

## A MASSIVE MOLECULAR GAS RESERVOIR IN THE $Z=5.3$ SUBMILLIMETER GALAXY AZTEC-3

DOMINIK A. RIECHERS<sup>1,7</sup>, PETER L. CAPAK<sup>2</sup>, CHRISTOPHER L. CARILLI<sup>3</sup>, PIERRE COX<sup>4</sup>,  
ROBERTO NERI<sup>4</sup>, NICHOLAS Z. SCOVILLE<sup>1</sup>, EVA SCHINNERER<sup>5</sup>, FRANK BERTOLDI<sup>6</sup>, AND LIN YAN<sup>2</sup>

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### ABSTRACT

We report the detection of CO  $J=2\rightarrow 1$ ,  $5\rightarrow 4$ , and  $6\rightarrow 5$  emission in the highest-redshift submillimeter galaxy (SMG) AzTEC-3 at  $z=5.298$ , using the Expanded Very Large Array and the Plateau de Bure Interferometer. These observations ultimately confirm the redshift, making AzTEC-3 the most submillimeter-luminous galaxy in a massive  $z\simeq 5.3$  protocluster structure in the COSMOS field. The strength of the CO line emission reveals a large molecular gas reservoir with a mass of  $5.3\times 10^{10}(\alpha_{\text{CO}}/0.8)M_{\odot}$ , which can maintain the intense  $1800M_{\odot}\text{yr}^{-1}$  starburst in this system for at least 30 Myr, increasing the stellar mass by up to a factor of six in the process. This gas mass is comparable to ‘typical’  $z\sim 2$  SMGs, and constitutes  $\gtrsim 80\%$  of the baryonic mass (gas+stars) and 30%–80% of the total (dynamical) mass in this galaxy. The molecular gas reservoir has a radius of  $<4$  kpc and likely consists of a ‘diffuse’, low-excitation component, containing (at least) 1/3 of the gas mass (depending on the relative conversion factor  $\alpha_{\text{CO}}$ ), and a ‘dense’, high-excitation component, containing  $\sim 2/3$  of the mass. The likely presence of a substantial diffuse component besides highly-excited gas suggests different properties between the star-forming environments in  $z>4$  SMGs and  $z>4$  quasar host galaxies, which perhaps trace different evolutionary stages. The discovery of a massive, metal-enriched gas reservoir in a SMG at the heart of a large  $z=5.3$  protocluster considerably enhances our understanding of early massive galaxy formation, pushing back to a cosmic epoch where the Universe was less than 1/12 of its present age.

*Subject headings:* galaxies: active — galaxies: starburst — galaxies: formation — galaxies: high-redshift — cosmology: observations — radio lines: galaxies

### 1. INTRODUCTION

Our understanding of the physical properties of submillimeter galaxies (SMGs; see review by Blain et al. 2002) is of key importance to studies of the early formation and evolution of massive galaxies, as they are the likely progenitors of the most massive galaxies in the present-day universe. SMGs typically represent compact, intense ( $>1000M_{\odot}\text{yr}^{-1}$ ), rather short-lived ( $<100$  Myr) starbursts with rapid gas consumption through high star formation efficiencies that are commonly associated with ongoing major mergers. Their star formation rates (SFRs) exceed those of ‘normal’ high- $z$  galaxies with comparable stellar mass ( $M_{\star}$ ) by more than an order of magnitude at  $z\sim 2$  (e.g., Daddi et al. 2009), making them a comparatively rare, but cosmologically important population. The extreme star formation events in SMGs are typically associated with large amounts of gas and dust which often obscure the stellar light and star formation at

rest-frame ultraviolet (UV) to optical wavelengths, making their identification at such wavelengths notoriously difficult.

The most insightful way to study SMGs and their star formation properties thus usually is through the dust-reprocessed UV light from newly formed stars that is re-emitted in the far-infrared (FIR) continuum (a measure of the SFR), and through line emission from molecular gas (typically CO), the fuel for star formation. Molecular gas was detected in  $>20$  SMGs to date, revealing large gas reservoirs of  $>10^{10}M_{\odot}$  in most cases (see Solomon & Vanden Bout 2005 for a review).

Recently, Capak et al. (2010) discovered AzTEC-3, an SMG at an unprecedented redshift of  $z=5.3$ . AzTEC-3 is not only the most distant SMG known to date, but also resides in a massive, overdense, proto-cluster environment extending out to  $>2$  Mpc, with two companions within 12.2 kpc. AzTEC-3 hosts a  $1800M_{\odot}\text{yr}^{-1}$  starburst<sup>8</sup> exhibiting a FIR luminosity of  $L_{\text{FIR}}=(1.7\pm 0.8)\times 10^{13}L_{\odot}$  that likely produced a substantial fraction of its current stellar mass of  $M_{\star}=(1.0\pm 0.2)\times 10^{10}M_{\odot}$ . The unusual nature of AzTEC-3 and its potential major implications for massive galaxy formation at very early cosmic epochs has initiated an in-depth study of its physical properties and cosmic environment.

In this Letter, we report the detection of CO ( $J=2\rightarrow 1$ ), CO ( $J=5\rightarrow 4$ ) and CO ( $J=6\rightarrow 5$ ) emission toward AzTEC-3 ( $z=5.298$ ), using the Expanded Very Large Array (EVLA) and the Plateau de Bure Interferometer (PdBI). We use a concordance, flat  $\Lambda$ CDM cosmology through-

<sup>8</sup> Assuming a Chabrier (2003) stellar initial mass function (IMF).

<sup>1</sup> Astronomy Department, California Institute of Technology, MC 249-17, 1200 East California Boulevard, Pasadena, CA 91125, USA; dr@caltech.edu

<sup>2</sup> Spitzer Science Center, California Institute of Technology, MC 220-6, 1200 East California Boulevard, Pasadena, CA 91125, USA

<sup>3</sup> National Radio Astronomy Observatory, PO Box O, Socorro, NM 87801, USA

<sup>4</sup> Institut de RadioAstronomie Millimétrique, 300 Rue de la Piscine, Domaine Universitaire, 38406 Saint Martin d’Hères, France

<sup>5</sup> Max-Planck-Institut für Astronomie, Königstuhl 17, D-69117 Heidelberg, Germany

<sup>6</sup> Argelander-Institut für Astronomie, Universität Bonn, Auf dem Hügel 71, Bonn, D-53121, Germany

<sup>7</sup> Hubble Fellow

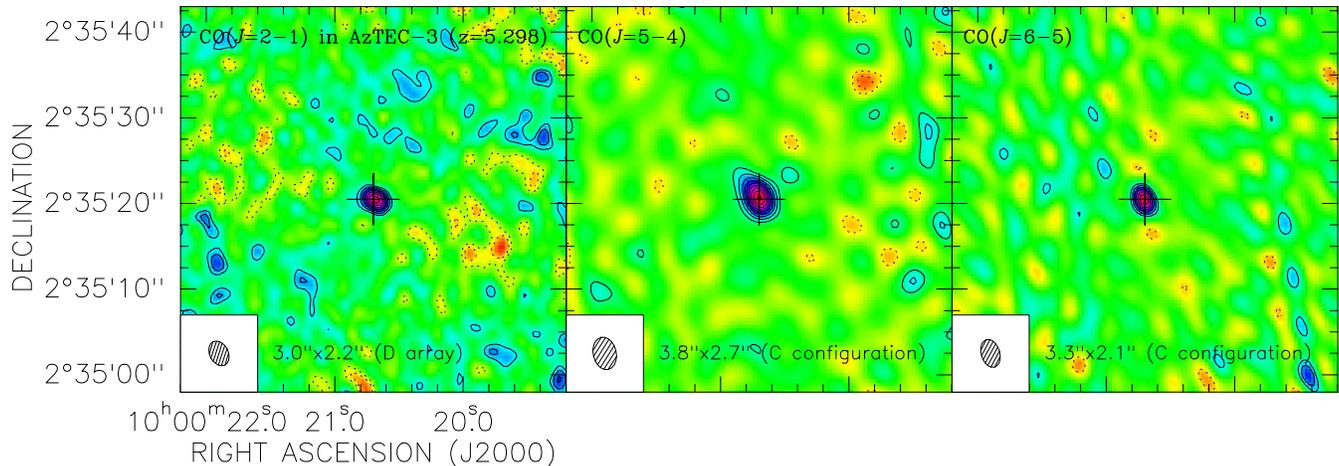


FIG. 1.— Velocity-integrated EVLA/PdBI maps of the CO( $J=2\rightarrow 1$ ) (left), CO( $J=5\rightarrow 4$ ) (middle) and CO( $J=6\rightarrow 5$ ) (right) line emission over 950/852/874  $\text{km s}^{-1}$  toward AzTEC-3. At resolutions of  $3.0''\times 2.2''$ ,  $3.8''\times 2.7''$  and  $3.3''\times 2.1''$  (as indicated in the bottom left corner of each panel), the emission remains unresolved. The cross indicates the position of the submillimeter continuum emission (Younger et al. 2007). Contours are shown in steps of  $1\sigma=0.045/0.10/0.22$   $\text{mJy beam}^{-1}$ , starting at  $\pm 2\sigma$ .

out, with  $H_0=71$   $\text{km s}^{-1} \text{Mpc}^{-1}$ ,  $\Omega_M=0.27$ , and  $\Omega_\Lambda=0.73$  (Spergel et al. 2003, 2007).

## 2. OBSERVATIONS

### 2.1. Plateau de Bure Interferometer

We observed the CO( $J=5\rightarrow 4$ ) ( $\nu_{\text{rest}} = 576.2679305$  GHz), and CO( $J=6\rightarrow 5$ ) (691.4730763 GHz) emission lines toward AzTEC-3 using the PdBI. At  $z=5.298$ , these lines are redshifted to 91.5001 and 109.7925 GHz (3.3 and 2.7 mm). Observations were carried out under good 3 mm weather conditions in the 6C configuration on 2010 April 1 and 2, resulting in 4.4 and 3.1 hr on-source time for the CO  $J=5\rightarrow 4$  and  $6\rightarrow 5$  lines, respectively. The nearby quasar B0906+015 (distance to AzTEC-3:  $12.8^\circ$ ) was observed every 22.5 minutes for pointing, secondary amplitude and phase calibration. For primary flux calibration, the standard calibrators MWC349 and 3C84 were observed, leading to a calibration that is accurate within  $\lesssim 10\%$ . Observations were set up using a total bandwidth of 1 GHz (dual polarization; corresponding to  $\sim 3300/2700$   $\text{km s}^{-1}$  at 3.3/2.7 mm) with the current correlator, and a total bandwidth of 3.6 GHz (dual polarization) with the new WideX correlator (recorded simultaneously).

For data reduction and analysis, the GILDAS package was used. All data were mapped using ‘natural’ weighting. The CO  $J=5\rightarrow 4/6\rightarrow 5$  data result in a final rms of 0.52/1.27  $\text{mJy beam}^{-1}$  per 33/27  $\text{km s}^{-1}$  (10 MHz) channel, and 0.05/0.13  $\text{mJy beam}^{-1}$  (0.028/0.066  $\text{mJy beam}^{-1}$ ) over the entire 1 GHz (3.6 GHz) bandwidth. Maps of the velocity-integrated CO  $J=5\rightarrow 4/6\rightarrow 5$  line emission yield synthesized clean beam sizes of  $3.8''\times 2.7''$  and  $3.3''\times 2.1''$  and rms noise values of 0.10/0.22  $\text{mJy beam}^{-1}$  over 852/874  $\text{km s}^{-1}$  (260/320 MHz).

### 2.2. Expanded Very Large Array

We observed the CO( $J=2\rightarrow 1$ ) ( $\nu_{\text{rest}} = 230.53799$  GHz) emission line toward AzTEC-3 using the EVLA. At  $z=5.298$ , this line is redshifted to 36.6049 GHz (8.2 mm). Observations were carried out under good 9 mm weather conditions in D array on 2010 May 24 and 30, result-

ing in 6.3 hr on-source time with 16 antennas (equivalent to 2.2 hr with 27 antennas) after rejection of bad data. The nearby quasar J1018+0530 (distance to AzTEC-3:  $5.4^\circ$ ) was observed every 7 minutes for pointing, secondary amplitude and phase calibration. For primary flux calibration, the standard calibrator 3C286 was observed, leading to a calibration that is accurate within  $\lesssim 10\%$ . Observations were set up using a total bandwidth of 256 MHz (dual polarization; corresponding to  $\sim 2100$   $\text{km s}^{-1}$  at 8.2 mm) with the WIDAR correlator.

For data reduction and analysis, the AIPS package was used. All data were mapped using ‘natural’ weighting. The data result in a final rms of 0.20  $\text{mJy beam}^{-1}$  per 49  $\text{km s}^{-1}$  (6 MHz) channel, and 0.030  $\text{mJy beam}^{-1}$  over the entire 256 MHz bandwidth. Maps of the velocity-integrated CO  $J=2\rightarrow 1$  line emission yield a synthesized clean beam size of  $3.0''\times 2.2''$  at an rms noise level of 0.045  $\text{mJy beam}^{-1}$  over 950  $\text{km s}^{-1}$  (116 MHz).

## 3. RESULTS

We have detected CO( $J=2\rightarrow 1$ ), CO( $J=5\rightarrow 4$ ) and CO( $J=6\rightarrow 5$ ) line emission toward the  $z=5.298$  SMG AzTEC-3 at  $8\sigma$ ,  $10\sigma$ , and  $7\sigma$  significance (Fig. 1). The CO  $J=5\rightarrow 4$  and  $6\rightarrow 5$  data yield a combined significance of  $12\sigma$ . We do not detect the underlying continuum emission down to  $2\sigma$  limits of 0.13/0.31  $\text{mJy beam}^{-1}$  (WideX correlator: 0.08/0.14  $\text{mJy beam}^{-1}$ ) at 3.3/2.7 mm (rest-frame 520/434  $\mu\text{m}$ ). A 2–3 $\sigma$  peak is present in the 8.2 mm map (rest-frame 1.3 mm), but offset from the CO peak position by  $\sim 1''$ . We thus consider the underlying continuum at this wavelength undetected down to  $\sim 0.09$   $\text{mJy beam}^{-1}$ .

From Gaussian fitting to the line profiles (Fig. 2), we obtain CO  $J=2\rightarrow 1$ ,  $5\rightarrow 4$  and  $6\rightarrow 5$  line peak strengths of  $0.45\pm 0.07$ ,<sup>9</sup>  $1.78\pm 0.17$  and  $2.64\pm 0.36$   $\text{mJy}$  at a FWHM of  $487\pm 58$   $\text{km s}^{-1}$ , centered at a (weighted median) redshift of  $z=5.2979\pm 0.0004$  (consistent with the optical redshift; Capak et al. 2010). This corresponds to velocity-integrated emission line strengths of  $0.23\pm 0.03$ ,  $0.92\pm 0.09$ , and  $1.36\pm 0.19$   $\text{Jy km s}^{-1}$ , i.e., line luminosities

<sup>9</sup> The fit also suggests an underlying continuum component of  $0.09\pm 0.04$   $\text{mJy}$ , consistent with the marginal peak seen in the map.

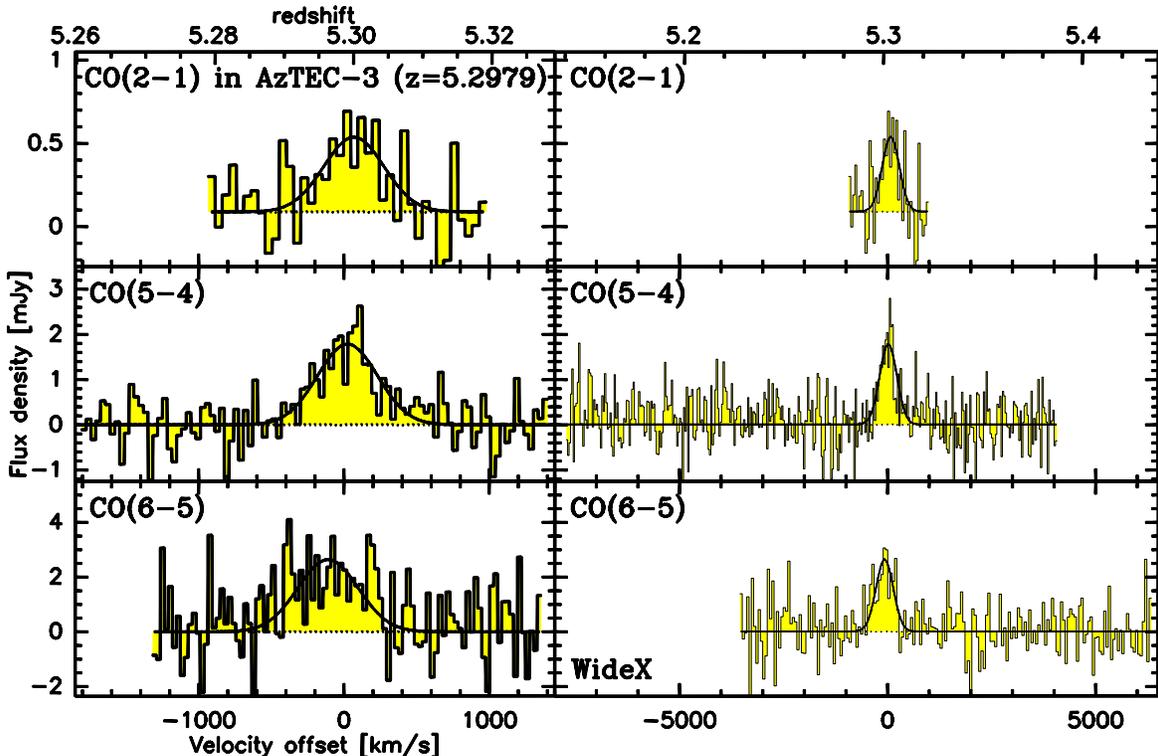


FIG. 2.— *Left*: EVLA/PdBI CO( $J=2\rightarrow 1$ ) (*top*), CO( $J=5\rightarrow 4$ ) (*middle*) and CO( $J=6\rightarrow 5$ ) (*bottom*) spectra of AzTEC-3 at 6/10/10 MHz (49/33/27  $\text{km s}^{-1}$ ) resolution (histograms), along with Gaussian fits to the line emission (black curves). The velocity scale is relative to the source’s redshift of  $z=5.2979\pm 0.0004$ , as measured from the molecular line emission. *Right*: Same, but showing the PdBI data recorded with the WideX correlator (CO  $J=6\rightarrow 5$  is re-binned to 20 MHz).

ties of  $L'_{\text{CO}(2-1)}=(5.84\pm 0.78)$ ,  $L'_{\text{CO}(5-4)}=(3.70\pm 0.37)$ , and  $L'_{\text{CO}(6-5)}=(3.82\pm 0.54)\times 10^{10}$   $\text{K km s}^{-1} \text{pc}^2$ . This also corresponds to CO  $J=6\rightarrow 5/5\rightarrow 4$  and CO  $J=5\rightarrow 4/2\rightarrow 1$  line brightness temperature ratios of  $r_{65}=1.03\pm 0.16$  (i.e., consistent with thermalized) and  $r_{52}=0.63\pm 0.10$ .

#### 4. ANALYSIS AND DISCUSSION

##### 4.1. Origin of the CO and Submillimeter Emission

Within the size of the CO beam, there are three galaxies with colors that are consistent with the CO redshift of AzTEC-3. By combining the integrated emission of the CO  $J=5\rightarrow 4$  and  $6\rightarrow 5$  lines detected at  $12\sigma$  significance and fitting the  $u-v$  data with a circular Gaussian, we find that the source is unresolved down to a FWHM diameter of  $1.0''\pm 0.7''$  ( $\sim 6\pm 4$  kpc at  $z=5.3$ ). This is consistent with a limit of  $1.3''^{+0.9''}_{-1.3''}$  ( $\sim 8^{+5}_{-8}$  kpc) as derived from the CO  $J=2\rightarrow 1$  data. Only one of the three color-selected galaxies falls within this smaller area, identifying the  $i\sim 26$  galaxy targeted by the optical spectroscopy as the real counterpart of the CO emission (Fig. 3). The astrometric accuracy of the CO( $J=2\rightarrow 1$ ) and combined CO  $J=5\rightarrow 4$  &  $6\rightarrow 5$  detections is  $0.15''$  and  $0.12''$ . The peak position of the CO emission is consistent with that of the  $890\ \mu\text{m}$  continuum emission (astrometric accuracy:  $0.14''$ ; Younger et al. 2007) and the  $3.6\ \mu\text{m}$  emission (astrometric accuracy:  $0.2''$ ; Sanders et al. 2007) within the relative uncertainties (Fig. 3). Interestingly, the emission at all these wavelengths is offset from the *HST*/ACS  $i$ -band image of the source by  $\sim 0.5''$  (3 kpc), but is consistent with a marginal, much fainter peak in the rest-

frame UV image (which we interpret to be part of the same galaxy; inset in Fig. 3). Given the  $0.1''$  relative astrometric accuracy of the *HST* data, this offset is formally significant. We conclude that this offset is due to a combination of lacking surface brightness sensitivity and dust obscuration in the rest-frame UV image, a similar effect as seen in the  $z=4.055$  SMG GN20 (e.g., Carilli et al. 2010). This effect also explains the substantial difference between the UV- and far-IR-derived star formation rates of AzTEC-3 (Capak et al. 2010), suggesting that the regions of most intense star formation are highly dust-obscured.

##### 4.2. CO Line Excitation

Based on the CO excitation ladder of AzTEC-3, we can constrain the line radiative transfer through Large Velocity Gradient (LVG) models, treating the gas kinetic temperature and density as free parameters. For all calculations, the  $\text{H}_2$  ortho-to-para ratio was fixed to 3:1, the cosmic microwave background temperature was fixed to 17.16 K (at  $z=5.298$ ), and the Flower (2001) CO collision rates were used. We adopted a CO abundance per velocity gradient of  $[\text{CO}]/(dv/dr) = 1 \times 10^{-5} \text{pc} (\text{km s}^{-1})^{-1}$  (e.g., Weiß et al. 2005, 2007; Riechers et al. 2006).

The data are poorly fit by single-component models, which underpredict the CO( $J=2\rightarrow 1$ ) flux by  $\sim 30\%$ . The data can be fitted reasonably well with two gas components, which are represented by a ‘diffuse’, low-excitation component with a kinetic temperature of  $T_{\text{kin}}=30$  K and a gas density of  $\rho_{\text{gas}}=10^{2.5} \text{cm}^{-3}$ , and a more ‘dense’, high-excitation component with  $T_{\text{kin}}=45$  K and  $\rho_{\text{gas}}=10^{4.5} \text{cm}^{-3}$  in our model (Fig. 4). Assuming

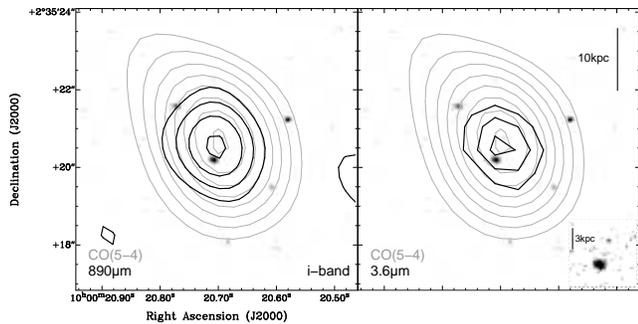


FIG. 3.— Overlays of the CO( $J=5\rightarrow 4$ ) emission (gray contours) and SMA 890  $\mu\text{m}$  (left;  $2.7''\times 2.1''$  resolution; Younger et al. 2007), as well as *Spitzer*/IRAC 3.6  $\mu\text{m}$  (right; PSF FWHM =  $1.6''$ ; from Sanders et al. 2007) emission (black contours in each panel), with an *HST*/ACS *i*-band image (gray scale and inset; from Scoville et al. 2007).

that the molecular gas is distributed in a face-on, circular disk, the observed limits of  $1.3''$  and  $1.0''$  on the sizes of these low- and high-excitation gas components yield CO disk filling factors of  $\gtrsim 75\%$  and  $\gtrsim 10\%$ . The ‘diffuse’ gas component has physical properties comparable to those of nearby spiral galaxies and ‘normal’ high- $z$  star-forming galaxies (e.g., Dannerbauer et al. 2009), and contributes  $\sim 35\%$  to the model-predicted CO( $J=1\rightarrow 0$ ) flux. The ‘dense’ gas component has properties comparable to ultra-luminous infrared galaxy (ULIRG) nuclei and high- $z$  FIR-luminous quasars (e.g., Riechers et al. 2006, 2009), contributing  $\sim 65\%$  to the CO( $J=1\rightarrow 0$ ) flux.

The model suggests an excitation-corrected CO( $J=1\rightarrow 0$ ) line luminosity of  $L'_{\text{CO}} = 6.6 \times 10^{10} \text{ K km s}^{-1} \text{ pc}^2$ , and  $L_{\text{FIR}}/L'_{\text{CO}} = 260 L_{\odot} (\text{K km s}^{-1} \text{ pc}^2)^{-1}$ . This luminosity ratio is comparable to ‘typical’  $z > 2$  SMGs and quasar host galaxies (e.g., Greve et al. 2005; Riechers et al. 2006).

#### 4.3. Total Molecular Gas Mass and Dynamical Mass

Given the gas excitation conditions in AzTEC-3, we derive the total molecular gas mass based on a ULIRG conversion factor of  $\alpha_{\text{CO}} = 0.8 M_{\odot} (\text{K km s}^{-1} \text{ pc}^2)^{-1}$  from  $L'_{\text{CO}}$  to  $M_{\text{gas}}$  (Downes & Solomon 1998), yielding  $M_{\text{gas}} = 5.3 \times 10^{10} M_{\odot}$ .<sup>10</sup> This corresponds to  $5.3 \times M_{\star}$  in this system, implying that the baryonic mass is dominated by the gaseous component. This means that the intense,  $1800 M_{\odot} \text{ yr}^{-1}$  starburst in this galaxy has sufficient fuel to more than sextuple  $M_{\star}$  throughout the remainder of its duration. This also sets the gas depletion timescale  $\tau_{\text{dep}} = M_{\text{gas}}/\text{SFR}$  to 30 Myr, comparable to lower redshift SMGs (e.g., Greve et al. 2005; Schinnerer et al. 2008) and  $z > 4$  quasar host galaxies (e.g., Riechers et al. 2008).

Adopting the size limit of  $1.0''$  ( $\sim 6.2 \text{ kpc}$ ), this also corresponds to a limiting average gas surface density of  $\Sigma_{\text{gas}} \gtrsim 1.7 \times 10^9 M_{\odot} \text{ kpc}^{-2}$ . This is comparable to the values found for  $z \gtrsim 2$  SMGs (typically  $2 \times 10^9 M_{\odot} \text{ kpc}^{-2}$ ; Tacconi et al. 2006, 2008), and about half of the peak gas density of the  $z = 6.42$  quasar J1148+5251 ( $3.5 \times 10^9 M_{\odot} \text{ kpc}^{-2}$ ; Riechers et al. 2009). Assuming an

<sup>10</sup> Assuming a Milky-Way-like  $\alpha_{\text{CO}} = 3.5 M_{\odot} (\text{K km s}^{-1} \text{ pc}^2)^{-1}$  for the low-excitation component would increase  $M_{\text{gas}}$  by a factor of 2.2.

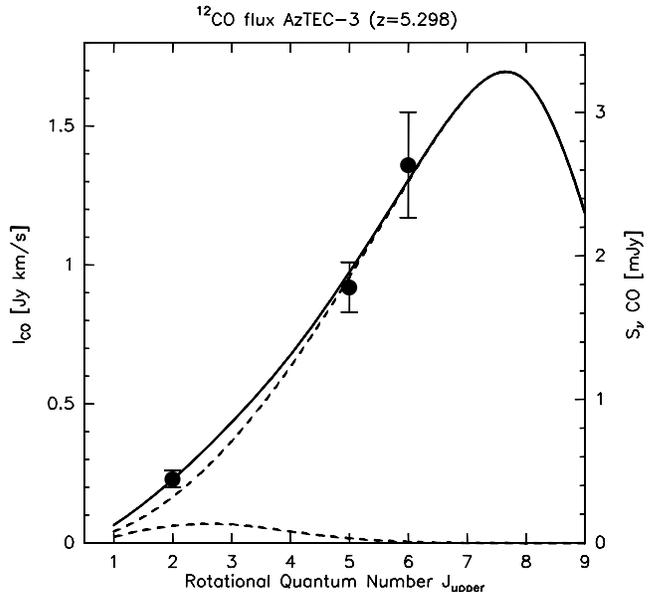


FIG. 4.— CO excitation ladder (points) and LVG model (lines) for AzTEC-3. The model (solid line) consists of two gas components (dashed lines): a Milky-Way-like, low-excitation component with a kinetic temperature of  $T_{\text{kin}} = 30 \text{ K}$  and a gas density of  $\rho_{\text{gas}} = 10^{2.5} \text{ cm}^{-3}$ , and a ULIRG-like, high-excitation component with  $T_{\text{kin}} = 45 \text{ K}$  and  $\rho_{\text{gas}} = 10^{4.5} \text{ cm}^{-3}$ .

intrinsic  $\Sigma_{\text{gas}}$  in this range (i.e.,  $\sim 2.7 \times 10^9 M_{\odot} \text{ kpc}^{-2}$ ), this may indicate that the gas reservoir in AzTEC-3 has an intrinsic circular gas disk-equivalent radius of  $r_0 \sim 2.5 \text{ kpc}$ .

Based on the velocity and size information extracted from the CO data, we can determine a value for the dynamical mass ( $M_{\text{dyn}}$ ) of AzTEC-3. Given that the contributions from the central supermassive black hole ( $M_{\text{BH}} \lesssim 0.1\% M_{\star} \propto 10^7 M_{\odot}$ ; Alexander et al. 2008) and dust ( $M_{\text{dust}} \lesssim 1\text{--}2\% M_{\text{gas}}$ ; e.g., Michalowski et al. 2010) to the total mass budget are expected to be small, we here approximate  $M_{\text{tot}} \simeq M_{\text{dyn}} \simeq M_{\text{gas}} + M_{\star} + M_{\text{DM}}$ , where  $M_{\text{DM}}$  is the contribution from dark matter. We further define the gas mass fraction as  $f_{\text{gas}} = M_{\text{gas}}/M_{\text{dyn}}$  and the baryonic mass fraction as  $f_{\text{bary}} = 1 - f_{\text{DM}} = [M_{\text{gas}} + M_{\star}]/M_{\text{dyn}}$ .

In Fig. 5,  $f_{\text{gas}}$  and  $f_{\text{bary}}$  are shown as a function of  $r_0$  and the inclination  $i$  of the gas disk. For reference,  $r_0 = 2.5 \text{ kpc}$  corresponds to  $M_{\text{dyn}} \sin^2 i = 1.4 \times 10^{11} M_{\odot}$ , i.e.,  $f_{\text{gas}} \sim 0.4$  and  $f_{\text{bary}} \sim 0.45$ .<sup>11</sup> From the observations of the gas and dust in this galaxy, we can infer three main constraints on  $M_{\text{dyn}}$  (shaded regions in Fig. 5). First, the CO observations place an upper limit of  $1.3''$  on  $r_0$ . Second, of the  $> 20$  SMGs detected in CO emission to date, none has a FWHM linewidth of  $> 1200 \text{ km s}^{-1}$  (median:  $530 \text{ km s}^{-1}$ ; Coppin et al. 2008). Taking this as an upper limit on the intrinsic, inclination-corrected linewidth  $v_{\text{CO}} = dv_{\text{FWHM}} \sin^{-1} i$  yields a lower limit of  $i > 24^\circ$  ( $i = 0^\circ$  corresponds to face-on). And third, assuming that the starburst disk is supported by radiation pressure on dust grains yields an Eddington limit on the FIR flux of  $F_{\text{FIR}} \simeq 10^{13} L_{\odot} \text{ kpc}^{-2}$  (e.g., Scoville 2003; Thompson et al. 2005). Assuming that all  $L_{\text{FIR}}$  is due to star formation in the gas-rich reservoir, this yields a lower limit

<sup>11</sup> Assuming that the system is seen close to edge-on.

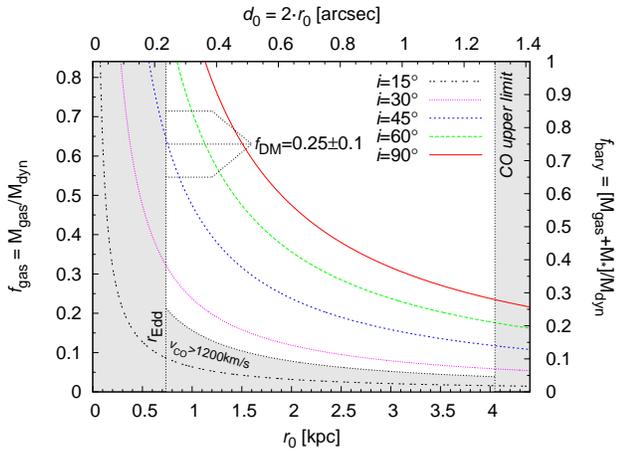


FIG. 5.— Constraints on the gas ( $f_{\text{gas}}$ ) and baryonic ( $f_{\text{bary}}$ ) mass fractions for different line-of-sight inclination angles  $i$  for AzTEC-3 (where  $i=90^\circ$  corresponds to edge-on).  $r_0$  is the equivalent radius of a circular disk used for deriving  $M_{\text{dyn}}$ . The upper limit derived from the CO data and the lower limit derived from the Eddington limit on  $L_{\text{FIR}}$  ( $r_{\text{Edd}}$ ) are indicated by the vertical lines and shaded areas. A lower limit on  $i$  is determined by assuming that  $v_{\text{CO}} = dv_{\text{FWHM}} \sin^{-1} i \leq 1200 \text{ km s}^{-1}$ , as indicated by the lower dotted curve and shaded area. The allowed range of  $i$  for an assumed dark matter fraction of  $f_{\text{DM}} = 1 - f_{\text{bary}} = 25\% \pm 10\%$  (corresponding to  $f_{\text{gas}} = 63\% \pm 8\%$ ) is indicated by the thin dotted horizontal/arrow-shaped lines.

on  $r_0$  of  $r_{\text{Edd}} = 735 \text{ pc}$ . Note that the corresponding dust brightness temperature limit of  $T_{\text{dust}}^{\text{Edd}} = 88 \text{ K}$  is more than twice as high as the  $T_{\text{dust}}$  obtained from fitting the spectral energy distribution (Capak et al. 2010), which may indicate that  $F_{\text{FIR}}$  in AzTEC-3 is well below the Eddington limit on average, contrary to what is seen, e.g., in the center of the  $z=6.42$  quasar J1148+5251 (Walter et al. 2009; Riechers et al. 2009).

Based on these constraints on  $M_{\text{dyn}}$ , and assuming that  $\alpha_{\text{CO}}$  and  $M_*$  are correct, another interesting limit arises: for the galaxy not to be dark matter-dominated ( $f_{\text{bary}} < 50\%$ ) within its central few kpc, the system has to be seen at  $i > 35^\circ$ , and the starburst disk has to be relatively compact ( $r_0 < 2.3 \text{ kpc}$ ). Assuming a probably more realistic  $f_{\text{DM}} = 25\% \pm 10\%$  (e.g., Daddi et al. 2010) would suggest limiting  $i \gtrsim 44^\circ \pm 4^\circ$  (at  $r_{\text{Edd}}$ ) and  $r_0 \lesssim 1.5 \pm 0.2 \text{ kpc}$  (at  $i=90^\circ$ ).

Overall, the observations thus may favor a relatively compact, highly inclined galaxy, with a high, perhaps dominant, fraction of molecular gas ( $f_{\text{gas}} = 0.3-0.8$ ) that also dominates the baryonic mass in this system ( $f_{\text{gas}} \approx 0.84 f_{\text{bary}}$ ). Despite the limited spatial resolution of this detection experiment, the diagnostic plot introduced here allows us to constrain the dynamical mass in this system to within a factor of  $\sim 2-3$ . However, dynamically resolved CO observations at high ( $< 0.7''$ ) spatial resolution are necessary to determine to what degree the assumptions made here are correct. Also, potential effects of non-virial dynamics (such as in a merger) require further investigation.

## 5. CONCLUSIONS

We have detected a molecular gas reservoir in the highest-redshift SMG AzTEC-3 ( $z=5.298$ ) that is comparable in mass to ‘typical’  $z > 2$  SMGs (e.g., Greve et al. 2005; Ivison et al. 2010; Harris et al. 2010) and likely has

a low-excitation component similar<sup>12</sup> in gas properties to that seen in another  $z > 4$  SMG (Carilli et al. 2010), but in addition has a high-excitation gas component with properties similar to  $z > 4$  quasar host galaxies (e.g., Riechers et al. 2006, 2009). The peak of the gas distribution is coincident with the rest-frame optical and far-infrared emission (stellar light and dust-enshrouded star formation), but slightly offset from the peak of the rest-frame UV emission (unobscured star formation), which appears to consist of multiple bright clumps. Given the high gas mass fraction and star formation rate of this galaxy, this suggests the presence of a heavily obscured starburst, possibly triggered by a major, ‘wet’ (i.e., very gas-rich),  $\lesssim 8 \text{ kpc}$ -scale merger. This is reminiscent of what is seen in ‘typical’  $z > 2$  SMGs (e.g., Tacconi et al. 2008).

The detection of luminous CO emission implies relatively advanced enrichment with heavy elements in the material that fuels the observed early burst of star formation in AzTEC-3. Assuming a Galactic abundance of CO yields  $M(\text{CO}) \approx 7 \times 10^7 M_\odot$ . Such a level of early metal enrichment could be achieved through a few  $\times 10^7$  hundred solar mass population-III stars (e.g., Walter et al. 2003). This order-of-magnitude estimate, however, corresponds to  $\gtrsim 10\% M_*$ , and would require a quite ‘top-heavy’ IMF. We thus speculate that asymptotic giant branch stars and supernovae may be extremely efficient in enriching their environments at such early epochs, or that the stellar mass may be underpredicted due to obscuration in the most intensely star-forming regions.

The unusually high-excitation gas component in AzTEC-3 (for a SMG) raises the question whether or not the environment may play a role in determining its star formation properties. AzTEC-3 has two close, massive companions with consistent photometric redshifts within only 12.2 kpc. The molecular gas reservoir is clearly spatially separated from the companions; however, gravitational interactions may still play a role at such small distances, which may explain an above average peak gas density (leading to high CO excitation). The most similar object probably is the  $z=4.055$  SMG GN20, which evolves in a comparably overdense environment (Daddi et al. 2009). Interestingly, the CO reservoir in AzTEC-3 is more compact, (partially) higher excited, and less than half as massive as that in GN20. This may either indicate that the (overdense) cosmic environments of both galaxies are considerably different (GN20 even has two massive SMG companions within 180 kpc distance), or that they are in different stages of their evolution as a SMG. The likely presence of a substantial low-excitation gas component besides highly-excited gas in both systems may point at a fundamental difference in physical properties between the star-forming environments in  $z > 4$  SMGs and  $z > 4$  FIR-luminous quasar host galaxies (e.g., Riechers et al. 2006), which may trace overall different evolutionary stages of galaxies with comparable, high gas masses and star formation rates. This difference may arise due to a higher average concentration of the gas in the quasar hosts (rather than large-scale influence of the active nucleus), e.g., due to later merger stages. This would be consistent with a scenario where SMGs evolve into FIR-luminous quasars (e.g., Sanders

<sup>12</sup> Future CO( $J=1 \rightarrow 0$ ) observations are desirable to characterize this component in more detail.

et al. 1988; Coppin et al. 2008). Both populations are likely progenitors of the most massive galaxies in the present-day universe.

This investigation clearly motivates more detailed studies of the first SMGs and quasars, which will enable us to directly probe the scales that are critical to unravel the physical processes that drive the clustered evolution of massive galaxies in the early universe, back to the first billion years after the Big Bang.

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