

THE CLASSIFICATION OF HYPERFINITE BOREL EQUIVALENCE RELATIONS

par A.S. Kechris

Let X be a standard Borel space and E a Borel equivalence relation on X . We call E hyperfinite if there is a Borel automorphism T of X such that $xEy \Leftrightarrow \exists n \in \mathbb{Z}(T^n x = y)$.

For Borel equivalence relations E, F on X, Y resp. we write

$$E \sqsubseteq F \Leftrightarrow \exists f : X \rightarrow Y (f \text{ Borel, injective with } E = f^{-1}[F])$$

$$E \approx F \Leftrightarrow E \sqsubseteq F \text{ and } F \sqsubseteq E$$

$$E \cong F \Leftrightarrow \exists f : X \rightarrow Y (f \text{ a Borel isomorphism with } E = f^{-1}[F])$$

A Borel equivalence relation E on X is called smooth if there is a Borel map $f : X \rightarrow Y$ (Y some standard Borel space) with $xEy \Leftrightarrow f(x) = f(y)$.

THEOREM 1. (*Dougherty-Jackson-Kechris*). *Let E, F be two non-smooth, hyperfinite Borel equivalence relations. Then $E \approx F$.*

A hyperfinite E is called aperiodic if every E -equivalence class of E is infinite. Given such an E , we denote by $\mathcal{E}(E)$ the space of E -ergodic, invariant probability measures. (A

measure is E -ergodic if every E invariant Borel set is null or conull and E -invariant if it is T -invariant for a Borel automorphism T that induces E - this is independent of T).

THEOREM 2. (*Dougherty-Jackson-Kechris*). *Let E, F be aperiodic, non-smooth hyperfinite Borel equivalence relations. Then*

$$E \cong F \Leftrightarrow \text{card}(\mathcal{E}(E)) = (\text{card}(\mathcal{E}(F))).$$

This has been conjectured by M.G. Nadkarni, who proved first the case when the above cardinality is countable.

It follows that up to Borel isomorphism the only aperiodic, non-smooth hyperfinite Borel equivalence relations are

E_t (on $2^{\mathbb{N}}$, where $x E_t y \Leftrightarrow \exists n \exists m \forall k (x_{n+k} = y_{m+k})$)

$E_0 \times \Delta(n)$ (where E_0 on $2^{\mathbb{N}}$ is given by $x E_0 y \Leftrightarrow \exists n \forall m \geq n (x_m = y_m)$ and $\Delta(n)$ is the equality relation on n elements, for $1 \leq n \leq \aleph_0$)

E_S^* (where E_S^* is the aperiodic part of the equivalence relation induced by the shift on $2^{\mathbb{Z}}$).

The above results will appear in a forthcoming paper by the author entitled : The structure of hyperfinite Borel equivalence relations.

Department of Mathematics
CALTECH
Pasadena
CA 91125 U.S.A.