</sub>O<sub>5</sub> and GeO<sub>2</sub> doped with TiO<sub>2</sub> achieve the lowest absorption and mechanical loss with post-processing annealing. © 2021 The Author(s)

## 1. Introduction

Metal-oxide multilayer dielectric coatings are engineered optical materials ubiquitous in optical systems. These structures consist of alternating layers of two materials of contrasting refractive index and a thickness that are selected to meet specific optical requirements. In the visible and near infrared the most widely used materials are Ta<sub>2</sub>O<sub>5</sub> or HfO<sub>2</sub> (high index) and SiO<sub>2</sub> (low index). Multilayer stacks are grown by physical vapor deposition methods which have been optimized to realize thin films with superior optical quality. There are, however, applications such as the ultra-stable optical cavities of gravitational wave detectors, that place stringent demands on coating's optical performance and mechanical loss properties.

We report results of a survey of the optical properties and mechanical loss of doped and undoped metal oxide thin films, in a search for optimum materials for mirror coatings for gravitational wave detectors. Doping metal oxide alloys provide flexibility in the optimization of the optical response. It is shown that doping is also important to achieve an atomic configuration in the amorphous materials that is conducive to the lowest mechanical loss and hence reduced thermal noise in the coatings, which limits the sensitivity of gravitational wave detectors.

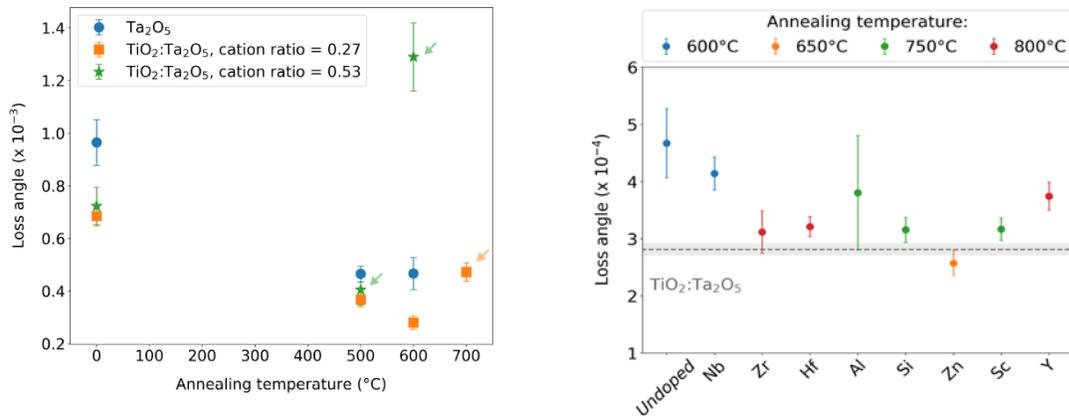
Coating thermal noise arises from thermally driven random fluctuations in the amorphous thin films that contribute to elastic loss. These losses can be quantified by measuring the mechanical loss angle  $\phi$  of the materials. Mechanical energy dissipation is larger in the high refractive index layer compared to the low index layers which comprise the multilayer coating stack of the test masses of Advanced LIGO (aLIGO). Doping and post-deposition annealing have been shown to be most efficient in reducing the mechanical loss of the aLIGO coatings. Plans to further increase the sensitivity of gravitational wave detectors to probe further out into the universe make it necessary to identify metal oxides materials with reduced mechanical loss compared to the state of the art.

## 2. Results and Discussion

Metal oxide thin films were grown by ion beam sputtering and were post-deposition annealed in air at different temperatures until the onset of crystallization was observed. Their optical quality was assessed from ellipsometry and absorption loss measurements. The mechanical loss angle  $\phi$  was determined from the analysis of the ring down of various resonant modes using a gentle nodal suspension (GeNS) system after each annealing step [1].

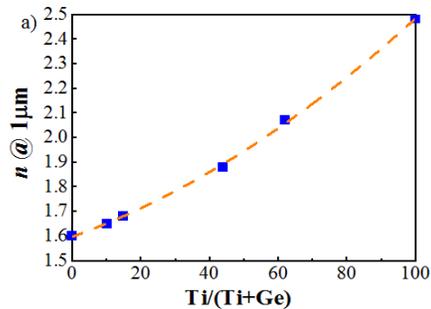
Figure 1a shows the coating loss angle at 1 kHz for TiO<sub>2</sub>:Ta<sub>2</sub>O<sub>5</sub> films with cation ratio of 0.27 and 0.53 as a function of annealing temperature. Doping Ta<sub>2</sub>O<sub>5</sub> with TiO<sub>2</sub> reduces the loss angle, as has been previously reported [2]. Further reduction is obtained upon annealing. There is, however, a contrasting behavior at 600 °C, at which  $\phi$  increases to  $1.3 \times 10^{-3}$  in the mixture with 0.53 Ti concentration, while it reaches its lowest value of  $\phi = 0.28 \times 10^{-3}$  in the 0.27 Ti concentration sample. This is roughly a reduction of 40% in the loss angle compared to the annealed Ta<sub>2</sub>O<sub>5</sub> film. At 600 °C the 0.27 Ti content mixture also achieves its lowest absorption loss at  $\lambda = 1064$  nm, 3.9 ppm for a film 200 nm thick [3]. The addition of 27% Ti causes significant morphological changes in the mixture.

Annealing promotes cation diffusion and the formation of a ternary compound,  $\text{TiTa}_{18}\text{O}_{47}$ [3]. In contrast, the 0.53 Ti content alloy phase separates, showing signs of crystallization of rutile  $\text{TiO}_2$ . Figure 1b summarizes results of loss angle for thin films of  $\text{Ta}_2\text{O}_5$  doped with Nb, Zr, Hf, Al, Si, Zn, Sc and Y at a cation content of  $\sim 20\%$  and at an annealing temperature  $50^\circ\text{C}$  below crystallization. In all cases the addition of a dopant reduces the loss angle. The  $\text{ZnO}_2$  doped  $\text{Ta}_2\text{O}_5$  is the only mixture that achieves a value of the mechanical loss angle,  $\phi=2.4\times 10^{-4}$ , lower than that of  $\text{TiO}_2$  doped  $\text{Ta}_2\text{O}_5$ . The addition of Zn causes the materials to undergo structural modifications that lead to the formation of a ternary alloy upon annealing, as found in the case of  $\text{TiO}_2$  doped  $\text{Ta}_2\text{O}_5$ . The optical properties are also modified with doping. The refractive index varies according to the law of mixtures. Doping  $\text{Ta}_2\text{O}_5$  with Al, Si, and Zn reduces the absorption loss at  $\lambda=1064\text{ nm}$  from 2.5 ppm to about 1.3 ppm.



**Fig. 1.** (a) Coating loss angle at 1 kHz for  $\text{TiO}_2$  doped  $\text{Ta}_2\text{O}_5$  samples with Ti cation ratio of 0.27 and 0.53 as a function of annealing temperature. The arrows indicate crystallized films. (b) Coating loss angle for compounds of  $\text{Ta}_2\text{O}_5$  mixed with other metals at a cation ratio of  $\sim 20\%$  and after annealing to a temperature  $50^\circ\text{C}$  below crystallization.

We have also investigated doping of  $\text{GeO}_2$  and  $\text{SiO}_2$  with  $\text{TiO}_2$ . Both,  $\text{SiO}_2$  and  $\text{GeO}_2$  are glass forming materials which, in thin film form, have achieved the lowest mechanical loss among metal oxides,  $\phi \sim 1 \times 10^{-4}$ . However, their refractive index at  $\lambda=1064\text{ nm}$  is  $n=1.6$  ( $\text{GeO}_2$ ) and  $n=1.45$  ( $\text{SiO}_2$ ), which do not offer significant index contrast for the design of a  $\text{GeO}_2/\text{SiO}_2$  multilayer. The refractive index can be tailored with the addition of Ti as shown in Fig. 2. With the addition of 44% content of Ti into  $\text{GeO}_2$  the refractive index at  $\lambda=1064\text{ nm}$  increases to  $n=1.88$ . This coupled with an impressively low coating loss angle,  $\phi=0.96 \times 10^{-4}$  and 3 ppm absorption loss at  $\lambda=1064\text{ nm}$  for a 216 nm thick film make  $\text{TiO}_2:\text{GeO}_2$  well suited to replace  $\text{TiO}_2$  doped  $\text{Ta}_2\text{O}_5$  as the high index layer in interference coatings with reduced coating thermal noise for gravitational wave detectors.



**Figure 2.** (a) Variation of the refractive index of  $\text{TiO}_2$  doped  $\text{GeO}_2$  at  $\lambda=1000\text{ nm}$  as a function of the Ti content.

### 3. References

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