

# Nonlinear partially massless from massive gravity?

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We show that consistent nonlinear partially massless models cannot be obtained starting from “ $f$ - $g$ ” massive gravity, with “ $f$ ” the embedding de Sitter space. The obstruction, which is also the source of  $f$ - $g$  acausality, is the very same fifth constraint that removes the notorious sixth ghost excitation. Here, however, it blocks extension of the gauge invariance (appearing for mass to de Sitter cosmological constant tunings) that removed the helicity-zero mode at linear level. Separately, our methods allow us to almost complete the proof that all  $f$ - $g$  models are acausal.

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## I. INTRODUCTION

The by now well-appreciated fact [1] that de Sitter (dS) space representations allow for novel gauge invariances of otherwise massive free flat-space higher ( $s \geq 2$ ) spins has led to hopes for extensions of these partially massless (PM) models into the nonlinear realm. The lowest-spin—and most interesting—extension is that of spin-2 PM to “PM gravity” (PMG). Unfortunately, that hope has already been excluded in several contexts. Firstly, a comprehensive perturbative study of higher-spin extensions [2] has noted (without giving details) that an obstruction indeed arises at quartic order (cubic extensions, being simply Noether current couplings, are always trivially allowed). A different approach, based on the observation [3] that conformal, Weyl gravity kinematically describes both  $s = 2$  PM and Einstein graviton modes about the dS vacuum, led to a recent search for suitably truncated Weyl models [4]. Here too, an obstruction was encountered beyond cubic order. Separately, different tacks have been taken by two groups [5,6], based on the currently popular massive gravity models (for a review, see Ref. [7]). These are (*ab initio* nonlinear) Einstein gravities, but with very special mass terms involving a preferred background—or “ $f$ ”—metric that preserves the five degrees-of-freedom (DoF) content of linear Fierz-Pauli (FP) massive  $s = 2$ . Taking this background to be a suitably “tuned” dS, they hope to define a consistent PMG [8]. Our purpose here is to show that this avenue is unfortunately also blocked. We will find that the very dS gauge invariance required to eliminate the massive model’s helicity-0 mode cannot be implemented at the

nonlinear level; it would have to turn that fifth constraint into a Bianchi identity, thereby removing helicity-0 at the tuned point. But this is obstructed precisely due to the same set of its terms that lead to the massive model becoming acausal [9]. The irony is again that the very special set of mass terms that are the solution to avoiding the ancient Boulware-Deser [10] sixth DoF ghost catastrophe now become part of the problem. Indeed, an important byproduct of the present work will be to extend the set of acausal mass terms in the massive theory, leaving only one (unlikely) window there—and no hope for PMG.

## II. THE MODEL

We begin with the most general five-parameter family of  $f$ - $g$  massive GR actions known to have five (rather than six) DoF [11]; their field equations are

$$\mathcal{G}_{\mu\nu} := G_{\mu\nu} - \Lambda g_{\mu\nu} - \sum_{i=1}^3 \mu_i \tau_{\mu\nu}^{(i)} = 0, \quad (1)$$

where [12]

$$\begin{aligned} \tau_{\mu\nu}^{(1)} &:= f_{\mu\nu} - g_{\mu\nu} f, \\ \tau_{\mu\nu}^{(2)} &:= 2(f_{\mu\rho} - g_{\mu\rho} f) f_{\nu}^{\rho} + g_{\mu\nu} (f^2 - f_{\rho}^{\sigma} f_{\sigma}^{\rho}), \\ \tau_{\mu\nu}^{(3)} &:= 6(f_{\mu\rho} - g_{\mu\rho} f) f_{\sigma}^{\rho} f_{\nu}^{\sigma} + 3f_{\mu\nu} (f^2 - f_{\rho}^{\sigma} f_{\sigma}^{\rho}) \\ &\quad - g_{\mu\nu} (f^3 - 3f f_{\rho}^{\sigma} f_{\sigma}^{\rho} + 2f_{\rho}^{\sigma} f_{\sigma}^{\eta} f_{\eta}^{\rho}). \end{aligned}$$

The metric  $g_{\mu\nu}$  is the (only) dynamical field and  $G_{\mu\nu}$  is its Einstein tensor. The last of the five parameters ( $\Lambda, \mu_1, \mu_2, \mu_3, \bar{\Lambda}$ ) is encoded in the curvature of the non-dynamical vierbein  $f_{\mu}^m$ ,

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$$\bar{R}_{\mu\nu}{}^{mn} := \bar{W}_{\mu\nu}{}^{mn} + \frac{2\bar{\Lambda}}{3} f_{[\mu}{}^m f_{\nu]}{}^n. \quad (2)$$

We are primarily interested in the case where the background metric  $\bar{g}_{\mu\nu} := f_{\mu}{}^m f_{\nu m}$  has constant curvature [Eq. (2) with vanishing Weyl tensor  $\bar{W}_{\mu\nu}{}^{mn}$ ], but our results also apply to the more general case of Einstein backgrounds [14]. All indices are raised and lowered with the dynamical metric and its vierbein  $e_{\mu}{}^m$  so that (perhaps somewhat confusingly for bimetric theorists)

$$f_{\mu\nu} := f_{\mu}{}^m e_{\nu m}. \quad (3)$$

Moreover, we require [16]

$$f_{\mu\nu} = f_{\nu\mu}, \quad (4)$$

which gives six independent relations that, along with  $g_{\mu\nu} = e_{\mu}{}^m e_{\nu m}$ , determine the sixteen components of the vierbein  $e_{\mu}{}^m$  in terms of the ten dynamical metric components. The equations of motion have been proven to propagate five DoF for generic parameter values in Ref. [11]. A simple covariant proof for the  $(\mu_1, \mu_2)$  models has been given in Ref. [13] (see also Ref. [9]). Before proceeding to a covariant constraint analysis, let us review the appearance of the PM model in the linearized theory.

### III. LINEAR PM

To linearize the equation of motion (1) about a background Einstein metric  $\bar{g}_{\mu\nu}$  we call

$$h_{\mu\nu} := g_{\mu\nu} - \bar{g}_{\mu\nu} \Rightarrow f_{\mu\nu} \approx \bar{g}_{\mu\nu} + \frac{1}{2} h_{\mu\nu}.$$

Noting that [17]

$$\begin{aligned} G_{\mu\nu} &\approx \bar{\Lambda} \bar{g}_{\mu\nu} + G_{\mu\nu}^L, \\ (\delta_{\mu}^{\rho} - h_{\mu}^{\rho}) \sum_{i=1}^3 \mu_i \tau_{\rho\nu}^{(i)} &\approx -3(\mu_1 - 2\mu_2 + 2\mu_3) \bar{g}_{\mu\nu} \\ &\quad - \frac{1}{2}(\mu_1 - 4\mu_2 + 6\mu_3) [h_{\mu\nu} - \bar{g}_{\mu\nu} h], \end{aligned}$$

we obtain the linearized equation of motion,

$$\begin{aligned} G_{\mu\nu}^L - \bar{\Lambda} h_{\mu\nu} &\approx (\Lambda - \bar{\Lambda} - 3\mu_1 + 6\mu_2 - 6\mu_3) \bar{g}_{\mu\nu} \\ &\quad - \frac{1}{2}(\mu_1 - 4\mu_2 + 6\mu_3) [h_{\mu\nu} - \bar{g}_{\mu\nu} h]. \end{aligned} \quad (5)$$

For models obeying  $\Lambda - \bar{\Lambda} - 3\mu_1 + 6\mu_2 - 6\mu_3 = 0$ , the constant term vanishes and  $g_{\mu\nu} = \bar{g}_{\mu\nu}$  is a solution. We thus identify the FP mass,

$$m^2 = -\mu_1 + 4\mu_2 - 6\mu_3.$$

The PM tuning is  $m^2 = \frac{2\bar{\Lambda}}{3}$ , at which value the linearized model enjoys the gauge invariance

$$\delta h_{\mu\nu} = \left( \bar{\nabla}_{\mu} \partial_{\nu} + \frac{\bar{\Lambda}}{3} \bar{g}_{\mu\nu} \right) \alpha.$$

This invariance, along with the vector constraint  $\bar{\nabla}_{\mu} h_{\nu} - \bar{\nabla}_{\nu} h_{\mu} = 0$  following from the divergence of the linearized equation of motion  $G_{\mu\nu}^L = 0$  determined by Eq. (5), reduces the ten components of the dynamical field  $h_{\mu\nu}$  to four propagating ones. Gauge invariances are associated with Bianchi identities; in our case, with

$$\bar{\nabla}^{\mu} \bar{\nabla}^{\nu} G_{\mu\nu}^L + \frac{\bar{\Lambda}}{3} \bar{g}^{\mu\nu} G_{\mu\nu}^L \equiv 0.$$

Our main goal is to search for a nonlinear version of this Bianchi identity.

### IV. THE FIFTH CONSTRAINT AND PUTATIVE PM MODEL

Returning to the nonlinear equation of motion (1) and taking its divergence, we immediately uncover a vector constraint,

$$0 = C_{\nu} := \nabla^{\mu} G_{\mu\nu} = - \sum_{i=1}^3 \mu_i \nabla^{\mu} \tau_{\mu\nu}^{(i)}. \quad (6)$$

The right-hand side was obtained using the Bianchi identity for the Einstein tensor  $G_{\mu\nu}$  and contains at most one derivative on the dynamical metric. Presently, we will need explicit expressions for the right-hand side of Eq. (6) but first present an “index-free” sketch of how a fifth, scalar constraint arises. In particular, we focus on whether this constraint can morph into a Bianchi identity. Our scheme is to organize the scalar constraint in powers of the background vierbein  $f$  and derivatives of the dynamical metric.

Since the nonlinear mass terms  $\tau^{(i)}$  depend algebraically on  $f$  and  $g$ , their covariant derivatives appearing in the vector constraint (6) take the form  $f^{i-1} \nabla f$ . Of course,  $\bar{\nabla} f \equiv 0$ , so  $\nabla f$  measures the difference between the Levi-Civita connections of  $e$  and  $f$ , or in other words the contorsion  $K$  [see Eq. (11) below] which counts as one metric derivative. Hence the vector constraint takes the form

$$0 = \mu_1 K f + \mu_2 f K f + \mu_3 f^2 K f.$$

Multiplying this expression by  $f^{-1}$  and taking a further divergence yields

$$0 = \mu_1 \nabla K + \mu_2 \nabla(f K) + \mu_3 \nabla(f^2 K). \quad (7)$$

This scalar relation involves two derivatives on the dynamical metric and so it is not a constraint. However, contracting the field equation  $G_{\mu\nu}$  on either the metric or  $f_{\mu\nu}$  (and powers thereof) also produces a scalar depending on two metric derivatives. In particular, the Riemann tensor  $R(g)$  of the metric  $g$  can be expressed in terms of its  $\bar{g}$  counterpart and contorsions. Thus, using Eq. (2), the Einstein tensor can be expanded as

$$G(g) = \bar{\Lambda}f^2 + \bar{W} + \nabla K + K^2.$$

Hence the contracted field equation yields

$$\begin{aligned} \mu_1 \mathcal{G} + \mu_2 f \mathcal{G} + \mu_3 f^2 \mathcal{G} = & \mu_1 \bar{\Lambda} f^2 + \mu_1 \bar{W} + \mu_1 \nabla K + \mu_1 K^2 + \boxed{\mu_1 \Lambda} + \underline{\mu_1^2 f} + \mu_1 \mu_2 f^2 + \{\mu_1 \mu_3 f^3\} \\ & + \{\mu_2 \bar{\Lambda} f^3\} + \mu_2 f \bar{W} + \mu_2 f \nabla K + \mu_2 f K^2 + \underline{\mu_2 \Lambda f} + \mu_2 \mu_1 f^2 + \{\mu_2^2 f^3\} + \mu_2 \mu_3 f^4 \\ & + \mu_3 \bar{\Lambda} f^4 + \mu_3 f^2 \bar{W} + \mu_3 f^2 \nabla K + \mu_3 f^2 K^2 + \mu_3 \Lambda f^2 + \{\mu_3 \mu_1 f^3\} + \mu_3 \mu_2 f^4 + [\mu_3^2 f^5]. \end{aligned} \quad (8)$$

There are two criteria we can place on this relation: (i) for a fifth covariant constraint to exist, the double-derivative-metric terms in the third column on the right-hand side must cancel once one employs the double divergence of the field equation given in Eq. (7), and (ii) for a Bianchi identity signaling PM, all remaining terms must cancel. For models with nonvanishing  $(\mu_1, \mu_2)$  and  $\mu_3 = 0$ , criterion (i) has been proven to hold [13]. The case  $\mu_3 \neq 0$  is still an open question, but will soon turn out to be irrelevant for our PM considerations. We thus turn to the second, PM criterion.

To study criterion (ii), we first examine terms algebraic in  $f$  order-by-order. At order zero, there is only a single (boxed) term forcing the parameter constraint

$$\mu_1 \Lambda = 0,$$

while at order one there are two (underlined) terms:  $\mu_1^2 f + \mu_2 \Lambda f$ . In the case  $\Lambda \neq 0$  we are forced to set  $\mu_1 = 0$  and in turn  $\mu_2 = 0$ . Because there is only a single (square-bracketed) term  $\mu_3^2 f^5$  at order five, which imposes

$$\mu_3 = 0$$

(the tensor structure  $f^5$  is generically nonvanishing [18]), to uncover a nontrivial model we must set

$$\Lambda = 0,$$

that in turn forces

$$\mu_1 = 0.$$

The only remaining algebraic  $f$  terms (in braces) are order three:  $\mu_2^2 f^3 + \mu_2 \bar{\Lambda} f^3$ . Since we must avoid setting  $\mu_2 = 0$  (which would return us to cosmological general relativity), we are forced to impose a tuning,  $\mu_2 \sim \bar{\Lambda}$ . From the linearized considerations of the previous section, we can already deduce this tuning to be

$$\mu_2 = \frac{\bar{\Lambda}}{6},$$

in order that the FP mass obeys  $m^2 = \frac{2\bar{\Lambda}}{3}$ . This value also precisely cancels the unwanted constant term in the linearized equation of motion (5). To be definite, our putative PM model has the equation of motion

$$G_{\mu\nu} = \frac{\bar{\Lambda}}{3}(f_{\mu\rho} - g_{\mu\rho}f)f_{\nu}^{\rho} + \frac{\bar{\Lambda}}{6}g_{\mu\nu}(f^2 - f_{\rho}^{\sigma}f_{\sigma}^{\rho}). \quad (9)$$

This model strongly resembles the bimetric-motivated PM proposal of Ref. [6] (except that there  $\bar{\Lambda} = \Lambda$ ), but differs sharply from the decoupling limit inspired PM

conjecture of Ref. [5]. (Possibly, a heightened sensitivity of the decoupling method to the contorsion difficulties we are about to encounter might explain this discrepancy.) At this juncture we can go no further with our index-free discussion and must perform an explicit computation of the fifth constraint to determine whether the model given by Eq. (9) is PM.

## V. BIANCHI IDENTITY?

To investigate explicitly the putative PM Bianchi identity, we first gather some technical tools. The equation of motion is now  $\mathcal{G}_{\mu\nu} := G_{\mu\nu} - \frac{\bar{\Lambda}}{6}\tau_{\mu\nu}^{(2)}$ . The vector constraint is easy to compute; we find (denoting the inverse  $f$ -bein by  $\ell_{\mu}^m$ )

$$\begin{aligned} 0 = \ell_{\mu}^{\nu} C_{\nu} &:= \ell_{\mu}^{\nu} \nabla^{\rho} \mathcal{G}_{\rho\nu} \\ &= -\frac{\bar{\Lambda}}{3}(f^{\nu\rho} K_{\nu\rho\mu} - f K_{\nu}^{\nu}{}_{\mu} + f_{\mu}^{\rho} K_{\nu}^{\rho}{}_{\nu}). \end{aligned} \quad (10)$$

Here the contorsion  $K$  is defined by the difference of the dynamical and background spin connections,

$$K_{\mu}{}^m{}_n := \omega(e)_{\mu}{}^m{}_n - \omega(f)_{\mu}{}^m{}_n. \quad (11)$$

It allows us to relate the dynamical and background Riemann tensors,

$$\begin{aligned} R_{\mu\nu}{}^{mn} &= \bar{W}_{\mu\nu}{}^{mn} + \frac{2\bar{\Lambda}}{3}f_{[\mu}{}^m f_{\nu]}{}^n + 2\nabla_{[\mu} K_{\nu]}{}^{mn} \\ &\quad - 2K_{[\mu}{}^{mr} K_{\nu]r}{}^n. \end{aligned}$$

Thus, tracing the Einstein tensor with  $f$  as discussed in the previous section, we find

$$\begin{aligned} f^{\mu\nu} G_{\mu\nu} &= f^{\mu\sigma} \bar{W}_{\mu\nu}{}^{\nu}{}_{\sigma} - \frac{1}{2}f \bar{W}_{\mu\nu}{}^{\nu\mu} + \frac{\bar{\Lambda}}{6}(2f_{\mu}^{\nu} f_{\nu}^{\rho} f_{\rho}^{\mu} \\ &\quad - 3ff_{\mu}^{\nu} f_{\nu}^{\mu} + f^3) + f^{\mu\rho}(\nabla_{\mu} K_{\nu}^{\nu}{}_{\rho} - \nabla_{\nu} K_{\mu}^{\nu}{}_{\rho}) \\ &\quad - f \nabla_{\mu} K_{\nu}^{\nu\mu} - f^{\mu\sigma} K_{\mu\nu\rho} K^{\nu\rho}{}_{\sigma} + f^{\mu\sigma} K_{\mu\rho\sigma} K_{\nu}^{\nu\rho} \\ &\quad + \frac{1}{2}f(K_{\mu\nu\rho} K^{\nu\rho\mu} + K_{\mu}{}^{\mu}{}_{\rho} K_{\nu}^{\nu\rho}). \end{aligned} \quad (12)$$

Recalling that all indices are moved with the dynamical metric and vierbein, observe that the terms involving the background Weyl tensor do not vanish (its tracelessness is with respect to  $\bar{g}_{\mu\nu}$ ). As the Weyl tensor is generated nowhere else, we proceed by retreating from Einstein to

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constant-curvature backgrounds by setting  $\bar{W}_{\mu\nu}{}^{mn}=0$ . This does not augur well for the putative PM model, since linear PM fields can propagate in Einstein backgrounds [4,15].

The next task is to cancel the terms cubic in  $f$ . There a temporary victory is won since

$$f^{\mu\nu}\tau_{\mu\nu}^{(2)} = 2f_{\mu}^{\nu}f_{\nu}^{\rho}f_{\rho}^{\mu} - 3ff_{\mu}^{\nu}f_{\nu}^{\mu} + f^3,$$

which implies (thanks to the PM tuning of  $\mu_2$  to  $\bar{\Lambda}$ ) that  $f^{\mu\nu}\bar{\mathcal{G}}_{\mu\nu}$  now equals the last line of Eq. (12). Those terms involve double derivatives of the metric, which can be canceled against the divergence of the vector constraint (10) so that

$$\begin{aligned} 0 = \mathcal{C} &:= \nabla_{\mu}(\ell^{\mu\nu}\nabla^{\rho}\bar{\mathcal{G}}_{\rho\nu}) + \frac{\bar{\Lambda}}{3}f^{\mu\nu}\bar{\mathcal{G}}_{\mu\nu} \\ &= -\frac{\bar{\Lambda}}{3}\left\{\nabla^{\mu}f^{\nu\rho}(K_{\rho\nu\mu} - g_{\nu\rho}K_{\sigma}^{\sigma}{}_{\mu} + g_{\mu\rho}K_{\sigma}^{\sigma}{}_{\nu}) \right. \\ &\quad + f^{\mu\sigma}K_{\mu\nu\rho}K^{\nu\rho}{}_{\sigma} + f^{\mu\nu}K_{\mu\nu\rho}K_{\sigma}^{\sigma\rho} \\ &\quad \left. - \frac{1}{2}f(K_{\mu\nu\rho}K^{\nu\rho\mu} + K_{\mu}^{\mu}{}_{\rho}K_{\nu}^{\nu\rho})\right\}. \end{aligned} \quad (13)$$

Assuming the right-hand side does NOT vanish identically, it is a constraint (since there are no double derivatives on the metric): its identical vanishing is the acid PM test. For this test, we may employ the vector constraint (10) since that would only amount to modifying the form of the putative Bianchi identity. This allows us to replace  $f^{\mu\nu}K_{\mu\nu\rho}$  by  $fK_{\rho} - f_{\rho}^{\nu}K_{\nu}$  (where  $K_{\nu} := K_{\mu}^{\mu}{}_{\nu}$ ). Collecting terms and converting the  $\nabla f$  term in Eq. (13) to contorsions, we now face the question

$$0 \stackrel{?}{=} \frac{1}{2}fK^{\mu}K_{\mu} - f_{\sigma}^{\rho}K_{\mu\nu\rho}(K^{\nu\mu\sigma} - K^{\sigma\mu\nu}) - \frac{1}{2}fK_{\mu\nu\rho}K^{\nu\rho\mu}.$$

Here we may make use of any identities for the contorsion that follow from the symmetry of  $f$ , Eq. (4). A covariant derivative of that relation yields

$$0 = K_{\rho}{}^m f_{[\mu}{}^n e_{\nu]m} - (\Gamma(g) - \Gamma(\bar{g}))_{\rho}{}^{\sigma}{}_{[\mu} f_{|\sigma|}{}^m e_{\nu]m}.$$

Taking the totally antisymmetric part of the above removes the difference of the Christoffel term so that

$$0 = K_{[\mu\nu}{}^{\sigma} f_{\rho]\sigma}.$$

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This allows one further simplification, yielding the final query

$$0 \stackrel{?}{=} \frac{1}{2}fK^{\mu}K_{\mu} - f_{\sigma}^{\rho}K_{\mu\nu\rho}K^{\mu\nu\sigma} - \frac{1}{2}fK_{\mu\nu\rho}K^{\nu\rho\mu}. \quad (14)$$

To be absolutely certain that we are not missing some (unlikely) cancellations, we evaluate Eq. (14) using a solution to the vector constraint (10) (but *not* of the full field equations). For that, we consider an ansatz,

$$ds^2 = -dt^2 + e^{2Mt}\left(\alpha dx^2 + dy^2 + \frac{dz^2}{\alpha}\right),$$

for the dynamical metric in the background de Sitter coordinates

$$d\bar{s}^2 = -dt^2 + e^{2Mt}(dx^2 + dy^2 + dz^2),$$

where  $M^2 := \frac{\bar{\Lambda}}{3}$ . The exact physical properties of the above ansatz are irrelevant here; we are merely verifying that no *identity* vanquishes the quantity in Eq. (14). It is not difficult to verify that this ansatz obeys the vector constraint (10) but returns  $\mathcal{C} = 2M^4 \frac{(\alpha-1)^2}{\alpha}$  for the putative Bianchi identity. In other words,  $\mathcal{C}$  is a constraint, and cannot be improved to a Bianchi identity. Despite the slew of algebraic cancellations achieved by the PM tuning, it did not suffice to find an identity. There is no new scalar gauge invariance removing the zero-helicity mode, and hence no nonlinear PMG.

## VI. ACAUSALITY

Having dismissed the possibility of self-interacting nonlinear PM, we can now apply our results to study the causality of models with mass terms of type  $\tau_{\mu\nu}^{(2)}$ . The results of the previous section and Ref. [9] demonstrate that models of the form

$$\mathcal{G}_{\mu\nu} := G_{\mu\nu} - \Lambda g_{\mu\nu} - \mu_1 \tau_{\mu\nu}^{(1)} - \mu_2 \tau_{\mu\nu}^{(2)} = 0 \quad (15)$$

propagate five degrees of freedom for *all* parameter values  $(\Lambda, \mu_1, \mu_2)$ . Moreover, the five constraints responsible for this behavior are

$$\begin{aligned} \mathcal{C}_{\nu} &:= \nabla^{\mu}\bar{\mathcal{G}}_{\mu\nu} = -[\mu_1 K^{\mu} + 2\mu_2(f^{\rho\sigma}K_{\rho\sigma}{}^{\mu} - fK^{\mu} + f^{\mu\rho}K_{\rho})]f_{\mu\nu}, \\ \mathcal{C} &:= \nabla_{\rho}(\ell^{\rho\nu}\nabla^{\mu}\bar{\mathcal{G}}_{\mu\nu}) - \left(\frac{1}{2}\mu_1 g^{\mu\nu} - 2\mu_2 f^{\mu\nu}\right)\bar{\mathcal{G}}_{\mu\nu} \\ &= 2\mu_1\Lambda - \left(\frac{3}{2}\mu_1^2 + 2\mu_2\Lambda\right)f + 3\mu_1\mu_2(f^2 - f_{\mu}^{\nu}f_{\nu}^{\mu}) - 2\mu_2^2\left(f_{\mu}^{\nu}f_{\nu}^{\rho}f_{\rho}^{\mu} - \frac{3}{2}ff_{\mu}^{\nu}f_{\nu}^{\mu} + \frac{1}{2}f^3\right) \\ &\quad + \left[\frac{1}{2}\mu_1 e^{\mu}{}_{\nu} + 2\mu_2\left(f^{\mu}{}_{\nu} - \frac{1}{2}fe^{\mu}{}_{\nu}\right)\right]e^{\nu}{}_{\bar{m}}\bar{R}^{\bar{m}\nu}{}_{mn} - \frac{1}{2}\mu_1(K_{\mu\nu\rho}K^{\nu\rho\mu} + K_{\mu}K^{\mu}) \\ &\quad - 2\mu_2\left[f_{\sigma}^{\rho}K_{\mu\nu\rho}(K^{\nu\sigma\mu} - K^{\sigma\nu\mu}) + f^{\mu\nu}K_{\mu}K_{\nu} + f^{\mu\nu}K_{\mu\nu\rho}K^{\rho} - \frac{1}{2}f(K_{\mu\nu\rho}K^{\nu\rho\mu} + K_{\rho}K^{\rho})\right]. \end{aligned} \quad (16)$$

We are now ready to study characteristics as in [9]. We suppose that the dynamical metric suffers a leading discontinuity at the two-derivative order across the characteristic surface  $\Sigma$ ,

$$[\partial_\alpha \partial_\beta g_{\mu\nu}]_\Sigma = \xi_\alpha \xi_\beta \gamma_{\mu\nu}. \quad (17)$$

Our task is to search for pathological characteristics with timelike normal

$$\xi^\mu g_{\mu\nu} \xi^\nu < 0$$

with respect to the metric  $g_{\mu\nu}$ . Since there is a background metric, one could also consider causal structures with respect to  $\bar{g}_{\mu\nu}$  and would encounter exactly the same acausality difficulty as the one we present here. However, since  $g_{\mu\nu}$  is the metric that couples to matter's stress tensor as well as governing the good causality properties of the leading helicity  $\pm 2$  Einstein modes, we study it. In general, acausal characteristics are ultimately associated with a breakdown of positivity of equal-time commutators [19] and thus signal the inconsistency of the theory.

We lose no generality by taking  $\xi^2 = -1$ . Also, the metric discontinuity (17) implies the leading vierbein discontinuity

$$[\partial_\alpha \partial_\beta e_\mu^m]_\Sigma = \xi_\alpha \xi_\beta \mathcal{E}_\mu^m,$$

where the leading discontinuity in the relation  $e_\mu^m e_{\nu m} = g_{\mu\nu}$  implies

$$2\mathcal{E}_{\mu\nu} = \gamma_{\mu\nu} + a_{\mu\nu},$$

with  $a_{\mu\nu} = -a_{\nu\mu}$ .

The absence of acausal characteristics would hold if the algebraic set of conditions following from the leading discontinuity in (i) the equation of motion (15), (ii) the constraints (16), and (iii) the symmetry condition (4) forces  $\gamma_{\mu\nu} = 0 = a_{\mu\nu}$  when  $\xi^2 = -1$ . Any causality violations of course appear in lower-helicity sectors because the leading discontinuity of the equation of motion is that of Einstein's theory,

$$\xi^2 \gamma_{\mu\nu} - \xi_\mu \xi_\nu \gamma - \xi_\nu \xi_\mu \gamma + \xi_\mu \xi_\nu \gamma = 0.$$

This implies that the transverse part  $\gamma_{\mu\nu}^\perp = 0$ . In what follows we will decompose tensors with respect to the (unit) timelike vector  $\xi_\mu$  according to

$$\begin{aligned} V_\mu &:= V_\mu^\perp - \xi_\mu \xi \cdot V, \\ S_{\mu\nu} &:= S_{\mu\nu}^\perp - \xi_\mu S_\nu^\perp - \xi_\nu S_\mu^\perp + \xi_\mu \xi_\nu \xi \cdot S, \quad (S_\mu := \xi \cdot S_\mu), \\ A_{\mu\nu} &:= A_{\mu\nu}^\perp + \xi_\mu A_\nu^\perp - \xi_\nu A_\mu^\perp, \quad (A_\mu^\perp := A_{\mu\nu} \xi^\nu), \end{aligned}$$

where  $V$ ,  $S$ , and  $A$  denote a vector, and symmetric and antisymmetric tensors, respectively.

At this juncture, of the sixteen components of  $\gamma_{\mu\nu}$ , and  $a_{\mu\nu}$ , the ten encoded by  $\gamma_\mu^\perp$  (three),  $\xi \cdot \xi \cdot \gamma$  (one),  $a_{\mu\nu}^\perp$  (three), and  $a_\mu^\perp$  (three) remain. The discontinuity in the symmetry relation (4) gives six homogeneous conditions on these,

$$\begin{aligned} f_{[\mu}^\perp a_{\nu]}^\perp - f_{[\mu}^\perp \{a_{\nu]}^\perp + \gamma_{\nu]}^\perp\} &= 0 \\ &= f_\rho^\perp a_\mu^\perp + f_\mu^\perp (a_\rho^\perp - \gamma_\rho^\perp) \\ &\quad + f_\mu^\perp \xi \cdot \xi \cdot \gamma - \xi \cdot \xi \cdot f (a_\mu^\perp + \gamma_\mu^\perp). \end{aligned}$$

At the very best, at this point only four combinations of the ten variables ( $\gamma_\mu^\perp$ ,  $\xi \cdot \xi \cdot \gamma$ ,  $a_{\mu\nu}^\perp$ ,  $a_\mu^\perp$ ) are now left. Thus we need four more conditions to establish the absence of acausal characteristics. These can only come from the four constraints (16) (further constraints would anyway destroy the DoF count). The leading discontinuity in the constraints is given by the metric derivatives in the contorsions and is thus proportional to  $[\partial_\alpha K_{\mu\nu\rho}]_\Sigma$ . This quantity is easily computed to be

$$\begin{aligned} 2\xi^\alpha [\partial_\alpha K_{\mu\nu\rho}]_\Sigma &= \xi_\nu \mathcal{E}_{\rho\mu} - \xi_\rho \mathcal{E}_{\nu\mu} - \xi_\mu \mathcal{E}_{\nu\rho} + \xi_\nu \mathcal{E}_{\mu\rho} \\ &\quad + \xi_\mu \mathcal{E}_{\rho\nu} - \xi_\rho \mathcal{E}_{\mu\nu} \\ &= -\xi_\mu a_{\nu\rho}^\perp - 2\xi_\mu \xi_{[\nu} \{a_{\rho]}^\perp + \gamma_{\rho]}^\perp\}. \end{aligned}$$

Thus the discontinuity in the constraints gives four homogeneous linear conditions on the six quantities ( $a_{\mu\nu}^\perp$ ,  $a_\mu^\perp + \gamma_\mu^\perp$ ). To summarize, we have the following linear system of ten equations in ten unknowns.

variables	homogeneous conditions
$a_{\mu\nu}^\perp$ , $a_\mu^\perp + \gamma_\mu^\perp$	7
$a_{\mu\nu}^\perp$ , $a_\mu^\perp + \gamma_\mu^\perp$ , $a_\mu^\perp - \gamma_\mu^\perp$ , $\xi \cdot \xi \cdot \gamma$	3

Evidently, from the first line of the table, seven homogeneous conditions on the six variables ( $a_{\mu\nu}^\perp$ ,  $a_\mu^\perp + \gamma_\mu^\perp$ ) will generically force these to vanish, which, by itself, bodes well for causality [nongeneric conditions that do not kill ( $a_{\mu\nu}^\perp$ ,  $a_\mu^\perp + \gamma_\mu^\perp$ ) already correspond to acausal characteristics]. But there are only three conditions on the four remaining variables ( $a_\mu^\perp - \gamma_\mu^\perp$ ,  $\xi \cdot \xi \cdot \gamma$ ), which means that some combination thereof does not vanish: there are acausal characteristics.

## VII. CONCLUSIONS

We have demonstrated that none of the ghost-free,  $f$ - $g$  massive gravity models of Refs. [11,20] exhibit partial masslessness. For one model [see Eq. (9)] this failure involves only terms in the fifth constraint made from squares of contorsions in constant-curvature backgrounds (but also Weyl terms in Einstein ones). The same terms are responsible for the acausality of ghost-free,  $f$ - $g$  massive gravity models [21]. These results are consistent with



earlier order-by-order analyses of PM self-interactions [2] that claimed no consistent self-couplings existed beyond (as usual, safe) cubic order [22]. A conformal gravity-inspired PM study reached the same conclusion [4]. The old lesson (first learnt in a charged massive  $s = 3/2$  context [19]) is again at play here: healthy DoF counts alone need not imply physical consistency.

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*Note added.*—An independent confirmation of our PM no-go results has recently been given [26].

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- $$\varepsilon_{\mu}^{\mu_1\mu_2\mu_3}\varepsilon_{\nu m_1m_2m_3}f_{\mu_1}^{m_1}\dots f_{\mu_i}^{m_i}e_{\mu_{i+1}}^{m_{i+1}}\dots e_{\mu_3}^{m_3}.$$
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