

## DIMMING AND CO ABSORPTION TOWARD THE AA TAU PROTOPLANETARY DISK: AN INFALLING FLOW CAUSED BY DISK INSTABILITY?

KE ZHANG<sup>1</sup>, NATHAN CROCKETT<sup>2</sup>, COLETTE SALYK<sup>3</sup>, KLAUS PONTOPPIDAN<sup>4</sup>, NEAL J. TURNER<sup>5</sup>,  
JOHN M. CARPENTER<sup>1</sup>, AND GEOFFREY A. BLAKE<sup>2</sup>

<sup>1</sup> Division of Physics, Mathematics & Astronomy, MC 249-17, California Institute of Technology, Pasadena, CA 91125, USA; [kzhang@astro.caltech.edu](mailto:kzhang@astro.caltech.edu)

<sup>2</sup> Division of Geological & Planetary Sciences, MC 150-21, California Institute of Technology, Pasadena, CA 91125, USA

<sup>3</sup> National Optical Astronomy Observatory, 950 North Cherry Avenue, Tucson, AZ 85719, USA

<sup>4</sup> Space Telescope Science Institute, 3700 San Martin Drive, Baltimore, MD 21218, USA

<sup>5</sup> Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA 91109, USA

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### ABSTRACT

AA Tau, a classical T Tauri star in the Taurus cloud, has been the subject of intensive photometric monitoring for more than two decades due to its quasi-cyclic variation in optical brightness. Beginning in 2011, AA Tau showed another peculiar variation—its median optical though near-IR flux dimmed significantly, a drop consistent with a 4-mag increase in visual extinction. It has stayed in the faint state since. Here we present 4.7  $\mu\text{m}$  CO rovibrational spectra of AA Tau over eight epochs, covering an 11 yr time span, that reveal enhanced  $^{12}\text{CO}$  and  $^{13}\text{CO}$  absorption features in the  $J_{\text{low}} \leq 13$  transitions after the dimming. These newly appeared absorptions require molecular gas along the line of sight with  $T \sim 500$  K and a column density of  $\log(N^{^{12}\text{CO}}) \sim 18.5 \text{ cm}^{-2}$ , with line centers that show a constant 6 km s $^{-1}$  redshift. The properties of the molecular gas confirm an origin in the circumstellar material. We suggest that the dimming and absorption are caused by gas and dust lifted to large heights by a magnetic buoyancy instability. This material is now propagating inward, and on reaching the star within a few years will be observed as an accretion outburst.

**Key words:** protoplanetary disks – stars: individual (AA Tau) – stars: pre-main sequence – stars: variables: T Tauri, Herbig Ae/Be

### 1. INTRODUCTION

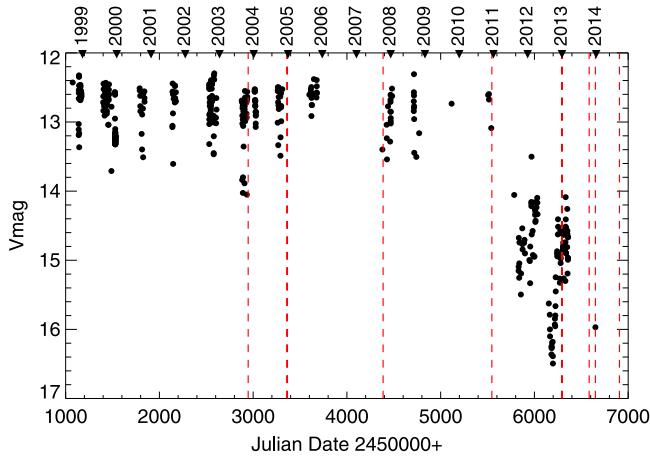
Sun-like stars are born surrounded by disks that regulate the angular momentum redistribution required for accretion. It is also in these disks that planets form. Understanding the angular momentum transport and accretion processes in circumstellar disks is thus critical for our understanding of star and planet formation (Turner et al. 2014). Photometric and spectroscopic monitoring of young stars and their accretion disks is an important way to study the dynamics at play.

The classical T Tauri star AA Tau has provided many clues to the magnetospheric accretion process that channels material from the inner edge of the disk onto the central star (Bouvier et al. 1999). AA Tau shows a quasi-cyclic variation with a period of 8.5 days in its optical photometry with an amplitude of 1.4 mag in the *BVRJ* bands (Bouvier et al. 1999, 2003, 2007; Ménard et al. 2003; Grankin et al. 2007). This peculiar behavior is interpreted as a result of periodic occultations of the star by a non-axisymmetric inner disk warp, perhaps driven by a misalignment between the stellar magnetic dipole and rotation axes (Bouvier et al. 1999, 2003; Terquem & Papaloizou 2000; O’Sullivan et al. 2005; Donati et al. 2010; Esau et al. 2014). Such occultations require that the AA Tau disk has a nearly edge-on ( $i \sim 71\text{--}75^\circ$ ) orientation, which has indeed been seen in optical scattered light images (Cox et al. 2013).

Recently, another peculiar variation was discovered (Bouvier et al. 2013). In 2011, AA Tau dimmed  $\sim 2\text{--}4$  mag in the V-band after maintaining a V-band magnitude between 12.3 and 14.3 mag for more than two decades (1987–2010, Grankin et al. 2007, see Figure 1). Its visual extinction increased by 4 mag based on the *JHK* color changes, and AA Tau has remained in the faint state since (2014 September, current V-

band mag = 14–17, Bouvier et al. 2013, and K. N. Grankin 2015, private communication). Surprisingly, no significant change was found in the mass accretion rate onto the central star by comparing accretion tracers observed before and 1 yr after the dimming started (based on H $\alpha$ , H $\beta$  and [He I] line fluxes, Bouvier et al. 2013). This is in contrast to other observations of disk variability, which are usually accompanied by accretion rate changes (Sitko et al. 2012). Bouvier et al. (2013) concluded that the sudden dimming is due to additional circumstellar extinction along the line of sight and suggested that the extra extinction is possibly produced by a non-axisymmetric overdense region in the outer disk which recently moved into the line of sight via disk Keplerian rotation. Despite the importance of discovering the origin of the enhanced extinction, photometric data alone provide limited insight into the physical and dynamical properties of the extra material along the line of sight, so we turn to spectroscopy to measure gas kinematics and temperature.

Here we present new observational constraints on the origin of the dimming of AA Tau in the form of eight epochs of CO 4.7  $\mu\text{m}$  spectra taken over an 11 yr time span. Significantly enhanced  $^{12}\text{CO}$  and  $^{13}\text{CO}$  absorption components are seen in the spectra taken after the dimming started, at a velocity redshifted with respect to the star. The spectra can be used to measure the properties of the absorbing gas, providing new constraints to the origin of both the dimming and absorption. Indeed, since the optical extinction and CO absorption appear at similar times, and have persisted since, the absorbing gas and obscuring dust most likely arise from the same event(s). We argue that a non-axisymmetric region in the Keplerian disk is unlikely to account for the extra absorption and velocity shift, and suggest that the enhanced extinction and extra absorption may be produced by a disk instability-driven infalling flow.



**Figure 1.**  $V$ -band photometry time series of AA Tau (Bouvier et al. 2013) overlapped with observational dates of CO  $M$ -band spectra (red vertical dash lines). The 2013 December photometry data are from K. N. Grankin & J. Bouvier (2015, private communication).

**Table 1**  
AA Tau Observation Log

Obs. Date (UT)	Spectral Range ( $\mu\text{m}$ )	Int. time (minute)	Instrument	AO <sup>a</sup>
2003-11-03	4.65–4.72, 4.95–5.03	12	NIRSPEC	N
2004-12-27	4.65–4.72, 4.95–5.03	12	NIRSPEC	N
2004-12-30	4.70–4.78, 5.02–5.09	8	NIRSPEC	N
2007-10-12	4.65–4.77	24 <sup>b</sup>	CRIRES	Y
2010-12-15	4.70–4.78, 5.02–5.09	64	NIRSPEC	Y
2012-12-31	4.65–4.72, 4.95–5.03	32	NIRSPEC	Y
2013-01-01	4.70–4.78, 5.02–5.09	24	NIRSPEC	Y
2013-10-19	4.65–4.72, 4.95–5.03	24	NIRSPEC	Y
2013-12-23	4.65–4.72, 4.95–5.03	24	NIRSPEC	Y
2014-09-06	4.65–4.72, 4.95–5.03	47	NIRSPEC	N

#### Note.

<sup>a</sup> Observations with adaptive optics.

<sup>b</sup> The final spectrum combines observations with two partially overlapping spectral settings with 10 and 14 minutes of integration time, respectively.

## 2. OBSERVATIONS

We carried out high resolution spectroscopic observations of AA Tau at eight different epochs between 2003 November and 2014 September. Figure 1 shows the dates of the  $M$ -band observations, overlaid on the  $V$ -band photometry time series of AA Tau over the last decade. A detailed observation log is provided in Table 1. Most of our spectra were taken with NIRSPEC (McLean et al. 1998), a high resolution spectrometer ( $R \sim 25,000$ ) at the Keck II telescope, as part of a large NIRSPEC survey of protoplanetary disks (Blake & Boogert 2004; Salyk et al. 2009), in both the native seeing and adaptive optics (AOs) modes. The  $M$ -band ( $4.7 \mu\text{m}$ ) echelle spectra were observed with a  $0''.43 \times 24''$  slit (native seeing observations) or a  $0''.041 \times 2''.26$  slit (with AO). Two spectral settings were used, covering wavelengths between 4.65 and  $5.15 \mu\text{m}$ , with gaps between orders. The total wavelength range covers a large portion of the  $v = (1-0)$  fundamental rovibrational band of  $^{12}\text{CO}$ , i.e., the first two  $R$ -branch lines (the total angular momentum quantum number of the lower energy state  $J_{\text{low}} = 0, 1$ ) and the low/mid  $P$ -branch ( $J_{\text{low}} = 1-12$  and  $J_{\text{low}} = 30-40$ ), as well as an accretion tracer, the  $\text{H}_2\text{Pf}\beta$  transition. The AA Tau  $M$ -band spectrum of 2007 October is

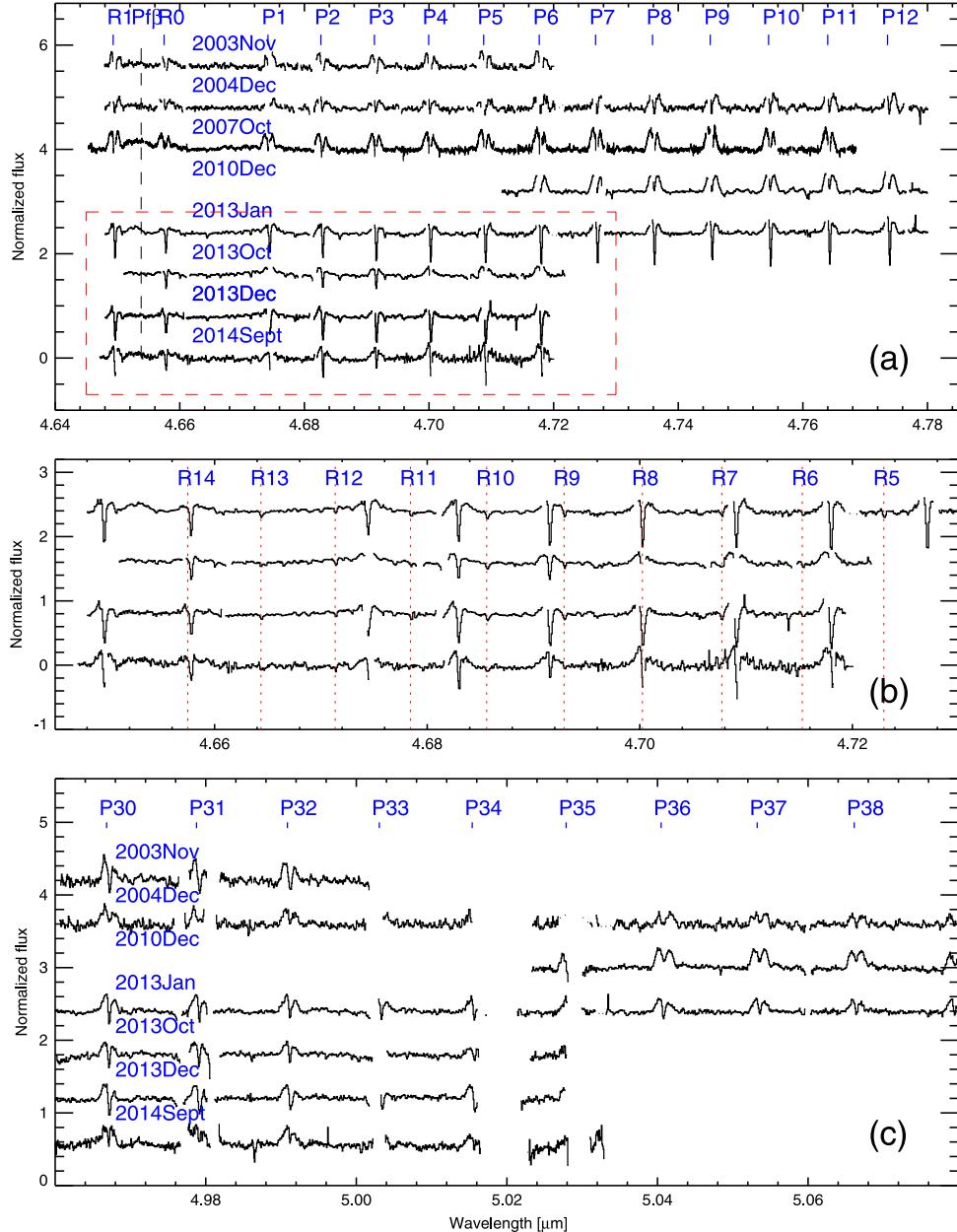
obtained from archival VLT CRIRES data, taken as part of a large survey on protostars and protoplanetary disks (Pontoppidan et al. 2011; Brown et al. 2013). The CRIRES spectrum covers a wavelength range between  $4.65$  and  $4.77 \mu\text{m}$  at a spectral resolution of  $\sim 95,000$ .

Objects were observed in nod pairs, with subsequent pairs subtracted from one another to remove telluric emission features. The differenced images were flat field corrected and then averaged to increase the signal-to-noise ratio. We extracted 1D spectra from the 2D averaged images using the optimal extraction (Horne 1986). The wavelength calibration was derived by fitting a fourth order polynomial to the telluric emission lines within the same echelle order. Telluric absorption features were removed by dividing the AA Tau spectra by that of HR 1620 (A7 spectral type), taken close in time and airmass. To investigate the continuum flux variation with time, we compared the raw photon counts of AA Tau with those of HR 1620 in the continuum around  $4.7 \mu\text{m}$  at different epochs. We found the flux ratio varied less than 30% from the midpoint of all values obtained over the past 11 yr, with no apparent correlation between the continuum flux and CO emission line intensities. We thus assumed the  $4.7 \mu\text{m}$  continuum is constant and flux calibrated the 1D spectra by normalizing the continuum to the interpolated IRAC fluxes between  $3.6$  (352 mJy) and  $4.5 \mu\text{m}$  (332 mJy; Luhman et al. 2006). It is worth noting that we do not expect to detect continuum variability caused by the enhanced extinction, because an  $A_V$  of 2–4 mag produces a flux change of less than 10% at  $4.7 \mu\text{m}$  using a Mathis extinction law (Mathis 1990).

## 3. RESULTS

Figure 2 displays our CO  $M$ -band spectra of AA Tau taken between 2003 November and 2014 September (data obtained within 3 days were consistent and merged into a single spectrum). Readily detectable  $v = (1-0)$  fundamental rovibrational  $^{12}\text{CO}$  emission lines are seen in all eight epochs. The  $^{12}\text{CO}$  emission components appear to be double-peaked and spectrally resolved with an average FWHM of  $\sim 130 \text{ km s}^{-1}$ . The double-peaked line shape is expected for emission from a highly inclined, Keplerian disk. By fitting the CO line profile, Salyk et al. (2011) suggested the molecular emission arises from  $\sim 0.1 \text{ AU}$ . Our AO data show no CO spectro-astrometric signatures along the disk major axis to a  $3\sigma$  limit of  $0.19 \text{ AU}$ , consistent with the previous result. In five of the eight epochs, the lines were asymmetric, with the blue-shifted peak stronger than the red-shifted peak (Figure 3). The line-to-continuum ratio also varied significantly, from 0.15 to 0.38, but there is no apparent link between the emission line variability and the  $V$ -band extinction change.

The most striking discovery is the emergence of deep, low- to moderate- $J$   $^{12}\text{CO}$  ( $J_{\text{low}} \leq 13$ ) and  $^{13}\text{CO}$  absorption below the continuum in spectra taken after the optical dimming (see Figures 2 and 3). The CO absorption components have a FWHM  $\sim 14.2 \text{ km s}^{-1}$ , a value close to the width of the nominal instrumental profile (FWHM  $\sim 12 \text{ km s}^{-1}$ ). The mid- $J$  CO lines between  $4.95$  and  $5.09 \mu\text{m}$  (of lower state excitation energies  $E_{\text{low}} \sim 2000 \text{ K}$ ), however, did not show enhanced absorption after the dimming, suggesting the absorbing gas cannot be hotter than  $\sim 1000 \text{ K}$ . For all the spectra taken before the dimming, the center of the double-peaked emission line profile aligned well with the stellar velocity (heliocentric



**Figure 2.** CO *M*-band spectra of AA Tau before and after the 2011 *V*-band dimming. (a) CO rovibrational spectra between 4.64 and 4.78  $\mu\text{m}$ . The blank regions represent regions with telluric transmission  $<25\%$ , which we have masked out due to high noise levels. The rest wavelengths of the  $^{12}\text{CO}$  transitions are marked as small vertical lines at the top of the panel. The region highlighted by the dashed box is enlarged in panel (b). (b) A close up of the 4.64–4.73  $\mu\text{m}$  region, highlighting the  $^{13}\text{CO}$  absorption lines that appear after 2011. Rest wavelengths of the  $^{13}\text{CO} v = 1-0$  lines are marked with dashed lines. (c) High-*J* CO spectra between 4.95 and 5.09  $\mu\text{m}$ .

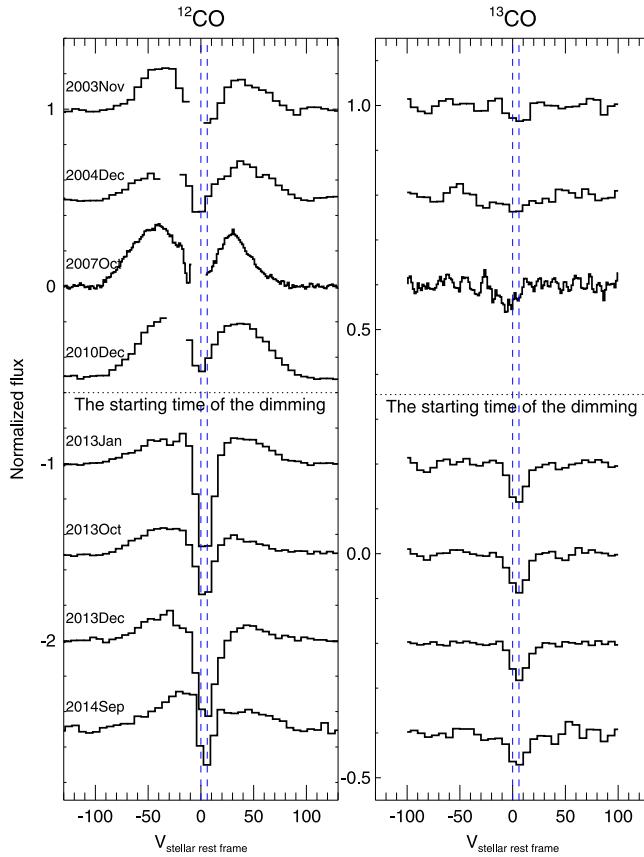
velocity  $V_* = 16.1 \pm 2 \text{ km s}^{-1}$ , Hartmann et al. 1986). In contrast, the absorption line centers from 2011 and after show a clear and constant redshift of  $\sim 6 \pm 1 \text{ km s}^{-1}$ .

Because the goal of this paper is to shed light on the origin of the dimming and absorption toward AA Tau, we focus here on the properties of CO absorption lines. The varying CO emission of AA Tau will be discussed in a separate paper which investigates the variation and asymmetry of *M*-band CO emission in a large sample of disk sources (N. Crockett et al. 2015, in preparation). We have taken additional *L*-band NIRSPEC observations before and after the dimming to search for additional molecules such as water in the disk gas; but the complex photospheric structure present at these wavelengths

means that only very deep absorption lines would be recognized, and no such features have been found.

### 3.1. The Physical Properties of the Absorbing Gas

The line profiles of the absorption components are possibly varying (see Figure 3), but variations in the Earth’s atmosphere can also mimic the line profile change since both the telluric and AA Tau features are marginally resolved, at best. Nevertheless, the line fluxes are less affected and the rotational ladder of CO  $v = (1-0)$  lines provides abundant information on the physical properties of the absorbing gas. Under the assumption of a Boltzmann distribution for the rotational states, the line flux ratios provide a direct constraint to the



**Figure 3.** Time variation in the low- $J$   $^{12}\text{CO}$  and  $^{13}\text{CO}$  line shape(s). The average  $^{12}\text{CO}$  line shape of each epoch combines the  $^{12}\text{CO}$   $v = (1, 0)$ ,  $J_{\text{low}} \leq 12$  lines, that for  $^{13}\text{CO}$  averages the isolated from  $^{13}\text{CO}$  R12 and 13 lines. There is no  $^{13}\text{CO}$  line profile for 2010 December epoch because the spectra do not include the two  $^{13}\text{CO}$  transitions. All of the lines are normalized to the continuum flux. The two vertical dashed lines indicate the locations of stellar velocity and a red shift of  $6 \text{ km s}^{-1}$ . The horizontal dotted lines denote the onset of dimming in 2011.

excitation temperature of the gas. Once the excitation temperature is known, the total column density of the absorbing gas can be derived from the absolute strengths of the absorption components.

This assumption of local thermodynamic equilibrium is reasonable in this case because the CO  $v = 0, J \leq 13$  states are expected to be thermalized due to their moderate critical densities of  $\leq 10^7 \text{ cm}^{-3}$ . Given the lack of constraints on the geometry of the absorbing gas, we make the simplest assumptions, i.e., the gas is isothermal and has a constant number density along the line of sight. Our model thus has three free parameters: the gas temperature  $T$ , the column density  $N$  along the line of sight, and the intrinsic line width  $\sigma$  (needed because the  $^{12}\text{CO}$  and  $^{13}\text{CO}$  absorption lines are largely spectrally unresolved at  $R = 25,000$ ). The line width is an upper limit to the local turbulence velocity since the absorbing gas may exist over a range of radial distances and azimuthal angles. The differential projected radial velocity from gas at different locations can broaden the line profile.

We measured the equivalent width ( $W$ ) of each absorption line as  $W = \int (I_{\text{cont}} - I(v)) / I_{\text{cont}} dv$ . The  $^{12}\text{CO}$  equivalent line widths provide a particular challenge since the absorption is built on top of emission lines. Because our goal is the study of the additional absorption that appears after the dimming, we measured the equivalent widths of the  $^{12}\text{CO}$  absorption from

the difference between spectra, specifically those from 2004 December and 2013 January because they cover the largest wavelength range and thus provide the best constraints on the excitation temperature. Each absorption component in the residual is then fit with a gaussian function rather than directly integrating over the line profile since certain velocities are missing in regions that overlap with saturated atmospheric lines. The gaussian fits are then divided by the continuum flux to calculate the  $^{12}\text{CO}$  equivalent widths, while those for  $^{13}\text{CO}$  were measured directly from continuum subtracted 2013 January spectra.

The theoretical equivalent width of a given transition is calculated using  $I_v = I_{\text{cont}} \exp(-sN_J \phi_v)$ , where  $N_J$  is the CO column density of a rotational state  $J$ ,  $\phi_v$  is the intrinsic line profile, and  $s$  is the integrated cross section ( $\text{cm}^2 \text{ s}^{-1}$ ), or

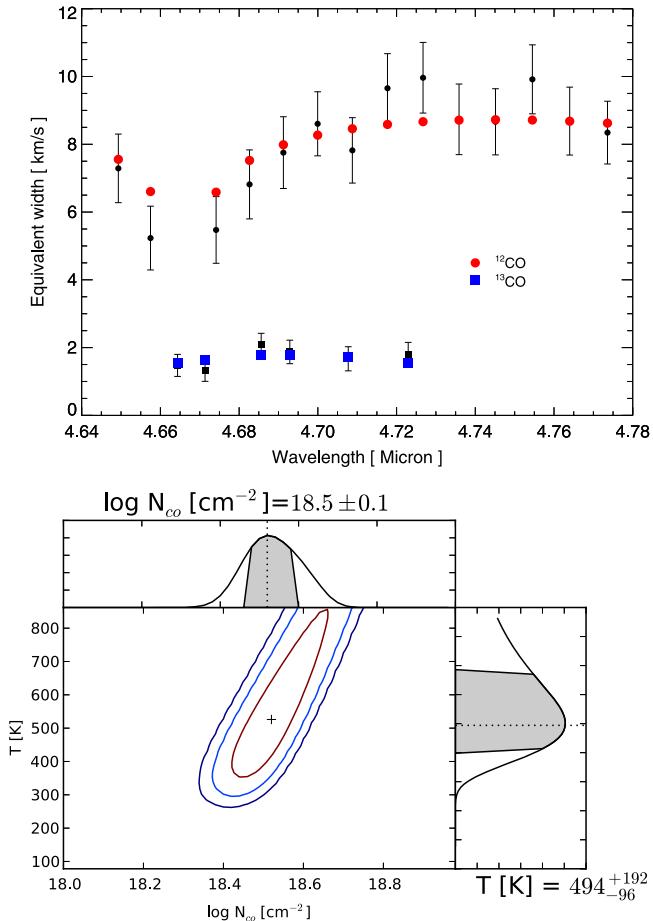
$$s = \frac{A_{\text{ul}} c^2}{8\pi\nu^2} \frac{g_u}{g_l} \left[ 1 - e^{-\frac{\hbar\nu}{kT}} \right]. \quad (1)$$

The spontaneous decay rate,  $A_{\text{ul}}$ , is taken from HITRAN (Rothman 2005), while a Voigt function is used for  $\phi_v$ . The Doppler width of Voigt function,  $\Delta\nu_D = \sqrt{2}\sigma$ , contains  $\sigma$  as the third free parameter. We used an average ISM value of  $^{12}\text{CO}/^{13}\text{CO}$  number ratio of 69 to scale the column densities of  $^{12}\text{CO}$  to those of  $^{13}\text{CO}$  (Wilson 1999).

The fit results are summarized in Figure 4. Our best fit has  $T = 494^{+192}_{-96} \text{ K}$ , with a total column density of  $^{12}\text{CO}$  along the light of sight of  $\log(N^{12}\text{CO}) = 18.5 \pm 0.1 \text{ cm}^{-2}$  and an intrinsic width  $\sigma$  of  $2.2 \pm 0.1 \text{ km s}^{-1}$ . We stress that this result is not very sensitive to the input isotopic ratio; changing the  $^{12}\text{CO}/^{13}\text{CO}$  value from 69 to 30 would result in  $T = 427 \text{ K}$ ,  $\log(N^{12}\text{CO}) = 18.1$ , and  $\sigma = 2.6$ . The best-fit column density decreases in this case because the  $^{12}\text{CO}$  absorption lines are saturated and only the optically thin  $^{13}\text{CO}$  lines provide the main constraints on the column density. A smaller  $^{12}\text{CO}/^{13}\text{CO}$  ratio thus produces a smaller  $N(^{12}\text{CO})$ .

For the remaining epochs, we did not fit the three parameters ( $T$ ,  $N$ , and  $\sigma$ ) simultaneously because the available data often cover a smaller wavelength range, with many absorption lines that are heavily contaminated by saturated atmospheric absorption due to unfavorable Earth-induced Doppler shifts. Since the gas column density is most sensitive to the  $^{13}\text{CO}$  line strengths, we derived the CO gas column density in these epochs by fixing the  $T$  and  $\sigma$  parameters with the best-fit values from 2013 January. In the three 2013 spectra the derived column densities of the absorbing gas vary by  $\leq 30\%$ . For the 2014 September epoch, the column density decreased to  $\log(N^{12}\text{CO}) = 18.2 \pm 0.1 \text{ cm}^{-2}$ , about a half of that in 2013. In the pre-dimming epochs, the 2007 October spectrum provides the most stringent column density upper limits, suggesting  $\log(N^{12}\text{CO}) < 17.5 \text{ cm}^{-2}$  at 99% confidence ( $T$  and  $\sigma$  are fixed). Because the  $^{13}\text{CO}$  lines are optically thin, these column density changes can be directly seen in Figure 3.

The contemporary existence of the absorbing gas and the obscuring dust suggests that they are likely to arise from the same event(s). Assuming the gas and dust are co-spatial, we can derive an average gas-to-dust mass ratio in the absorbing material along the line of sight, by combining the  $^{12}\text{CO}$  column density with the increase in visual extinction associated with the dimming. Assuming a maximum fractional abundance of  $n_{\text{CO}}/n_{\text{H}} = 10^{-4}$  in protoplanetary disks, the total column density of absorbing gas is at least  $3.2 \times 10^{22} \text{ cm}^{-2}$ , resulting in a



**Figure 4.** Top: best model of the equivalent widths of the  $^{12}\text{CO}$  and  $^{13}\text{CO}$  absorption components in 2013 January. Error bars indicate the  $1\sigma$  uncertainties, filled circles  $^{12}\text{CO}$  (black ones are data points and red circles are best model fitting results), filled squares  $^{13}\text{CO}$  (the data points are in black while the model results are in blue). Bottom: probability distribution surface contours of  $T$  and  $N_{^{12}\text{CO}}$ , at 68%, 95%, 99% confidence levels. Marginalized one-dimensional posteriors are shown on each side. The shaded regions depict the 68% confidence areas.

$N_{\text{H}}/A_V \geq 8 \times 10^{21} \text{ cm}^{-2} \text{ mag}^{-1}$ —about a factor of 4 larger than the average ratio measured in nearby molecular clouds (Vuong et al. 2003), suggesting a gas-to-dust ratio of  $\sim 400$  in the absorbing gas. Such an increased gas-to-dust mass ratio is expected if the absorbing gas at large disk heights has experienced significant dust grain growth and/or settling toward the mid-plane. The slope of the spectral energy distribution of AA Tau in (sub)mm wavelength range is consistent with the expectation of significant dust growth (Andrews & Williams 2007).

#### 4. THE ORIGIN OF THE ABSORBING GAS

The fairly sudden emergence of enhanced extinction and absorption indicates that some obscuring material recently appeared or moved into our line of sight. We argue that this new material is unlikely to be a foreground source. First, the absorbing gas is too warm ( $\sim 500$  K) compared to the typical interstellar diffuse cloud excitation temperatures of 10–100 K (Snow & McCall 2006). Second, Bouvier et al. (2013) found that the enhanced extinction was restricted to AA Tau since three field stars nearby (projected distance between  $26''$  and  $53''$ ) did not exhibit any luminosity variation. This projected

distance is much smaller than that of a typical gas clump in molecular clouds at 140 pc. For example, the *Jeans length* of gas with  $T$  of 500 K and  $N_{\text{H}_2}$  of  $10^4 \text{ cm}^{-3}$  is 1.3 pc, i.e., a projected distance of 1915''.

The absorbing gas is also unlikely to be in circumstellar envelope surrounding AA Tau because various evolutionary indicators suggest that AA Tau is a Class II object, i.e., a disk with little or no envelope (Robitaille et al. 2007). Moreover, gas in a circumstellar envelope should be much cooler than 500 K (van Dishoeck et al. 2013).

The final possibility is that the absorbing material lies in the disk. We can place some constraints on its location based on the gas temperature of  $\sim 500$  K. Utilizing existing thermochemical models in the literature that are constructed for classical T Tauri disks similar to AA Tau, we can use this temperature to estimate the vertical and radial location of the absorbing gas. In the vertical direction, due to the high inclination of the disk and the optical depth of the infrared CO transitions observed, the absorbing gas must be high in the disk atmosphere but deep enough that sufficient CO column density is present in order to shield itself from photodissociation by high-energy stellar photons (Visser et al. 2009). The dominant heating sources for this warm molecular layer are X-ray and UV radiation (e.g., Glassgold et al. 2004; Gorti et al. 2011). The X-ray luminosity of AA Tau is  $\sim 10^{30} \text{ erg s}^{-1}$  in quiescence and  $\sim 10^{31} \text{ erg s}^{-1}$  during brief flares (Grosso et al. 2007; Schmitt & Robrade 2007), all within the typical X-ray luminosity of classical T Tauri stars (Feigelson et al. 2005). Najita et al. (2011) computed a thermo-chemical model for classical T Tauri stars with an  $L_X$  of  $10^{30} \text{ erg s}^{-1}$ . Their model shows that CO starts to survive beyond a vertical column density of  $N_{\text{H}} \sim 10^{21} \text{ cm}^{-2}$ . At such columns, a temperature of 500 K requires a radius that is less than 10 AU distant from the central star. Walsh et al. (2010, 2012), for example, used the X-ray, UV continuum, and Ly $\alpha$  spectra of TW Hya in their thermal chemical model, and their calculations predict that the high altitude CO molecular layer inside  $\sim 10$  AU is warmer than 500 K. In summary, the warm temperature of the absorbing gas suggests a location of  $\leq 10$  AU in radius.

We conclude with a discussion of the possible origins of the suddenly enhanced extinction and CO absorptions in the AA Tau disk.

**1. A Non-axisymmetric Overdense Region in the Disk.** Bouvier et al. (2013) suggested that the enhanced extinction is caused by a non-axisymmetric structure that recently moved into our line of sight due to Keplerian rotation. However, we argue that this scenario becomes less likely considering the CO absorption components presented here. Because the  $M$ -band continuum and the CO emission both arise from very small regions ( $R \leq 0.5$  AU), only gas and dust located inside a small solid angle can produce the enhanced extinction and absorption. Therefore, the absorption lines should be centered at the stellar velocity. However, the CO absorption lines display a constant redshift of  $\sim 6 \text{ km s}^{-1}$  over the past 2 yr. This redshift cannot be explained by the uncertainty of the stellar velocity of AA Tau as all previous measurements of the stellar velocity are consistent within  $2 \text{ km s}^{-1}$  (Hartmann et al. 1986 of  $16.1 \pm 2 \text{ km s}^{-1}$ , Bouvier et al. 2003 of  $17.1 \pm 0.9 \text{ km s}^{-1}$ , and Donati et al. 2010 of  $17.2 \pm 0.1 \text{ km s}^{-1}$ ). A highly eccentric orbit might be able to explain the redshift, but the CO emission lines are well centered at the stellar velocity—suggesting at least the disk gas in the CO emitting region is in circular

motion. If the non-axisymmetric structure rotates at Keplerian speeds, its orbital period is then at least 27 yr, the total time span of AA Tau in the bright state and the duration of the dimming. Thus the structure must lie beyond  $\gtrsim 8.4$  AU (assuming  $M_\star = 0.8 M_\odot$ ; Bouvier et al. 1999).

**2. Inward Flow Driven by Disk Instability.** Another possibility is the absorbing material has been newly lifted to large heights due to an instability of the disk's magnetic fields, leading to an accretion outburst involving the inward transport of gas and dust. The buoyant rise of the magnetic fields generated in magneto-rotational turbulence has been demonstrated in stratified isothermal shearing-box magnetohydrodynamic calculations (Miller & Stone 2000) and in calculations including the stabilizing effect of the external starlight heating (Hirose & Turner 2011). In all cases, the magnetic fields dominate the pressure above about two density scale heights. The fields are erratic, with stronger activity coming in bursts, if the magnetic diffusivity is near the threshold for switching off the magneto-rotational dynamo (Turner et al. 2007, Simon et al. 2011).

As such material propagates inward, an accretion outburst can potentially result (Zhu et al. 2010). Episodic accretion outbursts have been observed in some pre-main sequence low-mass stars (e.g., see a review by Audard et al. 2014). Well-known examples include FU Orionis objects (with typical accretion rates during an outburst of  $10^{-4} M_\odot \text{ yr}^{-1}$  and a decay timescale of  $\sim 100$  yr) and EX Lupi objects (smaller but more frequent outbursts with a typical outburst accretion rate of  $10^{-7} M_\odot \text{ yr}^{-1}$ ). Could AA Tau be a pre-FUor/EXor? AA Tau has a quiescent accretion rate between  $10^{-9}$  and  $10^{-8} M_\odot \text{ yr}^{-1}$ , and has shown no significant accretion rate increase since the dimming (Bouvier et al. 2013). Similarly, we did not detect significant changes in the accretion tracer H $\alpha$  Pf $\beta$  around 4.65  $\mu\text{m}$  before and after the absorption appeared. In Section 3.1, we measured a column density in the extra gas component of  $3.2 \times 10^{22} \text{ cm}^{-2}$ . Assuming this gas is present in a wall at 10 AU with a scale height of 1 AU, and that this material will fall onto the star within 3 yr, the accretion rate would rise to  $\sim 1.3 \times 10^{-7} M_\odot \text{ yr}^{-1}$ . Based on the evolutionary stage of AA Tau and our estimate of the accretion rate of the current outburst, we suggest AA Tau is unlikely to be a pre-FUor object but could be a pre-EXor candidate.

There is one additional hint that suggests episodic outbursts have previously occurred in AA Tau, in that Cox et al. (2013) found a chain of Herbig–Haro knots above the AA Tau disk in [SII] images. Such Herbig–Haro objects are usually associated with elevated accretion activity in young stars (Reipurth & Bally 2001). The spacing of Herbig–Haro knots in the AA Tau [SII] images, together with the inferred speed of knots  $>200 \text{ km s}^{-1}$ , suggests a time between ejections of at most a few years, which appears consistent with the smaller and more frequent outbursts in the EX Lupi type.

The observed redshift in the absorption suggests the molecular gas is propagating inward. The magnitude of the speed is, however, puzzling:  $6 \text{ km s}^{-1}$  is too high for a viscous disk but too low for a free-fall velocity. With a central star of  $0.8 M_\odot$ , the free-fall velocity  $\sqrt{2GM_\star/r}$  is  $38 \text{ km s}^{-1}$  at 1 AU. A disk with viscosity  $\nu$  will evolve at radius  $r$  on a timescale of  $t_\nu \sim r^2/\nu$ . We adopt the usual parameterization of the viscosity as  $\nu = \alpha c_s^2/\Omega$  ( $c_s$  is the sound speed,  $\Omega$  the Keplerian angular velocity) and use the  $\alpha \sim 0.1$  found in FU Ori outburst (Zhu et al. 2007) to estimate a viscous disk timescale. The radial

velocity so obtained is  $r/t_\nu \sim \frac{\alpha kT}{\mu m_H} \sqrt{r/GM_\star} = 0.07 \times \frac{\alpha}{0.1500 \text{ K}} \left( \frac{r}{\text{AU}} \right)^{1/2} \left( \frac{M_\star}{0.8 M_\odot} \right)^{-1/2} \text{ km s}^{-1}$ .

The instability driven inward flow scenario is speculative since certain critical disk physical parameters, such as the magnetic field and viscosity, are largely unknown. Monitoring the physical properties of the absorption components and the accretion rate over the next few years will provide crucial constraints on the origin of the optical dimming. With a radial velocity of  $6 \text{ km s}^{-1}$ , matter within 10 AU from the central star ( $T \sim 500$  K) should drive an accretion rate increase over the next few years if the absorption lines are caused by infalling gas. Any subsequent outburst's accretion rate and duration combined would yield the amount of material involved, while the duration of the absorption would suggest a location for the triggering instability. Furthermore, assuming the inflowing material rotates at near-Keplerian velocities, the duration of the absorption constrains the azimuthal extent of the absorber. For example, at a distance of 5 AU, the 3 yr ongoing absorption suggests an angular extent of at least  $90^\circ$ . In short, the dimming and absorption observed in AA Tau may contain important clues into the transport processes in disks.

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