

# New insights into the Jahn–Teller effect in $\text{NO}_3$ via the dark $\tilde{A} \ ^2E''$ state

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## Abstract

The recent cavity ringdown (CRD) measurement of the forbidden  $\tilde{A} \ ^2E'' \leftarrow \tilde{X} \ ^2A_2'$  transition of the nitrate radical  $\text{NO}_3$  reveals a rich, well-resolved spectrum in the near-infrared. The spectroscopic detail provides a new window onto the Jahn–Teller (JT) and pseudo-Jahn–Teller (PJT) effects in  $\text{NO}_3$ . This paper reviews the current experimental evidence for vibronic coupling in the  $\tilde{A}$  state and discusses the theoretical issues in the context of new preliminary EOMIP/CCSD and CCSD(T) calculations. The theoretical results to date indicate that the  $\tilde{A} \ ^2E''$  state of  $\text{NO}_3$  undergoes a relatively strong JT distortion which may require inclusion of higher order vibronic couplings. The intensity of this transition may involve multiple intensity borrowing mechanisms via PJT coupling among the  $\tilde{X}$ ,  $\tilde{A}$  and  $\tilde{B}$  states.

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## 1. Introduction

Conical intersections of potential surfaces are ubiquitous in open-shell polyatomic systems [1]. The breakdown of the Born–Oppenheimer in the vicinity of these seams has a profound effect on the nuclear dynamics in both the bound and continuum regions, as nuclear motion couples the electronic states. In scattering problems, quantitative tests of theoretical predictions of nonadiabatic effects near conical intersections are somewhat problematic, since typical scattering experiments measure asymptotic properties such as angular and velocity distributions. Bound states provide a more direct test of the conical intersection region, since level patterns of the bound states can be measured with high precision. The Jahn–Teller (JT) effect in degenerate states of symmetric molecules remains the classic example of conical intersections in nonlinear polyatomic molecules [2]. However, despite impressive progress over the past decades [1, 3–6], the accurate description of vibronic interactions

in even simple JT molecules remains a difficult challenge in chemical physics [7, 8].

The nitrate radical ( $\text{NO}_3$ ) is an important species in atmospheric chemistry and combustion [9]. Despite its apparent simplicity—comprising only 4 second row atoms—its electronic structure has been a challenge for experimentalist and theoretician alike. The electronic ground state is nondegenerate and its equilibrium and vibrationally averaged geometries are now believed to be of  $D_{3h}$  symmetry. The first two excited states are of  $E'$  and  $E''$  symmetry in  $D_{3h}$ , and hence are subject to JT distortion. Although there have been many studies of the nitrate radical excited states since its visible spectrum was first discovered in the 1930s [10], the JT effect and symmetry breaking in general in  $\text{NO}_3$  remains poorly understood. There have been a number of elegant studies of the  $\tilde{X}$  state by infrared absorption and fluorescence spectroscopy [11–19], but some anomalies and unassigned bands exist, and even the true symmetry and vibrational assignments of the ground state were subjects of debate until recently. The visible spectrum is a transition to the well-known  $\tilde{B} \ ^2E'$  state, with an origin at 663 nm [10, 17–22]. This is a strong, readily detected transition, but the absorption spectrum is broadened by vibronic interactions and reveals little information [18, 23]. In an important

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**Table 1.** Lowest electronic states of NO<sub>3</sub> and observed energies, and molecular orbital configuration.

State	Energy (cm <sup>-1</sup> )	Configuration
$\tilde{B}^2E'$	15 089	$\dots (4e')^1(1e'')^2(1a_2')^2$
$\tilde{A}^2E''$	7064	$\dots (4e')^2(1e'')^1(1a_2')^2$
$\tilde{X}^2A_2'$	0	$\dots (4e')^2(1e'')^2(1a_2')^1$

theoretical contribution, Mayer *et al* [24] presented a multi-mode vibronic coupling model to describe the ground state which included linear and quadratic JT and pseudo-Jahn–Teller (PJT) couplings. They then calculated a predicted photoelectron (PE) spectrum and examined the effect of PJT coupling on the symmetry of the ground state. Recently, Viel and Eisfeld have derived higher order JT expressions and used this to investigate the  $\tilde{B}$  state of NO<sub>3</sub> [8] (in addition to related work on the PJT effect [7]). These authors emphasize the importance of higher order couplings for this state.

Far less has been done on the lower  $\tilde{A}^2E''$  state, located at approximately 1 eV. Our understanding of this state is sketchy at best. The  $\tilde{A} \leftarrow \tilde{X}$  absorption spectrum, which is dipole forbidden, has not been studied extensively, and the only complete spectrum is an anion photoelectron spectrum recorded at low resolution [25]. However, a high-resolution study of a single vibronic band revealed well-resolved features [26], indicating that closer examination of the spectrum of the  $\tilde{A}$  state could provide an abundance of spectroscopic detail. The analysis indicated that the geometry was that of a  $D_{3h}$  symmetric top. However, theoretical studies have concluded that there is significant JT coupling in this state, leading to a local minima of  $C_{2v}$  symmetry separated by high barriers for pseudo-rotation [24, 27]. Thus, no quantitatively consistent picture of the  $\tilde{A}$  state yet exists.

In this paper, we present a discussion of the JT effect in the dark  $\tilde{A}^2E''$  state of NO<sub>3</sub>. Deev *et al* have recently exploited the high sensitivity of cavity ringdown spectroscopy (CRDS) to detect the absorption spectrum of NO<sub>3</sub> in the 5900–9000 cm<sup>-1</sup> region [28, 29]. This is the first complete absorption spectrum of the  $\tilde{A}$  state and provides the basis for a detailed examination of the JT effect in the nitrate radical. New theoretical calculations of NO<sub>3</sub> are underway. Here, we review the experimental results to date on the  $\tilde{A}^2E''$  state and the inferences on the vibronic coupling that can be drawn from the observed ringdown spectrum. We then qualitatively discuss the theoretical issues raised by the new data and present some preliminary findings from a high-level quantum chemistry study.

## 2. Background

### 2.1. Electronic structure

There are three low-lying states in NO<sub>3</sub>, the molecular orbital configurations of which all can be described by removing an electron from the stable, closed-shell anion. As first described by Walsh [30], the frontier doubly occupied orbitals of the anion are  $4e'$ ,  $1e''$  and  $1a_2'$ ; the lowest lying states of the neutral radical consequently are the  $\tilde{X}^2A_2'$ ,  $\tilde{A}^2E''$  and  $\tilde{B}^2E'$  states, and these have relative energies of roughly zero, one and two eV (see table 1).

	$A_2'$	$E_a''$	$E_b''$	$E_a'$	$E_b'$
$A_2'$		Quadratic PJT coupling ( $e' a_2''$ )		Linear PJT coupling ( $e'$ )	
$E_a''$					Linear PJT coupling ( $a_2'$ )
$E_b''$					
$E_a'$					
$E_b'$					

**Figure 1.** Schematic representation of the Hamiltonian for the lowest three electronic states of NO<sub>3</sub>.**Table 2.** Vibrational levels of NO<sub>3</sub>.

Mode	Symmetry $D_{3h}$	Symmetry $C_{2v}$	Experimental frequency (cm <sup>-1</sup> ) $\tilde{X}$ state	Experimental frequency (cm <sup>-1</sup> ) $\tilde{A}$ State
$\nu_1$ Symmetric stretch	$a_1'$	$a_1$	1080 <sup>a</sup> 1053 <sup>b</sup> 1060 <sup>c</sup>	$\approx$ 785 <sup>d,e</sup> 750 804 <sup>a</sup>
$\nu_2$ Umbrella oop bend	$a_2''$	$b_1$	762 <sup>f,g</sup> 753 <sup>b</sup>	678 <sup>d</sup> 683 <sup>g</sup>
$\nu_3$ Antisymmetric stretch	$e'$	$a_2 \oplus b_1$	1492 <sup>f,g</sup> 1500 <sup>b</sup>	1223 <sup>d,h</sup>
$\nu_4$ Antisymmetric ip bend	$e'$	$a_1 \oplus b_2$	363 <sup>a</sup> 360, 368 <sup>b</sup> , 380 <sup>c</sup>	519–541 <sup>d</sup> 541 <sup>a,i</sup>

<sup>a</sup>[25]; <sup>b</sup>[17]; <sup>c</sup>[22]; <sup>d</sup>[29].

<sup>e</sup> $\nu_1$  frequency is based on combination bands only.

<sup>f</sup>[36]; <sup>g</sup>[13].

<sup>h</sup>Tentative assignment only.

<sup>i</sup>[26].

This simple molecular orbital picture does not effectively communicate the great complexity of the electronic structure in this molecule, as all of the states (viewed from a diabatic perspective) are strongly coupled (see figure 1). Most prominent amongst these is a PJT interaction that links the  $\tilde{X}$  and  $\tilde{B}$  states [15, 24, 25]; this coupling is the reason for the complexity of the low-energy region of the PE spectrum of the nitrate anion NO<sub>3</sub><sup>-</sup>; indeed, it is nearly strong enough to distort the equilibrium geometry of the ground state from  $D_{3h}$  to  $C_{2v}$ . A large number of quantum chemical calculations of the ground state have been carried out to address this qualitative issue [27, 31, 32]. The most recent and best calculations uniformly suggest that the molecule retains the  $D_{3h}$  symmetry in the ground state. This is important for an analysis of the dark-state spectrum.

In the  $D_{3h}$  point group, the nitrate radical has four vibrations, listed in table 2. Two are nondegenerate (the symmetric stretch  $\nu_1$  and the out-of-plane bending mode  $\nu_2$ ). Two modes have  $e'$  symmetry and are thus doubly degenerate (the asymmetric stretch and asymmetric bend) and JT active. The multi-mode nature of the JT problem increases the complexity; in the limit of weak vibronic coupling, the JT effects in the two modes are independent, but as the coupling

strength increases, the interactions between the modes can become significant. The presence of JT coupling renders the  $\nu$  quantum number approximate, at best. In the following discussion, they are used as indicators of the predominant vibrational character.

The most thorough previous theoretical investigation of the ground and first excited states of  $\text{NO}_3$  is that of Eisfeld and Morokuma [27, 32]. These workers carried out complete active space self-consistent field (CASSCF) and multireference configuration interaction (MRCI) calculations of all three states in the course of a thorough systematic investigation of the excited states of this molecule. In addition to confirming the  $D_{3h}$  symmetry of the equilibrium geometry, they found a significant JT distortion of the  $\tilde{A} \ ^2E''$  state. This state, which splits into  $^2A_2$  and  $^2B_1$  states when the symmetry is lowered to  $C_{2v}$ , evidently has significant linear and quadratic JT interactions; the minimum energy geometry ( $^2B_1$ ) calculated by Eisfeld and Morokuma at the CASSCF and MRCI levels exhibits large differences between ‘short’ and ‘long’ NO bonds, and a significant pseudo-rotation barrier. In their best, or most theoretically complete, calculation (MRCI with the Davidson correction to account for size-consistency issues), the difference between short and long NO bonds was 0.20–0.22 Å. The total JT stabilization energy (JTSE, the difference between the energy at the distorted  $^2B_1$  minimum and that at the symmetric  $E''$  minimum on the conical intersection seam) is estimated to be  $\approx 2000$ – $3000 \text{ cm}^{-1}$  computed at the MR–SDCI level (the  $D_{3h}$  energies were only computed at the geometry found for the ground state by CASSCF). The significant distortion in the  $C_{2v}$  minimum together with the rather substantial JTSE are indicative of a strong linear JT coupling. The strength of the quadratic JT interaction is suggested by the pseudo-rotation barrier (the difference between the  $^2B_1$  minima and the  $^2A_2$  saddle point, which both lie within the trough surrounding the conical intersection) of about  $1000 \text{ cm}^{-1}$ . These workers also reported harmonic vibrational frequencies for the ground and first excited states of the radical.

## 2.2. $\tilde{A} \ ^2E''$ state spectroscopy

Absorption from the  $\tilde{X} \ ^2A_2'$  state to the  $\tilde{A} \ ^2E''$  state are forbidden by dipole selection rules. Although the origin of the  $\tilde{A} \leftarrow \tilde{X}$  transition remains rigorously dipole forbidden, transitions from the ground vibronic level (which has  $a_2'$  symmetry) to certain  $\tilde{A}$  state vibronic levels are allowed by Herzberg–Teller coupling. Specifically, the allowed transitions are to states of  $\Gamma'_{\text{ve}} \subset \Gamma'_\nu \otimes \Gamma'_e$  symmetry:

$$\text{Perpendicular bands: } \mu_x, \mu_y \quad (\Gamma_{x,y} = e') \quad (\Gamma'_{\text{ve}} = e') \quad (1)$$

$$\text{Parallel bands: } \mu_x \quad (\Gamma_z = a_2'') \quad \Gamma'_{\text{ve}} = a_1'' \quad (2)$$

where  $\Gamma_i$  is the irreducible representation of the dipole operator and  $\Gamma'_{\text{ve}}$  refers to the vibronic symmetry of the final state.

As can be inferred from table 2, transitions to levels with excitation in the symmetric stretch mode  $\nu_1$  (vibrational symmetry  $\Gamma'_\nu = a_1'$ ) alone are still forbidden. Thus, these and other  $a_1'$  levels, which are the normally Franck–Condon allowed bands (and are the only bands that are fully allowed

in an anion PE spectrum), are absent in direct absorption. Parallel bands can only excite vibronic levels with vibrational symmetry  $\Gamma'_\nu = a_1''$  or  $a_2''$  (antisymmetric with respect to the main symmetry plane), effectively only states with odd quanta in the umbrella mode  $\nu_2$ . Perpendicular bands excite transitions to both of the degenerate modes,  $\nu_3$  or  $\nu_4$ , but only one component of the  $\Gamma'_{\text{ve}} = e' \otimes E'' = a_1' \oplus a_2 \oplus e''$  manifold, that of  $a_1''$  symmetry, is allowed.

## 3. Experimental spectra of the $\tilde{A} \ ^2E''$ state

### 3.1. PE spectrum

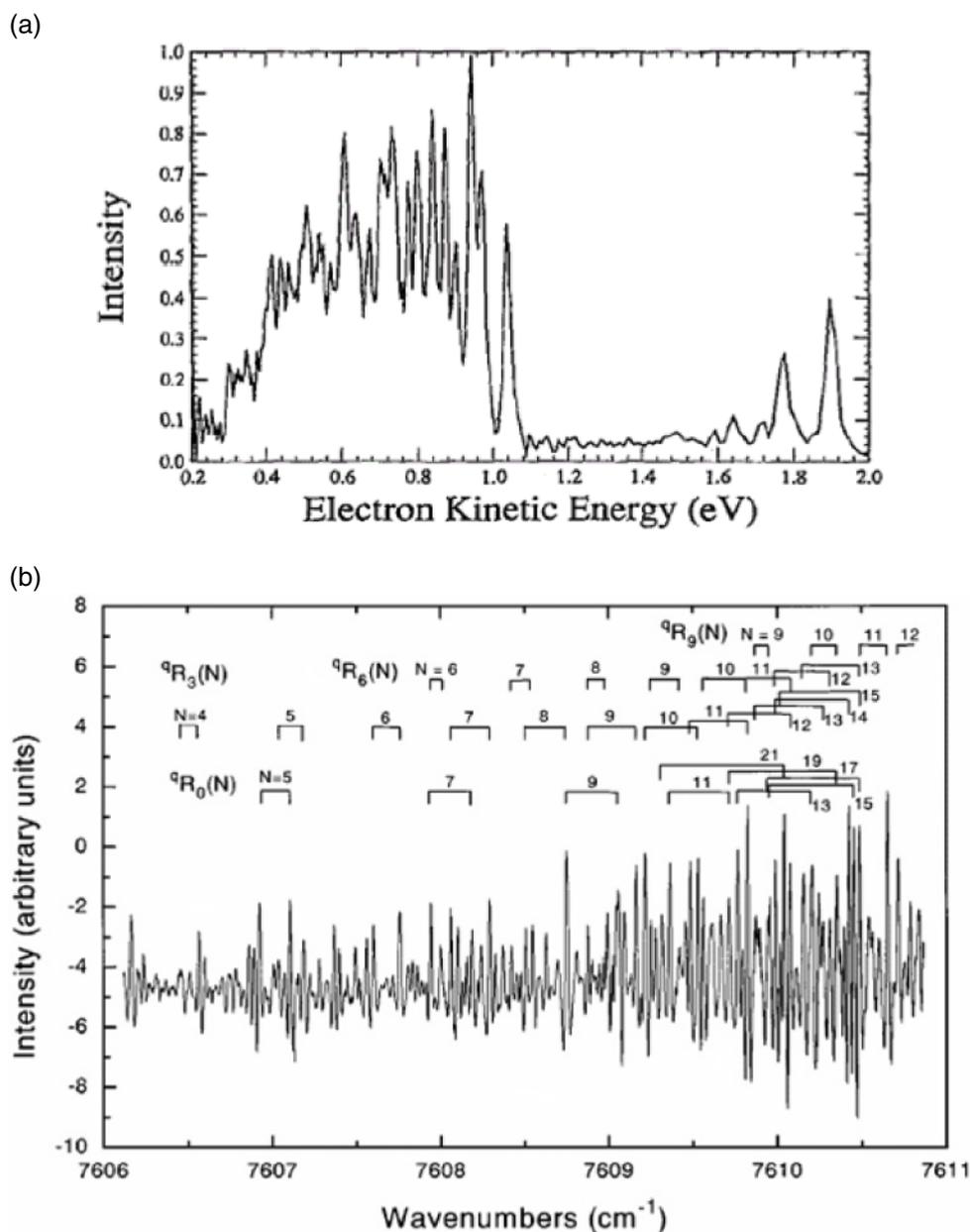
Weaver *et al* [25] reported the first detection of the  $\tilde{A}$  state using anion PE spectroscopy (PES). The PE spectrum, shown in figure 2(a), was recorded at a resolution of the order of 0.018–0.025 eV (145–200  $\text{cm}^{-1}$ ) and exhibited a sharp origin at  $7000 \pm 110 \text{ cm}^{-1}$  followed by a series of low-resolution vibronic bands. They fit the bands to a harmonic series of two modes, 541 and  $804 \text{ cm}^{-1}$ , which they assigned to  $\nu_4$  and  $\nu_1$ , respectively. Although the quoted uncertainties in the frequencies are  $\pm 8$  and  $\pm 5 \text{ cm}^{-1}$ , these error bars come from the fit to purely harmonic progressions, a poor model, given the strong vibronic perturbations that are expected. This problem, combined with the low spectral resolution, suggests that the error bars most likely underestimate the uncertainties in the vibrational frequencies. The position of the  $4_0^1$  and  $1_0^1$  bands are  $524$  and  $750 \text{ cm}^{-1}$ , respectively.

### 3.2. FTIR and diode laser spectra

There are two studies of the absorption spectrum. In a survey of the FTIR absorption spectrum of  $\text{NO}_3$ , Kawaguchi *et al* assigned two peaks in the NIR to the  $\tilde{A} \leftarrow \tilde{X}$  transition: a parallel band at  $7602 \text{ cm}^{-1}$  and a perpendicular band at  $7744 \text{ cm}^{-1}$  [13]. These were assigned to excitations to the  $A_1'$  component of  $4^1$  and to the  $2^1$  level of  $\tilde{A} \ ^2E''$ , respectively, but no spectra or analyses were actually presented. Hirota *et al* [26] obtained a high-resolution scan of the  $7602 \text{ cm}^{-1}$  band using Zeeman-modulated diode laser spectroscopy. They assigned the upper and lower states to Hamiltonians of  $D_{3h}$  symmetric tops, and estimated the origin at  $7068 \text{ cm}^{-1}$ , based on the  $\nu_4$  frequency of  $541 \text{ cm}^{-1}$  reported in the anion PE spectrum.

### 3.3. CRD spectrum

Deev *et al* [28, 29] have recently reported the first full absorption spectrum of the  $\tilde{A} \leftarrow \tilde{X}$  spectrum, measured at moderate resolution ( $0.15 \text{ cm}^{-1}$ ) by CRDS. Nitrate radicals were generated primarily by passing  $\text{O}_3$  over cooled solid  $\text{N}_2\text{O}_5$ ; typical  $\text{NO}_3$  concentrations were  $10^{14} \text{ cm}^{-3}$ . The spectrum (see figure 3), recorded in the range from 5900 to  $8900 \text{ cm}^{-1}$ , is composed of roughly 30 vibronic bands, many of them well separated with resolvable rotational contours, limited by the instrumental resolution. The spectrum becomes increasingly congested at higher frequencies. As discussed by Deev *et al* [28, 29], the parallel and perpendicular bands are readily identified by their rotational band contour, aiding in the assignment.



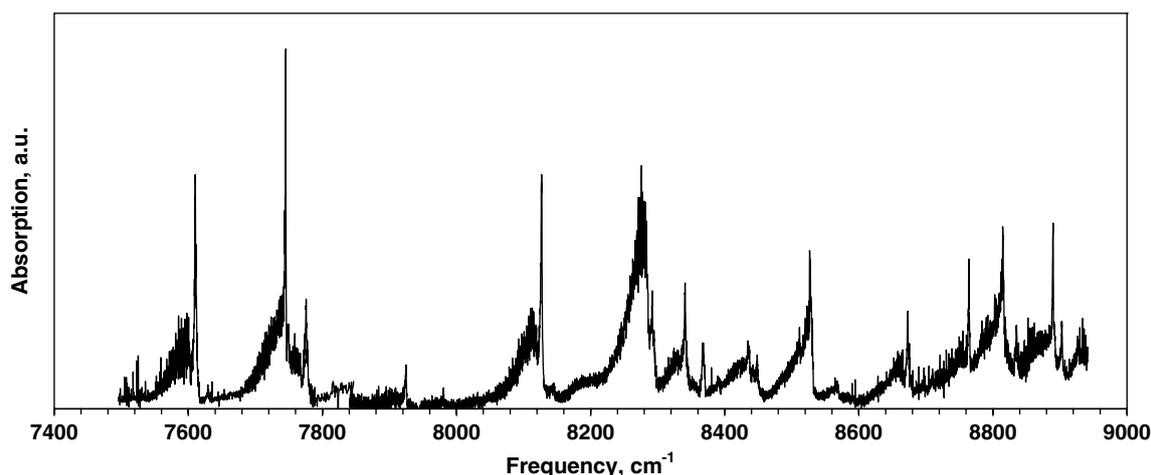
**Figure 2.** Previously reported spectra of the  $\tilde{A}$  state. (a) Anion PE spectrum of NO<sub>3</sub><sup>-</sup> [25]. (b) Diode laser absorption spectrum of a portion of the 7602 cm<sup>-1</sup> band [26]. Figure 2(a) reused with permission from D Neumark *J. Chem. Phys.* **94** 1740 (1991). Copyright 1991, American Institute of Physics. Figure 2(b) reused with permission from E Hirota *J. Chem. Phys.* **107** 2829 (1997). Copyright 1997, American Institute of Physics.

Both of the bands reported by Kawaguchi *et al* [13], the  $4_0^1$  and  $2_0^1$ , were present [28, 29]. Several additional bands were assigned as well. The origin band was not observed, but a hot band,  $4_1^0$ , allowed us to locate the origin at 7064 cm<sup>-1</sup>, close to the values of  $7000 \pm 110$  cm<sup>-1</sup> from the PES [25] and  $7068 \pm 8$  cm<sup>-1</sup> [26]. While there were some apparent progressions and combination bands, the band spacings were not entirely regular, indicative of vibronic coupling. These results indicate that the first few vibronic bands of the  $\tilde{A}$  PE spectrum are correctly assigned, but suggest that the assumption of harmonic progressions may be insufficient to assign the higher energy bands.

The estimated vibrational frequencies [28, 29] for all modes are given in table 2. The most prominent series of bands are perpendicular bands assignable to one or

more quanta in the umbrella mode  $\nu_2$  ( $a_1''$  symmetry) and combination bands with the symmetric stretch,  $\nu_1$  ( $a_1'$  symmetry). Parallel bands have been assigned to the JT active modes. A series is assigned to a progression in the degenerate bend,  $n\nu_4$ , though the spacings are somewhat irregular (538, 519 and 547 cm<sup>-1</sup>). A band at 8287 cm<sup>-1</sup>, located 1223 cm<sup>-1</sup> to the blue of the origin, has been tentatively assigned to the degenerate stretch  $\nu_3$ .

Simulations of the rotational contours [28, 29] provided important clues to the extent of distortion by vibronic coupling. Simulations of the hot band ( $4_1^0$ ) and the transition to  $\nu_2$ ,  $2_0^1$  indicate that when the upper states are JT inactive, the excited state rotor must be a slightly asymmetric top. In contrast, rotational contour analyses of the degenerate bend modes,  $4_0^n$ , are consistent with excitation to upper states that



**Figure 3.** CRD absorption spectrum of the  $\tilde{A} \leftarrow \tilde{X}$  transition of  $\text{NO}_3$  from [29]. Band at  $7602 \text{ cm}^{-1}$  observed and analysed by Hirota *et al* [26], band at  $7745 \text{ cm}^{-1}$  reported by Kawaguchi *et al* [13] and assigned to  $2_0^1$ . Figure reused with permission from A Deev *J. Chem. Phys.* (2005). Copyright 2005, American Institute of Physics.

possess symmetric top ( $D_{3h}$ ) geometries. This result agrees with the high-resolution study of  $4_0^1$  by Hirota *et al* [26], who find that the spectrum fits that of a symmetric rotor. The putative  $3_0^1$  band has the most unusual rotational contour, which can only be fit to a transition to an asymmetric rotor that is even more distorted than the geometry of the inactive modes.

#### 4. Experimental evidence for vibronic coupling in $\text{NO}_3$

There are many manifestations of vibronic coupling in the  $\tilde{A}$  state of  $\text{NO}_3$ . Evidence of vibronic interactions include [24–26, 29]:

- (1) observation of strong vibronically allowed (Franck–Condon forbidden) bands (assigned to  $\nu_4$ ) in the anion PE spectrum;
- (2) absence of obvious spin–orbit splittings in both the PE and absorption spectra, suggesting that a strong JT effect is possibly quenching spin–orbit interactions [28, 29];
- (3) large variations in the apparent upper state geometry with vibronic bands, based on rotational contour analysis of Deev’s spectra, including apparent  $C_{2v}$  geometry seen in the  $0_0$  level, as well as the  $2_1$  level, the umbrella mode  $\nu_2$  (both JT inactive modes) [28, 29];
- (4) anomalous behaviour of the band tentatively assigned to  $\nu_3$  [28, 29], in particular the large distortion in geometry from  $D_{3h}$  and the substantial deviation (red shift of 300 to  $400 \text{ cm}^{-1}$ ) from the harmonic frequency predicted by Eisfeld and Morokuma [27] at the  $C_{2v}$  local minimum;
- (5) small variations in the  $\nu_1$  frequency among different combination bands with  $\nu_2$  and  $\nu_4$  in the absorption spectrum, indicative of vibronic coupling of non-active modes with active modes [28, 29];
- (6) observed intensity pattern (and total integrated intensities) in the CRD absorption spectrum [28, 29], in particular strong  $\nu_2$  bands, consistent with PJT coupling of the prime and double prime systems.

On the other hand, the  $\nu_4$  components in particular appear less perturbed by symmetry-breaking effects:

**Table 3.** Results of EOMIP-CCSD/TZ2P and CCSD(T)/TZ2P calculations of properties of the equilibrium geometry of  $\tilde{A}^2B_1$  state ( $C_{2v}$  point group).

	EOMIP-CCSD	CCSD(T)
$r_1$	1.400 Å	1.447 Å
$r_2, r_3$	1.201 Å	1.210 Å
$\theta^a$	$130.2^\circ$	$130.8^\circ$
$\omega_1$	$1292 \text{ cm}^{-1}$	$1230 \text{ cm}^{-1}$
$\omega_2$	$905 \text{ cm}^{-1}$	$820 \text{ cm}^{-1}$
$\omega_3$	$681 \text{ cm}^{-1}$	$566 \text{ cm}^{-1}$
$\omega_4$	$738 \text{ cm}^{-1}$	$685 \text{ cm}^{-1}$
$\omega_5$	$1569 \text{ cm}^{-1}$	$1595 \text{ cm}^{-1}$
$\omega_6$	$588 \text{ cm}^{-1}$	$567 \text{ cm}^{-1}$

<sup>a</sup>Unique angle, between NO bonds 2 and 3.

- (1) The observation by Hirota *et al* of  $D_{3h}$  geometry seen in the  $4^1$  level, which is a JT active mode [15];
- (2) semi-regular spacings of the band positions of the  $a_1''$  vibronic components of the  $n\nu_4$  progression in the CRD absorption spectrum [28, 29].

In addition, any theoretical treatment of the  $\tilde{A}$  state must also account for the following observations on the  $\tilde{X}$  state:

- (1)  $D_{3h}$  structure, vibrationally averaged over zero point motion;
- (2) evidence of vibronic interaction seen in the  $1492 \text{ cm}^{-1}$  band assigned to  $\nu_3$ .

Finally, any complete treatment which describes all three states should account for the anomalously long multi-exponential fluorescence decay of the  $\tilde{B}$  state, and the broadening mechanism and assignment of the  $\tilde{B} \leftarrow \tilde{X}$  features.

## 5. Preliminary theoretical results

### 5.1. EOMIP-CC and CCSD(T) calculations

In the course of our ongoing work, additional *ab initio* calculations have been carried out [33]. Our approach uses the

equation-of-motion coupled-cluster method for ionized states (EOMIP-CC) [34], which is one of the only approximate quantum chemical methods that offers a description of potential energy surfaces in the conical intersection region that is qualitatively correct in all respects [35]. In addition to providing independent estimates of the geometries, harmonic frequencies, JTSE and pseudorotation barrier (see table 3), we have also used these calculations (which were done with a triple-zeta plus double polarization (TZ2P) basis set) to parameterize a quadratic JT potential having the form used by Mayer *et al* [24] in their study of the anion PE spectrum (cf their equations (1)–(17)). These calculations confirm that there is a significant linear and quadratic JT coupling in the  $\tilde{A}$  state, particularly along the degenerate stretch mode,  $\nu_3$ . These calculations will be presented in detail elsewhere [33]. Additional calculations of the vibrational frequencies and anharmonicities of the local  $C_{2v}$  minimum  ${}^2B_1$  state were also performed at the CCSD(T) level of theory using the same basis set. Although CCSD(T) does not properly describe the region in the immediate vicinity of the conical intersection as properly as does EOMIP-CCSD, it does offer the advantage of a more complete treatment of dynamical electron correlation and should provide a good estimation of the local curvature of the surface near the  ${}^2B_1$  state equilibrium geometry. A comparison of the EOMIP-CCSD and CCSD(T) calculations at the equilibrium geometry, done with a TZ2P basis set, is shown in table 3. Eisfeld and Morokuma [27] obtained similar results.

### 5.2. Intensity borrowing mechanism

As noted earlier, the spectrum is dipole forbidden but allowed by the Herzberg–Teller mechanism. Consideration of symmetry allows us to identify which transitions are allowed by dipole-selection rules, but the question of how the intensity is borrowed from other transitions is a more difficult but interesting one.

For vibronic states having  $\Gamma_{ve} = e'$  symmetry (these must have an odd number of quanta excited in the out-of-plane umbrella mode  $\nu_2$ ), simple PJT coupling between the  $\tilde{A}$  and  $\tilde{B}$  states (see figure 1) allows intensity to be borrowed from the very strong  $\tilde{B} \leftarrow \tilde{X}$  transition. This mechanism likely accounts for the relatively strong perpendicular bands observed in the CRD spectrum. However, a similar mechanism is not operative for transitions to the  $\Gamma_{ve} = a_1''$  states, observed as parallel bands, which cannot borrow intensity from any strong electronic transition by a similar (first order) means. There are actually two possibilities: (1) quadratic JT coupling that mixes the  $\tilde{A}$  state with the ground  ${}^2A_2'$  state would allow intensity to be borrowed from the  $\nu_3$  and  $\nu_4$  pure vibrational transitions of the ground state; and (2) the intensity might result from coupling of the *ground* state with the  $\tilde{B}$  state. Using the vibronic Hamiltonian as described in [24], we have found that the ground vibronic state contains a significant (6%) amount of  $\tilde{B}$  state character. Equivalently, a Born–Huang expansion of the ground vibronic wavefunction in a diabatic basis shows that it contains a fairly sizeable contribution from zeroth-order states that are products of the  $\tilde{B}$  state electronic wavefunction with

vibrational wavefunctions  $|\Phi_{\mu'}(e')\rangle$  having  $e$  symmetry

$$|\Psi_X\rangle = |\Psi_{2A_2'}\rangle|\Phi = 0\rangle + \sum_{\mu'} c_{\mu'} |\Psi_{2E'}\rangle |\Phi_{\mu'}(e')\rangle. \quad (3)$$

Thus, when the transition moment to a vibrational level  $\tilde{\Phi}$  of the  $\tilde{A}$  state is calculated, a contribution arises from the  $\tilde{A} \leftrightarrow \tilde{B}$  transition moment

$$\mu_{AX} = \langle \Psi_{2E''} | \mu | \Psi_{2E'} \rangle \sum_{\mu'} c_{\mu'} \langle \tilde{\Phi} | \Phi_{\mu'} \rangle. \quad (4)$$

A preliminary calculation of the latter [33] indicates that the  $\tilde{B} \leftarrow \tilde{A}$  transition moment is roughly an order-of-magnitude smaller than that of the  $\tilde{B} \leftarrow \tilde{X}$  transition moment. Coupled with the weighting of the  $\tilde{B}$  state contribution in the ground vibronic wavefunction, this leads to the expectation that features that obtain their intensity by this mechanism would be three or four orders of magnitude weaker than those in the  $\tilde{B} \leftarrow \tilde{X}$  system. This is, very roughly, the apparent intensity of the observed transitions, so there may be some merit in this somewhat nonstandard mechanism of intensity borrowing. In passing, it should be noted that the borrowing of intensity from ground state vibrations via quadratic PJT interactions is also operative for the  $e'$  vibronic levels, although the presence of the linear PJT coupling to the very bright  $\tilde{B}$  state suggests that this is probably not of significant importance.

## 6. Discussion

The CRD absorption spectrum provides the first ‘global’ view of the  $\tilde{A} {}^2E''$  state, an essential first step in quantifying the JT effect in this state, and gives us the best chance yet of describing vibronic interactions of NO<sub>3</sub>. However, the restrictions due to Herzberg–Teller selection rules, which greatly simplify the spectrum, also prevent us from detecting many bands, e.g. the  $\Gamma_{ve} = A_2''$  and  $E''$  vibronic components of the  $e' \otimes E''$  levels. The splittings among the sub-levels will be a critical measure of the vibronic (and spin–orbit) couplings. The contour analysis of partially resolved rotational structure can never be considered definitive.

There are indications that the standard, purely harmonic JT coupling model appears to be inadequate, given the relatively large predicted distortion of both the adiabatic potential minimum and pseudo-rotation barrier, as well as the large barrier height. Hirota and colleagues have found evidence for substantial anharmonicity in the ground state due to PJT coupling with an  $E$  state [15].

The behaviour of the putative  $\nu_3$  band seen in the ringdown spectrum is particularly vexing, as its location deviates substantially from theory and its intensity is not yet reproduced. If this tentative assignment is correct, then the intensity and band position point to an inadequacy in the current model. Extension to higher order terms (cubic and quartic) would then be essential; this work is currently underway. Similar developments have been reported by Viel and Eisfeld [8], who find that the  $\tilde{B}$  state requires up to fourth order vibronic coupling.

Further work, both experimental and theoretical, are ongoing. The CRDS spectrum has been extended beyond

$10\,000\text{ cm}^{-1}$ , and rotationally resolved diode laser spectra as well as jet-cooled experiments are underway. Calculations include calculations on higher order terms and investigations of the other intensity borrowing mechanisms.

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