

Time-dependent radiative transfer calculations for supernovae

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ABSTRACT

In previous papers we discussed results from fully time-dependent radiative transfer models for core-collapse supernova (SN) ejecta, including the Type II-peculiar SN 1987A, the more ‘generic’ SN II-Plateau, and more recently Type IIb/IIc SNe. Here we describe the modifications to our radiative modelling code, *CMFGEN*, which allowed those studies to be undertaken. The changes allow for time-dependent radiative transfer of SN ejecta in homologous expansion. In the modelling we treat the entire SN ejecta, from the innermost layer that does not fall back on the compact remnant out to the progenitor surface layers. From our non-local thermodynamic equilibrium time-dependent line-blanketed synthetic spectra, we compute the bolometric and multiband light curves: light curves and spectra are thus calculated simultaneously using the same physical processes and numerics. These upgrades, in conjunction with our previous modifications which allow the solution of the time-dependent rate equations, will improve the modelling of SN spectra and light curves, and hence facilitate new insights into SN ejecta properties, the SN progenitors and the explosion mechanisms. *CMFGEN* can now be applied to the modelling of all SN types.

Key words: radiative transfer – methods: numerical – stars: atmospheres – supernovae: general.

1 INTRODUCTION

Supernova (SN) spectra potentially contain a great deal of information about the progenitor star, about the explosion dynamics and nucleosynthesis yields. Extracting this information, particularly at early times, is difficult due to their low densities and high velocities at their effective photosphere. Because of the low densities, radiative processes tend to dominate over collisional processes and hence local thermodynamic equilibrium (LTE) cannot be assumed. Instead we must solve the statistical equilibrium equations, and this requires vast amounts of atomic data, much of which has only become available over the last decade. The high velocities blend spectral features together, often making line identifications difficult, and this hinders spectral analysis since accurate spectral modelling is needed in order to interpret weak, but important, diagnostics.

An inherent feature of SNe is that their spectra evolve with time, on a time-scale comparable to, or shorter than, the age of the SN. Thus, a crucial question is whether this time dependence needs to be taken into account when modelling SN spectra.¹ Can reliable

results from spectral fitting be obtained by modelling only the photospheric layers, in the spirit of radiative transfer studies on stellar atmospheres? That is, can we ignore time-dependent terms, and can we impose a lower boundary condition and adjust this boundary condition, and photospheric abundances, until our model spectrum matches what is observed? This approach has been adopted by many workers in the field, following the first papers by Branch et al. (1985), Lucy (1987) and Mazzali et al. (1993).

Dessart & Hillier (2005) used the stellar atmosphere approach to explore the expanding photosphere method (EPM) for finding distances to Type II SNe. With these investigations they were able to investigate the physics influencing the EPM technique, and hence understand why it was difficult to accurately estimate the correction factors using photometry alone. Dessart & Hillier (2006) applied the EPM technique to SN 1999em, and showed that the distance obtained agreed within 10 per cent of the Cepheid distance. Later, Dessart et al. (2008) successfully applied the EPM method to

of some of the issues regarding time dependence is provided by Pinto & Eastman (2000). A related issue is the necessity of including all terms of the order of v/c in the transfer equation; Mihalas & Mihalas (1984) provide an in-depth discussion of the importance of various terms in the transfer equation.

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¹ When modelling light curves, there are no controversies – time dependence is essential for modelling the light curves of SNe. An excellent discussion

determine the distance to SN 2005cs and SN 2006bp. Our modelling of these three Type IIP SNe did, however, reveal a problem that is also seen in modelling of other hydrogen-rich SNe – the inability to predict H α during the recombination epoch (after approximately day 20 in Type IIP SNe). At this and later times the model H α line is consistently narrower and weaker than observed.

The H α problem is also seen for SN 1987A; however, in this case problems in fitting H α occur as early as day 3 (e.g. Schmutz et al. 1990) due to the more rapid cooling of the ejecta from a more compact progenitor. Schmutz et al. (1990) postulated that clumping might be the source of the H α discrepancy. Eastman & Kirshner (1989) have also modelled SN 1987A (up to day 10) and did not find any H α discrepancy. Two effects might contribute to this difference. First, Eastman & Kirshner (1989) treated many metals in LTE which could affect the H ionization balance. Secondly, as shown by Eastman & Kirshner (1989), the H α emission strength is sensitive to the density exponent n defined by $n = -d \ln \rho / d \ln r$. Low n (i.e. $n = 7$) give much stronger H α emission than higher n (i.e. $n = 20$). Schmutz et al. (1990) used a power law with a density exponent of 10, while Eastman & Kirshner (1989) used a density exponent of 9. In the study of Dessart & Hillier (2006) it was found that while lowering the density exponent down from 10 to 8, and even to 6, led to an increase in the H α strength it also produced a very severe mismatch of the model with observations of the Ca II lines.

A related problem is that Schmutz et al. (1990) were unable to fit the He I emission in SN 1987A – models predicted no He I $\lambda 5876$ although it is easily seen in the observations (up to day 4). Simple model changes did not improve the predictions, and hence they postulated an extra source of ultraviolet (UV) photons. The models of Eastman & Kirshner (1989) also underestimated He I $\lambda 5876$. They suggested a combination of effects as the likely cause – high helium abundance, X-rays produced in an interaction zone, density effects and time-dependence effects.

Mitchell et al. (2001) found that models for SN 1987A, computed using the code PHOENIX (Hauschildt & Baron 1999; Short, Hauschildt & Baron 1999), also predicted H α emission much weaker than that observed. To explain these discrepancies they invoked mixing of ^{56}Ni into the SN's outer layers – mixing at velocities ($v > 5000 \text{ km s}^{-1}$) larger than predicted in current hydrodynamic modelling (Kifonidis et al. 2006; Joggerst, Woosley & Heger 2009; Hammer, Janka & Müller 2010).² The H I and He I lines would be excited by non-thermal electrons produced by the degradation of γ -rays created by the decay of radioactive nickel. Baron et al. (2003) also used mixing of ^{56}Ni into the SN's outer layers to explain the H I and He I lines in early-time spectra of SN 1993W (Type IIP).

The H I and He I discrepancies are important – either there is a fundamental problem with our current spectral modelling of SNe or there is crucial SN physics missing from current hydrodynamic models which leads, for example, to an underprediction of the amount and extent of ^{56}Ni mixing. In order to distinguish these possibilities it is important that we relax assumptions, such as steady state, in our SN models. Indeed, Utrobin & Chugai (2005) showed that it was important to include time dependence in the rate equations for SN 1987A. When such terms are included, it is possible to

explain the large strength of the Balmer lines without invoking non-thermal excitation resulting from the mixing of radioactive nickel from below the hydrogen-rich envelope. This conclusion has been confirmed by Dessart & Hillier (2008) who showed that inclusion of the time-dependent terms allowed the H α line strength to be better matched in SN 1999em, particularly at late times (> 20 d).

The necessity of including time-dependent terms is unfortunate. With steady-state models we can readily adjust the luminosity, abundances and the density structure to tailor match the observations. Instead we have an initial value problem requiring us to begin the calculations at a sufficiently early time such that the adopted initial model allows the full time evolution to be modelled. On the other hand, this necessity will allow us to better test both hydrodynamical models of the explosions, and models for the progenitor evolution.

More recently, Dessart & Hillier (2010) extended their work to allow for time dependence of the radiation field. This removes issues with the inner boundary condition, and controversies on which terms can be omitted from the radiative transfer equation (Baron, Hauschildt & Mezzacappa 1996; Pinto & Eastman 2000). With their fully time-dependent approach, they were able to successfully model the early evolution of SN 1987A, from $\lesssim 1$ d up to 20 d. Overall, predicted spectra were in good agreement with observations, and showed the expected trend from a very high effective temperature at early times ($T_{\text{eff}} > 30\,000 \text{ K}$ at 0.3 d) to a relatively constant effective temperature at later times ($T_{\text{eff}} \sim 6000 \text{ K}$ at 5 d). The latter is a consequence of the hydrogen ionization front which sets the location of the SN photosphere. One deficiency in the models was an excess flux in the U band. This excess flux could arise from a deficiency in the model calculations (e.g. insufficient line blanketing) or from deficiencies in the initial model (e.g. wrong sized progenitor). This work, with time-dependent radiative transfer and time-dependent rate equations, showed that standard models for SN 1987A, without ^{56}Ni mixing beyond 4000 km s^{-1} , could explain both the H I and He I lines in SN 1987A. The result is in agreement with explosion models which require mixing out to $\sim 3000\text{--}4000 \text{ km s}^{-1}$ in order to explain the observed light curve of SN 1987A (e.g. Shigeyama & Nomoto 1990; Blinnikov et al. 2000), the appearance of hard X-rays (e.g. Kumagai et al. 1989) and later nebular diagnostics (e.g. Hachinger et al. 2009).

Time-dependent radiation transfer has also been included in PHOENIX (Jack, Hauschildt & Baron 2009), as has the solution of the time-dependent rate equations (De, Baron & Hauschildt 2010). A distinction between PHOENIX and CMFGEN is that PHOENIX works primarily with the intensity, while CMFGEN solves for the radiation field using moments. The latter method has two advantages. It explicitly allows for electron scattering, and in the time-dependent approach a factor of $N_{\text{angle}} (\sim N_D)$ less memory is required to store the time-dependent radiation field. A disadvantage is the generalization to multidimensions and stability issues.

In our work, we solve the radiative transfer equation, or its moments, numerically. An alternative technique is to use a Monte Carlo approach. A major proponent of the Monte Carlo technique is Lucy, who has devoted considerable effort to its development (e.g. Lucy 1999a,b, 2002, 2003, 2005). Techniques have been developed that allow the temperature structure of the envelope to be obtained (Lucy 1999a; Bjorkman & Wood 2001). Most, if not all, the Monte Carlo codes use the Sobolev approximation for the line transfer; this should be an excellent approximation in SN atmospheres although Baron et al. (1996) argue that the approximation is suspect in SNe because of the larger number of lines whose intrinsic profiles overlap. While it is possible to undertake full non-LTE calculations with Monte Carlo codes, such calculations are very time-consuming.

² The recent 3D simulations of Hammer et al. (2010) do produce nickel mixing out to 4500 km s^{-1} , but this is insufficient. Furthermore, in order to explain H α , which is the most significant spectral feature in Type II SN spectra, isolated bullets will not suffice – there has to be global mixing into the hydrogen photosphere.

Thus, it is usual to make approximations to determine the ionization structure (e.g. LTE or a modified nebular approximation; Abbott & Lucy 1985) and/or the line source function (e.g. LTE).

Early applications of Monte Carlo methods to SNe are discussed by Mazzali & Lucy (1993) and were applied to the modelling of SN Ia spectra by Mazzali et al. (1993). Since that time the code has undergone numerous extensions (e.g. Mazzali 2000) and has been applied to interpreting spectra of many SNe (e.g. Mazzali et al. 2006, 2009). The code is not time dependent, and generally uses a grey photosphere approximation for the inner boundary condition. Deviations in the level populations and ionization structure are taken into account using a nebular approximation (Mazzali et al. 2009). These Monte Carlo codes are neither LTE nor fully non-LTE. Since line photons are allowed to scatter, non-LTE is partially treated even when LTE populations are assumed.

A more recent example of a Monte Carlo code is *SEDONA* (Kasen, Thomas & Nugent 2006). *SEDONA* calculates emergent spectra, as a function of time, assuming LTE-level populations. Line transfer for the ‘strongest lines’ (up to 0.5 million) is treated using the Sobolev approximation (Sobolev 1960; Castor 1970) while all remaining lines are treated using an expansion opacity formalism (Karp et al. 1977; Eastman & Pinto 1993). In *SEDONA*, photons can be either absorbed by a line, scatter, or fluoresce (cause an emission in another transition arising from the same upper level). In the study of the width–luminosity relation for Type Ia SNe, redistribution processes were taken into account using a two-level atom approach with a constant redistribution probability of 0.8 (Kasen & Woosley 2007) whereas in another study it was set to 1 (i.e. pure absorption; Woosley et al. 2007).³ One advantage of the Monte Carlo technique is the relative ease with which time dependence is treated (Lucy 2005). More recent work using Monte Carlo techniques has been undertaken by Maurer et al. (2011) and Jerkstrand, Fransson & Kozma (2011) who solve the non-LTE time-dependent rate equations, and solve for the radiation field during the nebular phase. The approach allows for a more accurate treatment of the emergent flux for quantitative analyses especially since, in the nebular phase, the coupling between the gas and the radiation is relatively weak. Monte Carlo codes tend to be inefficient in one dimension but have the advantage that they can be readily extended to two- and three dimensions. Given the complexities of SN modelling it is essential that we have alternative approaches whose results can be compared.

In the present paper we outline the assumptions underlying our time-dependent radiative transfer calculations, and describe our solution technique. In our work we have assumed that the SN flow can be adequately described by a homologous expansion (i.e. $v \propto r$). There are several reasons for doing this. First, SNe approach a homologous state at late times. For example, Type Ia SNe rapidly expand to a radius of $\lesssim 10^{14}$ cm from an object the size of the Earth ($\lesssim 10^9$ cm) in 1 d. After such a rapid expansion, the assumption of a homologous expansion is excellent. This is also true for Type Ib and Ic SNe which expand, from an object similar in size to the Sun, by over a factor of 100 in 1 d. For Type II SNe the assumption becomes a good approximation after a few days for a blue supergiant (BSG) progenitor and somewhat later (~ 20 d) for a red supergiant (RSG) progenitor. Several factors contribute to the departure at early times.

First, the progenitor radius is large (particularly for an RSG), and it takes time for the expanding ejecta to ‘forget’ its initial radius. Secondly, the energy density of the radiation field at early times is significantly compared with the kinetic energy, and this influences the hydrodynamics (Falk & Arnett 1977; Dessart, Livne & Waldman 2010a). Thirdly, in Type II SNe, the reverse shock can set up a non-monotonic velocity field between the core and He/H interface. Finally, material can fall back towards the core, which is particularly important for high-mass progenitors.

The second reason for neglecting the departure from a homologous expansion is that in this complex, but still exploratory work, we do not wish to be concerned with dynamics. A third reason is that the radiation transfer equation is simpler and there are computational advantages in solving this equation compared with the full transfer equation. In particular, the third moment of the radiation field does not appear in the moment equations.

This paper is organized as follows. In Section 2 we briefly characterize how time dependence can affect the modelling of SNe. The radiative transfer equation, its moments and associated boundary conditions in space and time are discussed in Section 3. The time-dependent rate and radiative equilibrium equations are discussed in Section 4, while the deposition of radioactive energy is discussed in Section 5. A brief description of the numerical method used to handle the Lagrangian derivative is provided in Section 6. As in other *CMFGEN* modelling, we use a partial linearization method to facilitate the simultaneous solution of the radiative transfer equation, and the rate and radiative equilibrium equations (Section 7). The use of super-levels, which reduces the size of the non-LTE model atoms, and problems introduced by the use of super-levels are discussed in Section 8. Model convergence is discussed in Section 9 while code testing is discussed in Section 10. The computation of the observed spectrum, which is done in both the comoving and observer’s frames, is discussed in Section 11. In Section 12 we discuss the time-dependent grey transfer equations, which can be used to provide an initial estimate of the temperature structure. From these equations we derive a global energy constraint (Section 12.1). Finally, in Section 13, we discuss additional work that is needed to improve 1D modelling of SNe.

2 TIME-DEPENDENT EFFECTS IN SNe

Time dependence enters into the radiative transfer equation because the speed of light is finite. To characterize its importance, we can consider three separate cases.

(i) Consider an atmosphere with a scale height ΔR . In the optically thin limit, the characteristic light-travel time is simply $\Delta R/c$. For an SN, the characteristic flow time is R/v and hence the ratio of the light-travel time to the flow time is

$$\frac{\Delta R}{R} \frac{v}{c},$$

which for $v = 10\,000 \text{ km s}^{-1}$ and $\Delta R/R = 1/10$ [appropriate for $\rho = \rho_0(r_0/r)^{10}$] we have $t_{\text{light}}/t_{\text{flow}} \sim 1/300$. Because this is much less than 1, it is generally assumed that the time dependency of the radiative transfer equation can be ignored when modelling the photosphere. This will generally be reasonable even when the scale height of the photosphere approaches the local radius.

(ii) In the optically thick regime the effective light-travel time will increase since photons cannot freely stream – instead they perform a spatial random walk, mediated by absorption and scattering processes. In this random walk, photons will travel a distance ΔR

³ In many papers, it is referred to as the thermalization parameter, and can be thought of as the fraction of line photons that are ‘absorbed’ on a given line interaction (e.g. Hauschildt et al. 1992). This probability not only allows for true absorption, but also, for example, re-emission of a photon from the upper level in another bound–bound transition.

from their origin on a time-scale of $\tau \Delta R/c$, and hence

$$t_{\text{light}}/t_{\text{flow}} = \frac{\tau \Delta R v}{R c}.$$

That is, the random walk of the photons through the medium increases the light-travel time by a factor of τ . Thus, as soon as the optical depths become large (e.g. $\tau > 100$) the random-walk time will approach, or exceed, the flow time-scale. In this case, the time-dependence terms are crucial and will control the temperature structure of the SN ejecta. The preceding statement applies to all SN ejecta and their light curves, i.e. optical depth effects systematically require a time-dependent treatment. However, the effect is most pronounced, and lasts longer, in those SNe (i.e. Type IIP) with large ejecta masses.

The random-walk time-scale is equivalent to the diffusion time-scale, but the use of the word diffusion in this context is somewhat of a misnomer, since ‘classical’ diffusion normally refers to the case where we have a temperature gradient. However, in core-collapse SNe the temperature gradient is imposed by the shock, and may be positive, negative or zero. At large optical depths the photons are trapped by the optically thick ejecta. If the SN were not expanding, some of these photons would eventually ‘diffuse’ to the surface, and would impose a temperature gradient on the ejecta. However, in the ‘optically thick’ regions of Type II SN ejecta the expansion time is shorter than the diffusion time, and the temperature is set by the influence of expansion (adiabatic cooling) on the initial temperature structure, and photon escape from depth is primarily determined by the contraction of the photosphere to lower velocities. Initially the light curve reflects the cooling induced by adiabatic expansion; later the light curve is controlled by the escape of thermal energy as the recombination front moves into the SN ejecta (e.g. Eastman et al. 1994; Arnett 1996).

The relative importance of the different energy sources will depend on the mass of ^{56}Ni created, and the mass and the initial radius of the ejecta. For example, Type Ia SNe differ significantly from Type II SNe. In Type Ia SNe the explosion occurs in such a small object (approximately the size of the Earth) that the initial explosion is never seen⁴ – rather the entire visible light curve of Type Ia SNe is dictated by the re-heating of the SN ejecta by the $^{56}\text{Ni}/^{56}\text{Co}$ decay. In the *unmixed* Type IIb/IIc models of Dessart et al. (2011) the re-brightening caused by the diffusion heat wave arising from the decay of ^{56}Ni was not seen until about 10 d – prior to this the light curve exhibited a plateau. Models without ^{56}Ni showed a similar plateau, but subsequently faded. The light curve of SN 1987A, because it stems from the explosion of a BSG, is initially controlled by adiabatic cooling, but then, as in Type Ia SNe, it becomes dominated by the energy released from nuclear decay (e.g. Shigezawa & Nomoto 1990; Blinnikov et al. 2000).

(iii) A third case to consider is where the photospheric properties are changing rapidly. Even if $t_{\text{light}}/t_{\text{flow}} < 1$, and light-travel time effects are unimportant for the transport of radiation in the photo-

sphere, light-travel time effects can still influence observed spectra. This occurs because photons arising from an impact parameter $p = 0$ (i.e. the observer’s line of sight striking the SN centre of mass) will arrive at the observer at a time R_{phot}/c earlier than photons arising from rays from an impact parameter with $p = R_{\text{phot}}$ (i.e. the observer’s line of sight tangent to the photosphere). An example of this effect can occur near shock breakout where the photospheric conditions are changing rapidly. An RSG has a radius of $\sim 10^{14}$ cm, and hence the travel time across the radius of the star is 1 h, which is comparable to the variability time-scale, and hence light-travel time effects would significantly affect the early light curve (e.g. Gezari et al. 2008; Nakar & Sari 2010). While the above discussion is primarily related to early photospheric phases, it may also be relevant at late times. At late times, the evolution of the properties of the SN ejecta and light curve are controlled by the deposition of radioactive energy, and the relevant time-scale to compare the light-travel time with is the smaller of the decay time and the flow time. For the photospheric phase, the dominant nuclear energy sources are the decays of ^{56}Ni and ^{56}Co , and these have decay times of the same order as the flow time.

In the following, we will be primarily concerned with effect (ii). Case (i) is difficult to handle because of the very short time-scales. In our studies the effects are likely to be small, even allowing for the extension of the SN ejecta. While we do treat time dependence, the large time steps (2–10 per cent of the current time) mean that the (small) influence of freely travelling light is smoothed in time – in a 10 per cent time step the light can travel the whole of the grid. To accurately treat such an effect, if important, we would need to consider time steps comparable to the light-travel time across grid points. For our studies it is the flow time that is crucial, since it is the primary factor determining the time-scale on which the ejecta properties and radiation field change with time. In the limiting case of a very thin photosphere (i.e. the atmosphere can be treated in the plane-parallel approximation), case (iii) is more a bookkeeping exercise – the observed spectrum is simply the weighted sum of spectra from a series of steady-state plane-parallel atmospheres computed at different time steps. That is,

$$F_{\nu}(t_o + d/c) = \frac{2\pi}{d^2} \int_0^{R_{\text{max}}} p I_{\nu}^+(t_o - \Delta z/c, R_{\text{max}}, p) dp \quad (1)$$

with

$$\Delta z = \left(\sqrt{R_{\text{max}}^2 - p^2} \right), \quad (2)$$

where t_o is the time the observed light is emitted for an impact parameter $p = R_{\text{max}}$, d is the distance to the SN, and for simplicity we have ignored the expansion of the photosphere during the time interval $\Delta t = R_{\text{max}}/c$.

In our simulations, R_{max} is the same for all frequencies, and is chosen so that at the outer boundary the SN ejecta are optically thin. While the latter condition cannot always be met (e.g. in the continuum of the ground state of a dominant ion or for a strong resonance transition) extensive tests with Wolf–Rayet (WR) and O star models, and a lesser number of tests with SN models, show that this does not affect the observed spectra in the important (i.e. the regions containing the flux) spectral regions (see Section 3.2 for further discussion). At early epochs, the outer boundary typically lies at a velocity of 0.1–0.2 v/c .

⁴ Höflich & Schaefer (2009) have studied whether the explosion could be seen in X-rays and γ -rays. Their studies suggest that the Burst and Transient Source Experiment (BATSE) should have seen about 13 ± 4 nearby SNe Ia but none were detected. They suggest that absorption by the accretion disc can account for this discrepancy. The optical light curve, arising from the shock breakout, should be detectable, with an optical/UV luminosity of the order of 10^6 – $10^7 L_{\odot}$ (Piro, Chang & Weinberg 2010; Rabinak, Livne & Waxman 2011).

3 RADIATIVE TRANSFER

The transfer equation in the comoving frame, to first order in v/c , and assuming a homologous expansion (so that $\partial v/\partial r = v/r$) is

$$\frac{1}{c} \frac{\partial I_\nu}{\partial t} + \frac{\mu c + v}{c} \frac{\partial I_\nu}{\partial r} + \frac{(1 - \mu^2)}{r} \frac{\partial I_\nu}{\partial \mu} - \frac{v\nu}{rc} \frac{\partial I_\nu}{\partial \nu} + \frac{3\nu}{rc} I_\nu = \eta_\nu - \chi_\nu I_\nu \quad (3)$$

(Mihalas 1978; Mihalas & Mihalas 1984), where $I_\nu = I(t, r, \mu, \nu)$, $\chi_\nu = \chi(t, r, \nu)$ is the opacity, $\eta_\nu = \eta(t, r, \nu)$ is the emissivity, and I , χ , η , μ and ν are measured in the comoving frame. η_ν and χ_ν are assumed to be isotropic in the comoving frame, and we have dropped the subscript o (which denotes comoving frame) on I , χ , η , μ and ν for simplicity. The moments of the radiation field are defined in terms of the specific intensity by

$$[J, H, K] = \int_{-1}^1 [1, \mu, \mu^2] I(t, r, \mu, \nu) d\mu. \quad (4)$$

Integrating over the transfer equation, and rearranging terms, we obtain the zeroth and first moments of the radiative transfer equation:

$$\frac{1}{cr^3} \frac{D(r^3 J_\nu)}{Dt} + \frac{1}{r^2} \frac{\partial(r^2 H_\nu)}{\partial r} - \frac{v\nu}{rc} \frac{\partial J_\nu}{\partial \nu} = \eta_\nu - \chi_\nu J_\nu \quad (5)$$

and

$$\frac{1}{cr^3} \frac{D(r^3 H_\nu)}{Dt} + \frac{1}{r^2} \frac{\partial(r^2 K_\nu)}{\partial r} + \frac{K_\nu - J_\nu}{r} - \frac{v\nu}{rc} \frac{\partial H_\nu}{\partial \nu} = -\chi_\nu H_\nu, \quad (6)$$

where D/Dt is the Lagrangian derivative which for spherical geometry is

$$\frac{D}{Dt} = \frac{\partial}{\partial t} + v \frac{\partial}{\partial r}. \quad (7)$$

An alternative formulation of equations (5) and (6) is

$$\frac{1}{cr^4} \frac{D(r^4 J_\nu)}{Dt} + \frac{1}{r^2} \frac{\partial(r^2 H_\nu)}{\partial r} - \frac{v}{rc} \frac{\partial v J_\nu}{\partial \nu} = \eta_\nu - \chi_\nu J_\nu \quad (8)$$

and

$$\frac{1}{cr^4} \frac{D(r^4 H_\nu)}{Dt} + \frac{1}{r^2} \frac{\partial(r^2 K_\nu)}{\partial r} + \frac{K_\nu - J_\nu}{r} - \frac{v}{rc} \frac{\partial v H_\nu}{\partial \nu} = -\chi_\nu H_\nu. \quad (9)$$

These equations more directly show the connection to the grey problem (the frequency derivatives integrate to zero; see Section 12) and the importance of adiabatic cooling due to expansion in the optically thick regime. That is, $J \propto 1/r^4$ and hence $T \propto 1/r$. We utilized equations (5) and (6) in our formulation, primarily because, in the absence of the D/Dt terms, they are identical to those we use to solve the transfer equation in stellar winds (at least if $v \propto r$).

3.1 The Eddington factors, f_ν

The two moment equations discussed in Section 3 (equations 5 and 6) contain three unknowns (J_ν , H_ν and K_ν), and are thus not closed. To close these equations we introduce the closure relation $f_\nu = K_\nu/J_\nu$ (see e.g. Mihalas 1978), where f_ν is obtained from a formal solution of the transfer equation (Section 3.3). Since the formal solution depends on S_ν , which in turn depends on J_ν , we need to iterate. As is well known, convergence of this iteration procedure is rapid.

The Eddington factor, f_ν , which provides a measure of the isotropy of the radiation field, is a ratio of moments. As such they are relatively insensitive to the radiative transfer, and we therefore

compute the Eddington factors using the fully relativistic, but time independent, moment equations. By solving the time-dependent moment equations we correctly allow for the trapping of radiation in the optically thick SN envelope. Thus, the temperature structure is correct and we compute the correct level populations. Near the surface we are neglecting light-travel time effects, but this will only be important in the event that it affects the angular distribution of the radiation, and in particular the Eddington factor f_ν .

3.2 Boundary conditions

3.2.1 Outer boundary

For the solution of the moment equations we assume

$$H_\nu = h_\nu J_\nu \quad (10)$$

at the outer boundary where h is computed using the formal solution. This assumption is used in equation (6) which is differenced in the usual way. The use of this boundary condition can sometimes generate instabilities in the solution, particularly at longer wavelengths (in the infrared). One manifestation of this instability is that a numerical/differencing error introduced into the solution may remain constant (or even grow). Since J declines with increasing wavelength, the percentage error in J increases. The instability is monitored using the formal solution, and can be reduced/eliminated by choosing a finer resolution at the outer boundary such that $\Delta\tau_1 \ll \Delta\tau_2$, where the indices start at 1 at R_{\max} and are incremented inwards until N_D at the innermost grid point.

In the formal (i.e. ray) solution we have two choices at the outer boundary. In the simplest approach we adopt $I_\nu^- = 0$ at the outer boundary. Depending on the size of the model grid, this choice can create model difficulties because $\tau_\nu > 1$ at some frequencies implying that $I_\nu^- \sim S_\nu$. Using $I_\nu^- = 0$ we obtain $J_\nu - S_\nu = S_\nu/2$ rather than $J_\nu - S_\nu \ll S_\nu$ for these frequencies, and this causes rapid changes in level populations at the outer boundary. In order to treat the boundary region correctly it would be necessary to resolve the outer boundary using many additional grid points. In practice, this is unwarranted – the outer boundary condition (provided it is reasonable) does not affect the solution, and the sharp truncation at the outer boundary is an artefact of the model construction.

As an alternative, we can set $I_\nu^- = 0$ at an extended outer boundary, and solve the transfer equation in this extended region to obtain I^- at the true outer boundary. In SN models, the extended region typically extends a factor of 1.5 larger in radius, and opacities and emissivities in this region are obtained by extrapolation. In order to limit the velocity to less than c [the SN 1987A models discussed by Dessart & Hillier (2010) had $v(R_{\max})/c = 0.205$ and $(R_{\text{ext}}/c = 0.29)$ we use a beta-law extrapolation of $v [v(r) = (1 - R_{\text{core}}/r)^\beta]$, rather than a pure homologous expansion. The technique of extrapolating the radius produces a much smoother behaviour in the level populations at the outer boundary. It has previously been used successfully for the modelling of WR stars, luminous blue variables, and O stars (e.g. Hillier 1987; Hillier & Miller 1998, 1999).

3.2.2 Inner boundary

For core-collapse SNe, the inner boundary represents the innermost ejecta layer that sits at the junction of the ejecta and fallback material (deemed to fall on to the compact remnant). Depending on the model, and in particular the binding energy of the progenitor helium core (Dessart, Livne & Waldman 2010b), the inner ejecta velocity

can be $\lesssim 100 \text{ km s}^{-1}$ for standard explosions while for energetic explosions, or explosions of low-mass progenitors, the velocities can be an order of magnitude larger. For Type Ia SNe, for which no remnant is left behind, the inner core velocities are of the order of $\gtrsim 1000 \text{ km s}^{-1}$ (Khokhlov, Mueller & Hoefflich 1993), and still little mass travels at such low velocities compared to those obtained in Type II SN ejecta.

For simplicity we adopt

$$H_\nu = 0 \quad (11)$$

(in actuality we tend to adopt a small non-zero value for H_ν , but such that $H_\nu \ll J_\nu$). For models with an optically thick core, this assumption will produce a smooth run of level populations and temperature with depth near the inner boundary. Our assumption of $H_\nu = 0$ ignores the possible influence of magnetar radiation etc. In reality (and assuming we have the correct SN density structure), the flux at the inner boundary is determined via symmetry arguments. The inward intensity at the inner boundary is the outward intensity at the inner boundary redshifted in frequency space and from an earlier time step. Thus

$$I(t, r_{\min}, \mu_0, \nu_0,) = (\nu_0/\nu_1)^3 I(t - 2\mu r_{\min}/c, r_{\min}, -\mu_0, \nu_1) \quad (12)$$

with $\nu_1 = \nu_0(1 + \mu\beta)/(1 - \mu\beta)$, and where we have ignored the distinction between μ and μ_0 since $v \ll c$.

Thus, H_ν has a complicated frequency variation and would need to be determined in the iteration procedure. However, when integrated over frequency, $H \sim \beta J$ and thus from the grey perspective the assumption of $H = 0$ is accurate.

In practice, it is reasonably easy to take the frequency shift into account. In the comoving frame technique we iterate from blue to red, and thus the intensity information at the high frequency is always available. In the formal solution we can either use $H_\nu = 0$ (and thus $I_\nu^+ = I_\nu^-$) or we specify I_ν^+ taking into account the frequency shift. Doing the latter is of importance when the core is optically thin, and we are computing the observed spectrum. When computing an observed spectrum, and with an optically thin envelope, the frequency shift is potentially important for obtaining an accurate spectrum.

We have also implemented the non-zero H_ν case into the moment solutions. The straightforward approach of using $H_\nu = h_\nu J_\nu$ at all frequencies introduced some numerical instabilities into the solution, and it was found that it was better to use this approach only in frequency regions where the core was optically thin. We also find some convergence difficulties at the inner boundary as we transition from optically thick to optically thin, and these are still being investigated. The cause of the difficulty is most likely related to resolution issues and the large variation in optical depth with frequency. When H_ν is no longer small, we effectively have a ‘photosphere’ at the inner boundary, and in this ‘photospheric’ region populations will change rapidly.

Accounting for the time difference in the inner boundary condition is more problematic (since the intensities at earlier time steps would be needed) but its effect is likely to be small since the relevant time-scale is very small [$\Delta t \leq 2R_{\min}/c = 2t_{\text{age}}(v(R_{\min})/c)$, where t_{age} is the time since the SN exploded]. Theoretically, it could be taken into account using I_ν computed at previous time steps.

Our adopted boundary condition is physically realistic, and is a much better approach than applying a boundary condition at some intermediate depth below the photosphere. It also allows a better handling of the spectral evolution at late times when some spectral regions start becoming transparent while others remain thick.

3.2.3 Time

The radiative transfer problem represented by equations (3), (5) and (6) is an initial-value problem in time. Thus, to solve these equations, we need to fully specify $I_\nu(t_{\text{init}}, r, \mu)$ and the corresponding moments. The computation of $I_\nu(t_{\text{init}}, r, \mu)$ can only be done in conjunction with a full hydrodynamical simulation. This is beyond the scope of the present work. We therefore proceed as follows.

(i) We adopt the temperature, density and abundance structure from a full hydrodynamical model. In the past, Stan Woosley (private communication) has supplied us with models computed with the Kepler code (Weaver, Zimmerman & Woosley 1978). As these models, which are for RSG or BSG progenitors, are not fully resolved in the outer layers, we stitch a power law on to the hydrodynamical models at some suitably chosen velocity (e.g. $10\,000\text{--}30\,000 \text{ km s}^{-1}$). As our choice may affect spectra, particularly at early times, we now obtain/compute models that more accurately describe the outer layers. The issue is less of a problem for Type Ia, Ib and Ic SNe after 1 d, because the outermost layers of these models have been greatly diluted by the huge expansion of the ejecta have undergone.

(ii) We solve for the radiation fields using a fully relativistic transport solver ignoring time-dependent terms ($\partial J_\nu/\partial t, \partial H_\nu/\partial t$). In performing this calculation we allow for non-LTE, and we iterate to obtain the populations simultaneously with the radiation field (i.e. we undertake a normal non-time-dependent CMFGEN calculation). The temperature is held fixed at the value obtained from the hydrodynamical model.

At depth this procedure is more than adequate for Type II SNe, since J_ν is close to B_ν . However, closer to the photosphere, effects may be seen since J_ν departs from B_ν , and the diffusion time can be significant relative to the age of the SN. The long-term effects of this inconsistency are probably small but need to be examined. We can examine errors introduced by the starting solution by comparing CMFGEN results at some future time with the hydrodynamic solution at that time, and by comparing models at the same epoch but which begin with different starting solutions.

A second issue is that the temperature structure from the hydrodynamical structure will not be exact – typically the temperature has been determined assuming LTE and a grey opacity approximation. Only on the second time step will the temperature structure of the outer layers adjust itself to be more fully consistent with our more accurate treatment of the radiation field, and the non-LTE opacities and emissivities. Since the LTE approximation is valid for the inner layers, inconsistencies in the outer temperature structure will only affect the earlier model spectra – with time these outer layers become optically thin and no longer contribute to the observed spectrum. A comparison of the different temperature structures for Type Ib/Ic SNe computed using different assumptions is shown by Dessart et al. (2011).

For the time step, we typically adopt $\Delta t = 0.1t$. Tests show that this is adequate over most of the time evolution. Exceptions will occur at shock breakout (not currently modelled) and at the end of the plateau phase in Type II SNe as the photosphere passes from the hydrogen-rich envelope into the He core. As the plateau stage ends, the luminosity can change by a factor of a few on a time-scale of 10 d. As this is roughly 0.1 times the age of the SN, a smaller step size needs to be used. In the Type IIP core-collapse models of Dessart & Hillier (2011), time steps of 2–5 d did a much better job of resolving the end of the plateau phase (see fig. 10 of Dessart &

Hillier 2011). At present, the time step is set by hand, with 10 per cent being the default.

3.3 Formal solution

In order to solve for the moments of the radiation field, we need to compute the Eddington factors, which are used to close the moment equations. These are computed using the fully relativistic, but time independent, transfer equation. The fully relativistic transfer equation is

$$\begin{aligned} \frac{\gamma(1+\beta\mu)}{c} \frac{\partial I}{\partial t} + \gamma(\mu+\beta) \frac{\partial I}{\partial r} \\ + \gamma(1-\mu^2) \left[\frac{1+\beta\mu}{r} - \Lambda \right] \frac{\partial I}{\partial \mu} \\ - \gamma v \left[\frac{\beta(1-\mu^2)}{r} + \mu\Lambda \right] \frac{\partial I}{\partial v} \\ + 3\gamma \left[\frac{\beta(1-\mu^2)}{r} + \mu\Lambda \right] I = \eta - \chi I, \end{aligned} \quad (13)$$

where $\beta = v/c$,

$$\gamma = 1/\sqrt{1-\beta^2}, \quad (14)$$

$$\Lambda = \frac{\gamma^2(1+\beta\mu)}{c} \frac{\partial \beta}{\partial t} + \gamma^2(\mu+\beta) \frac{\partial \beta}{\partial r}, \quad (15)$$

and it is explicitly assumed that $I = I(t, r, \mu, v)$ with I , μ and v measured in the comoving frame (Mihalas 1980).

To solve the transfer equation, we will assume that we can ignore all time-dependent terms. This is reasonable, since we are not using this equation to compute the temperature structure of the ejecta. Rather, we will use its solution to compute the Eddington factors for the solution of the moment equations. As discussed in Sections 2 and 3.1, errors introduced by this approximation are likely to be small. For the computation of the observed spectrum, we are ignoring the light-travel time for freely flowing photons, but the errors introduced by this assumption will generally be small, except near shock breakout (Section 2). The technique we use to solve equation (13) closely follows that of Mihalas (1980).

Using the method of characteristics, the transfer equation can be reduced from a partial differential equation in three dependent variables (r, v, μ) to a series of equations in two dependent variables (s, ν). From equation (13) it follows that the characteristic equations are

$$\frac{dr}{ds} = \gamma(\mu + \beta) \quad (16)$$

and

$$\frac{d\mu}{ds} = \gamma(1-\mu^2) \left[\frac{1+\beta\mu}{r} - \Lambda \right]. \quad (17)$$

We choose N_c rays to strike the stellar core, while the remaining rays are set by the impact parameter ‘ p ’ which is defined by the radius grid. To obtain the curved characteristics, we integrate the above equations using a Runge–Kutta technique. The accuracy of the integration can be checked by using the relation between μ_s and μ_o , and noting that μ_s is simply $\sqrt{[1-(p/r)^2]}$.

As an aside, we note that the $\partial\beta/\partial t$ term may be written as $D\beta/Dt - v\partial\beta/\partial r$. Thus, for a Hubble flow Λ reduces to

$$\Lambda = \mu \frac{\partial \beta}{\partial r}. \quad (18)$$

Along a characteristic ray the transfer equation reduces to

$$\frac{\partial I}{\partial s} - v\Pi \frac{\partial I}{\partial v} = \eta - (\chi + 3\Pi) I \quad (19)$$

with

$$\Pi = \gamma \left[\frac{\beta(1-\mu^2)}{r} + \mu\Lambda \right]. \quad (20)$$

Using backward differencing in frequency, and using k to denote the current frequency, we have

$$\frac{dI_k}{ds} = \eta_k + a_k I_{k-1} - (\chi_k + 3\Pi + a_k) I_k \quad (21)$$

with

$$a_k = \frac{v_k}{v_{k-1} - v_k} \Pi. \quad (22)$$

This may alternatively be written as

$$\frac{dI_k}{d\tilde{\tau}_k} = I_k - \tilde{S}_k \quad (23)$$

with

$$d\tilde{\tau}_k = -(\chi_k + 3\Pi + a_k) ds \quad (24)$$

and

$$\tilde{S}_k = (\eta_k + a_k I_{k-1}) / (\chi_k + 3\Pi + a_k). \quad (25)$$

Since equation (23) is the standard form of the transfer equation along a ray it can be solved by the usual techniques. Our approach is to assume that S_k can be represented by a parabola in τ_k over three successive grid points, and we analytically integrate the transfer equation using this representation. We first integrate inwards to obtain I_v^- , then outwards to obtain I_v^+ . The radiation moments are obtained by numerical quadrature assuming I_v is a linear function of μ – this is preferred over higher accuracy schemes because of stability.

More recently, an alternative approach has been suggested. In this mixed-frame approach the transfer equation is written in terms of an affine parameter, and the comoving frequency (or wavelength; Chen et al. 2007; Baron, Hauschildt & Chen 2009). Its advantage is that the transfer equation is solved along geodesics (straight lines in our models), allowing the approach to be easily generalized to three dimensions. Like the method of Mihalas (1980), the opacities and emissivities are evaluated in the comoving frame.

4 TIME-DEPENDENT RATE AND RADIATIVE EQUILIBRIUM EQUATIONS

The time-dependent rate equations can be written in the form

$$\rho \frac{Dn_i/\rho}{Dt} = \frac{1}{r^3} \frac{D(r^3 n_i)}{Dt} = \sum_j (n_j R_{ji} - n_i R_{ij}), \quad (26)$$

where ρ is the mass density, n_i is the population density of state i for some species, and R_{ji} is the rate from state j to state i . In general, R_{ji} are functions of n_e (the electron density), temperature and the radiation field (see e.g. Mihalas 1978), and when charge exchange reactions are included they are also dependent on n_i . Thus, the equations are, in general, explicitly non-linear, although with the exception of the temperature, this non-linearity is only quadratic. Of course, there is a strong implicit non-linearity resulting from the dependence of the radiation field on the populations. In CMFGEN we allow for the following processes: bound–bound processes (including two photon decay in H and He I), bound–free processes, collisional ionization and recombination, collisional excitation and

de-excitation, charge exchange (primarily with H I and He I) and Auger ionization (Hillier 1987; Hillier & Miller 1998).

The energy equation has the form

$$\rho \frac{De}{Dt} - \frac{P}{\rho} \frac{D\rho}{Dt} = 4\pi \int dv (\chi_\nu J_\nu - \eta_\nu) + \dot{\epsilon}_{\text{decay}}, \quad (27)$$

where e is the internal energy per unit mass, P is the gas pressure and $\dot{\epsilon}_{\text{decay}}$ is the energy absorbed per second per unit volume. When γ -ray deposition is assumed to be local (see Appendix A), it is simply the energy emitted by the decay of unstable nuclei (excluding the energy carried off by neutrinos). To improve numerical conditioning, continuum scattering terms are explicitly omitted from the absorption and emission coefficient in equation (27). e can be written in the form

$$e = e_K + e_I, \quad (28)$$

where

$$e_K = \frac{3kT(n + n_e)}{2\mu mn}, \quad (29)$$

and

$$e_I = \sum_i \frac{n_i E_i}{\mu mn}. \quad (30)$$

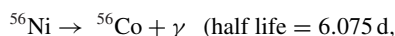
In the above, n is the total particle density (excluding electrons), m is the atomic mass unit, μ the mean atomic weight, T is the gas temperature and E_i is the total energy (excitation and ionization) of state i .

The influence of the time-dependent terms in the rate and radiative equilibrium equations on ejecta properties and synthetic spectra has previously been discussed by Dessart & Hillier (2008). They found that these terms are crucial for explaining the strength of the H I lines in Type IIP spectra during the recombination epoch. Importantly, the terms also increase the He I line strengths in early spectra so that their line strengths are in better agreement with observations. However, the terms do not just influence H and He lines – other lines are also affected since the electron density changes (Dessart & Hillier 2008). As noted earlier, Utrobin & Chugai (2005) showed that the time-dependent terms were also important for modelling the H I lines in the Type II-peculiar SN 1987A. More detailed work on the influence of time dependence on Type IIP SN spectra has been presented by Dessart & Hillier (2010).

5 DEPOSITION OF RADIOACTIVE ENERGY

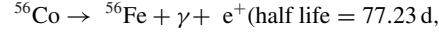
During an SN explosion radioactive elements are created (for a review see e.g. Arnett 1996). Type II SNe produce between 0.01 and 0.3 M_\odot of ^{56}Ni (e.g. Hamuy 2003) while a Type Ia SN can eject anywhere from 0.1 to 1.0 M_\odot of ^{56}Ni (e.g. Höflich & Khokhlov 1996; Stritzinger et al. 2006). The influence of the radioactive material on the light curve (and spectrum) very much depends on the ^{56}Ni mass and distribution, the size of the progenitor and the ejecta mass. Radioactive decay powers the light curve of all SN types during the nebular phase (excluding those powered by an external source such as a magnetar). The decay of ^{56}Ni (and its daughter nucleus, ^{56}Co) powers the entire light curve of Type Ia SNe. For SN 1987A, associated with the explosion of a BSG progenitor star, ^{56}Ni heating begins to become apparent at approximately day 10, and is responsible for the broad maximum, which lasts for approximately 100 d (Shigeyama & Nomoto 1990; Blinnikov et al. 2000).

The most important nuclear decays are



$$\Delta E = 1.7183 \text{ MeV per decay})$$

and



$$\Delta E(\gamma\text{-rays}) = 3.633 \text{ MeV per decay};$$

$$\Delta E(\text{positrons}) = 0.1159 \text{ MeV per decay}).$$

The energies and lifetimes are all from <http://www.nndc.bnl.gov/chart/>, which for ^{56}Ni and ^{56}Co , are based on the work of Huo et al. (1987). Energy release in the form of neutrinos is neglected, since ejecta densities at the times of interest are all well below the neutrino-trapping densities.

To implement the decay of the radioactive elements, we analytically solved the two-step decay chains. As a consequence, the accuracy of time-dependent abundances is not affected by our choice of time step. Another corollary of our approach is that we do not use the instantaneous rate of energy deposition – rather we use the average rate of deposition over the time step. In practice, the difference between the two values is small. Our nuclear-decay reactions are currently being updated so that we can accurately follow the evolution of the SN ejecta abundances.

In Type II SNe it is reasonable to assume that all the energy, emitted as γ -rays or positrons, is deposited locally. At later times (but well after maximum brightness) this assumption breaks down and γ -rays can travel long distances, depositing energy elsewhere in the SN or escaping entirely. On the other hand, in Type I SNe, the small ejecta masses mean that non-local γ -ray energy deposition, and γ -ray escape, especially in models with extensive mixing, needs to be taken into account even at relatively early times (e.g. Höflich, Khokhlov & Mueller 1992). We have developed a γ -ray transport code (see Appendix A), to allow for non-local γ -ray energy deposition.

At present, we simply assume that the energy from radioactive decays goes into the thermal pool. This is likely to be valid for modelling the early light curve of most Type II SNe (but well beyond maximum brightness) but may fail relatively early for other SNe.⁵ One factor limiting the influence of non-thermal processes at earlier times is the mass of the ejecta (Dessart et al. 2012). Type IIP SNe have much greater ejecta masses than do most Type I SNe, and hence the photosphere at early times is confined to the outer layers where ^{56}Ni has not been mixed. Non-thermal ionization and excitation processes, for example, are believed to be important for explaining the appearance of He I in SNe Ib (Lucy 1991; Dessart et al. 2011), and for the production of H I lines in the nebular phase of Type II SNe and in SN 1987A (Xu & McCray 1991; Kozma & Fransson 1992, 1998a,b). Non-thermal excitation and non-thermal processes have recently been incorporated into CMFGEN (Li, Dessart & Hillier 2012) and we are currently investigating their influence on the spectra of SN 1987A (Li et al. 2012), Type Ibc SNe (Dessart et al. 2012) and Type Ia SNe (Dessart et al., in preparation).

6 NUMERICAL SOLUTION

To handle the Lagrangian derivative, we adopt an implicit approach (Stone, Mihalas & Norman 1992; Höflich, Mueller & Khokhlov 1993) which is explicitly stable, for both the radiative transfer equation, the rate equations and the radiative energy balance equation.

⁵ Type II SNe have much larger ejecta masses than do Type I SNe. As a consequence, radioactive material is generally hidden from view for much longer. Deep below the photosphere, conditions are highly ionized and close to LTE, and any radioactive energy deposited will be thermalized.

Thus, we write

$$\left(\frac{DX}{Dt}\right)_i = \frac{X_i - X_{i-1}}{t_i - t_{i-1}}, \quad (31)$$

where i refers to the current time step and $i - 1$ refers to the previous time step. As our approach is only first-order accurate in time, the time step must be kept ‘small’. An explicit approach cannot be used as the conditions on the time step would be unnecessarily restrictive (e.g. Stone et al. 1992).

All other terms in the radiative transfer equation and rate equations are evaluated at the current time step. Since the quantities at the previous time step are known, the Lagrangian derivative simply introduces extra source terms into the equations. With the above scheme, the zero-order moment equation, for example, becomes

$$\begin{aligned} \left(\frac{1}{r^2} \frac{\partial r^2 H_v}{\partial r}\right)_i - \left(\frac{v v}{rc} \frac{\partial J_v}{\partial v}\right)_i \\ = (\eta_v)_i + \left(\frac{r_{i-1}}{r_i}\right)^3 \frac{J_{v,i-1}}{c \Delta t} \\ - \left(\chi_v + \frac{1}{c \Delta t}\right)_i J_{v,i}. \end{aligned} \quad (32)$$

This has exactly the same form as the equation normally solved in `CMFGEN` and can be differenced in the usual way (Mihalas, Kunasz & Hummer 1975, 1976). The only difference is that there is a modification to the opacity and emissivity arising from the radiation field at the previous frequency. The solution of the rate equations has previously been discussed by Dessart & Hillier (2008).

An alternative approach would be to choose a semi-implicit approach with all terms evaluated at the midpoints of the time step. This would be second-order accurate and should also be stable. Its disadvantage is an increase in complexity – additional terms would need to be saved from the previous time step. Höflich et al. (1993) use an adjustable differencing approach, controlled by a parameter θ , which allows for an implicit approach, a semi-implicit approach, or a fully explicit approach. Another possibility, utilized in some evolutionary codes and by Lucy (2005), is to generate a difference formula using the two previous time steps.

At depth the opacity and emissivity are large, and generally the time terms in the ‘modified opacity’ and ‘modified emissivity’ are almost negligible (unless a very small time step is used), and their influence on the radiation transfer at a given frequency could be neglected if one were only interested in the formal solution of the transfer equation. However, the terms are of crucial importance for the energy balance, since their importance is enhanced when we integrate the transfer equation over frequency (Section 12). In particular, if we assume that radiative equilibrium holds, the opacity and emissivity are ‘analytically’ cancelled from the integrated transfer equation, and thus the time-dependent terms can be very important. As can be seen from the grey transfer equations (Section 12), the temperature at large optical depths is almost set entirely by the time-dependent term, and for a homologous flow without energy deposition from radioactive decay it simply scales as $1/t$.

For most of our calculations we have set the time step to be 10 per cent of the current SN age (Section 3.2.3). At some epochs, a smaller time step may be needed. Since we need to iteratively solve the radiative transfer equations in conjunction with the rate equations, a factor of 2 reduction in the time step does not mean a factor of 2 extra computational effort, since each time step will require fewer iterations (because the starting solution will be closer to the final solution).

As we are considering SN ejecta in homologous expansion, we use the velocity as our Lagrangian coordinate.

Before beginning our simulations, the hydrodynamical models are mapped on to a revised grid optimized for the computation of the radiation transfer. The new grid is defined in terms of Rosseland optical depth, and generally we require at least five points per decade of optical depth. Similar grids are generally used in the modelling of stellar atmospheres, and are preferred to a grid defined in mass and optimized for the hydrodynamic calculations. At present, we generally perform a new mapping at each time step (although the mapping is done using the calculations at the previous time step rather than a hydrodynamical model). Two problems can potentially arise with our mapping scheme.

(i) In standard 1D SN models there are often rapid changes in spatial composition and density distribution. Due to the numerous re-mappings, these rapid changes suffer from numerical diffusion in space. At present, we do not make any special attempt to limit this diffusion. Numerical diffusion is less of a problem in mixed models because the spatial gradients are smaller. The steep density gradients are also stronger in core-collapse SN ejecta because of the chemically stratified progenitor envelope structure.

(ii) In some models (particularly for SN 1987A) ionization fronts can arise. Because the optical depth (e.g. in the Lyman continuum) can vary rapidly across the front, complications arise in the radiative transfer and in model convergence. To overcome these problems we semi-automatically revise the grid across the front. Crudely, we adjust the grid so the front is better resolved. A control file, which can be altered while `CMFGEN` is running, is used to specify parameters for adjusting the grid. If necessary, the grid can be adjusted at each iteration.

7 LINEARIZATION

The transfer, energy and rate equations are a coupled set of non-linear equations. Thus, their simultaneous solution requires an iterative technique. As described by Hillier (1990), we adopt a linearization approach. While cumbersome to implement, we have found that this technique works extremely well for the modelling of hot stars and their stellar winds. Another common approach is to utilize approximate lambda operators to allow for the coupling of the level populations with the radiation field (e.g. Werner 1986; Lanz & Hubeny 1995; Hauschildt & Baron 1999). In some cases, this is combined with other approaches – Höflich (2003) also utilizes an equivalent-two-level-atom approach in his SN modelling.

The linearization of the radiative transfer equation and the rate equations is straightforward, and as it is very similar to that presented by Hillier (1990) it will be described only briefly. In our approach we linearize both the rate equations and the radiative transfer equation. Using the linearized radiative transfer equation we can solve for δJ_v in terms of $\delta \chi_v$ and $\delta \eta_v$, and hence in terms of $\delta n_1, \delta n_2, \delta n_3, \dots, \delta n_e$ and δT . In principle, δJ_v depend on the perturbed populations at every depth; however, we only allow for the coupling locally (diagonal operator) or between adjacent depths (tridiagonal operator). Using these equations we eliminate the radiation field (δJ_v) from the linearized rate equations. For the diagonal operator we obtain a set of N_V simultaneous equations (where N_V is the total number of variables) at each depth. For the tridiagonal operator we obtain a block tridiagonal system of equations ($N_D \times N_V$ in total, where N_D is the number of grid points) that can be efficiently solved using a block-matrix implementation of the Thomas algorithm for Gaussian elimination. In a typical SN model,

$N_D = 100$ and $N_V \sim 2000$, yielding 200 000 equations. The 2000 super-levels typically represent 5000–10 000 atomic levels.

Starting estimates for the temperature and level populations are obtained as follows. For the temperature structure, we either adopt a scaled version of the grey temperature structure (see Section 12), or simply adopt the temperature from the previous solution. For the level populations, we adopt the departure coefficient from the previous time step, although we also have the option to adopt LTE values (useful only for the first model of a time sequence).

To solve the linearized rate equations, we use LU decomposition followed by backward substitution utilizing standard LAPACK⁶ routines (Anderson et al. 1999). As discussed by Hillier (2003), we improve stability by doing the following.

- (i) We scale the simultaneous equations so that we solve for the fractional correction $\delta n_i/n_i$.
- (ii) We use the LAPACK routine `DGEEQU` to pre-condition the matrix.

These two procedures work very well, and we (generally) have no difficulty in solving systems of equations with $N_V = 2000$. When problems do occur it is usually because of poor starting estimates.

The advantage of the diagonal operator is that the construction of the linearization matrix is faster; each depth can be treated independently; in the early stages of the iteration procedure convergence can be more stable; and it requires less memory (nominally down by a factor of 3 but because of our implementation we save only a factor of 2). The advantage of the tridiagonal operator is its speed of convergence – far fewer iterations are needed to reach a specific convergence criterion. With both operators, Ng acceleration (Ng 1974; Auer 1987) can be used to accelerate the convergence.

The time taken per iteration is very model dependent and is strongly influenced by the type of iteration. Iteration steps (for $N_D = 125$, $N_V = 2319$, $\sim 400\,000$ bound–bound transitions) in which the linearization matrix is held fixed take about ~ 15 min, Λ -iterations take somewhat longer (~ 20 min) while a full iteration may take 4 h. The computations were formed using four processors (Quad-Core AMD Opteron[tm] Processor 8378; 800 MHz), and the computational times are about a factor of 2 longer than those obtained using a Mac Pro. The computational time-scales as N_D^2 .

8 SUPER-LEVELS

To reduce the complexity of the non-LTE problem, it is usual to use super-levels (SLs), or a variant thereof, to reduce the number of variables, and hence the number of rate equations that need to be solved (e.g. Anderson 1989; Dreizler & Werner 1993; Hubeny & Lanz 1995; Hillier & Miller 1998). In the procedure adopted by Hillier & Miller (1998), SLs are used as a means of reducing the number of level populations that need to be determined, while all transitions are still treated at their correct wavelength. Within an SL we assume that the states have the same departure from LTE (although more complicated assumptions can also be adopted); thus, this procedure can be considered to be the natural extension of LTE.

However, SLs can introduce inconsistencies which may adversely affect convergence. Consider a three-level atom, with two excited levels which are relatively close in energy, and which are treated as a single SL in the rate equations.

The rate equation for the SL contains terms of the form

$$n_2 A_{21} Z_{21} + n_3 A_{31} Z_{31},$$

while the radiative equilibrium equation will contain terms of the form

$$h \nu_{21} n_2 A_{21} Z_{21} + h \nu_{31} n_3 A_{31} Z_{31},$$

where Z_{ij} is the net radiative bracket, A_{ij} is the Einstein A coefficient, h is Planck's constant and ν_{ij} is the transition frequency. When levels 2 and 3 are part of the same SL, and if the bound–bound transitions are the dominant transitions determining the population of the SL and are a significant coolant, an inconsistency arises since the ratio n_2/n_3 is fixed by the assumption that two levels have the same departure from LTE. In extreme cases, this inconsistency can cause models not to converge.

As noted by Hillier (2003), another manifestation of this inconsistency is that when radiative equilibrium is obtained the electron-energy balance equation will not be satisfied. The level of inconsistency gives an indication of the errors introduced by the use of SLs. To overcome the inconsistency, Hillier (2003) simply replaced ν_{31} and ν_{21} by an average frequency $\bar{\nu}$ in the radiative equilibrium equation. This procedure overcame convergence difficulties and yielded better consistency of the electron-energy balance equation with the radiative equilibrium equation. Detailed tests, performed by splitting the important SLs, confirmed the validity of the procedure in the atmosphere/winds of massive stars. At high densities the scaling approximation can be switched off.

In SNe the simple scaling described above has potential difficulties. First, the lower densities and higher velocities increase the importance of line scattering compared with continuous processes. Secondly, the radiative equilibrium equation appears on the right-hand side of the zeroth moment of the grey equation. Since the above scaling is not done in the transfer equation there is an inconsistency between the transfer equation and the energy balance equation. In the present case, the assumption of radiative equilibrium is untrue, due to the work done on the gas, and the deposition of energy from nuclear decays, but there will still be an inconsistency between the transfer equation and energy balance equation.

To remove the inconsistency, but at the same time retain the benefits of the SL approach, we now simply scale the line opacity and emissivity. The emissivity and opacity due to the transition 1–3 are scaled by $\bar{\nu}/\nu_{13}$ – it is necessary to scale both the emissivity and opacity so that $B = \eta/\chi$ at depth. Most of the scalings are less than 10 per cent, and thus of the same order of accuracy as metal line transition probabilities. In principle, the influence of the assumption is easily tested by altering the SL assignments – in practice, this can be difficult because of memory requirements. Alternatively, after the temperature has converged, the scaling can be switched off, the temperature can be held fixed and the populations can be updated. With this technique the direct influence of the scaling on the observed spectrum can be removed. No scaling is used when computing observed spectra with `CMF_FLUX` (Section 11).⁷

⁷ As noted by the referee, it is possible to define an average A and Z value for a transition between two super-levels. In practice, this would remove the inconsistency when the radiative equilibrium equation is defined in terms of the net rates although in practice it will yield results similar to our frequency scaling procedure. However, this procedure does not solve the problem with the radiative equilibrium equation on the right-hand side of the transfer equation where there is no distinction between lines and continuum.

⁶ LAPACK is a software library for numerical linear algebra. Documentation and the routines are available at <http://www.netlib.org/lapack/>

9 CONVERGENCE

In Type II SNe we expect the temperature at large optical depths to evolve adiabatically⁸ as $1/r$ from the initial configuration, and as this is a local scaling we expected convergence to be achieved despite the extremely high optical depths. However, initial models failed to converge, with the temperature stabilizing at the grey temperature adopted for the initial estimate. Convergence was only an issue with the temperature structure. When the temperature was held fixed, populations would converge rapidly at all depths.

The lack of convergence was traced to two issues, and both are inherently linked to the large optical depths. Because of the large optical depths, any term included in the linearization of the energy balance equation must be accurately linearized in order that the correct cancellation can occur.

Consider the following contribution, by a single line at a single frequency, to the radiative energy balance equation:

$$\Delta RE = 4\pi(\chi_c + \phi\chi_l)(J - S) \quad (33)$$

$$= 4\pi(\chi_c + \phi\chi_l)J - (\eta_c + \phi\eta_l). \quad (34)$$

In the above equation, the subscripts ‘c’ and ‘l’ are used to denote the continuous and line contributions, respectively, and ϕ is the intrinsic line emission/absorption profile. As the medium is optically thick we have $J = S$ and hence

$$J = \frac{\eta_c + \phi\eta_l}{\chi_c + \phi\chi_l} \quad (35)$$

$$= \frac{S_c + \theta\phi S_l}{1 + \theta\phi}, \quad (36)$$

with $\theta = \chi_l/\chi_c$. Obviously, $\chi(J - S) = 0$. However, if $S_c \neq S_l$ the line and continuum terms will make contributions that are identical in magnitude, but of opposite sign. This cancellation must also be maintained in the linearization. The continuum contribution $\chi_c(J - S_c)$ to $\chi(J - S)$ is, for example,

$$\frac{\phi(\eta_l - \theta\eta_c)}{1 + \theta\phi}.$$

In our original version of CMFGEN we integrated the line contribution to the linearization over the full line before adding it to the linearized energy balance equation. For simplicity, and computational requirements, we added the linearized continuum contribution to this term using data at the final frequency in the line. However, as the continuum varies across the line, full cancellation was not achieved. Since cancellation was not achieved we effectively overestimated the effect of any change in the temperature on the energy balance. This, in turn, meant that the corrections needed to achieve balance were severely underestimated, and hence stabilization of the temperature structure occurred. The problem was solved by performing the continuum linearization at each frequency in the line (although this is only necessary, and is only done, for the energy balance equation).

A similar problem arose from the use of impurity levels. The concept of impurity levels was introduced into CMFGEN to minimize the number of levels that were fully linearized. The levels are linearized for rates associated with their own species, but their influence on other species is neglected in the linearization. The use of impurity levels does not affect the solution; it only has an influence on the convergence of the code. In our implementation, exact cancellation

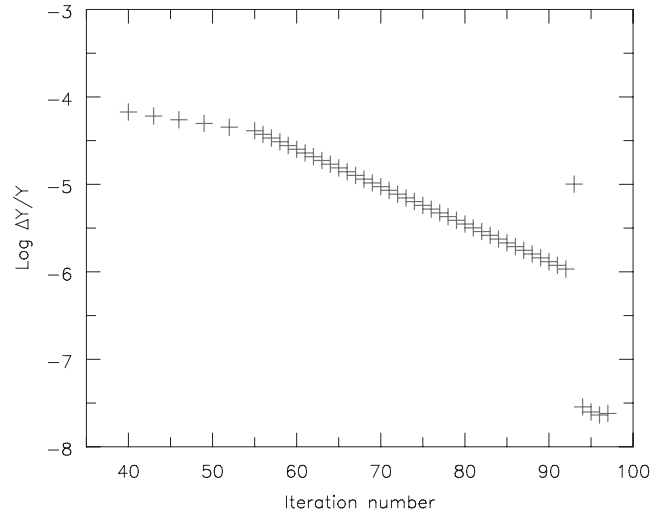


Figure 1. Illustration of the corrections to the temperature at a depth of $\tau_{\text{Ross}} = 1.5 \times 10^6$ in a Type II SN model. The model, at time 2.3 d after the explosion, is similar to those used by Dessart & Hillier (2008, 2010) to model SN 1987A. The convergence shown is fairly typical of the convergence behaviour at depth. The temperature correction for iterations 1–39 is zero. All models begin with Λ iterations until the corrections stabilize, and then a mixture of Λ and non- Λ iterations with T fixed at all depths is performed. An Ng acceleration was performed after iteration 93 (hence the spike at iteration 94).

was not achieved for terms involving impurity levels, and the rate of convergence was severely curtailed in the inner region.

To illustrate the convergent properties of the models we illustrate in Figs 1 and 2 the convergence properties of a typical Type II SN model. Only the temperature is shown. In general, it is the temperature that determines the global convergence properties of the model – when the temperature is held fixed, convergence is usually rapid. For the model shown, convergence is achieved in 100 full iterations, with an iteration defined as any step which changes the populations (e.g. a Λ iteration, a full iteration or an Ng acceleration).

While the temperature corrections in Fig. 1 are small, they help to illustrate that our linearization procedure does achieve convergence at large optical depth. As noted above, in early work temperature corrections stabilized, and it was unclear whether convergence was being achieved. When we use the grey temperature solution at depth for the initial temperature estimate, the predicted temperature corrections are small. However, when we use the temperature from the previous time step, the error in T is of the order of 10 per cent (for a 10 per cent time step) and we find good convergence provided we keep the populations consistent with the current temperature structure. It should also be noted that the actual error achieved at a given iteration depends on both the size of the correction and the rate at which the correction is changing. In Fig. 1, the true error, as estimated over iterations 55–60, is approximately a factor of 10 larger than the current correction. In Type II SNe, it is the sharp H ionization front, which moves to smaller velocities as the SN ages, that controls convergence of the model.

Corrections to the populations are generally much larger than the temperature corrections and tend to behave more erratically, especially in the early iterations. Experience has allowed us to arrive at the following iterative procedure.

(i) Perform Λ iterations until the maximum correction is less than 50 per cent. The temperature is held fixed.

⁸ Radioactive decay will modify this simple scaling.

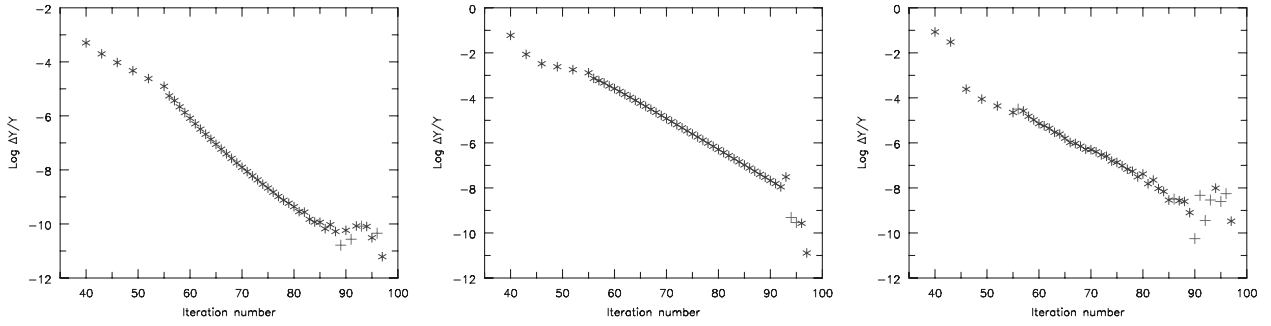


Figure 2. Same as Fig. 1, but now showing the convergence at a depth τ_{Ross} of ~ 1000 (left), ~ 1 (middle) and ~ 0.001 (right). The two types of symbols (*, +) are used to indicate the sign of the correction.

(ii) Perform full iterations, with the temperature held fixed, until the maximum correction is less than 50 per cent. If the maximum correction is greater than 200 per cent, we revert to Λ iterations.

(iii) When the maximum correction on a full iteration is again less than 50 per cent, allow the temperature to vary. If the maximum correction is greater than 200 per cent, we revert to Λ iterations. When we are no longer switching between full and Λ iterations, we only compute the variation matrix (i.e. the linearized rate equations) every third iteration.

(iv) When the maximum correction is less than $\sim x$ per cent (x is an adjustable parameter typically set to five) we hold the variation matrix fixed. It is recomputed if the maximum correction becomes larger than $3 \times x$ per cent.

(v) When four successive iterations are less than a few per cent (typically), we perform an Ng acceleration. We repeat Ng accelerations every 10–20 iterations.

This procedure is automatically handled by `CMFGEN`, although it is also possible to manually force a different behaviour by restarting the code. Many of the control parameters are adjustable, although in practice we tend not to change them very much.

In hot-star models we also have the option to fix the temperature automatically in the outer region until the populations have stabilized. This generally works well in hot-star winds, but in SN models it caused convergence problems around ionization fronts.

A typical model is stopped when the maximum convergence is less than 0.1 per cent, although most levels have already achieved a higher convergence. Several output files can be checked to verify that true convergence has been achieved. Some models in a sequence are converged to a much higher accuracy (e.g. 0.001 per cent) to further prove convergence. Convergence to higher accuracy is generally not time-consuming since the variation matrix can generally be held fixed – it is the initial iterations, with a mixture of Λ and full iterations, that take the most time.

In Type II SN models, two factors can affect the speed of convergence.

(1) At a few depths (often only a single depth), a few levels may oscillate and fail to converge. These levels are generally unimportant, and do not affect the spectrum. However, they can affect convergence since it is the maximum change that controls the iteration procedure and ultimately determines the convergence. Ng accelerations, averaging the last two full iterations and forcing multiple Λ -iterations, can overcome the problems. More recently, we have implemented a procedure to use negative relaxation at the problem depths – that is, we scale all corrections at those depths by a scale factor (typically 0.3). This procedure is often successful at accelerating convergence.

(2) *Ionization fronts.* These tend to show rapid changes over a few successive points. The position of the front oscillates and there is often a strong coupling between the front location and the temperature. In these cases the most reliable technique to facilitate convergence is grid refinement in the neighbourhood of the front. This is done semi-automatically in `CMFGEN` – a parameter file (which can be changed during the run) controls if, when and how, the refinement is done.

10 TESTING

With a code as complicated as `CMFGEN`, there is no easy way to fully test the code. However, numerous checks have been performed to test individual components. For example, solutions obtained with different transfer modules have been compared, and found to agree (in limiting cases such as small v/c). At depth (e.g. $\tau > 10$), the solution to the grey and non-grey transfer equations also agrees. Light curves of Type II SNe computed with `CMFGEN` show reasonable agreement with those computed using the hydrodynamics code `VLD` (Livne 1993; Dessart & Hillier 2010). A strong check, although not definitive, is also provided by our modelling of SN 1987A. The time sequence of models for SN 1987A, begun at 0.3 d, shows a remarkable agreement to observations of SN 1987A (Dessart & Hillier 2010) – remarkable since there were no adjustable parameters in the modelling.

Additional checks are provided by auxiliary files which list and check various processes and rates such as the ionization balance of all species and the electron heating/cooling balance modified for advection, adiabatic cooling and nuclear energy deposition. As noted by Hillier (2003), the electron heating/cooling equation, in the absence of SLs, is a linear combination of the radiative equilibrium equation and rate equations. In the presence of SLs this equality no longer holds, and thus the electron energy balance equation provides a check on possible problems with SL assignments.

11 COMPUTATION OF OBSERVED SPECTRA

The computation of the observed spectrum can be done in three ways:

(i) Compute the observed spectrum using a Lorentz transformation of the comoving-frame boundary intensities computed by `CMFGEN` (Section 11.1).

(ii) Compute the observed spectrum using the comoving-frame boundary intensities computed using a separate calculation with `CMF_FLUX`. The advantage of this technique over the `CMFGEN` calculation is that we can use a finer spatial and frequency grid to improve the accuracy of the computation, we include all spectral

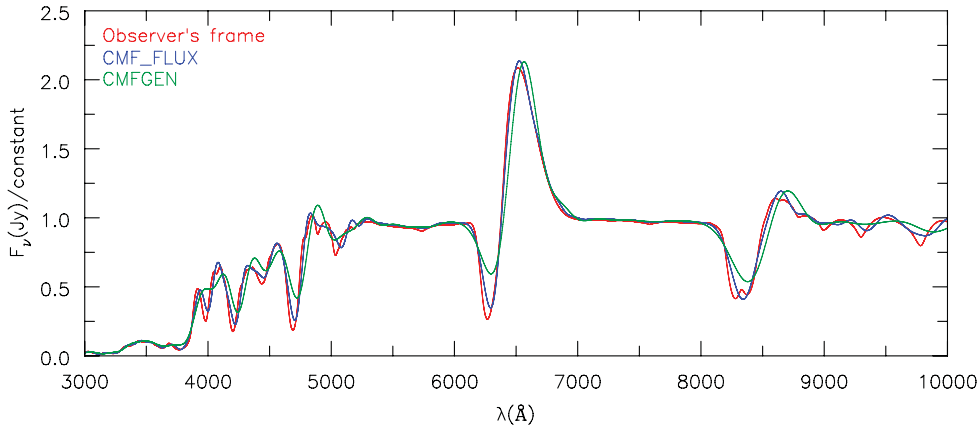


Figure 3. Comparison of the predicted observed spectrum computed using the observer’s frame code (red), CMF_FLUX (blue), and CMFGEN (green) for an SN 1987A-like model at an age of 7.9 d. The main characteristics of the spectra are in very good agreement but the observer’s frame calculation is of higher accuracy, and suffers less smoothing than spectra computed by mapping comoving-frame intensities, computed with CMF_FLUX and CMFGEN, into the observer’s frame.

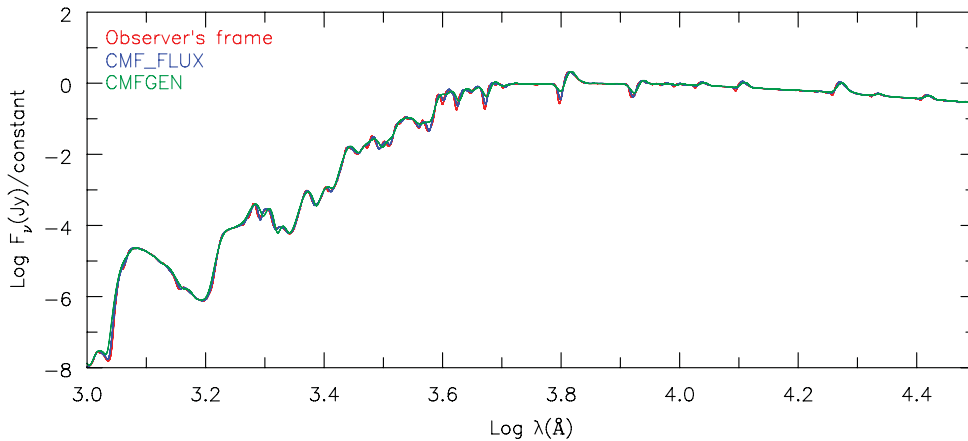


Figure 4. As for Fig. 3 but showing the logarithm of the flux over a broader wavelength range.

lines for the adopted model atoms, and we use the technique of Rybicki & Hummer (1994) to compute the electron-scattering source function. In this technique the redistribution of photons in frequency space due to the thermal motions of the electrons is explicitly treated. The redistribution by the bulk motion of the gas is automatically allowed for by performing the radiative transfer calculations in the comoving frame. In the computation of the level populations (i.e. in CMFGEN) we generally treat the scattering coherently in the comoving frame – that is, we only allow for redistribution caused by the bulk motion of the gas. With the coherent scattering approximation the radiation is not explicitly coupled to that at other frequencies by a complex weighting function, and hence convergence is more stable.

(iii) Using the emissivities and opacities computed in the comoving frame, compute the observed spectrum using an observer’s frame calculation. This calculation has a higher accuracy than the calculation done in the comoving frame. This occurs since, along a given ray, we are solving a differential equation in a single variable (z) whereas in the comoving frame we are solving a partial differential equation in two variables (z, ν) (Section 11.2). The difference in accuracy is particularly noticeable for O stars – their narrow photospheric features become broadened as they are propagated (in both space and frequency) to the outer boundary.

Ideally these techniques should give identical answers but in practice differences arise because the different techniques have different accuracies (as they use different numerical techniques; Figs 3 and 4).

At present, we also ignore time dependence when computing observed spectra. This will be rectified in the future, but, for the same reasons as outlined above (Section 2), it is unlikely to have a large effect on observed spectra, except at the earliest times for H-rich core-collapse SNe when J and H are varying rapidly. For Type I SNe, the effects will be smaller since by 1 d the SNe have already cooled, and their spectra are slowly evolving. Note that time dependence is taken into account in the calculation of the moments, and observer’s frame spectra computed with these moments do differ from those computed where the time dependence of the moments is ignored (Fig. 5). The computation of the observer’s frame spectrum is outlined in Section 11.2.

11.1 Comoving frame computation of the observed spectrum

As we integrate from blue to red we store $I_o(t, R_{\max}, \mu_o, \nu_o)$ at the outer boundary of the model. After the completion of each comoving frequency, we check whether we can compute $I_s(t, R_{\max}, \mu_s, \nu_s)$ for all μ_s for the next observer’s frame frequency. If so, we

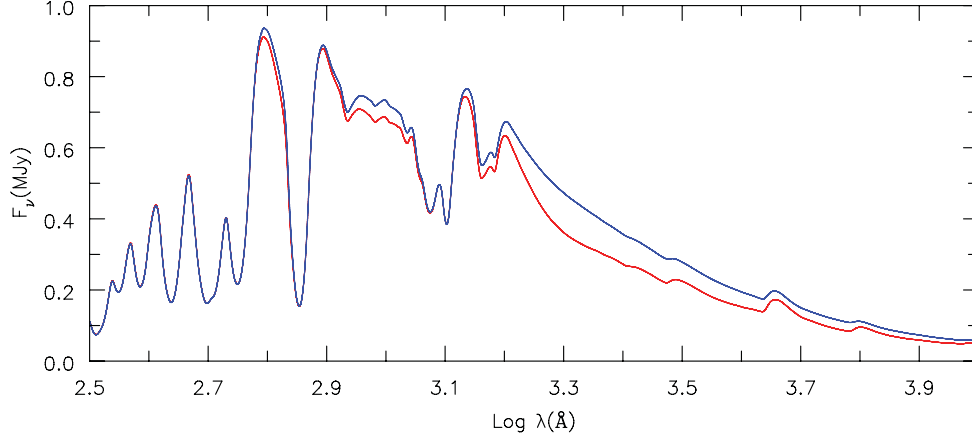


Figure 5. Comparison of the predicted observed spectrum computed allowing for time dependence in the moment equations (red) with the solution for the moments computed using the fully relativistic solution but ignoring time dependence (blue). Both spectra were computed in the observer’s frame.

compute $I_s(R_{\max}, \mu, \nu)$ from $I_o(t, R_{\max}, \mu_o, \nu_o)$ using the transformation from comoving to observer’s frame (equation 42) and linear interpolation in frequency. Because of the way we constructed the ray grid there is a one-to-one correspondence between μ_o and μ_s , and hence no interpolation in μ is necessary. The observed flux, $F_s(t, \nu_s)$, at distance d is then computed using

$$F_s(t, \nu_s) = \frac{2\pi}{d^2} \int_0^{p_{\max}} p I_s(t - d/c, R_{\max}, p, \nu_s) dp. \quad (37)$$

This integration is performed using numerical quadrature with μ_s as the integration variable (note that $p dp = R_{\max}^2 \mu_s d\mu_s$).

11.2 Observer’s frame calculation of the observed spectrum

To compute observed spectra we use a modified form of `CMF_FLUX`, which has been described by Busche & Hillier (2005). The calculation of the observed spectrum proceeds as follows.

(i) We solve the transfer equation in the comoving frame using the assumption of coherent electron scattering in the comoving frame. This allows us to compute the mean intensity in the comoving frame. Along each ray we insert extra grid points – typically we have a grid point every 0.5 Doppler velocities. The finer frequency grid adopted in `CMF_FLUX` generally has only a minor influence on the computed spectra for Type II SNe.

(ii) Using the technique of Rybicki & Hummer (1994) we compute the full, non-coherent and frequency-dependent, electron-scattering source function.

(iii) We resolve the transfer equation in the comoving frame, but this time we assume incoherent electron scattering, and hence use the electron-scattering source function previously computed.

(iv) We repeat steps (ii) and (iii) until convergence is obtained. This generally only requires a few iterations, although in modelling the Type II In SN 1994W a much larger number of iterations was needed. This was necessary because of the much higher line optical depths, at a given electron density, induced by the lower SN velocities (Dessart et al. 2009). In most SNe, frequency redistribution to the red, associated with the bulk motion of the gas, dominates and the non-coherence of electron scattering due to thermal motions is subdominant. In SNe where the spectrum formation region expands slowly, this non-coherent scattering is key and requires careful computation to yield accurate line-profile shapes.

(v) We map the comoving frame emissivities and opacities into the observer’s frame using the following relations (see e.g. Mihalas

1978):

$$\beta = v/c, \quad (38)$$

$$\gamma = 1/\sqrt{1 - \beta^2}, \quad (39)$$

$$\chi_s(r, \mu_s, \nu_s) = (\nu_o/\nu_s) \chi_o(r, \nu_o), \quad (40)$$

$$\eta_s(r, \mu_s, \nu_s) = (\nu_s/\nu_o)^2 \eta_o(r, \nu_o), \quad (41)$$

$$I_s(r, \mu_s, \nu_s) = (\nu_s/\nu_o)^3 I_o(r, \mu_o, \nu_o), \quad (42)$$

$$\nu_s = \nu_o \gamma (1 + \mu_o \beta), \quad (43)$$

$$\nu_o = \nu_s \gamma (1 - \mu_s \beta). \quad (44)$$

In the above equations, we use ‘o’ to denote the comoving frame and ‘s’ to denote the observer’s (static/rest) frame. This mapping provides the emissivities and opacities necessary to solve the transfer equation in the observer’s frame using the usual (p, z) coordinate system. Along each ray (i.e. for each impact parameter p) we insert extra grid points so that the rapid variation of opacity and emissivity is adequately sampled – typically we have a grid point every 0.25 Doppler velocities.

(vi) We compute the observed flux using equation (37).

12 GREY TRANSFER

To improve the speed of convergence it is desirable to have a good estimate of the temperature at each time step. In the inner, optically thick regions, this is provided by solving the time-dependent grey transfer problem. The solution of the time-dependent grey transfer also provides a check on the solution of the full-moment equations.

Integrating equations (5) and (6) over frequency and regrouping terms, we obtain

$$\begin{aligned} \frac{1}{cr^4} \frac{D(r^4 J)}{Dt} + \frac{1}{r^2} \frac{\partial(r^2 H)}{\partial r} &= \int_0^\infty \eta_\nu - \chi_\nu J_\nu d\nu \\ &= \int_0^\infty \chi_\nu (S_\nu - J_\nu) d\nu \\ &\simeq \chi_P \int_0^\infty (S_\nu - J_\nu) d\nu \\ &\simeq \chi_P (S - J), \end{aligned} \quad (45)$$

and

$$\begin{aligned} \frac{1}{cr^4} \frac{D(r^4 H)}{Dt} + \frac{1}{r^2} \frac{\partial(r^2 K)}{\partial r} + \frac{K - J}{r} &= - \int_0^\infty \chi_\nu H_\nu \\ &\simeq -\chi_R \int_0^\infty H_\nu \\ &\simeq -\chi_R H, \end{aligned} \quad (46)$$

where χ_R is the Rosseland mean opacity and χ_P is the Planck mean opacity. Assuming LTE holds at depth we have $S_\nu = B_\nu$. Using equations (27) and (45) we obtain

$$\frac{1}{cr^4} \frac{D(r^4 J)}{Dt} + \frac{1}{r^2} \frac{\partial(r^2 H)}{\partial r} = \frac{1}{4\pi} \left(\dot{e}_{\text{decay}} - \rho \frac{De}{Dt} + \frac{P D\rho}{\rho Dt} \right), \quad (47)$$

which follows from equation (27). After solving for J we need to relate J to B . Using equation (27), and since $S = B$, we have

$$\begin{aligned} \int \chi_\nu B_\nu dv &= \int \chi_\nu J_\nu dv \\ &+ \frac{1}{4\pi} \left(\dot{e}_{\text{decay}} - \rho \frac{De}{Dt} + \frac{P D\rho}{\rho Dt} \right) \end{aligned} \quad (48)$$

and thus

$$B = \sigma T^4 = J + \frac{1}{4\pi\chi_P} \left(\dot{e}_{\text{decay}} - \rho \frac{De}{Dt} + \frac{P D\rho}{\rho Dt} \right), \quad (49)$$

where we have assumed $J_\nu \approx B_\nu$.

The solution of equation (47) is complicated by the presence of the $\rho De/Dt - PD \ln \rho/Dt$ ($\equiv W$) term, which depends on the unknown temperature structure. We handle the term by iteration – that is, we compute the temperature structure for a given W by solving equations (47) and (49), update W and then resolve equations (47) and (49). Because of stability issues in the outer regions, we found it necessary to limit the changes in W to achieve convergence. To determine the change in e we assume that the departure coefficients are constant – in practice this is equivalent to assuming LTE in the inner regions where the grey approximation is valid.

Equations (46), (47) and (49) represent three equations in four unknowns (J, H, K, T). As for the frequency-dependent transfer equations, we eliminate K using the Eddington relation $K = fJ$, where f is obtained by solving the time-independent transfer equation, and for simplicity we neglect the curvature of the characteristics.

Since the Rosseland mean opacity also depends on temperature, we perform several iterations of the grey solution with updated Rosseland mean opacities. As the grey solution only provides a starting solution, high accuracy is unnecessary, and practical experience shows that the above procedure provides a very acceptable starting solution. As the grey solution does not hold everywhere, we only apply the grey temperature structure to regions with an optical depth above τ_{min} . Below τ_{min} we use T from the previous time step. Around τ_{min} we use a simple interpolation procedure so as to switch smoothly between the two approximations. The choice of τ_{min} is somewhat problematical. In O and WR stars, we found that it was reasonable to adopt $\tau_{\text{min}} \sim 1$; however, for Type II SNe we found that $\tau_{\text{min}} \sim 5$ (or 10) provided better starting solutions. A comparison between the actual model temperature structure and the grey temperature structure is shown in Fig. 6.

12.1 Global energy constraint

A global energy constraint can be obtained by integrating equation (47) over volume. Multiplying equation (47) by r^2 and inte-

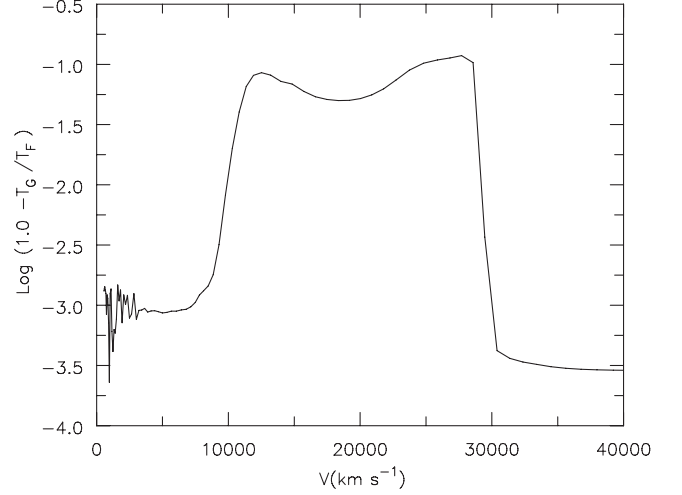


Figure 6. Comparison of the profiles for the initial grey temperature T_G and the final model temperature T_F . At depth the agreement is excellent. The maximum difference between the two temperatures is about 10 per cent. At $v = 10000 \text{ km s}^{-1}$ the Rosseland optical depth is ~ 12 , while at 30000 km s^{-1} it is ~ 0.00035 . Corrections for $v > 30000 \text{ km s}^{-1}$ are small because this model has an enforced minimum temperature.

grating over r we obtain

$$\begin{aligned} r_{\text{max}}^2 H(r_{\text{max}}) &= r^2 H(r) \\ &+ \int_r^{r_{\text{max}}} \frac{r^2}{4\pi} \left(\dot{e}_{\text{decay}} - \rho \frac{De}{Dt} + \frac{P D\rho}{\rho Dt} \right) \\ &- \frac{1}{cr^2} \frac{D(r^4 J)}{Dt} dr. \end{aligned} \quad (50)$$

In a static atmosphere, with $v = 0$ this simply reduces to $r^2 H = \text{constant}$; and this is often used as the energy constraint in stellar atmosphere calculations at large τ ($\tau \gtrsim 1$). This constraint has real physical meaning – the flux at the outer boundary is set by the flux imposed at the inner boundary. However, for time-dependent SN calculations at early times, the constraint equation is, in some sense, merely a mathematical constraint. Because of the finite speed of light coupled with the effects of diffusion, the outer flux is *independent* of the inner atmosphere at the current time step when the envelope has a ‘large’ optical depth. Nevertheless, equation (50) does allow a consistency check, and in complex codes consistency checks are always useful. In the outer envelope, and as the envelope thins, and in Type Ia SNe with their much lower envelope masses, the constraint represented by equation (50) becomes much more physically meaningful. Of course, depending on the balance between adiabatic cooling and other heating and cooling processes, errors in the temperature of the inner envelope may manifest themselves at a later time.

In our original formulation, we integrated from r_{min} to r . However, we found that numerical errors (at large optical depths) tended to limit its usefulness. These numerical errors arise from the discretization (J_ν is defined on the grid while H_ν is defined at the midpoints), from the evaluation of the integrals, from the need for very high convergence and from the technique used to difference the moment equations. For example, when we difference the zeroth moment equation we effectively replace

$$\int_0^\infty \chi_\nu (S_\nu - J_\nu) dv$$

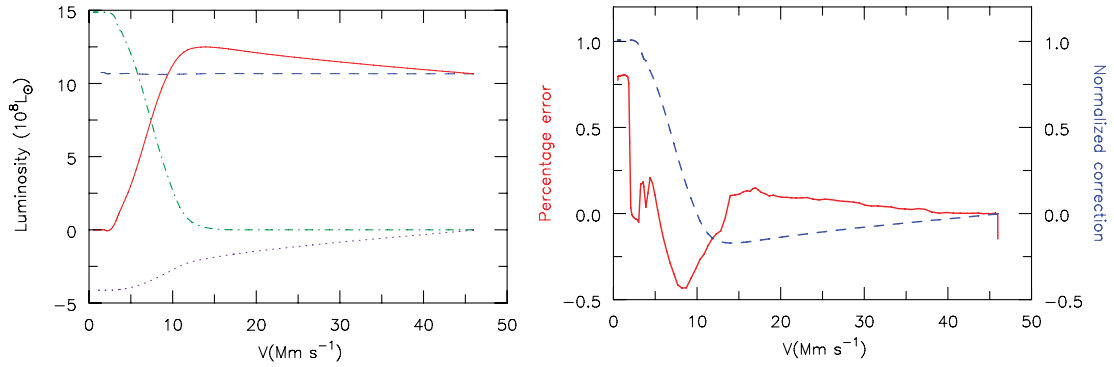


Figure 7. Illustration of the global energy constraint for a Type Ia SN model with local energy deposition at an age of 60 d. In the left-hand plot we see the depth-dependent luminosity (red: solid), the ‘conserved luminosity’ (blue: —) and the contributions to this conserved quantity by energy from radioactive decay (green: - · - · - ·), from the $Dr^4 J/Dt$ term (purple: ...) and the gas term (black: - · · - ·). The gas term is negligible and is not shown. In the right-hand plot we see the percentage error in the conserved luminosity (red: solid) and the total correction to the luminosity as a function of depth, normalized by the outer boundary comoving-frame luminosity (blue: —). The model was computed using 114 depth points.

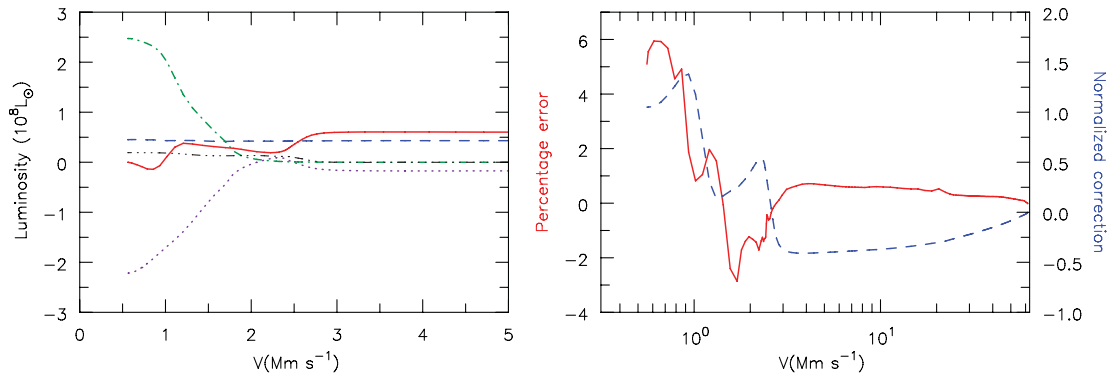


Figure 8. Illustration of the global energy constraint for an SN 1987A-like model with local energy deposition at an age of 37 d. In the left-hand plot we see the depth-dependent luminosity (red: solid), the ‘conserved luminosity’ (blue: —) and the contributions to this conserved quantity by energy from radioactive decay (green: - · - · - ·), $Dr^4 J/Dt$ term (purple: ...) and the gas term (black: - · · - ·). In the right-hand plot we see the percentage error in the conserved luminosity (red: solid) and the total correction to the luminosity as a function of depth, normalized by the outer boundary comoving-frame luminosity (blue: —). The model was computed using 126 depth points.

at each depth d by

$$\int_0^\infty \langle \chi_\nu \rangle (S_\nu - J_\nu) d\nu,$$

where $\langle \chi_\nu \rangle$ is now averaged over several depths.⁹ By integrating inwards, we can more directly compare the constancy of the right-hand side of equation (50) with the quantity of interest – the flux (or luminosity) at the outer boundary.

In Figs 7 and 8 we illustrate the global energy constraint as a function of depth for a Type Ia SN model and an SN 1987A-like model, respectively. In the outer regions, constancy of the right-hand side is excellent, although small departures in the inner regions can be seen. These departures often arise from sharp changes in the material properties such as the H ionization front in Type II SNe and changes in composition. By improving the resolution across these features we improve the accuracy of the global energy constraint. Through extensive testing we found that, in general, improving the accuracy with which the global energy constraint was satisfied

did not significantly change predicted spectra, especially at earlier epochs.

13 FUTURE WORK

We have described the procedure we use to undertake time-dependent modelling of homologous SN ejecta. With our work, we solve self-consistently the time-dependent radiative transfer equation and its zeroth and first moments, the time-dependent energy balance equation and the time-dependent kinetic rate equations. Our technique has been successfully used to model the Type II-peculiar SN 1987A (Dessart & Hillier 2010), Type II-Plateau SNe (Dessart & Hillier 2011) and Type IIb/IIc SNe (Dessart et al. 2011). We are also using it to model Type Ia SNe (Dessart et al., in preparation) and to extend our modelling of Type Ib/IIc SNe (Dessart et al. 2012).

With recent advances in hydrodynamic simulations there is increasing evidence that SNe are not spherically symmetric or homogeneous. There is also observational evidence for non-symmetric ejecta and for mixing in SNe. Ultimately, 3D radiative transfer models may be needed to accurately model SNe ejecta. However, for a variety of reasons, 1D models still have a very important role. As our own work has shown, 1D models can be used to identify

⁹ The averaging in χ occurs because of the definition of the optical depth increments.

important fundamental physics such as the importance of time dependence in the rate equations for modelling Type II SNe (Dessart & Hillier 2008) or the prediction that He I emission lines can be excited in early spectra of Ib SNe without the need for non-thermal processes (Dessart et al. 2011). They can also provide fundamental insights into the abundance and physical structure of ejecta – information which is missing for most SN ejecta. Discrepancies between 1D models and observations can also provide crucial insights into the need for missing physics, and for features which can only be explained by 3D effects. Since hydrodynamical modelling cannot yet accurately predict the 3D structure of ejecta, 3D modelling is necessarily rich in free parameters which can limit crucial insights. Importantly, 1D models also provide a testbed against which more complex models can be developed.

The present models are restricted to the case of SN ejecta in homologous expansion. This is of little consequence for Type Ia SNe, but restricts modelling for Type II-peculiar objects like SN 1987A to $t \gtrsim 1$ d, and to even later times ($t \gtrsim 10$ d) for ‘generic’ Type II SNe of the plateau type. In order to overcome this limitation, work on a full-relativistic time-dependent solver is in progress.

Another issue which we are addressing is the influence of non-thermal ionization and excitation. Such processes are believed to be important for explaining the appearance of He I lines in Type Ib SNe (Lucy 1991; Dessart et al. 2011, 2012), and for the production of H I lines in the nebular phase of Type II SNe and in SN 1987A (Xu & McCray 1991; Kozma & Fransson 1998a,b).

In our work we treat the whole ejecta. For Type I SNe, with their relatively low ejecta masses, this is a reasonable approach. However, for Type II SNe, with their large ejecta masses, our approach may be an overkill. The inner layers of these models can be adequately described using a time-dependent LTE grey model. A superior approach might be to combine a grey model with CMFGEN modelling for optical depths $\tau < \tau_{\max}$ (where τ_{\max} may be of the order of 100), and use the grey solution to provide a lower boundary condition for CMFGEN. This would reduce the number of depth points in the CMFGEN models and potentially the computational effort (since the model computation time-scales as the square of the number of grid points).

With these tools we will be able to address many important questions related to SNe, their progenitors and their mechanisms of explosion. For example, do stellar-evolution and hydrodynamical models of SNe agree with observations? What progenitors give rise to what SN types? Do SN Iib/Ib/Ic arise from binary–star evolution? Does the composition of the outer unburnt ejecta correspond to predictions of stellar evolutionary models and stellar-atmosphere calculations of their progenitor class? Do we really understand the homogeneity of Type Ia SNe and the origin of the width–luminosity relation? Is there some signature in the spectra of Type Ia SNe that may distinguish their origin from a single- or double-degenerate scenario?

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APPENDIX A: γ -RAY MONTE CARLO TRANSPORT FOR SN EJECTA

We have two possibilities for the treatment of the decay energy in CMFGEN. We may deposit the entire decay energy locally and neglect any γ -ray transport, as described in Section 5. This approximation is very good for hundreds of days in Type II SNe because unstable isotopes are produced explosively within the slower-expanding higher-density innermost layers of the massive ejecta. This is partially supported by the nebular-phase observations of SN 1987A, which show the luminosity fading expected for full γ -ray trapping for up to ~ 300 d after the explosion (Arnett et al. 1989). On the other hand, γ -rays were detected as early as day 200, indicating that some γ -rays were escaping at that epoch and, by inference, that some non-local deposition was also occurring at that epoch. This, and the subsequent evolution of the γ -rays and X-rays from SN 1987A, provide strong constraints on the mixing that has occurred (e.g. Arnett & Fu 1989; Fu & Arnett 1989; Kumagai et al. 1989; Burrows & van Riper 1995).

However, in the lower mass ejecta that characterize Type I SNe, either of thermonuclear or core-collapse origin, non-local energy deposition and even γ -ray escape can occur on a much shorter time-scale (Dessart et al. 2011). In Type Ia SNe, the deflagration/detonation produces ^{56}Ni at much larger velocities than in core-collapse SNe, in layers that can be well above the ejecta base depending on the details of the explosion (Khokhlov 1991). This property leads to γ -ray escape as early as the peak of the light curve (Höflich et al. 1992). Hence, to model such SN ejecta, one really needs to solve for the transport of γ -rays produced in the decay of unstable isotopes.

Since our Monte Carlo code is to provide an energy-deposition distribution for CMFGEN runs, it uses the same model atoms (i.e. species and ions) and spatial grid, and assumes homologous expansion. The code treats only two-step decay chains but as many as desired, as in CMFGEN. The main focus for now though is on the decay chain associated with ^{56}Ni . The decay lifetimes, γ -ray line energies (and probabilities) and positron energies are all taken from <http://www.nndc.bnl.gov/chart/>, themselves based on the work of Huo et al. (1987). For ^{56}Ni and ^{56}Co decays, these are in close agreement with the values given by Ambwani & Sutherland (1988) and Nadyozhin (1994). The total decay energy for each channel, obtained by summing over all γ -ray lines and including positron energy, is used in CMFGEN when assuming local energy deposition. For non-local energy deposition, the Monte Carlo code is used instead to treat all individual contributions. This ensures energy consistency whether we assume local or non-local deposition.

At present, we do not use the Monte Carlo procedure to select γ -ray lines but instead treat all important γ -ray photons associated with a given decay. This is more time-consuming but produces better statistics for weaker and/or improbable γ -ray lines. In practice, to reduce the burden on the number of γ -ray lines we follow, we treat only γ -ray lines with a probability higher than 1 per cent. This

corresponds to six γ -ray lines for the decay of an ^{56}Ni nucleus and to 15 for ^{56}Co . For the ^{56}Co decay, the missing γ -ray lines cause a $\lesssim 3$ per cent deficit in the decay energy, which we compensate by scaling all ^{56}Co γ -ray line energies accordingly.

The Monte Carlo procedure we follow is analogous to that described by Ambwani & Sutherland (1988), while the numerical approach closely follows Hillier (1991). Although our SN models assume spherical symmetry, our calculations are performed on a 3D spatial grid. In the Monte Carlo technique, one has first to build probability functions for all important processes. For a given event described by the function f of a variable x over the interval $[x_{\min}, x_{\max}]$, we can generate x from

$$q = \int_{x_{\min}}^x f(x) dx \Big/ \int_{x_{\min}}^{x_{\max}} f(x) dx, \quad (\text{A1})$$

where q is a random number uniformly distributed between 0 and 1 (Hillier 1991). One can also bias the sampling of $f(x)$ by a user-specified function $b(x)$ so that x is drawn from

$$q = \int_{x_{\min}}^x b(x)f(x) dx \Big/ \int_{x_{\min}}^{x_{\max}} b(x)f(x) dx, \quad (\text{A2})$$

and that decay is then given a weight of $1/b(x)$.

We thus build probability distributions to describe the total number of decays, the relative number associated with each two-step decay chain considered, the relative number associated with each nucleus in each chain, and finally the radial distributions of such decays.

For the simulation of γ -ray spectra, it is advantageous to bias the sampling of decaying nuclei to favour emission from the outer ejecta regions and thus enhance the statistics (reduce the noise) of the emergent γ -ray spectrum. This can help at early times when the bulk of unstable isotopes are located at large optical depths so that a very small fraction of γ -rays manage to escape. When biasing γ -ray emission for escape, we use the bias function $b(r) = \exp(-\tau(r))$, where $\tau(r) = \int_r^{\infty} \kappa(r')\rho(r') dr'$. For simplicity, we use a fixed mass-absorption coefficient $\kappa = 0.03 \text{ cm}^2 \text{ g}^{-1}$ (Kozma & Fransson 1992; this value does not need to be accurate). The associated energies for this decay at r are then weighted by $1/b(r)$. In practice, this option is rarely used. Indeed, we are interested in the energy fraction that is deposited, not that which escapes. Furthermore, the eventual detection of γ -rays from SNe will most likely occur for an extragalactic event when the bulk of the released energy escapes as the ejecta become transparent to γ -rays – biasing emission is no longer needed at such times.

Using a series of random numbers q , we select the decay chain, the nucleus that decays and the decay location in spherical coordinates (r, θ, ϕ) . r is selected according to equation (A1) with $x = r$ and $f(x) = 4\pi r^2 \eta$, where η is the emissivity. The angles are selected using

$$\cos \theta = 2q - 1 \quad \text{and} \quad \phi = \pi(2q - 1),$$

and a similar procedure is used to determine the original orientation of γ -ray emission.

We then proceed to transport all γ -ray photons associated with that decay (note that we neglect the expansion of the ejecta over the life of such γ -rays – the expanding ejecta appears as frozen to those γ -rays). If the decay also produces positrons, these are deposited locally as heat. The location of the next scattering/absorption is conditioned by the opacity. We consider the two processes of Compton scattering and photoelectric absorption (we neglect the opacity associated with pair production) and adopt the analytical formulae

of Kasen et al. (2006). Given an orientation we compute the total optical depth along the ray to the outer boundary of the ejecta. We assume a hollow core for rays that cross the inner boundary of the ejecta. Using another random number q , the location of the next scattering/absorption is at an optical depth τ given by $q = 1 - \exp(-\tau)$ or $\tau = -\log(q - 1)$. In calculating the optical depths, we account for Doppler effects (redshifts) associated with expansion and include both scattering and absorption opacities. If τ is greater than the maximum optical depth along the ray in the selected direction, the γ -ray photon escapes. Otherwise, we step along the ray to find the new location, change the photon energy to the scatterer's frame and generate another random number to determine whether the photon is absorbed or scattered at that location. If the latter case is drawn, a new direction of scattering is sampled from the differential cross-section for Compton scattering and the new energy of the photon is computed (the energy loss in the scattering is then deposited locally).

In our calculations, we typically use 100 000 decays, which correspond to about 10 times as many γ -ray photons depending on the post-explosion time (i.e. whether it is primarily ^{56}Ni or ^{56}Co nuclei that decay). The agreement between the local (computed analytically) and non-local (computed numerically with the Monte Carlo code) energy deposition profiles at very early times agrees to within a fraction of a per cent in most regions. The two can depart in regions where the original ^{56}Ni mass fraction is very low since too few decays will be triggered in those regions. Since we are mostly concerned with the bulk of the energy that is effectively deposited (and in appreciable amounts to influence the gas properties), we are confident that the (originally) ^{56}Ni -rich regions are well sampled and the actual distribution profile is accurately determined.

We illustrate some interesting observable properties produced by such transport calculations. In Fig. A1, we illustrate the evolution of the total, deposited and escaping decay energy from ‘representative’ SN Ia (the model with $0.49 M_{\odot}$ of ^{56}Ni of Kasen & Woosley 2007) and IIP (the model s15e12 from Dessart & Hillier 2011) models. In the Type IIP model, the larger mass, the slower expansion and

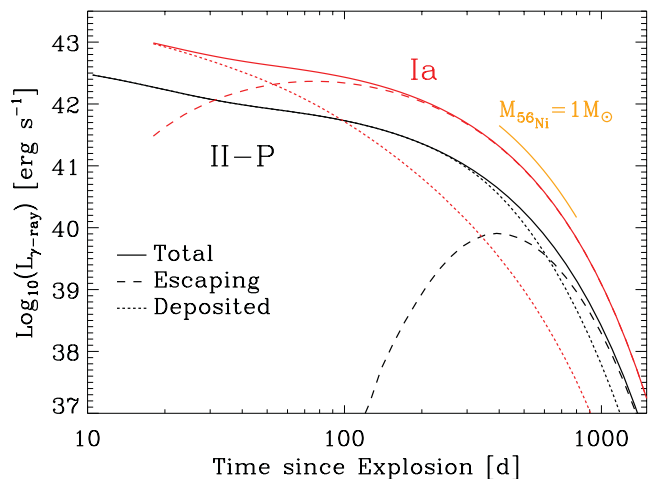


Figure A1. Bolometric γ -ray light curve from $\gtrsim 10$ d until 1500 d after the explosion for an SN Ia model (the model from Kasen & Woosley (2007) with $0.49 M_{\odot}$ of ^{56}Ni initially) and an SN IIP model (the model s15e12 from Dessart & Hillier 2011, endowed with $0.087 M_{\odot}$ of ^{56}Ni initially). We show the evolution of the total decay energy (solid), the total decay energy deposited in the ejecta (dotted) and the escaping γ -ray energy (dashed). The orange line traces the radioactive decay power of $1 M_{\odot}$ of ^{56}Ni produced initially in an explosion.

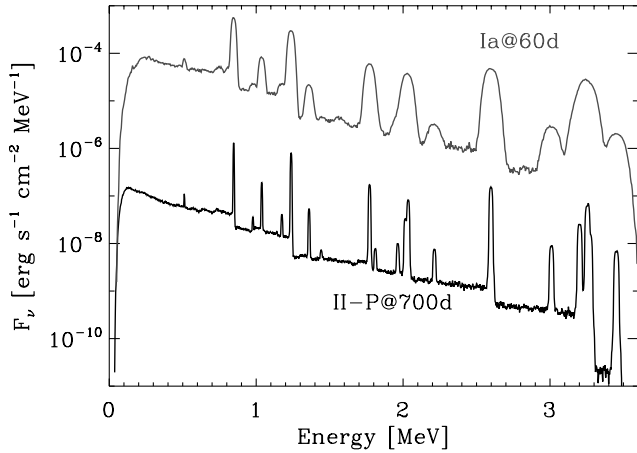


Figure A2. γ -ray spectra for the SN IIP (black) and the SN Ia (red) models shown in Fig. A1 at post-explosion times of 60 and 700 d. At such times, one sees primarily the γ -ray transitions associated with ^{56}Co decay. For the calculations we assumed an SN distance of 10 Mpc.

the deeper location of ^{56}Ni produced by the explosion lead to a much delayed emergence of γ -rays at a few hundred days after the explosion. In contrast, the SN Ia model lets γ -rays start to escape as early as two weeks after the explosion. In each case, we illustrate (Fig. A2) the γ -ray spectrum one would observe at an epoch when γ -ray escape becomes strong. Because of the relatively short half-life of ^{56}Ni nuclei, the γ -ray lines stem primarily from the ^{56}Co nuclei decay.

We do not treat time dependence in the Monte Carlo code. This is a reasonable approximation since the light-travel time is small compared with the flow time, and because γ -rays do not diffuse. As discussed by Swartz, Sutherland & Harkness (1995) a γ -ray deposits half its energy, on average, per scattering, and hence after a few scatterings most of the γ -ray energy has been deposited.

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