



# EN ROUTE TO DESTRUCTION: THE EVOLUTION IN COMPOSITION OF ICES IN COMET D/2012 S1 (ISON) BETWEEN 1.2 AND 0.34 AU FROM THE SUN AS REVEALED AT INFRARED WAVELENGTHS\*

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## ABSTRACT

We report production rates for H<sub>2</sub>O and eight trace molecules (CO, C<sub>2</sub>H<sub>6</sub>, CH<sub>4</sub>, CH<sub>3</sub>OH, NH<sub>3</sub>, H<sub>2</sub>CO, HCN, C<sub>2</sub>H<sub>2</sub>) in the dynamically new, Sun-grazing Comet C/2012 S1 (ISON), using high-resolution spectroscopy at Keck II and the NASA IRTF on 10 pre-perihelion dates encompassing heliocentric distances  $R_h = 1.21\text{--}0.34$  AU. Measured water production rates spanned two orders of magnitude, consistent with a long-term heliocentric power law  $Q(\text{H}_2\text{O}) \propto R_h^{(-3.1\pm0.1)}$ . Abundance ratios for CO, C<sub>2</sub>H<sub>6</sub>, and CH<sub>4</sub> with respect to H<sub>2</sub>O remained constant with  $R_h$  and below their corresponding mean values measured among a dominant sample of Oort Cloud comets. CH<sub>3</sub>OH was also depleted for  $R_h > 0.5$  AU, but was closer to its mean value for  $R_h \leq 0.5$  AU. The remaining four molecules exhibited higher abundance ratios within 0.5 AU: for  $R_h > 0.8$  AU, NH<sub>3</sub> and C<sub>2</sub>H<sub>2</sub> were consistent with their mean values while H<sub>2</sub>CO and HCN were depleted. For  $R_h < 0.5$  AU, all four were enriched, with NH<sub>3</sub>, H<sub>2</sub>CO, and HCN increasing most. Spatial profiles of gas emission in ISON consistently peaked sunward of the dust continuum, which was asymmetric antisunward and remained singly peaked for all observations. NH<sub>3</sub> within 0.5 AU showed a broad spatial distribution, possibly indicating its release in the coma provided that optical depth effects were unimportant. The column abundance ratio NH<sub>2</sub>/H<sub>2</sub>O at 0.83 AU was close to the “typical” NH/OH from optical wavelengths, but was higher within 0.5 AU. Establishing its production rate and testing its parentage (e.g., NH<sub>3</sub>) require modeling of coma outflow.

**Key words:** astrochemistry – comets: general – comets: individual (C/2012 S1 (ISON)) – molecular processes – Oort Cloud

## 1. COMET D/2012 S1 (ISON): A UNIQUE OBSERVATIONAL OPPORTUNITY

Comets contain the most primitive remnant material from the formation of our solar system. The abundances of their ices encode information pertaining to conditions in the formative epoch, and building a taxonomy of comets based on their volatile composition reflects differences in physical and/or chemical conditions in the nascent environment (Mumma & Charnley 2011; Bockelée-Morvan et al. 2004, and references therein). Taxonomic studies reveal a range of compositions among comets. However, owing to observing circumstances and limited availability of time on large telescopes, for the most part observations of individual comets represent mere snapshots of their activity rather than studies over a range of heliocentric distances ( $R_h$ ). They are also typically conducted at  $R_h$  approaching or often exceeding 1 AU—the ability to conduct measurements in sufficiently bright comets over a

range in  $R_h$  and to small heliocentric distances (particularly within 0.5 AU) is very rare.

One such opportunity serendipitously presented itself with the early discovery of Comet C/2012 S1 (ISON) on 2012 September 21 (at  $R_h = 6.29$  AU) by the International Scientific Optical Network telescope in Russia (Nevski & Novichonok 2012). ISON was soon thereafter recognized as a Sun-grazing comet, with perihelion at distance  $q = 0.0124$  AU on 2013 November 28. Moreover, its original semimajor axis ( $1/a_0 = -1.1 \times 10^{-5}$  AU<sup>-1</sup>; Nakano Note 2587) classified ISON as a dynamically new comet from the Oort Cloud.

The rare occurrence of a Sun-grazing comet entering the inner solar system for the first time since being emplaced in the Oort Cloud afforded the opportunity to search for changes in composition, as its primordial surface was replaced by interior material that (presumably) was better shielded over cosmogenic time. This unique combination (dynamically new and Sun-grazing; Knight & Schleicher 2015)<sup>11</sup> provided compelling incentive for study, with more than a year available to prepare a worldwide ground- and space-based observing campaign for serial measurements of gas and dust, including the observations at the W. M. Keck and NASA Infrared Telescope Facility

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<sup>11</sup> In addition to the introduction of Knight & Schleicher (2015), see the 2013 July blog post, “How Unique Is Comet ISON?,” by M. M. Knight (<http://isoncampaign.org/mmkm/how-unique-is-ISON>).

(IRTF) observatories reported here. All ISON data obtained with NASA-allocated time at Keck and all data from the IRTF were immediately placed in the public domain.

In this paper we use high-resolution spectroscopy of ISON to establish the evolution of pre-perihelion production rates for water (Section 4.1) and production rates and abundance ratios relative to H<sub>2</sub>O for eight trace volatiles (CO, CH<sub>4</sub>, C<sub>2</sub>H<sub>6</sub>, CH<sub>3</sub>OH, H<sub>2</sub>CO, C<sub>2</sub>H<sub>2</sub>, HCN, and NH<sub>3</sub>) to well within 1 AU of the Sun, and we also report column densities for NH<sub>2</sub> and their ratios relative to H<sub>2</sub>O at three heliocentric distances (Section 4.2). We place Comet ISON in taxonomic context and discuss possible implications for the overall composition of its ices and their evolution with decreasing  $R_h$  under conditions of steadily and dramatically increasing solar irradiation. We examine the measured spatial distributions (Section 5) of the gas and dust to assess the nature of release, for example, directly from the nucleus versus from one or more distributed sources in the coma.

## 2. NEAR-IR SPECTRAL OBSERVATIONS OF COMET ISON AT KECK II AND THE NASA IRTF

We report results from long-slit high-resolution ( $\lambda/\Delta\lambda \approx 25,000$ ) spectra of Comet ISON on 10 pre-perihelion UT dates between 2013 October 22 and November 22 ( $R_h = 1.213\text{--}0.344$  AU) and spanning wavelengths 2.83–5.0  $\mu\text{m}$ . We include observations from four dates<sup>12</sup> using the powerful cross-dispersed spectrograph (NIRSPEC; McLean et al. 1998, 2000) at Keck II and from six dates using the Cryogenic Echelle Spectrometer (CSHELL; Tokunaga et al. 1990; Greene et al. 1993) at the IRTF. Additional NASA-allocated NIRSPEC observations on October 26 and 28 and CSHELL observations on November 19 and 20 are reported in a separate paper (Dello Russo et al. 2016; settings from November 19 appropriate to our serial study are included here). Two other scheduled NASA-allocated NIRSPEC dates (November 11 and 12) were lost completely to weather. Of 10 CSHELL dates scheduled pre-perihelion for ISON, three were lost to weather (November 10, 14, and 21), and although intermittent cirrus was present during the November 20 observations, reliable production rates and abundances were obtained (Dello Russo et al. 2016). Studies of water excitation in the coma of Comet ISON on two CSHELL dates included in this study (November 17 and 22) are published (Bonev et al. 2014, hereafter Paper 1). Owing to the complete disruption of Comet ISON near perihelion, scheduled post-perihelion NASA-allocated time (six dates with CSHELL, and three dates with NIRSPEC) was canceled or redirected to other targets.

Table 1 provides a log of the observations and a list of targeted species that are addressed here. On November 16, 17, and 22, intersetting gaps in UT in Table 1 resulted from time dedicated to a deep search for deuterated water (HDO), the results of which are presented separately (Gibb et al. 2016). The paucity of settings on November 18 resulted from the onset of thickening cirrus around 19:00 UT that prevented additional observations for the remainder of that day.

Both NIRSPEC and CSHELL observations used the standard ABBA nod sequence with A and B beams present in the slit, separated by 12 and 15 arcsec, respectively, and placed at symmetric positions with respect to its midpoint (e.g., Figures 1(A)–(C) in DiSanti et al. 2006 illustrate the placement

of beams). The methodology used for processing the spectra is detailed elsewhere (Bonev 2005; DiSanti et al. 2006; Villanueva et al. 2009; Radeva et al. 2010) and so is not repeated, except for aspects pertaining uniquely to the Comet ISON observations addressed here. Instead, we present (in Section 3.1) a new approach we developed to accurately model the spectra for arriving at optimal molecular production rates (as detailed in Appendices A.1 and A.2).

The NIRSPEC observations were conducted during the final 1–1.5 hr of the night, and on all dates active or offset guiding was employed—any small drift of the comet during acquisition of spectra was generally within the atmospheric seeing (typically 0.5–0.9 arcsec). On each date, prior to acquiring the comet an IR flux standard star was observed to establish absolute intensities of cometary continuum and line emissions. The cross-dispersing capability of NIRSPEC permitted sampling multiple trace volatiles simultaneously with H<sub>2</sub>O (Table 1).

The CSHELL observations of Comet ISON were conducted entirely after sunrise, and spectra were obtained until the minimum allowable solar elongation angle at the IRTF (20°) was reached, on November 22.<sup>13</sup> However, the CSHELL CCD guider could not be used; instead, ISON was maintained at or near the nominal beam positions by frequent imaging in the infrared using the CSHELL open (30 × 30 arcsec) aperture—typically every 3–5 minutes based on the cadence of individual ABBA spectral sequences. This allowed recording the comet's drift during acquisition of spectra, repositioning it in the slit at the nominal (A-beam) position, and making small adjustments to the non-sidereal tracking rates as needed to correct for systematic (i.e., repeated directional) drift on the array (e.g., see Paper 1 and DiSanti et al. 2006 for discussions regarding daytime observing of non-sidereal targets with CSHELL). Over the course of an ABBA spectral sequence this drift was at most 2–3 arcsec (but was generally much less) and was predominantly along the slit. This allowed for accurate spatial registration of individual A and B beams during processing of the data, and as a result nearly all spectra obtained are included in our analysis.

Typical daytime seeing varied between ∼0.8 and 1.9 arcsec and was estimated using the point-spread function (PSF) of a field star near the comet immediately prior to its acquisition, and also through spatially extended spectra of IR flux standards observed prior to and/or following the comet observations. On each date, corrections to the telescope focus were implemented throughout the observing period, based on the ambient (i.e., IRTF dome) temperature. Additionally, occasional checks were made on field stars to ensure that optimal focus was maintained.

Because CSHELL provides limited spectral grasp (i.e., spectral coverage within a setting; see Table 1, note c), settings must be chosen judiciously to optimize sampling of molecular emission and thereby to minimize systematic uncertainties. In most cases, trace species were measured simultaneously with water, targeting emissions adjacent in frequency to lines of H<sub>2</sub>O and/or OH prompt emission (OH<sup>\*</sup>), the latter being a proxy for H<sub>2</sub>O in the cometary atmosphere (e.g., see Bonev 2005; Bonev et al. 2006). Rotational temperatures ( $T_{\text{rot}}$ ; see Section 3.2.1) are required to establish accurate molecular

<sup>12</sup> October 22 was University of Hawaii time, October 24 and 25 were NASA time, and November 7 was Caltech time.

<sup>13</sup> On November 22, ISON was nearly due west of the Sun, and as a consequence, to avoid exposure of the primary to direct sunlight, it was necessary to vignette (occult) the telescope primary by an estimated 50% for the first ∼2 hr of observing. This attenuated the signal in the first three settings reported here; accordingly, we have applied a systematic correction (and associated uncertainty; see Bonev et al. 2014 and Gibb et al. 2016).

**Table 1**  
Log of IR Spectral Observations of D/2012 S1 (ISON)

NIRSPEC <sup>a</sup>											
2013 UT Date	Setting ID <sup>b</sup>	$\Delta\nu^c$ (cm <sup>-1</sup> )	$\Gamma^d$ 10 <sup>-18</sup> W m <sup>-2</sup> (cm <sup>-1</sup> ) <sup>-1</sup> /(ADU s <sup>-1</sup> )	UT Start-End	R <sub>h</sub> (AU)	$\Delta$ (AU)	d $\Delta$ /dt (km s <sup>-1</sup> )	Molecule(s) (order) <sup>e</sup>	T <sub>int</sub> <sup>f</sup> [sec]	Slit PA (deg) <sup>g</sup>	
Oct 22	<b>HR1552</b>			11:38–11:45							
		MWA	2161 – 2127	0.6490	15:00–15:11	1.213	1.502	–52.08	CO, H <sub>2</sub> O (16)	360	290
		KL1	3400 – 3353	1.172	15:17–15:57	1.212	1.502	–52.06	H <sub>2</sub> O (26, 27)	1440	290
			3529 – 3482	1.200							
			3400 – 3353	1.172				NH <sub>3</sub> (26)		(290)	
			3009 – 2968	0.8227				C <sub>2</sub> H <sub>6</sub> (23)			
			2878 – 2839	0.8281				CH <sub>3</sub> OH (22, 23)			
			3009 – 2968	0.8227							
Oct 24	<b>HR1552</b>			13:17–13:33							
		MWA	2161 – 2127	0.6490	14:47–14:51	1.168	1.443	–51.70	CO, H <sub>2</sub> O (16)	120	293
		KL1	3400 – 3353	1.137	15:01–15:57	1.168	1.442	–51.65	H <sub>2</sub> O (26, 27)	2160	293
			3529 – 3482	1.218							
			3400 – 3353	1.137				NH <sub>3</sub> (26)		(293)	
			3009 – 2968	0.8000				C <sub>2</sub> H <sub>6</sub> (23)			
			2878 – 2839	0.8040				CH <sub>3</sub> OH (22, 23)			
			3009 – 2968	0.8000							
Oct 25	<b>HR1552</b>			13:10–13:19							
		KL2	3471 – 3419	0.7982	14:29–16:01	1.146	1.413	–51.41	H <sub>2</sub> O (26)	3360	293
			3339 – 3288	0.8000				HCN, C <sub>2</sub> H <sub>2</sub> , NH <sub>3</sub> , OH* (25)		(293)	
			3073 – 3027	0.6496				CH <sub>4</sub> , OH* (23)			
			2807 – 2764	0.5787				H <sub>2</sub> CO, OH* (21)			
Nov 7	<b>HR4386</b>			14:25–14:28							
		KL2	3471 – 3419	1.316	14:37–16:05	0.829	1.056	–42.08	H <sub>2</sub> O (26)	720	256
			3339 – 3288	1.220				HCN, C <sub>2</sub> H <sub>2</sub> , NH <sub>3</sub> , OH*, NH <sub>2</sub> (25)		(294)	
			3205 – 3158	1.086				OH* (24)			
			3073 – 3027	0.9894				CH <sub>4</sub> , OH* (23)			
			2940 – 2896	0.9942				C <sub>2</sub> H <sub>6</sub> (22)			
			2807 – 2764	0.9344				H <sub>2</sub> CO, OH* (21)			

CSHELL <sup>a</sup>											
2013 UT Date	Setting ID <sup>b</sup>	$\nu_{\text{cent}}$ (cm <sup>-1</sup> ) <sup>c</sup>	$\Gamma^d$ 10 <sup>-18</sup> W m <sup>-2</sup> (cm <sup>-1</sup> ) <sup>-1</sup> /(ADU s <sup>-1</sup> )	UT Start-End	R <sub>h</sub> (AU)	$\Delta$ (AU)	d $\Delta$ /dt (km s <sup>-1</sup> )	Molecule(s) <sup>e</sup>	T <sub>int</sub> <sup>f</sup> [sec]	Slit PA (deg) <sup>g</sup>	
Nov 15	<b>H2O_3A</b>	3452.6	61.50	18:35–19:07	0.596	0.896	–23.68	H <sub>2</sub> O	960	270	
		CO_K	2152.9	20.38	20:11–20:28	0.594	0.895	–23.33	CO, H <sub>2</sub> O	360	(291)
		CH4_E	3041.1	38.78	20:56–21:44	0.594	0.895	–23.06	CH <sub>4</sub> , OH*	1440	
		C2H6_A	2984.3	40.13	21:51–22:08	0.592	0.895	–22.90	C <sub>2</sub> H <sub>6</sub> , CH <sub>3</sub> OH	960	
		<b>HR5107</b>			22:28–22:53						
Nov 16	<b>HR4357</b>			15:36–16:03							
		H2O_3A	3452.6	60.15	16:57–17:56	0.566	0.884	–20.58	H <sub>2</sub> O	1200	270
		CO_K	2152.9	17.83	21:36–22:12	0.560	0.882	–19.46	CO, H <sub>2</sub> O	840	(290)
Nov 17	<b>HR4357</b>			16:05–16:38							
		H2O_3A	3452.6	56.47	17:44–18:08	0.533	0.874	–16.71	H <sub>2</sub> O	1440	289
		CO_K	2152.9	18.61	22:27–22:46	0.527	0.872	–15.45	CO, H <sub>2</sub> O	480	(289)
		H2O_3A	3452.6	56.47	22:50–23:34	0.526	0.872	–15.29	H <sub>2</sub> O	1440	
		C2H6_A	2984.3	34.00	23:38–00:04	0.525	0.871	–15.16	C <sub>2</sub> H <sub>6</sub> , CH <sub>3</sub> OH	720	
Nov 18	<b>HR4357</b>			00:20–00:30							
		CH3OH_A	2842.1	28.87	16:28–17:05	0.501	0.866	–12.85	CH <sub>3</sub> OH, OH*	960	270
		H2O_3A	3452.6	60.99	17:09–18:11	0.500	0.865	–12.63	H <sub>2</sub> O	1440	(288)
Nov 19	<b>H2O_3B</b>										
		H2O_3B	3514.5	45.22	17:00–17:20	0.466	0.860	–8.22	H <sub>2</sub> O	480	270
		C2H6_A	2984.3	36.62	17:25–17:40	0.466	0.859	–8.12	C <sub>2</sub> H <sub>6</sub> , CH <sub>3</sub> OH	480	(287)
	<b>H2O_3A</b>										
		H2O_3A	3452.4	61.57	17:43–18:02	0.465	0.859	–7.98	H <sub>2</sub> O	640	

**Table 1**  
(Continued)

CSHELL <sup>a</sup>										
2013 UT Date	Setting ID <sup>b</sup>	$\nu_{\text{cent}}(\text{cm}^{-1})^c$	$\Gamma^d 10^{-18} \text{ W m}^{-2}$ ( $\text{cm}^{-1})^{-1}/(\text{ADU s}^{-1})$	UT Start-End	R <sub>h</sub> (AU)	$\Delta$ (AU)	d $\Delta/dt$ (km s $^{-1}$ )	Molecule(s) <sup>e</sup>	T <sub>int</sub> <sup>f</sup> [sec]	Slit PA (deg) <sup>g</sup>
	HCN_A	3302.6	78.59	18:06–18:58	0.464	0.859	−7.82	HCN, C <sub>2</sub> H <sub>2</sub> , OH, NH <sub>2</sub>	1920	
	H2O_3C	3379.2	50.98	19:05–19:45	0.463	0.859	−7.52	H <sub>2</sub> O, OH, NH <sub>3</sub>	1440	
	H2CO_A	2783.5	34.28	19:55–20:40	0.462	0.859	−7.30	H <sub>2</sub> CO, OH*	1440	
	H2O_5A	2003.9	21.98	22:08–22:54	0.458	0.859	−6.61	H <sub>2</sub> O	1200	
	H2O_3B	3514.5	45.22	23:02–23:30	0.457	0.858	−6.43	H <sub>2</sub> O	320	
	<b>HR5793</b>			22:34–22:59						
Nov 22	C2H6_A	2984.3	41.37	17:17–17:37	0.353	0.860	8.49	C <sub>2</sub> H <sub>6</sub> , CH <sub>3</sub> OH	720	270
	H2O_3A	3452.4	64.85	17:43–18:01	0.353	0.860	8.63	H <sub>2</sub> O	720	(281)
	HCN_A	3302.5	80.38	18:02–18:15	0.352	0.860	8.74	HCN, C <sub>2</sub> H <sub>2</sub> , OH, NH <sub>2</sub>	480	
	H2O_3A	3452.4	64.85	19:25–19:36	0.350	0.860	9.23	H <sub>2</sub> O	480	
	CO_K	2152.9	21.33	22:23–22:36	0.345	0.861	10.36	CO, H <sub>2</sub> O	360	
	H2O_3A	3452.4	64.85	22:42–22:53	0.345	0.861	10.46	H <sub>2</sub> O	480	
	H2O_3C	3379.2	51.49	22:57–23:14	0.344	0.861	10.58	H <sub>2</sub> O, OH, NH <sub>3</sub>	720	
	<b>HR6629</b>			23:32–00:06						

**Notes.**

<sup>a</sup> The slit dimensions for NIRSPEC (CSHELL) were 0.43 (1.0) arcsec width by 24 (30) arcsec length, and the spatial scale was 0.198 (0.20) arcsec pixel $^{-1}$ .

<sup>b</sup> Spectral settings were chosen to optimize the sampling of molecular composition. IR flux standard stars observed on each date are identified in bold and were measured in all settings used for Comet ISON.

<sup>c</sup> Each NIRSPEC setting included multiple echelle orders simultaneously, and  $\Delta\nu$  is the approximate frequency range covered within each order. With CSHELL, the central wavenumber for each setting ( $\nu_{\text{cent}}$ ) is listed, and the spectral range encompassed by each was approximately  $2.3 \times 10^{-3} \times \nu_{\text{cent}}$ .

<sup>d</sup> Flux calibration factor. On all dates we estimate  $\pm 5\%$  uncertainty ( $1\sigma$ ) in  $\Gamma$ .

<sup>e</sup> Encompassed molecules/radicals that are addressed in this paper. For NIRSPEC the echelle order in which emissions occur is also listed.

<sup>f</sup> Total on-source integration time.

<sup>g</sup> The first entry for each UT date is slit position angle on the sky. The second entry (in parentheses) is the PA of the extended heliocentric radius vector (i.e., the projected Sun–comet direction) for ISON. With NIRSPEC the slit PA was along the projected Sun–comet direction on all dates, while with CSHELL it was oriented east–west (PA = 270°) on all dates except November 17, when it was along the Sun–comet direction (PA = 289°; see Paper 1).

production rates—we included on all dates a CSHELL setting (H<sub>2</sub>O\_3A; see Tables 1 and 2) that encompassed multiple strong water lines that together spanned a sufficient range of rotational energies. This setting was also used for the spatially resolved studies of November 17 ( $R_h = 0.53$  AU) and 22 ( $R_h = 0.35$  AU) (Paper 1) and of November 19 ( $R_h = 0.46$  AU; Dello Russo et al. 2016).

### 3. METHODOLOGY FOR ANALYZING SPECTRAL DATA

#### 3.1. Iterative Approach to Establishing Telluric and Cometary Spectral Components

As discussed in Paper 1, the observed spectra encode signatures from two important components: (1) time- and frequency-dependent extinction due to absorbing species in the telluric atmosphere, and (2) emission from gaseous species in the cometary coma. Accurate treatment of the data requires quantifying both components—the first through a modeled transmittance function using the LBLRTM radiative transfer code (Clough et al. 2005) with updated line lists and strengths (Villanueva et al. 2011), the second through application of our line-by-line quantum band models of molecular fluorescent emission. Publications for the molecular fluorescence models used here are cited in Section 3.1 of DiSanti et al. (2014) and Section 3.1 of Paganini et al. (2014). As part of the fitting process, models of both telluric extinction and cometary emission are convolved to the spectral resolving power of the

data. An example and a description of our iterative approach are presented in Appendix A.1.

#### 3.2. Measuring Rotational Temperatures and Molecular Production Rates

Representative examples of our modeled NIRSPEC and CSHELL spectra are shown in Figures 1 and 2, respectively. These demonstrate the dramatic increase in brightness experienced by Comet ISON between 2013 October 22 ( $R_h = 1.2$  AU) and November 22 ( $R_h = 0.35$  AU). For example, the observed 4.65  $\mu\text{m}$  continuum intensity on November 22 (Figure 2(d)) increased by a factor of  $\sim 7$  compared with that on November 15 ( $R_h = 0.60$  AU, Figure 2(b)) owing to the smaller heliocentric distance (Table 1) and therefore higher dust temperature. Despite the lower line-to-continuum contrast on November 22, emission-line intensities were nonetheless much higher than on November 15. This also demonstrates the need for accurate modeling of the telluric transmittance function (spectral component 1 in Section 3.1).

##### 3.2.1. Rotational Temperatures

Obtaining accurate molecular production rates requires knowledge of excitation conditions in the coma. This establishes the fractional strength of each ro-vibrational band encompassed by the lines observed and is expressed through a rotational temperature ( $T_{\text{rot}}$ ). On all dates,  $T_{\text{rot}}$  was measured by comparing the relative intensities of simultaneously observed H<sub>2</sub>O lines after

correcting each for telluric transmittance at its Doppler-shifted frequency. For ISON, the NIRSPEC data lacked sufficient signal-to-noise ratio to permit measuring  $T_{\text{rot}}$  for species other than H<sub>2</sub>O, and CSHELL did not sample a sufficient range in rotational energy with adequate signal-to-noise ratio except in the H<sub>2</sub>O\_3A setting. Consequently, on each date we assumed that the value of  $T_{\text{rot}}$  measured for H<sub>2</sub>O applied to all molecules.

This assumption has been validated in comets in which we measured  $T_{\text{rot}}$  for multiple species (including H<sub>2</sub>O; e.g., C/2007 N3 Lulin; see Gibb et al. 2012), independent of observational circumstances (i.e., heliocentric and geocentric distance). In general, we observe common  $T_{\text{rot}}$  among different species in a given comet, for comets having very different gas production rates.  $T_{\text{rot}}$  primarily depends on local densities and therefore collision rates in the coma—these in turn are most strongly dependent on gas production and are less dependent on geometry. Even for the relatively few cases of differing  $T_{\text{rot}}$  among molecules (e.g., 86 K for H<sub>2</sub>O, and 76 K for HCN in C/2004 Q2 Machholz; Bonev et al. 2009), the values agree within their  $1\sigma$  uncertainties. This also holds for species with greatly differing photodissociation lifetimes. For example, C/2002 T7 (LINEAR) showed  $T_{\text{rot}}$  consistent with 100 K at  $R_h = 0.67\text{--}0.71$  AU, for both H<sub>2</sub>O and H<sub>2</sub>CO (DiSanti et al. 2006; Anderson 2010) even though the lifetimes of these two molecules differ by more than an order of magnitude (see Section 5.2.3, and note c of Table 2). We also find molecular mixing ratios (i.e., abundances relative to H<sub>2</sub>O) to be relatively insensitive to the exact (common) value of  $T_{\text{rot}}$ .

Table 2 lists values of  $T_{\text{rot}}$  used in our analysis, either as measured or adopted (the latter are shown in parentheses). Our values are based on apertures centered on the nucleus (i.e., on the location of peak H<sub>2</sub>O emission) and are thus heavily weighted toward the inner coma. They are consistent with the measured values of  $T_{\text{rot}}$  averaged over this “nucleus-centered” spatial region (see below) from the H<sub>2</sub>O\_3A setting on November 17 and 22 (Paper 1) and on November 19 (Dello Russo et al. 2016).

### 3.2.2. Production Rates

We calculated “nucleus-centered” production rates ( $Q_{\text{nc}}$ ) using our well-established formalism (e.g., see Bonev 2005; Villanueva et al. 2011, and Section 3.2 of DiSanti et al. 2014 for additional details). These are established for each measured line and are based on its flux  $F_{\text{line}}$  ( $\text{W m}^{-2}$ , corrected for telluric transmittance), the molecular photodissociation lifetime ( $\tau_1$ , s), and line  $g$ -factor ( $g_1$ ,  $\text{W molecule}^{-1}$ ) at the measured (or adopted) value of  $T_{\text{rot}}$  (both  $\tau_1$  and  $g_1$  correspond to values at  $R_h = 1$  AU):

$$Q_{\text{nc},\text{line}} = \frac{4\pi\Delta^2}{\tau_1 f(x)} \frac{F_{\text{line}}}{g_1}. \quad (1)$$

In our calculations we adopt a gas outflow speed  $v_{\text{gas}} = 800 R_h^{-0.5} \text{ m s}^{-1}$ , based on velocity-resolved observations of several moderately bright comets at radio wavelengths (Biver et al. 2006, 2011; Agúndez et al. 2014; Cordiner et al. 2014). The parameter  $f(x)$  represents the fraction of all molecules in the coma contained in the beam, assuming release solely from the nucleus and uniform gas outflow in the coma— $f(x)$  was originally formulated for a circular aperture (Yamamoto 1982) and later revised for square pixels (see Appendix in Hoban et al. 1991). This geometric factor includes molecular photodissociation, expressed through a scale length

$\Lambda = v_{\text{gas}}(R_h = 1 \text{ AU}) \times \tau_1 \times R_h^{1.5}$ . We list  $f(x)$  by species in Table 2 for our nucleus-centered beam and refer to this as  $f_x$ \_01, as it enters into the expression for the corresponding column density (also tabulated; see Table 2, note e).

In practice, for each molecule  $Q_{\text{nc}}$  represents the mean of its nucleus-centered production rates, which are proportional to  $F/g$  for each measured individual line—or group of lines within one or more adjacent resolution elements—weighting each by the inverse square of its stochastic error. Converting to total (or global) production rate ( $Q_{\text{tot}}$ ) requires multiplying  $Q_{\text{nc}}$  by a “growth factor” (GF in Table 2), obtained through application of the “Q-curve” formalism to spatial profiles of molecular emission. Figure 3 shows representative emission profiles for Comet ISON (see Appendix A.3 for details of the application).

## 4. PRODUCTION RATES AND MOLECULAR ABUNDANCES IN COMET ISON

### 4.1. Evolution of Water Production in Comet ISON

Figure 4 shows 32 measurements of  $Q_{\text{tot}}$  for water using lines of H<sub>2</sub>O itself (diamonds) or OH prompt emission (OH\*, blue circles), with error bars on all points representing  $\pm 1\sigma$  uncertainties. The NIRSPEC measurements were obtained simultaneously (i.e., within a single setting on each date) and represent signal averaged over 1–1.5 hr of clock time—to improve their visibility, we show the five individual measurements from November 7 separated in time. The CSHELL measurements are temporally distinct as shown, with a typical resolution of 0.5–1 hr of clock time.

Inspection of Figure 4 suggests that the dominant variation in water production in ISON for  $R_h \geq 0.5$  AU (i.e., through November 18) was day-to-day. Most dramatic was a decrease from November 15 to 16 by a factor of approximately 2.5, followed by an approximately twofold increase on November 17. On November 19 and 22, we observed significant variations on timescales of hours. On November 19, we identified three distinct regimes of water production, based on groupings of points in Figure 4, and spanning the following UT intervals (taken from Table 1): (1) UT 17:00–19:45, (2) UT 19:55–20:40, and (3) UT 22:08–23:30. On November 22, we identified two such intervals: (1) UT 17:43–18:01 and (2) UT 19:25–23:14. In Table 2, measurements of H<sub>2</sub>O are grouped with simultaneous (or contemporaneous) measurements of trace volatiles (see Section 4.2).

When combined with coma outflow models, the (seeing-limited) spatial resolution obtained with NIRSPEC and CSHELL meant that most molecules transited the slit aperture and were replaced by newly released material approximately every 10 minutes for the CSHELL “nucleus-centered” beam. As a consequence, our measurements provide excellent temporal resolution for the evolution of activity of Comet ISON. Comparisons with other measurements of water production in ISON are presented in Section 4.1.2.

The spatial coverage of our observations ( $\pm 900\text{--}950$  km from the nucleus for the nucleus-centered aperture) was much shorter than the photodissociation scale length of H<sub>2</sub>O, even on November 22 ( $\sim 1.4 \times 10^4$  km); thus, relatively few molecules of H<sub>2</sub>O (and most other molecules we measure) decayed within the column encompassed by our nucleus-centered field of view assuming their release directly from the nucleus. In contrast, the slit dimensions were comparable to (or somewhat greater

than) the scale lengths of H<sub>2</sub>CO and NH<sub>3</sub>. This is discussed in greater detail in Section 5.2.3.

#### 4.1.1. Heliocentric Fits to Water Production

Fitting the dependence of  $Q(\text{H}_2\text{O})$  on heliocentric distance allows comparison with the canonical “insolation-limited”  $R_{\text{h}}^{-2}$  dependence expected for gas production governed by incident solar flux. Inspection of Figure 4 reveals that between October 22 and November 22,  $Q(\text{H}_2\text{O})$  spanned two orders of magnitude while  $R_{\text{h}}$  decreased by a factor of 3.4; thus, a heliocentric dependence steeper than  $R_{\text{h}}^{-2}$  is evident.

To quantify this, we performed linear least-squares weighted fits to the water measurements. Including all 32 measurements resulted in a heliocentric dependence  $Q(\text{H}_2\text{O}) \propto R_{\text{h}}^{(-3.28 \pm 0.18)}$ , much steeper than the insolation-limited case. We also performed a fit excluding points associated with periods of enhanced activity, one around November 12–14 (Biver et al. 2013; Crovisier et al. 2013; Opitom et al. 2013b; Agúndez et al. 2014) and one around November 19 (Opitom et al. 2013a); we refer to these periods as “Events 1 and 2” (see Section 4.1.2). Accordingly, we omit the three points from November 15, the point from interval 2 and the two points from interval 3 on November 19, and the point from interval 1 on November 22; these seven points are enclosed in rectangular boxes in Figure 4. Our fit to the 25 remaining points, represented by the dot-dashed line, corresponds to  $Q(\text{H}_2\text{O}) \propto R_{\text{h}}^{(-3.10 \pm 0.09)}$ , still much steeper than insolation limited. We take this fit to be our best measure of the long-term heliocentric dependence of water production over the range 1.2–0.34 AU. Our two fits agree within their  $1\sigma$  confidence limits.

#### 4.1.2. Comparisons with Other Measurements of Water Production in Comet ISON

ISON was clearly in the process of shedding substantial amounts of material, particularly within  $R_{\text{h}} \sim 0.7$  AU. This was exemplified by two periods of enhanced water production (i.e., outbursts; following the terminology of Sekanina & Kracht 2014, we refer to these as “Events”). “Event 1” occurred in the range  $R_{\text{h}} = 0.7$ –0.6 AU, shortly prior to our November 15 observations, and the decrease in activity we observed from then to November 16 likely reflected its cessation. The report of lengthening “coma wings” in optical images from November 14 and 16 (Boehnhardt et al. 2013) provided further evidence of the dynamic evolution in activity experienced by Comet ISON around that time.

Our higher values for  $Q(\text{H}_2\text{O})$  observed late on November 19 and early on November 22 bracket another period of increased activity (“Event 2,” around heliocentric distance 0.4 AU). (In addition to the compilation of  $Q(\text{H}_2\text{O})$  by Sekanina and Kracht, an increase in the production rate of sodium [by a factor  $>3$ ] around the time of “Event 2,” between UT November 19.5 and 20.5 [prior to and following our November 19 observations, respectively], was reported from optical spectra [Schmidt et al. 2015].) Substantial variations in activity were also recognized from *Solar and Heliospheric Observatory (SOHO)* observations of the highly extended H Ly $\alpha$  coma, from which H<sub>2</sub>O production rates as high as  $(1.6\text{--}2) \times 10^{30}$  molecules s<sup>-1</sup> were reported for the period November 21–23 (Combi et al. 2014). The SOHO observations are highly complementary to our study: compared with our observations, they provide excellent temporal coverage, however, with lower

temporal resolution (e.g., days) owing to their much larger field of view (i.e., effective beam size). Such a temporal lag relative to the IR measurements is to be expected, as is a higher  $Q(\text{H}_2\text{O})$  measured by *SOHO* if, for example, additional H<sub>2</sub>O was released from icy grains in regions of the coma outside those covered by the CSHELL slit.

In our ensuing discussion of the evolution of molecular abundances with  $Q(\text{H}_2\text{O})$  (Section 4.2.2), we associate the points corresponding to anomalously high water production with “outbursts” that are likely connected with these two events. Similarly, we associate the 25 points used for the heliocentric fit shown in Figure 4 with periods we take to represent the “baseline” (i.e., longer-term) heliocentric evolution in activity.

It is worth comparing our values for  $Q(\text{H}_2\text{O})$  with those reported for Comet ISON by Dello Russo et al. (2016). They present results from UV, optical, and radio, together with IR observations, using NIRSPEC and CSHELL. Of particular interest is that Dello Russo et al. also see an increase in water production after UT  $\sim 20$  hr on November 19, the date in common with the study reported here, and their mean value for  $Q(\text{H}_2\text{O})$  on that date ( $(2.70 \pm 0.30) \times 10^{29}$  s<sup>-1</sup>) is consistent with the mean we find based on the six values listed in Table 2 ( $(2.58 \pm 0.31) \times 10^{29}$  s<sup>-1</sup>). We next present abundance ratios for trace species, noting that it is critical to compare not only production rates of trace molecules but also water production rates (see Section 4.2.3).

#### 4.2. Molecular Abundances in Comet ISON

We determined production rates and abundances relative to H<sub>2</sub>O (i.e., abundance ratios) for eight molecular species over the range in heliocentric distance  $R_{\text{h}} = 1.213$ –0.344 AU: CO, C<sub>2</sub>H<sub>6</sub>, CH<sub>4</sub>, CH<sub>3</sub>OH, NH<sub>3</sub>, H<sub>2</sub>CO, HCN, and C<sub>2</sub>H<sub>2</sub>. We studied the composition of Comet ISON as material was shed and interior regions were exposed to increasingly intense solar irradiation.

Abundance ratios are listed in Table 2, and are shown by molecule in Figure 5, in which (as with the H<sub>2</sub>O measurements in Figure 4) error bars represent  $\pm 1\sigma$  uncertainties; downward-pointing arrows represent  $3\sigma$  upper limits. The dashed horizontal lines represent weighted mean molecular abundance ratios for ISON based on the encompassed values. For molecules with  $3\sigma$  upper limits on multiple dates (CO in Figure 5(a), and NH<sub>3</sub> in Figure 5(d)), dotted horizontal lines and accompanying downward-pointing arrows indicate the combined  $3\sigma$  upper limit.

For all dates, Table 2 lists (in bold) values of  $Q(\text{H}_2\text{O})$  from which abundance ratios of trace molecules (expressed in percent) were determined. Through November 18, molecular abundances are expressed as the ratio of molecular production rates to the *daily mean* of  $Q(\text{H}_2\text{O})$ , listed as the last line of entries in Table 2 for each date. On November 19, species in C<sub>2</sub>H<sub>6</sub>\_A (C<sub>2</sub>H<sub>6</sub> and CH<sub>3</sub>OH), HCN\_A (HCN, C<sub>2</sub>H<sub>2</sub>, and NH<sub>2</sub>), and H<sub>2</sub>O\_3C (NH<sub>3</sub>) were referenced to  $Q(\text{H}_2\text{O})$  in interval 1 (these settings span the UT interval 17:00–19:45), and H<sub>2</sub>CO was referenced to  $Q(\text{H}_2\text{O})$  from OH\* in interval 2 (as co-measured in the H<sub>2</sub>CO\_A setting, spanning UT 19:55–20:40). Interval 3 (UT 22:08–23:30) included only two settings (H<sub>2</sub>O\_5A and H<sub>2</sub>O\_3B), from which we report production rates for H<sub>2</sub>O only.

On November 22, production rates in settings done immediately prior to (C<sub>2</sub>H<sub>6</sub>\_A) and following (HCN\_A) the

first series of H<sub>2</sub>O\_3A spectra were referenced to the value of  $Q(\text{H}_2\text{O})$  from interval 1 (spanning UT 17:43–18:01). Comparison with molecular production rates bracketing this measurement of H<sub>2</sub>O is appropriate, given the dramatic decrease in water production between the time of these settings and the second series of H<sub>2</sub>O\_3A. This decrease was documented in Paper 1, and is borne out through our investigation of HDO in ISON (Gibb et al. 2016). Production rates of trace species in subsequent settings (beginning with CO in the CO\_K setting, and spanning UT 19:25–23:14; see Table 2) were referenced to the mean water production rate observed in interval 2, based on the consistency of  $Q(\text{H}_2\text{O})$  among its four values—those from the second set of H<sub>2</sub>O\_3A, from CO\_K, from the third set of H<sub>2</sub>O\_3A, and from H<sub>2</sub>O\_3C. Accordingly, these comparative water production rates on November 19 and 22, together with simultaneously or contemporaneously measured production rates (and abundance ratios) of trace volatiles, are grouped at appropriate locations in Table 2.

In discussing the evolution in molecular abundance ratios as ISON approached the Sun, we present two different comparisons: (1) their dependence on heliocentric distance and (2) their dependence on total water production. Although related, because of the “outbursts” associated with Events 1 and 2,  $Q(\text{H}_2\text{O})$  did not show a strictly monotonic increase with decreasing  $R_h$ . For this reason, discussing these comparisons separately is instructive.

In Table 2 we also report column densities within the nucleus-centered aperture for all measured species. Known to be a product species, quantifying NH<sub>2</sub> in comets requires modeling the outflow using a Haser and/or vectorial type formalism, and treating it as a parent volatile (on which our formalism for determining production rates is based) is not strictly correct. For this reason, rather than reporting NH<sub>2</sub> production rates on November 7, 19, and 22 ( $R_h = 0.83, 0.46$ , and 0.35 AU; Table 1), we express abundance ratios for NH<sub>2</sub> by comparing its column density with that of simultaneously (or contemporaneously) measured H<sub>2</sub>O (see Table 2, note j).

#### 4.2.1. Evolution of Abundance Ratios with Heliocentric Distance

The first and only apparition of Comet ISON permitted serial measurements of its activity over an unprecedented range of heliocentric distances near and (especially) to well within  $R_h = 1$  AU. The abundance ratios of several molecules were largely independent of  $R_h$ . In particular, CO, C<sub>2</sub>H<sub>6</sub>, and CH<sub>4</sub> (Figures 5(a)–(b)) showed relatively little variation with heliocentric distance. Most values were within  $1\sigma$  (and all were within  $2\sigma$ ) of their respective mean values. The distribution of values for CH<sub>3</sub>OH (Figure 5(c)) showed somewhat more scatter, and its mean abundance within  $R_h = 0.5$  AU was larger than outside 0.5 AU (Table 3), by a factor of  $1.6 \pm 0.2$ . This exceeded stochastic expectations; thus, variability in the abundance ratio of CH<sub>3</sub>OH with (presumed) depth in the nucleus cannot be ruled out.

Within heliocentric distance 0.5 AU, NH<sub>3</sub>, H<sub>2</sub>CO, and HCN showed substantially increased abundance ratios compared to their values at larger  $R_h$  (Figures 5(d)–(f)). NH<sub>3</sub> increased by a factor of at least 4.5, the lower limit to its increase being established by the ratio of its mean abundance from November 19 and 22 (3.51%) to the  $3\sigma$  upper limit obtained by combining values from October 22, 24, and 25 and November 7, spanning  $R_h = 1.21\text{--}0.83$  AU ( $Q(\text{NH}_3)/Q(\text{H}_2\text{O}) < 0.78\%$ ; see Figure 5(d) and Table 3). H<sub>2</sub>CO increased by a factor of

$5.6 \pm 2.1$  on November 19 compared to the mean of its abundances on October 25 and November 7 ( $0.16\% \pm 0.05\%$ ). HCN increased by a factor of  $4.4 \pm 1.0$  compared to its abundance on November 7. The mean of C<sub>2</sub>H<sub>2</sub> abundances on November 19 and 22 ( $0.24\% \pm 0.02\%$ ) was higher than that measured on November 7 (Figure 5(g)); however, this increase (by a factor of  $2.1 \pm 0.8$ ) is less than  $3\sigma$  and therefore, although suggestive, should be considered less secure than those of NH<sub>3</sub>, H<sub>2</sub>CO, and HCN.

The ratios of column densities of NH<sub>2</sub> to those of H<sub>2</sub>O measured simultaneously (on November 7) or contemporaneously (on November 19 and 22) indicate that NH<sub>2</sub>/H<sub>2</sub>O increased from 0.28% at  $R_h = 0.83$  AU to considerably higher values (approaching or exceeding 1%) inside 0.5 AU. The value on November 7 is somewhat lower than the “typical” value for NH/OH measured among comets ( $\sim 0.43\%$ ) based on the optical survey in A’Hearn et al. (1995). The much broader spatial distribution of NH<sub>2</sub> on November 22 compared to November 19 likely contributed to the different column measurements on these two dates. This is discussed further in Section 5.2.

#### 4.2.2. Relation of Abundance Ratios to Measured Water Production Rates

In Figure 5, we show in red measurements obtained during periods of enhanced water production, on November 15 following “Event 1,” and bracketing “Event 2” on November 19 and November 22. We found that overall the abundance ratios of molecules measured in Comet ISON were independent of the total H<sub>2</sub>O production rate. Specifically, CO, C<sub>2</sub>H<sub>6</sub>, CH<sub>4</sub>, and CH<sub>3</sub>OH were measured during periods of both “baseline” and “outburst” activity, and overall their abundance ratios showed no systematic differences in this regard. For example, the abundance for CH<sub>3</sub>OH at 0.46 AU was obtained during “baseline” activity and that at 0.35 AU was obtained during “outburst,” yet these agree to well within their  $1\sigma$  uncertainties (see also Table 2, and Figure 5(c)). Similarly, the abundance ratios measured for HCN (and for C<sub>2</sub>H<sub>2</sub>) on November 19 and 22 were in agreement even though the first measurements (at 0.46) corresponded to “baseline” water production while the second measurements (at 0.35 AU) were referenced to  $Q(\text{H}_2\text{O})$  obtained during a period of “outburst” that was presumably associated with Event 2. The situation for NH<sub>3</sub> is inconclusive since it was measured only during “baseline” periods, on both November 19 and November 22. The comparison for H<sub>2</sub>CO is also inconclusive since it was measured only once inside 0.5 AU (on November 19), at which time  $Q(\text{H}_2\text{O})$  was apparently transitioning from “baseline” to “outburst” regimes.

#### 4.2.3. Comparisons with Other Reported Abundance Measurements in Comet ISON

Our combined  $3\sigma$  upper limit for the CO abundance ratio from October 22 and 24 (2.13%) is consistent with values measured from CSHELL observations on November 15, 16, 17, and 22. It is also consistent with the value reported from *Hubble Space Telescope* observations on October 21 ( $\sim 1.5\%$ ; Weaver et al. 2013) and with the  $3\sigma$  upper limit reported from preliminary analysis of the NIRSPEC observations on October 22 and 24 (2.0%; Mumma et al. 2013).

The reported preliminary value for CH<sub>3</sub>OH from October 22 and 24 ( $1.1\% \pm 0.3\%$ ; Mumma et al. 2013) agrees with our result

from these two dates combined ( $1.4\% \pm 0.5\%$ ). The preliminary value for  $\text{C}_2\text{H}_6$  ( $0.21\% \pm 0.05\%$ ; Mumma et al. 2013) is lower than our corresponding combined value from October 22 and 24 ( $0.45\% \pm 0.09\%$ ), but is consistent (at the  $1\sigma$  level) with our value for  $R_h > 0.5$  AU ( $0.28\% \pm 0.02\%$ ; see Table 3). Our abundances for  $\text{CH}_4$  and HCN, respectively, are consistent with preliminary values, both from October 22 and 25 ( $0.7\% \pm 0.3\%$  and  $<0.1\%$ ,  $3\sigma$ ; Mumma et al. 2013) and from November 7 ( $0.4\% \pm 0.1\%$  and  $0.06\% \pm 0.02\%$ ; Paganini et al. 2013).

Faggi et al. (2015) obtained an upper limit ( $3\sigma$ ) of  $2.5 \times 10^{29} \text{ s}^{-1}$  for  $\text{NH}_3$  production on UT November 26 ( $R_h \approx 0.17$  AU) based on the (1, 1) rotational line at 23.7 GHz, using the Medicina 32 m radio antenna. Extrapolating our heliocentric fit for water production ( $Q(\text{H}_2\text{O}) = 1.9 \times 10^{28} R_h^{-3.1}$ ; Figure 4) to 0.17 AU implies  $Q(\text{H}_2\text{O}) = 4.6 \times 10^{30} \text{ s}^{-1}$ . This suggests an abundance ratio  $Q(\text{NH}_3)/Q(\text{H}_2\text{O}) < 5.5\%$ , consistent with our values for  $\text{NH}_3$  from November 19 and 22 (Tables 2 and 3).

It is instructive to compare the molecular abundance ratios we find on November 19 with those reported by Dello Russo et al. (2016), as this is the only date for which results are presented both here and in that paper. For the most part these agree within their  $1\sigma$  uncertainties, the notable exception being that our value for  $\text{CH}_3\text{OH}$  ( $1.7\% \pm 0.2\%$ ) is substantially larger than that found by Dello Russo et al. ( $1.2\% \pm 0.3\%$ ). However, the Dello Russo et al. value is based on their overall mean  $\text{H}_2\text{O}$  production rate ( $(2.70 \pm 0.30) \times 10^{29} \text{ s}^{-1}$ ), whereas our value uses the mean of the three water measurements spanning interval 1 on November 19 ( $(2.25 \pm 0.08) \times 10^{29} \text{ s}^{-1}$ ; see Table 2 and discussion in Section 4.2). Comparing our methanol production rate with our overall mean  $Q(\text{H}_2\text{O})$  from this date ( $(2.58 \pm 0.31) \times 10^{29} \text{ s}^{-1}$ ) results in an abundance ratio for  $\text{CH}_3\text{OH}$  of  $1.5\% \pm 0.3\%$ , consistent with the Dello Russo et al. value at the  $1\sigma$  level. Furthermore, our abundance ratio for  $\text{CH}_3\text{OH}$  on this date is consistent with those we measured on November 18 (sampling the  $\nu_3$  Q-branch at  $2844 \text{ cm}^{-1}$ ) and 22 (sampling the same feature measured on November 19, peaked near  $2982 \text{ cm}^{-1}$ ). Taking the ratios of our November 19 production rates for  $\text{C}_2\text{H}_6$ , HCN, and  $\text{C}_2\text{H}_2$  (the three other molecules measured within interval 1) to our overall mean  $Q(\text{H}_2\text{O})$  also revises our abundance ratios (Table 2) for these three species downward somewhat, to  $0.29\% \pm 0.05\%$ ,  $0.31\% \pm 0.05\%$ , and  $0.21\% \pm 0.03\%$ , respectively, again consistent with the values reported by Dello Russo et al., and also with the values in Table 2 based on  $Q(\text{H}_2\text{O})$  from interval 1 on November 19.

This overall agreement between our results and those of Dello Russo et al. lends credibility to both analyses, which were conducted independently, and provides an estimate of the systematic uncertainties associated with treating these data. Systematic errors are generally difficult to assess, and the independent approaches used to analyze the November 19 ISON spectra afford one such opportunity. Factors contributing to systematic uncertainties include molecular fluorescence g-factor, terrestrial atmospheric transmittance correction (especially telluric water burden), choice of continuum baseline level underlying and local to (in frequency) superimposed emissions, and absolute flux calibration ( $\Gamma$  in Table 1).

#### 4.2.4. Taxonomy of Parent Volatile Abundances: Placing ISON in Context

As a working hypothesis, it is conventional to classify comets as “organics normal,” “organics depleted,” or “organics

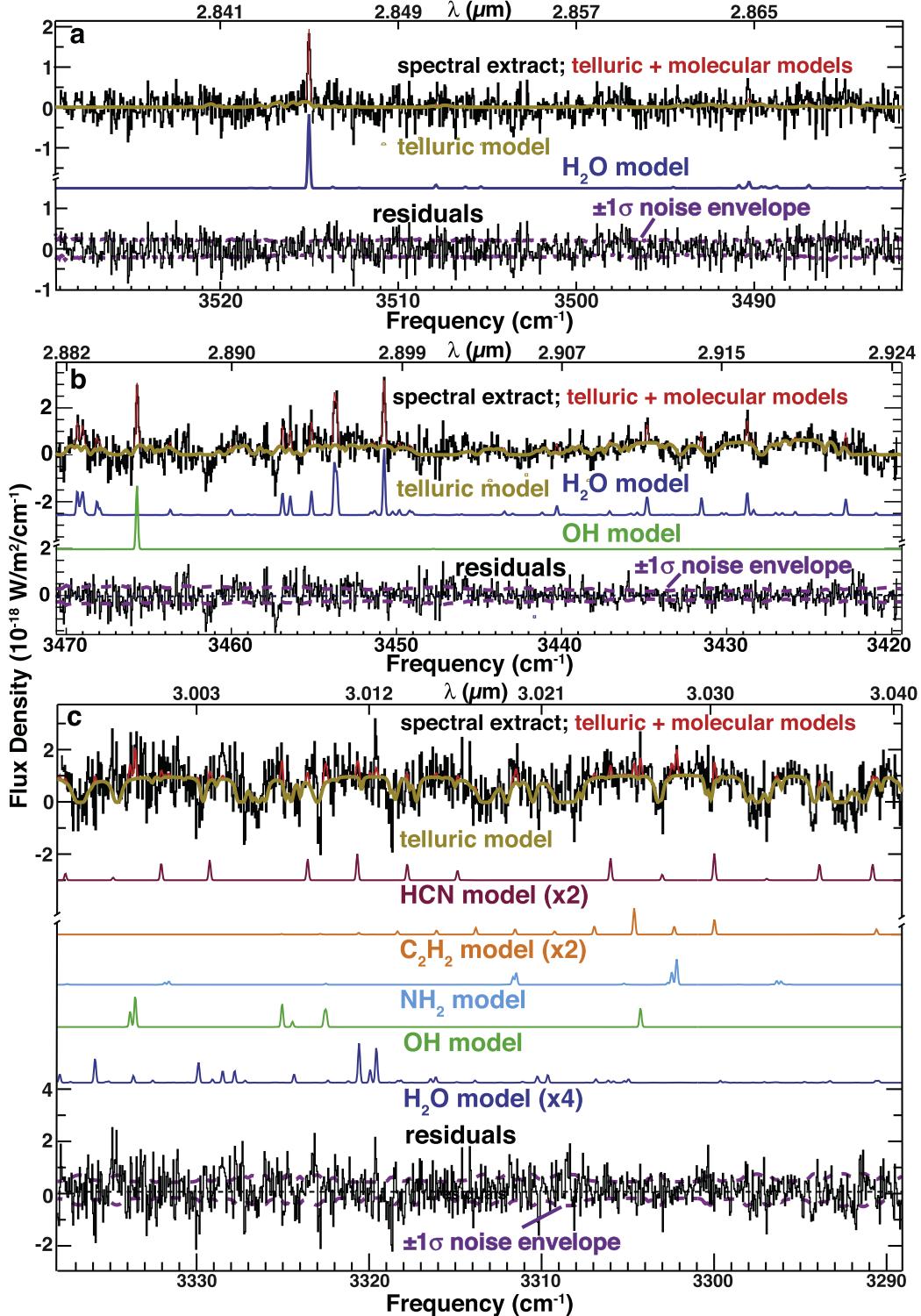
enriched,” based on their abundances of the four organic molecules  $\text{C}_2\text{H}_6$ ,  $\text{CH}_3\text{OH}$ , HCN, and  $\text{C}_2\text{H}_2$  relative to  $\text{H}_2\text{O}$  (e.g., see reviews by Mumma & Charnley 2011; DiSanti & Mumma 2008; Bockelée-Morvan et al. 2004, and references therein). However, these taxonomic classifications depend on existing (i.e., current) mean or median abundances among measured comets and can evolve as the number of comets studied increases. For this reason, it is more meaningful to cite abundance ratios relative to  $\text{H}_2\text{O}$  rather than taxonomic status (depleted, normal, enriched) when comparing results among observers.

Table 3 provides a summary of abundance ratios for Comet ISON and for comparison includes current mean (or for some molecules, median) values that cluster around common values for a fraction of measured comets from the Oort Cloud—for purposes of discussion only, we refer to these as “current normal” values, or “current norms” (see Table 3 note c). The current norms for  $\text{C}_2\text{H}_6$ ,  $\text{CH}_3\text{OH}$ , and HCN are consistent with those quoted previously (i.e., in the review papers cited above); however, that for  $\text{C}_2\text{H}_2$  is lower by a factor of approximately two based on the larger (and continually growing) number of measured comets.

Species having abundance ratios that did not vary significantly with heliocentric distance ( $\text{CO}$ ,  $\text{C}_2\text{H}_6$ , and  $\text{CH}_4$ ; Figures 5(a)–(b)) were depleted in ISON, by factors of two or more compared to their current norms. This was also the case with  $\text{CH}_3\text{OH}$  for  $R_h > 0.5$  AU; however, within 0.5 AU its abundance was closer to “normal.” For  $R_h > 0.5$  AU,  $\text{H}_2\text{CO}$  and HCN were also depleted; however, for  $R_h < 0.5$  AU they were enriched by differing amounts (by factors of  $\sim 3.6$  and  $1.8$  relative to their respective current norms). The  $3\sigma$  upper limit measured for  $\text{NH}_3$  from 1.21 to 0.83 AU was consistent with its current norm, but for  $R_h < 0.5$  AU it was enriched by a factor of 4.7. According to this taxonomic classification, at  $R_h = 0.83$  AU  $\text{C}_2\text{H}_2$  was consistent with its current norm, but was enriched by a factor of  $\sim 2$  within 0.5 AU. We note, however, that according to the review papers cited above the value for  $\text{C}_2\text{H}_2$  at 0.83 AU classifies it as “depleted,” while for  $R_h < 0.5$  AU it is in the “normal” range.

#### 4.3. Comparison with Measurements of Previous Comets at Multiple $R_h$ within 1 AU

Serial measurements of cometary composition exist for a limited number of comets, and even when present for the most part they have been conducted over a restricted range of heliocentric distances exceeding 1 AU. A notable study extending to small  $R_h$  is represented by the observations of C/2002 X5 Kudo-Fujikama at  $R_h = 1.2$ , 0.5, and 0.21 AU, using the IRAM 30 m and Nançay radio telescopes (Biver et al. 2011). Abundance ratios for both HCN and HNC relative to  $\text{H}_2\text{O}$  increased by approximately twofold at 0.21 AU compared to the mean of their respective values at  $R_h = 0.5$  and 1.2 AU, while that of  $\text{CH}_3\text{OH}$  remained constant. The results for Comet ISON reported here stand apart from that, as well as from all previous studies, in that they encompass measurements of many species based on observations from beyond  $R_h = 1$  AU together with daily measurements that differed substantially in heliocentric distance and extended to within  $R_h = 0.35$  AU.

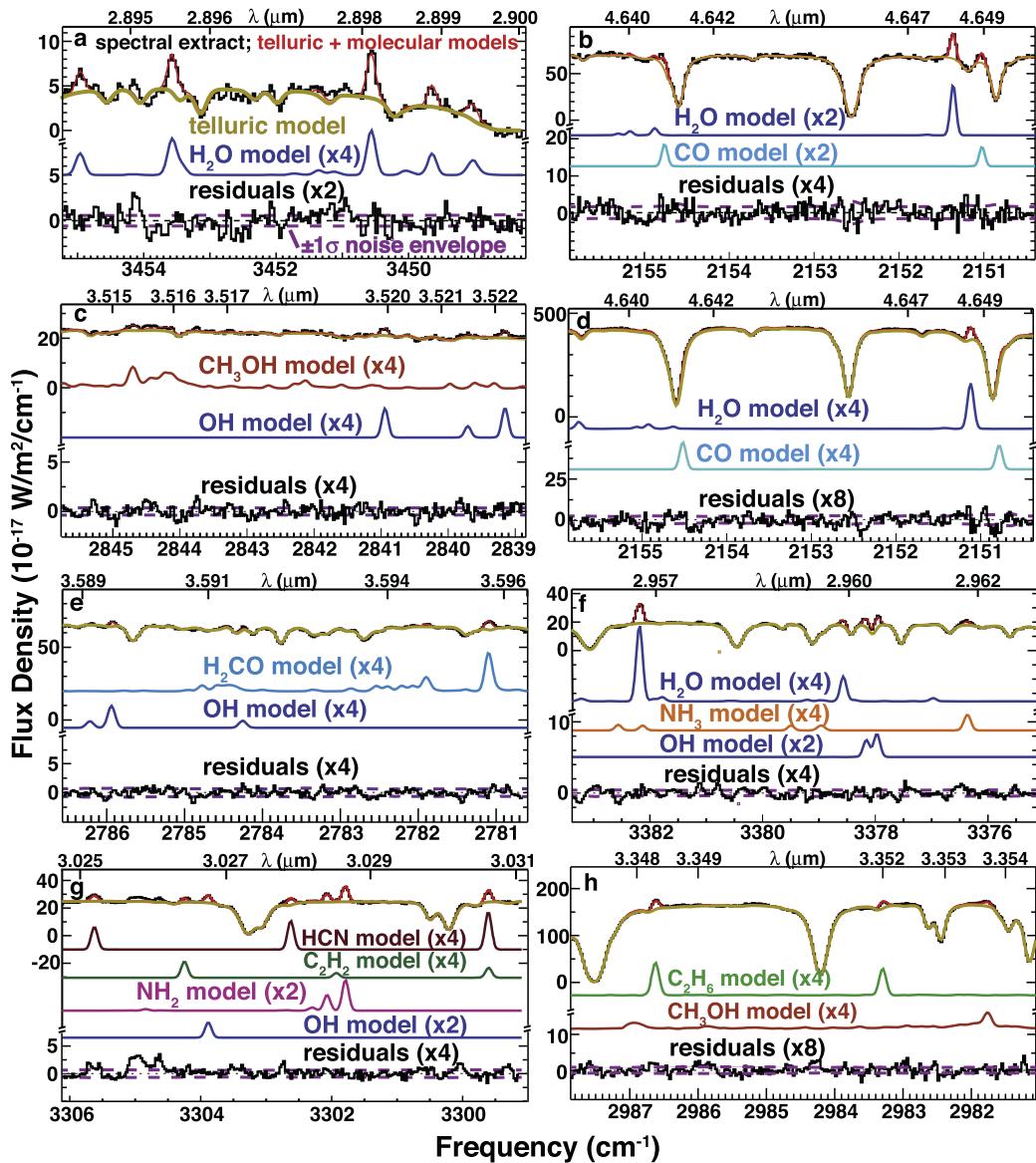


**Figure 1.** Representative spectral extracts (upper black trace in each panel) from NIRSPEC observations of Comet ISON, showing the integrated signal within a  $0.43 \times 1.78$  arcsec aperture centered on the peak emission intensity. UT dates, NIRSPEC settings, and echelle orders are as follows (here, and in Figure 2; see Table 1 for details including on-source integration times): (a) October 22 KL1, order 27. (b) October 22 KL2, order 26. (c) November 7 KL2, order 25. Here and in Figure 2 the red trace represents the sum of telluric (modeled continuum, gold trace) and cometary components (color-coded by molecule), and the bottom trace (labeled “residuals”) represents spectral extract minus the red trace, together with the corresponding  $\pm 1\sigma$  stochastic noise envelope (dashed purple traces). For each panel, the lower axis is wavenumbers and the upper axis is equivalent wavelength.

#### 4.4. Comparisons with Interstellar Ices

It is also interesting to compare molecular abundances in Comet ISON with those observed in ices toward protostellar sources. A reanalysis of observations from the *Spitzer* survey of

about 50 low-mass and 9 high-mass sources resulted in mean abundance ratios relative to  $\text{H}_2\text{O}$  (Gibb et al. 2004; Öberg et al. 2011); these are included in Table 3. These ice abundances are generally larger than the “normal” abundances found among



**Figure 2.** Representative spectral extracts from CSHELL observations of ISON showing the integrated signal within a  $1.0 \times 3.0$  arcsec aperture centered on the peak emission intensity for the following UT dates and settings: (a) November 15, H<sub>2</sub>O\_3A. (b) November 15, CO\_K. (c) November 18, CH<sub>3</sub>OH\_A. (d) November 22, CO\_K. (e) November 19, H<sub>2</sub>CO\_A. (f) November 19, H<sub>2</sub>O\_3C. (g) November 19, HCN\_A. (h) November 22, C<sub>2</sub>H<sub>6</sub>\_A.

comets; however, for some molecules comparable abundances are observed in certain comets, in particular those classified as “organics enriched.” For example, in C/2001 A2 (LINEAR; Magee-Sauer et al. 2008) CH<sub>4</sub> approached the median abundance found toward high-mass sources, HCN was consistent with the median value for X-CN (normally attributed to OCN<sup>-</sup>) toward high-mass sources, and CH<sub>3</sub>OH was consistent with the median value observed toward low-mass sources. The CO abundance ratio in “CO-rich” comets, as shown in Figure 5 of Paganini et al. (2014), is similar to the median among high-mass sources. The median abundance of NH<sub>3</sub> observed toward both low- and high-mass protostellar sources (5%) is well above the current norm found among comets (0.75%) and higher than that observed to date in any comet, although the value we report for ISON inside  $R_h = 0.5$  AU (3.5%) is closest to the interstellar value. Observed differences in molecular abundances in comets (including ISON) versus interstellar ice abundances may

indicate additional processing of ices in the protosolar disk or, for comets captured from a neighboring Oort Cloud (as proposed by Levison et al. 2010), in the disks of stars in the Sun’s birth cluster.

## 5. INTERPRETATION OF SPATIAL DISTRIBUTIONS

### 5.1. Spatial Distributions of Emissions as Diagnostics of Release Mechanisms in Comet ISON

Figure 6 shows additional spatial profiles of emission in Comet ISON. Panels there and in Figure 3 are labeled by CSHELL setting and show co-measured profiles of emitting gaseous species and dust continuum, plus a representative measure of the seeing based on a stellar (focus or standard star) profile measured close in time to the comet observations; this is approximated by the trace labeled “PSF.” All profiles are scaled to a common maximum, based on their intensities integrated over the central  $\pm 1.5$  arcsec. This permits comparison of

**Table 2**  
Molecular Production Rates and Abundances in C/2012 S1 (ISON)

UT Date 2013	T <sub>rot</sub> K <sup>a</sup>	Setting	Telluric H <sub>2</sub> O <sup>b</sup> (pr-mm)	Species	f <sub>x_01</sub> <sup>c</sup>	Q <sub>nc</sub> <sup>d</sup> 10 <sup>26</sup> mol s <sup>-1</sup>	N <sup>e</sup> 10 <sup>14</sup> cm <sup>-2</sup>	GF <sup>f</sup>	Q <sub>tot</sub> <sup>g</sup> 10 <sup>26</sup> mol s <sup>-1</sup>	Q <sub>x</sub> /Q <sub>H<sub>2</sub>O</sub> <sup>h</sup>
NIRSPEC										
Oct 22	52 ± 19	KL1, o27	(5.19)	H <sub>2</sub> O	0.00914	59.8 ± 9.0	6.77 ± 1.01	1.72 ± 0.12	103 ± 17	
	(52)	o26		H <sub>2</sub> O		71.2 ± 11.1	8.06 ± 1.26	(1.72 ± 0.12)	122 ± 21	
	(52)	o26		NH <sub>3</sub>	0.146	<1.96	<0.189	(1.72 ± 0.12)	<3.36	<3.05
	(52)	o23		C <sub>2</sub> H <sub>6</sub>	0.00776	0.329 ± 0.088	0.0373 ± 0.0102	(1.72 ± 0.12)	0.564 ± 0.157	<b>0.512 ± 0.154</b>
	(52)	o22,(o23)		CH <sub>3</sub> OH	0.00850	1.04 ± 0.47	0.117 ± 0.053	(1.72 ± 0.12)	1.78 ± 0.81	<b>1.61 ± 0.75</b>
	(52)	MW, o16	(4.60)	CO	0.00186	<1.65	<0.187	(1.72 ± 0.12)	<2.84	<2.57
Oct 24	(50)	KL1, o27	(4.14)	H <sub>2</sub> O	0.00925	56.0 ± 4.8	6.467 ± 0.560	1.61 ± 0.10	90.4 ± 9.6	
	(50)	o26		H <sub>2</sub> O		61.3 ± 10.2	7.074 ± 1.176	(1.61 ± 0.10)	98.9 ± 17.5	
	(50)	o26		NH <sub>3</sub>	0.148	<1.67	<0.164	(1.61 ± 0.10)	<2.70	<2.93
	(50)	o23		C <sub>2</sub> H <sub>6</sub>	0.00785	0.236 ± 0.059	0.0273 ± 0.0070	(1.61 ± 0.10)	0.381 ± 0.098	<b>0.414 ± 0.107</b>
	(50)	o22,(o23)		CH <sub>3</sub> OH	0.00859	0.695 ± 0.358	0.0804 ± 0.0416	(1.61 ± 0.10)	1.12 ± 0.58	<b>1.22 ± 0.64</b>
	(50)	MW, o16	(5.60)	CO	0.00186	<2.16	<0.249	(1.61 ± 0.10)	<3.49	<3.78
Oct 25  11	(50)	KL2, o26	(2.40)	H <sub>2</sub> O	0.00933	44.4 ± 8.4	5.181 ± 0.978	1.42 ± 0.22	63.0 ± 15.4	
	(50)	o25		HCN	0.0104	<0.0510	<0.00624	(1.42 ± 0.22)	<0.0723	<0.117
	(50)	o25		C <sub>2</sub> H <sub>2</sub>	0.00969	<0.106	<0.0140	(1.42 ± 0.22)	<0.150	<0.242
	(50)	o25		NH <sub>3</sub>	0.149	<0.800	<0.0793	(1.42 ± 0.22)	<1.14	<1.83
	(50)	o23		OH <sup>*</sup>	0.0093	40.3 ± 18.0	4.703 ± 2.114	(1.42 ± 0.22)	57.2 ± 27.0	
	(50)	o23		CH <sub>4</sub>	0.0056	0.100 ± 0.032	0.0115 ± 0.0028	(1.42 ± 0.22)	0.142 ± 0.051	<b>0.224 ± 0.065</b>
	(50)	o21		H <sub>2</sub> CO	0.126	0.121 ± 0.043	0.0094 ± 0.0034	(1.42 ± 0.22)	0.171 ± 0.066	<b>0.276 ± 0.108</b>
				H <sub>2</sub> O		<b>43.7 ± 7.6</b>	5.097 ± 0.888		62.0 ± 14.2	
Nov 7	(70)	KL2, o26	(2.42)	H <sub>2</sub> O	0.0113	202 ± 18	26.82 ± 2.36	1.98 ± 0.30	400 ± 71	
	(70)	o25		HCN	0.0126	0.156 ± 0.032	0.0206 ± 0.0044	(1.98 ± 0.30)	0.308 ± 0.080	<b>0.074 ± 0.016</b>
	(70)	o25		C <sub>2</sub> H <sub>2</sub>	0.0109	0.236 ± 0.085	0.0312 ± 0.0114	(1.98 ± 0.30)	0.466 ± 0.183	<b>0.111 ± 0.040</b>
	(70)	o25		NH <sub>3</sub>	0.176	<1.99	<0.219	(1.98 ± 0.30)	<3.82	<0.939
	(70)	o25		NH <sub>2</sub>	0.0140		0.078 ± 0.015			0.276 ± 0.057 <sup>j</sup>
	(70)	o25		OH <sup>*</sup>	0.0113	201 ± 54	26.63 ± 7.32	(1.98 ± 0.30)	397 ± 123	
	(70)	o24		OH <sup>*</sup>	0.0113	259 ± 50	34.31 ± 6.88	(1.98 ± 0.30)	512 ± 126	
	(70)	o23		OH <sup>*</sup>	0.0113	237 ± 47	31.45 ± 6.25	(1.98 ± 0.30)	469 ± 118	
	(70)	o23		CH <sub>4</sub>	0.00874	0.804 ± 0.091	0.107 ± 0.013	(1.98 ± 0.30)	1.59 ± 0.30	<b>0.380 ± 0.045</b>
	(70)	o22		C <sub>2</sub> H <sub>6</sub>	0.00963	0.561 ± 0.153	0.0748 ± 0.0208	(1.98 ± 0.30)	1.11 ± 0.35	<b>0.265 ± 0.073</b>
	(70)	o21		OH <sup>*</sup>	0.0113	290 ± 75	38.37 ± 10.09	(1.98 ± 0.30)	572 ± 172	
	(70)	o21		H <sub>2</sub> CO	0.148	0.298 ± 0.100	0.0336 ± 0.0113	(1.98 ± 0.30)	0.588 ± 0.216	<b>0.141 ± 0.047</b>
				H <sub>2</sub> O		<b>212 ± 13</b>	<b>28.34 ± 1.98</b>		440 ± 48	
UT Date 2013	T <sub>rot</sub> K <sup>a</sup>	Setting	Telluric H <sub>2</sub> O <sup>b</sup> (pr-mm)	Species	f <sub>x_01</sub> <sup>c</sup>	Q <sub>nc</sub> <sup>d</sup> 10 <sup>26</sup> mol s <sup>-1</sup>	N <sup>e</sup> 10 <sup>14</sup> cm <sup>-2</sup>	GF <sup>f</sup>	Q <sub>tot</sub> <sup>g</sup> 10 <sup>26</sup> mol s <sup>-1</sup>	Q <sub>x</sub> /Q <sub>H<sub>2</sub>O</sub> <sup>h</sup>
CSHELL										
Nov 15	<b>104 ± 2</b>	H <sub>2</sub> O_3A	(6.29)	H <sub>2</sub> O	0.0303	1600 ± 48	105 ± 6	1.59 ± 0.08	2537 ± 198	
	(104)	CO_K	8.11	CO	0.00186	22.0 ± 1.7	1.49 ± 0.14	1.64 ± 0.07 <sup>‡</sup>	36.1 ± 3.2	<b>1.51 ± 0.17</b>
	(104)			H <sub>2</sub> O	0.0304	1330 ± 57	86.8 ± 5.8	1.64 ± 0.07 <sup>‡</sup>	2176 ± 171	
	(104)	CH <sub>4</sub> _E	6.91	CH <sub>4</sub>	0.0184	4.50 ± 0.71	0.30 ± 0.05	1.73 ± 0.20 <sup>‡</sup>	7.76 ± 1.31	<b>0.325 ± 0.059</b>
	(104)			OH <sup>*</sup>	0.0305	1560 ± 117	102 ± 9	1.73 ± 0.20 <sup>‡</sup>	2686 ± 287	

**Table 2**  
(Continued)

UT Date 2013	T <sub>rot</sub> K <sup>a</sup>	Setting	Telluric H <sub>2</sub> O <sup>b</sup> (pr-mm)	Species	f <sub>x_01</sub> <sup>c</sup>	Q <sub>nc</sub> <sup>d</sup> 10 <sup>26</sup> mol s <sup>-1</sup>	N <sup>e</sup> 10 <sup>14</sup> cm <sup>-2</sup>	GF <sup>f</sup>	Q <sub>tot</sub> <sup>g</sup> 10 <sup>26</sup> mol s <sup>-1</sup>	Q <sub>x</sub> /Q <sub>H<sub>2</sub>O</sub> <sup>h</sup>
<b>CSHELL</b>										
	(104)	C2H6_A	7.10	C <sub>2</sub> H <sub>6</sub>	0.0261	5.18 ± 0.20	0.34 ± 0.02	1.60 ± 0.08	8.27 ± 0.51	<b>0.346 ± 0.032</b>
	(104)			CH <sub>3</sub> OH	0.0285	17.1 ± 2.0	1.12 ± 0.14	(1.60 ± 0.08)	27.3 ± 3.5	<b>1.14 ± 0.17</b>
				<b>H<sub>2</sub>O</b>					<b>2390 ± 118</b>	
Nov 16	<b>89 ± 5</b>	H2O_3A	(3.03)	H <sub>2</sub> O	0.0322	628 ± 42	40.5 ± 3.4	1.44 ± 0.08	905 ± 79	
	(89)	CO_K	2.89	CO	0.00200	3.34 ± 0.89	0.22 ± 0.06	2.39 ± 0.22 <sup>‡</sup>	8.26 ± 2.29	<b>0.907 ± 0.265</b>
	(89)			H <sub>2</sub> O	0.0327	386 ± 27	24.7 ± 2.1	2.39 ± 0.22 <sup>‡</sup>	921 ± 107	
				<b>H<sub>2</sub>O</b>					<b>911 ± 72</b>	
Nov 17	<b>107 ± 3</b>	H2O_3A	2.55	H <sub>2</sub> O	0.0347	1360 ± 79	85.3 ± 5.0	1.36 ± 0.04	1827 ± 130	
	(107)	CO_K	2.61	CO	0.00217	12.2 ± 1.6	0.79 ± 0.10	1.84 ± 0.17 <sup>‡</sup>	22.4 ± 3.4	<b>1.34 ± 0.11</b>
	(107)			H <sub>2</sub> O	0.0352	756 ± 56	47.8 ± 3.6	1.84 ± 0.17 <sup>‡</sup>	1394 ± 167	
	105 <sup>+7</sup> / <sub>-6</sub>	H2O_3A	2.81	H <sub>2</sub> O	0.0353	626 ± 55	39.2 ± 3.4	2.69 ± 0.16	1680 ± 177	
	(105)	C2H6_A	2.73	C <sub>2</sub> H <sub>6</sub>	0.0302	2.21 ± 0.17	0.14 ± 0.01	1.89 ± 0.20 <sup>‡</sup>	4.19 ± 0.49	<b>0.251 ± 0.020</b>
	(105)			CH <sub>3</sub> OH	0.0329	8.54 ± 1.38	0.54 ± 0.09	1.89 ± 0.20 <sup>‡</sup>	16.2 ± 3.0	<b>0.969 ± 0.076</b>
				<b>H<sub>2</sub>O</b>					<b>1668 ± 131</b>	
Nov 18	(100)	CH3OH_A	(1.85)	CH <sub>3</sub> OH	0.0350	14.0 ± 1.0	0.86 ± 0.06	1.77 ± 0.09	24.8 ± 2.1	<b>1.54 ± 0.16</b>
	(100)			OH <sup>*</sup>	0.0376	1150 ± 77	71.1 ± 4.7	1.52 ± 0.10	1740 ± 162	
12	<b>100 ± 4</b>	H2O_3A	2.01	H <sub>2</sub> O	0.0376	652 ± 46	40.0 ± 2.8	2.35 ± 0.11	1532 ± 131	
				<b>H<sub>2</sub>O</b>					<b>1615 ± 102</b>	
Nov 19	(112)	H2O_3B	2.33	H <sub>2</sub> O	0.0413	1390 ± 76	82.6 ± 4.5	1.60 ± 0.06	2233 ± 147	
	(112)	C2H6_A	2.34	C <sub>2</sub> H <sub>6</sub>	0.0353	4.81 ± 0.42	0.29 ± 0.03	1.58 ± 0.07 <sup>‡</sup>	7.59 ± 0.75	<b>0.337 ± 0.035</b>
	(112)			CH <sub>3</sub> OH	0.0385	24.7 ± 2.1	1.47 ± 0.13	1.58 ± 0.07 <sup>‡</sup>	39.0 ± 3.8	<b>1.73 ± 0.18</b>
	<b>112 ± 2</b>	H2O_3A	2.40	H <sub>2</sub> O	0.0415	1660 ± 88	98.1 ± 5.2	1.44 ± 0.04	2375 ± 141	
	(112)	HCN_A	2.55	HCN	0.0462	4.24 ± 0.39	0.25 ± 0.02	1.89 ± 0.07	8.01 ± 0.80	<b>0.356 ± 0.037</b>
	(112)			C <sub>2</sub> H <sub>2</sub>	0.0401	2.93 ± 0.24	0.17 ± 0.01	(1.89 ± 0.07)	5.53 ± 0.49	<b>0.246 ± 0.024</b>
	(112)			NH <sub>2</sub>	0.0511		1.09 ± 0.06			<b>1.22 ± 0.08<sup>‡</sup></b>
	(112)	H2O_3C	2.52	H <sub>2</sub> O	0.0417	1440 ± 76	87.7 ± 4.5	1.51 ± 0.02	2175 ± 116	
	(112)			NH <sub>3</sub>	0.4826	43.7 ± 3.1	1.74 ± 0.12	1.84 ± 0.09	80.4 ± 6.8	<b>3.58 ± 0.33</b>
				<b>H<sub>2</sub>O</b>					<b>2249 ± 77</b>	
	(112)	H2CO_A	(1.81)	<b>OH<sup>*</sup></b>	0.0419	1770 ± 292	111 ± 18	1.75 ± 0.12	<b>3098 ± 553</b>	
	(112)			H <sub>2</sub> CO	0.4576	13.5 ± 1.2	0.53 ± 0.05	2.10 ± 0.19	28.2 ± 3.5	<b>0.911 ± 0.198</b>
	(112)	H2O_5A	2.80	H <sub>2</sub> O	0.0416	1880 ± 100	110 ± 6	2.15 ± 0.06	4042 ± 247	
	(112)	H2O_3B	(2.72)	H <sub>2</sub> O	0.0415	1860 ± 98	113 ± 6	2.46 ± 0.04	4573 ± 251	
				<b>H<sub>2</sub>O</b>					<b>4303 ± 310</b>	
Nov 22	(138)	C2H6_A <sup>i</sup>	1.86	C <sub>2</sub> H <sub>6</sub>	0.0524	11.5 ± 1.3	0.54 ± 0.03	1.96 ± 0.15	22.5 ± 3.1	<b>0.227 ± 0.024</b>
	(138)			CH <sub>3</sub> OH	0.0573	63.6 ± 7.9	2.48 ± 0.18	2.62 ± 0.39	167 ± 32	<b>1.68 ± 0.29</b>
	<b>138 ± 5</b>	H2O_3A <sup>i</sup>	2.06	<b>H<sub>2</sub>O</b>	0.0401	6530 ± 296	<b>486 ± 33</b>	1.52 ± 0.02	<b>9920 ± 450</b>	

**Table 2**  
(Continued)

UT Date 2013	T <sub>rot</sub> K <sup>a</sup>	Setting	Telluric H <sub>2</sub> O <sup>b</sup> (pr-mm)	Species	f <sub>x</sub> _01 <sup>c</sup>	Q <sub>nc</sub> <sup>d</sup> 10 <sup>26</sup> mol s <sup>-1</sup>	N <sup>e</sup> 10 <sup>14</sup> cm <sup>-2</sup>	GF <sup>f</sup>	Q <sub>tot</sub> <sup>g</sup> 10 <sup>26</sup> mol s <sup>-1</sup>	Q <sub>x</sub> /Q <sub>H<sub>2</sub>O</sub> <sup>h</sup>
<b>CSHELL</b>										
	(138)	HCN_A <sup>i</sup>	1.91	HCN	0.0685	17.3 ± 2.0	0.86 ± 0.05	1.74 ± 0.08	30.2 ± 3.7	<b>0.304 ± 0.026</b>
	(138)			C <sub>2</sub> H <sub>2</sub>	0.0597	13.0 ± 1.7	1.08 ± 0.09	(1.74 ± 0.08)	22.6 ± 3.1	<b>0.228 ± 0.024</b>
	(138)			NH <sub>2</sub>	0.0754		3.71 ± 0.22			<b>0.763 ± 0.072<sup>j</sup></b>
	<b>134 ± 2</b>	H <sub>2</sub> O_3A	1.80	H <sub>2</sub> O	0.0625	1990 ± 186	102 ± 10	1.94 ± 0.13	3860 ± 440	
	(130)	CO_K	2.31	H <sub>2</sub> O	0.0639	1680 ± 99	84 ± 5	2.76 ± 0.15	4617 ± 368	
	(130)			CO	0.00404	41.4 ± 7.7	2.21 ± 0.41	1.82 ± 0.13	75.2 ± 14.9	<b>1.81 ± 0.37</b>
	<b>125<sup>+9/-7</sup></b>	H <sub>2</sub> O_3A	2.19	H <sub>2</sub> O	0.0639	2280 ± 141	116 ± 7	1.76 ± 0.13	4010 ± 380	
	(125)	H <sub>2</sub> O_3C	2.05	H <sub>2</sub> O	0.0642	1590 ± 154	80.2 ± 7.8	2.52 ± 0.05	4014 ± 397	
	(125)			NH <sub>3</sub>	0.6264	56.7 ± 3.7	1.18 ± 0.11	3.36 ± 0.64	152 ± 32	<b>3.22 ± 0.70</b>
				<b>H<sub>2</sub>O</b>					<b>4154 ± 197</b>	

**Notes.**

<sup>a</sup> Rotational temperature, based on relative intensities among H<sub>2</sub>O lines in “nucleus-centered” extract, as defined in note c. Parentheses denote adopted values. All uncertainties in this table represent 1 $\sigma$ , and upper limits represent 3 $\sigma$ .

<sup>b</sup> Atmospheric burden of precipitable water vapor (pwv, in mm of H<sub>2</sub>O) used to model the telluric transmittance function, obtained by fitting cometary spectra as discussed in Section 3.1 and Appendix A.1, or (in parentheses) as estimated from CSO tau plots (Section A.2). For NIRSPEC dates, the very weak continuum prevented determining pwv from the comet spectra; thus, values were adopted from accompanying flux standard star spectra, using the CSO tau plots as a guide in estimating values appropriate to the ISON observations.

<sup>c</sup> Fraction f(x) of molecules in the coma included in the nucleus-centered aperture, which was 3 (spectral) × 9 (spatial) pixels (0.43 × 1.78 arcsec) for NIRSPEC, and 5 × 15 pixels (1 × 3 arcsec) for CSHELL (see Section 3.2). The factor f<sub>x</sub>\_01 depends on geometric parameters ( $R_h$ ,  $\Delta$ ) and is based on the following photodissociation lifetimes,  $\tau_1(s)$ : H<sub>2</sub>O,  $7.7 \times 10^4$ ; CO,  $1.3 \times 10^6$ ; C<sub>2</sub>H<sub>6</sub>,  $9.1 \times 10^4$ ; CH<sub>3</sub>OH,  $8.3 \times 10^4$ ; HCN,  $6.9 \times 10^4$ ; C<sub>2</sub>H<sub>2</sub>,  $8.0 \times 10^4$ ; CH<sub>4</sub>,  $1.3 \times 10^5$ ; H<sub>2</sub>CO,  $5.0 \times 10^3$ ; NH<sub>3</sub>,  $4.1 \times 10^3$ ; NH<sub>2</sub>,  $6.2 \times 10^4$ .

<sup>d</sup> Nucleus-centered production rate (see Section 3.2.2), which includes ±5% uncertainty in  $\Gamma$  (see Table 1, note d), here and in the corresponding column density (note e).

<sup>e</sup> Column density of emitting species in the nucleus-centered aperture. This is related to nucleus-centered production rate (Q<sub>nc</sub>) and other parameters as  $N = (Q_{nc})(\tau_1)(f_x_01)[R_h(\text{AU})]^2/A_{nc}$ , where  $A_{nc}(\text{cm}^2)$  is the area of the nucleus-centered aperture subtended at the comet ( $A_{nc} \approx 4.05 \times 10^{15} [\Delta(\text{AU})]^2$  for NIRSPEC, and  $1.58 \times 10^{16} [\Delta(\text{AU})]^2$  for CSHELL). In this expression for  $N$ , the numerator is the equivalent column abundance, or the number of molecules (or radicals) contained in the nucleus-centered aperture.

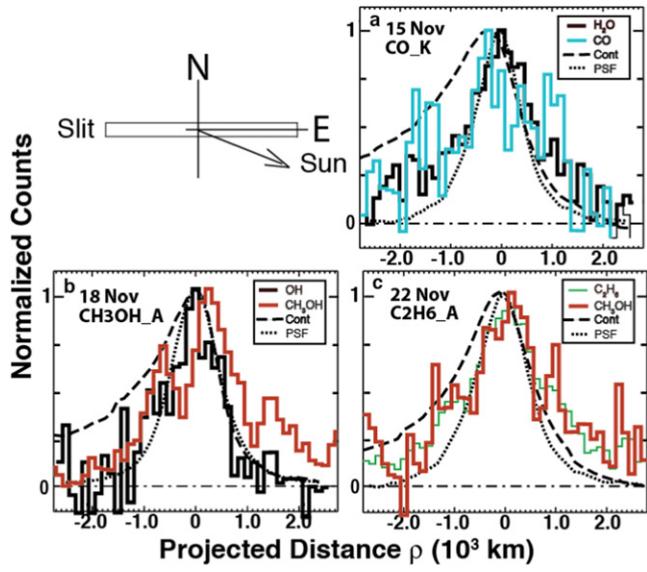
<sup>f</sup> Slit loss factor, used to convert Q<sub>nc</sub> to total (i.e., global) production rate (Q<sub>tot</sub>; see note g). Entries in parentheses are assumed and are based on GF measured for one or more simultaneously observed emissions having sufficiently high signal-to-noise ratio. Entries with the superscript ‡ the mean GF is used, based on species co-measured in the same setting and having GFs within 1 $\sigma$  of each other.

<sup>g</sup> Total production rate. All uncertainties in Q<sub>tot</sub> incorporate those in GF. Values for H<sub>2</sub>O shown in bold are comparatives for establishing abundance ratios of trace molecules, as discussed in Section 4.2.

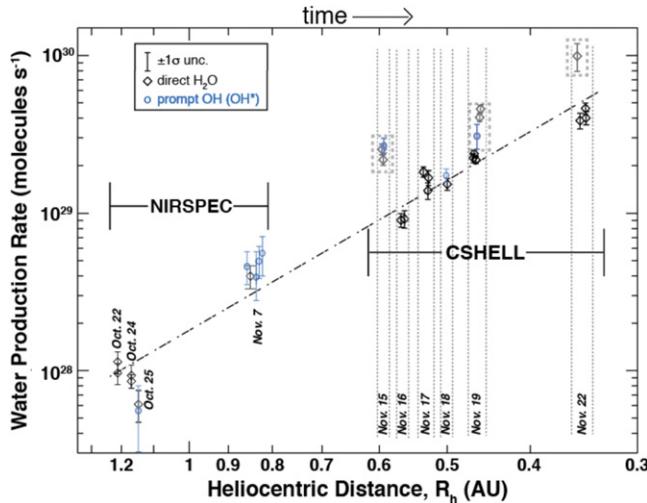
<sup>h</sup> Molecular abundance relative to H<sub>2</sub>O = 100. For NIRSPEC dates (October 22, 24, 25, and November 7) the GF measured for H<sub>2</sub>O was assumed to apply to all measured species, so molecular abundances are represented by ratios of Q<sub>nc</sub> to that of water. Abundances for all molecules, and comparative Q(H<sub>2</sub>O) for each date (through November 18) or UT interval (for November 19 and 22), are shown in bold.

<sup>i</sup> These three settings (C<sub>2</sub>H<sub>6</sub>\_A, H<sub>2</sub>O\_3A, and HCN\_A) on November 22 were affected similarly by vignetting of the beam; this plus ±10% uncertainty are included in tabulated column densities, and also in nucleus-centered and total production rates for C<sub>2</sub>H<sub>6</sub>, CH<sub>3</sub>OH, H<sub>2</sub>O, HCN, and C<sub>2</sub>H<sub>2</sub> from these settings, to account for uncertainty in the degree of vignetting. Abundance ratios for C<sub>2</sub>H<sub>6</sub>, CH<sub>3</sub>OH, HCN, and C<sub>2</sub>H<sub>2</sub> were based on Q(H<sub>2</sub>O) from the first H<sub>2</sub>O\_3A measurement, but do not include ±10% uncertainty because differences in vignetting among these settings are assumed to be negligible since they were done over a short time period.

<sup>j</sup> Values for NH<sub>2</sub> on November 7, 19, and 22 are based on column densities relative to those of H<sub>2</sub>O in the equivalent nucleus-centered aperture, with both column abundances for H<sub>2</sub>O and abundances for NH<sub>2</sub> shown in **bold italics**. Establishing robust production rates requires modeling NH<sub>2</sub> as a product species (see Section 5.2).

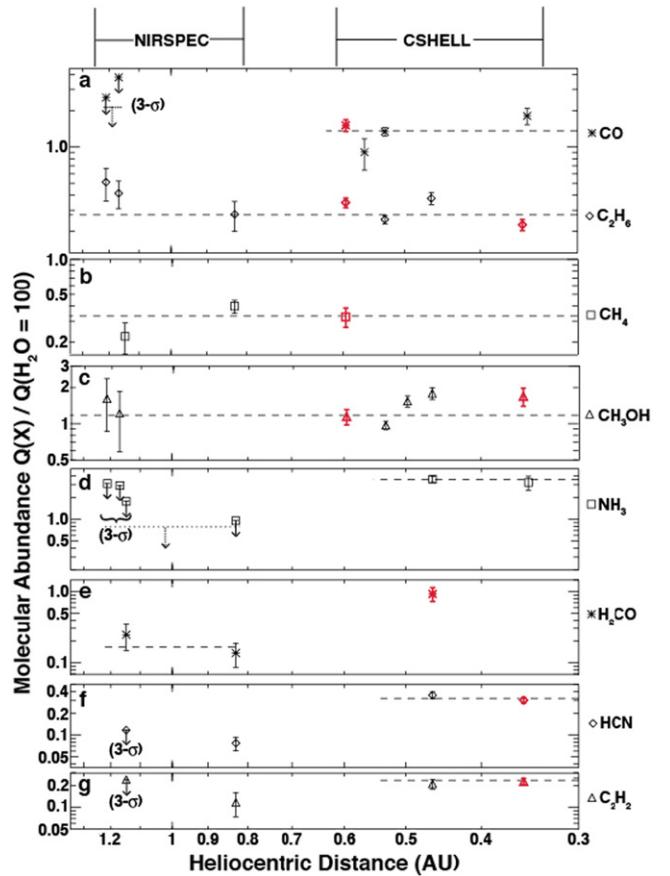


**Figure 3.** Spatial profiles of emission from simultaneously measured gas and dust continuum in several CSHELL settings. These are used to obtain total production rates and also to assess the nature of volatile release (see Section 3.2.2 and Appendix A.3). The schematic compass in each panel shows the east–west slit orientation and the sunward direction projected on the sky plane. The solar phase angle of ISON remained near  $90^\circ$  from November 15 and 22 (see Section 5.1); thus, the true sunward direction was nearly in the sky plane.



**Figure 4.** Evolution of water production in Comet ISON spanning 2013 October 22 ( $R_h = 1.213$  AU) through November 22 ( $R_h = 0.344$  AU). The NIRSPEC results were obtained simultaneously on each date; the points on November 7 are separated horizontally only to visualize them more clearly. Of the 32 measurements, 7 (in dashed boxes) were associated with periods of enhanced water production (i.e., with “outbursts”). A heliocentric fit to the remaining 25 points (dot-dashed line) results in a power law ( $Q(H_2O) = (1.89 \pm 0.11) \times 10^{28} R_h^{(-3.10 \pm 0.09)}$  molecules  $s^{-1}$ ).

outflow among volatiles and the dust continuum and allows identification of outflow asymmetries in the coma. These figures show that the dust continuum profile was consistently *asymmetric* (i.e., enhanced) in the antisunward-facing hemisphere (to the left in each panel), and its peak was generally displaced by 1–2 pixels in that direction relative to the co-measured gas.



**Figure 5.** Evolution of molecular abundance ratios relative to  $H_2O$  in Comet ISON. Points shown in red were measured during periods of enhanced gas production (i.e., during “outburst”). No discernible dependence of composition on “baseline” vs. “outburst” activity is seen (see Section 4.2.2).

Despite a much stronger thermal signature at smaller  $R_h$ , the continuum consistently showed a single peak in the CSHELL observations. We see no evidence for splitting of the nucleus into two or more similar-sized fragment nuclei over the course of the observations, based on the consistent single-peaked continuum profiles (also see Section 6.1 of Paper 1). Typical separation velocities of  $\sim 2\text{ m s}^{-1}$  are reported for binary fragments of split comets within  $R_h = 1$  AU (Boehnhardt 2004). If ISON underwent such splitting, dual peaks would become evident within 2–3 days, unless oriented along our line of sight. Even for this case, for example, global splitting of the nucleus associated with “Event 1,” it seems unlikely that this orientation would be retained over the period encompassed by the CSHELL observations (approximately 1 week). Such global splitting appears unlikely particularly given the consistently large solar phase angle throughout the period November 15–22, which varied from  $80^\circ$  to  $101^\circ$ ; therefore, both the Sun–comet direction and the orbital vector of Comet ISON remained close to the plane of the slit (i.e., to the sky plane). However, given the subsequent complete disruption of ISON near perihelion, the onset of global splitting toward the end of our observing period cannot be ruled out, nor can the ejection from the nucleus of icy chunks of various sizes (see Combi et al. 2014) that were too small to be detected by our observations.

**Table 3**  
Abundances in ISON Compared to Other Comets and Interstellar Sources

Source <sup>a</sup>	CO	C <sub>2</sub> H <sub>6</sub>	CH <sub>4</sub>	CH <sub>3</sub> OH	NH <sub>3</sub>	H <sub>2</sub> CO	HCN	C <sub>2</sub> H <sub>2</sub>
<b>ISON<sup>b</sup></b>								
R <sub>h</sub> > 0.5 AU <sup>b</sup>	1.3 ± 0.1	0.28 ± 0.02	0.33 ± 0.03	1.1 ± 0.1	<0.78	0.16 ± 0.05	0.07 ± 0.02	0.11 ± 0.04
R <sub>h</sub> < 0.5 AU <sup>b</sup>	1.8 ± 0.4	0.26 ± 0.02		1.7 ± 0.2	<b>3.5 ± 0.3</b>	<b>0.91 ± 0.20</b>	<b>0.32 ± 0.02</b>	<b>0.24 ± 0.02</b>
“Normal” <sup>c</sup>	{4.0}	0.62 ± 0.02	{0.87}	2.2 ± 0.2	0.75 ± 0.23	{0.25}	0.18 ± 0.03	0.11 ± 0.02
73P/W3-C <sup>d</sup>	0.50 ± 0.13 <sup>e</sup>	0.15 ± 0.04 <sup>f,g</sup>	<0.25 <sup>g</sup>	0.14 ± 0.02 <sup>g</sup>	<0.3 <sup>g</sup>	0.11 ± 0.02 <sup>g</sup>	0.25 ± 0.02 <sup>g</sup>	<0.033 <sup>g</sup>
C/1999 S4 <sup>d</sup>	0.9 ± 0.3 <sup>h</sup>	0.11 ± 0.02 <sup>h</sup>	0.12 ± 0.02 <sup>h</sup>	<0.26 <sup>h</sup>			0.10 ± 0.03 <sup>h</sup>	<0.14 <sup>h</sup>
C/2001 A2 <sup>i</sup>	3.9 ± 1.1	<b>1.70 ± 0.20</b>	<b>1.2 ± 0.2</b>	<b>3.0 ± 0.1</b>	<b>1.38 ± 0.37</b>	0.24–0.05	<b>0.6 ± 0.1</b>	<b>0.5 ± 0.1</b>
Low-mass <sup>j</sup>	29		5	3	5		0.3	
High-mass <sup>j</sup>	13		2	4	5		0.6	

#### Notes.

<sup>a</sup> Refers to specific comet, type of study, or astronomical source observed.

<sup>b</sup> This work. Mean molecular abundance ratios relative to H<sub>2</sub>O (in percent) in Comet ISON are listed separately for R<sub>h</sub> > 0.5 and R<sub>h</sub> < 0.5 AU, excluding upper limits except for cases in which only these were obtained (i.e., NH<sub>3</sub> for R<sub>h</sub> > 0.5 AU). Values in red, black, and blue indicate “organics depleted,” “organics normal,” and “organics enriched,” respectively, as defined by the current compositional taxonomy (see Section 4.2.4).

<sup>c</sup> “Normal” refers to a sample of 12 comets in which C<sub>2</sub>H<sub>6</sub> was measured, all of which come from the Oort Cloud, excepting the compositional measurement of the ejecta from Jupiter-family Comet 9P/Tempel 1 (Mumma et al. 2005) resulting from the Deep Impact experiment. Values for CH<sub>3</sub>OH, HCN, and C<sub>2</sub>H<sub>2</sub> draw on a subset of these: CH<sub>3</sub>OH and HCN, 11 comets; C<sub>2</sub>H<sub>2</sub>, 9 comets. For CO, CH<sub>4</sub>, and H<sub>2</sub>CO median values are listed since these molecules show larger variations among comets measured to date (from both Oort Cloud and Jupiter-family populations), by factors of 10 or more. The mean value listed for NH<sub>3</sub> is based on 12 comets, 6 of which are in the C<sub>2</sub>H<sub>6</sub> group and all excepting 6P/d’Arrest (Dello Russo et al. 2009) are from the Oort Cloud. For all molecules, upper limits are excluded when calculating “normal” (current mean or median) values.

<sup>d</sup> Comets 73P/Schwassmann-Wachmann 3 and C/1999 S4 (LINEAR) are classified as “organics depleted.”

<sup>e</sup> DiSanti et al. (2007).

<sup>f</sup> Villanueva et al. (2006).

<sup>g</sup> Dello Russo et al. (2007).

<sup>h</sup> Mumma et al. (2001).

<sup>i</sup> C/2001 A2 (LINEAR) is classified as “organics enriched.” Values are adopted from Magee-Sauer et al. (2008) and Gibb et al. (2007). H<sub>2</sub>CO changed from 0.24% to 0.05% on successive dates at 1.2 AU, and an abundance for CH<sub>4</sub> as high as 3.5% was reported at R<sub>h</sub> = 1.6 AU (Gibb et al. 2007), suggesting chemical heterogeneity in the nucleus. The value listed for CH<sub>3</sub>OH is as revised by Villanueva et al. (2012a)

<sup>j</sup> “Low-mass” and “high-mass” refer to protostellar sources observed by the *Spitzer* survey. Median ice abundances (relative to H<sub>2</sub>O = 100) are taken from table 2. Öberg et al. (2011); also see Gibb et al. (2004) and see the discussion in Section 4.4.

In Comet ISON the abundance ratios of H<sub>2</sub>CO, HCN, and NH<sub>3</sub> were higher by varying amounts within 0.5 AU. However, establishing their abundances as ices in the nucleus requires investigating the extent to which they were released directly from the nucleus as primary volatiles versus their delayed release, for example, from one or more potential progenitor species in the coma. A rigorous study requires detailed modeling of the outflow, allowing for release in the coma using a full vector formalism, and if necessary incorporating optical depth effects. This is outside the scope of the present study.

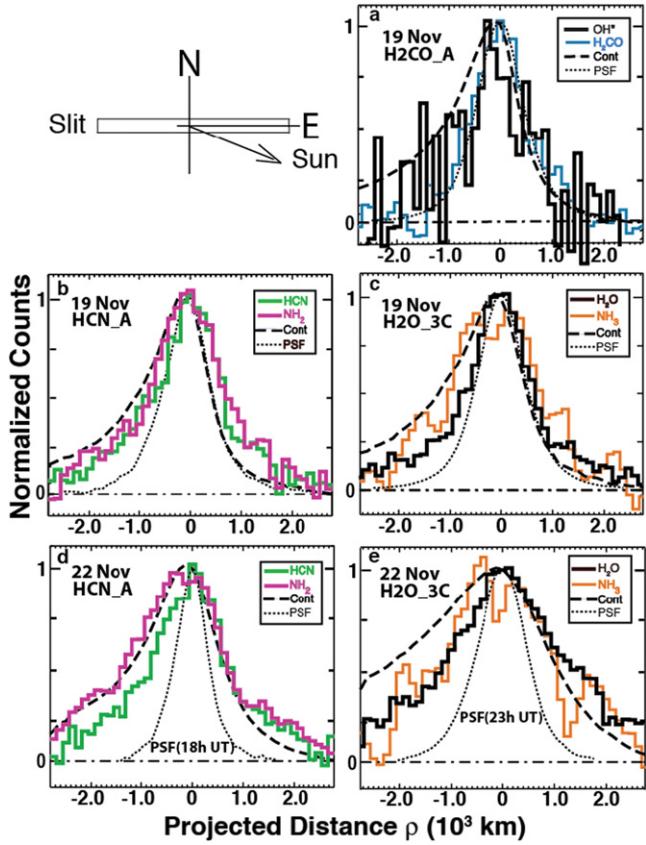
Nonetheless, it is worthwhile to compare the spatial profiles shown for these molecules in Figure 6. A similar spatial offset was observed between the HCN and dust profiles in ISON obtained with ALMA on 2013 November 15 (Cordiner et al. 2014). However, as discussed in Paper 1—which also shows similar offset and asymmetry in the H<sub>2</sub>O\_3A setting on November 17 and 22—the larger (millimeter-sized) grains sampled by ALMA were slower moving and also less affected by solar radiation pressure, and so they were less extended in the antisunward-facing hemisphere compared to the micron-sized grains to which the CSHELL observations reported here (and in Paper 1) are most sensitive.

## 5.2. Search for Distributed Volatile Emission

Assuming uniform outflow in the coma at constant speed, in the absence of photodecay the three-dimensional gas density varies as  $r^{-2}$ , where  $r$  represents distance from the nucleus. Under this assumption, along the line of sight the column density (molecules cm<sup>-2</sup>) or equivalently the column abundance (molecules in the beam, for example, as shown for H<sub>2</sub>O in Figure 3 of Paper 1) varies as  $\rho^{-1}$ , where  $\rho$  is distance from the cometary nucleus *projected onto the sky plane*. Including photodecay causes a falloff steeper than  $\rho^{-1}$ , to a degree dependent on the photodissociation scale length  $\Lambda$  as defined in Section 3.2.2.

### 5.2.1. Epsilon Profiles

This can be visualized by removing the canonical  $r^{-2}$  spatial dependence. We averaged signal from diametrically opposite distances along the profile and multiplied each spatial point along this mean profile by its corresponding projected distance  $\rho$  from the nucleus. We refer to the resulting graphical representation as an “epsilon profile” or “epsilon plot,” following terminology dating to the study of CN in the coma of Comet 1P/Halley (Komitov et al. 1989).



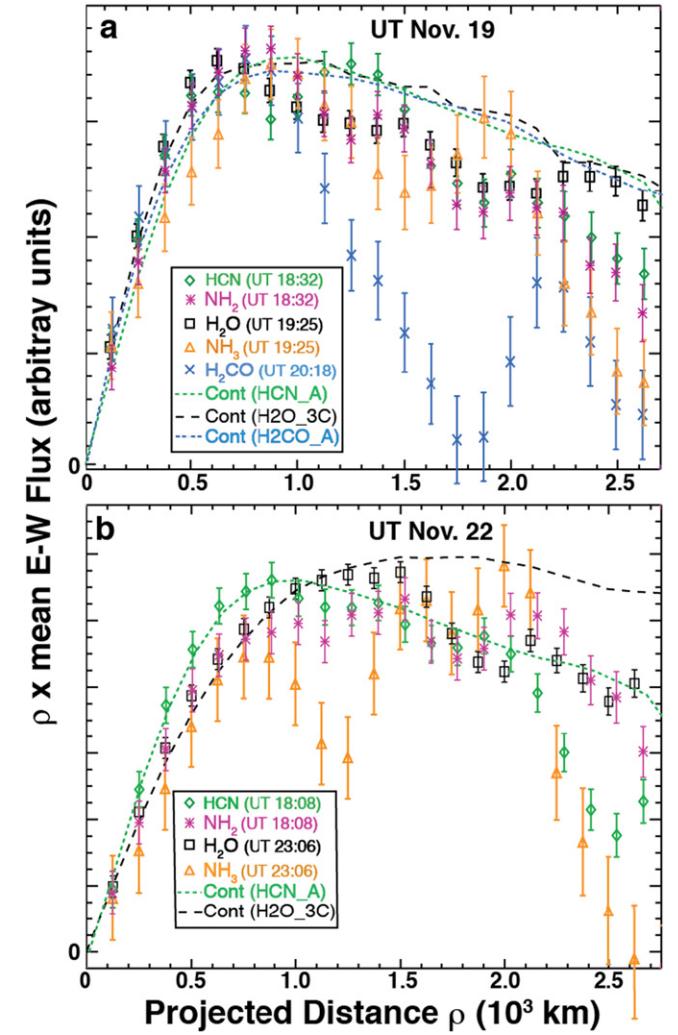
**Figure 6.** Similar to Figure 3, but for settings containing species that showed pronounced increases in abundance ratio relative to  $\text{H}_2\text{O}$  at  $R_h < 0.5$  AU.

Epsilon plots so generated from the profiles in Figure 6 are shown in Figure 7 for emissions from all gaseous species and co-measured continua in three settings on November 19 (HCN\_A, H2O\_3C, H2CO\_A; Figure 7(a)) and two settings on November 22 (HCN\_A and H2O\_3C; Figure 7(b)). These are color-coded by CSHELL setting (for the continuum) and by molecule, and all are scaled arbitrarily to a common maximum value. This makes it easier to compare shapes and trends with distance from the nucleus, taken to be the zero position on the  $x$ -axis.

### 5.2.2. Dust Continuum

On November 19 (Figure 7(a)), the continuum epsilon profiles from the three included settings tracked each other closely, suggesting that the grain outflow behavior (and seeing) did not vary appreciably over the approximately 2 hr period encompassed by the observations. Their slow decline following a maximum near  $\rho = 10^3$  km shows that at larger offset distances the E-W average profile was somewhat steeper than  $\rho^{-1}$ .

On November 22 (Figure 7(b)) the observed difference between continuum curves at offsets less than  $10^3$  km is expected given the poorer seeing conditions during the H2O\_3C observations; compare the PSF traces from UT  $\sim 18$  hr and 23 hr UT in Figures 6(d) and (e), respectively. However, the continuum epsilon profiles decline differently for offsets beyond  $\sim 1000$  km. The shape of the HCN\_A continuum on November 22 agrees closely with that seen on November 19 (with column density decreasing faster than  $\rho^{-1}$ ), while the continuum in the H2O\_3C setting indicates an

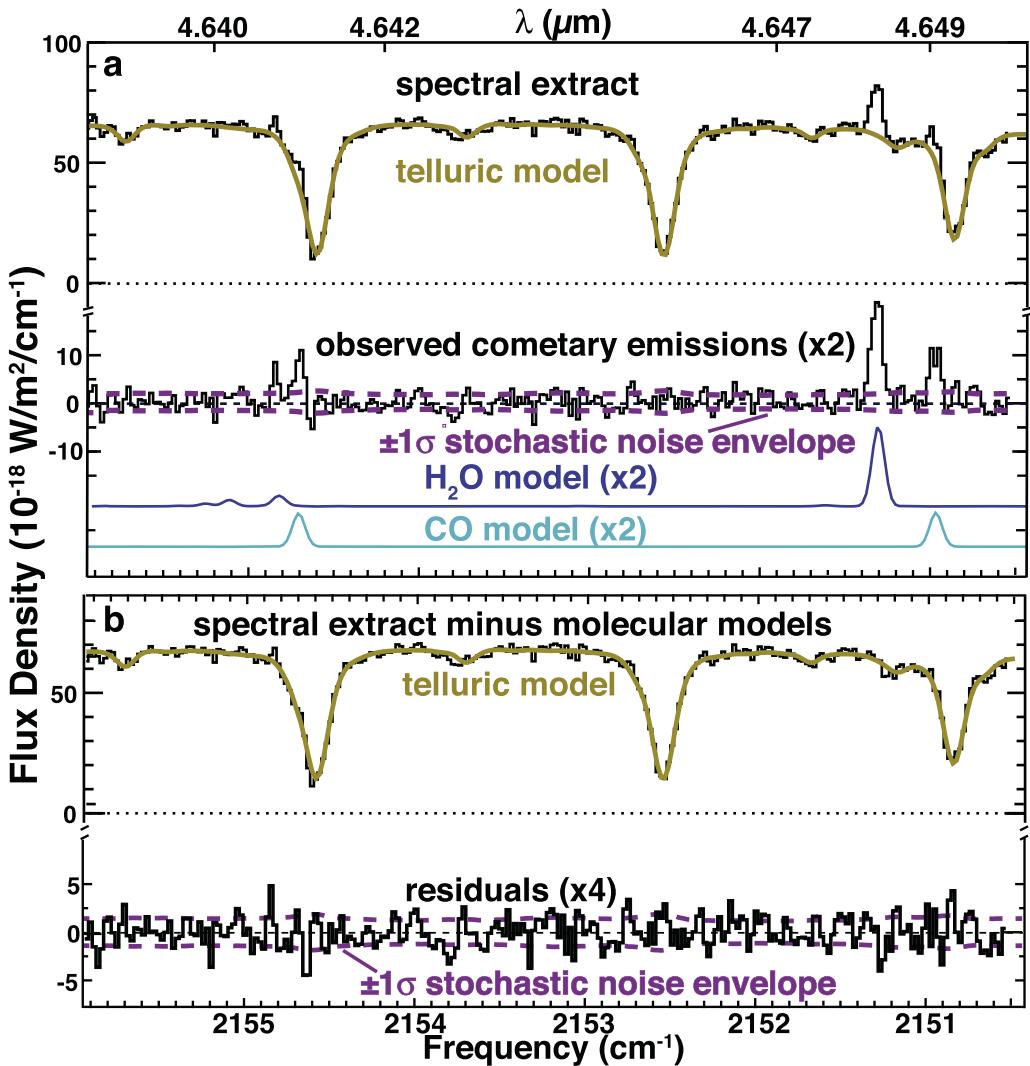


**Figure 7.** “Epsilon plots” for species with spatial profiles shown in Figure 6. See the discussion in Section 5.2.

approximately  $\rho^{-1}$  falloff. The origin of that difference is likely connected with changing outflow during the 5 hr temporal gap between the two measurements.

### 5.2.3. Gas

Most emission profiles we measured in Comet ISON, including those of  $\text{H}_2\text{O}$ , were consistent with release directly from the nucleus, or at least were strongly dominated by direct release. However, some subtleties were evident. The relatively steep drop experienced by  $\text{H}_2\text{CO}$  with distance from the nucleus on November 19 (Figure 7(a)) was consistent with its short photodissociation lifetime ( $\tau_1 \sim 5 \times 10^3$  s, compared with approximately  $8 \times 10^4$  s and  $7 \times 10^4$  s for  $\text{H}_2\text{O}$  and HCN, respectively; Huebner et al. 1992, pp. 102–113) and hence its more abrupt photodissociation. The “secondary” increase for  $\text{H}_2\text{CO}$  around  $2 \times 10^3$  km may indicate an additional contribution from  $\text{H}_2\text{CO}$  released in the coma, as was first seen in Comet 1P/Halley from *Giotto* spacecraft Neutral Mass Spectrometer observations (Eberhardt 1999) and subsequently inferred from ground-based radio observations of C/1996B2 (Hyakutake; Biver et al. 1999) and C/1995 O1 (Hale-Bopp; Wink et al. 1999). Distributed emission of  $\text{H}_2\text{CO}$  was subsequently inferred in additional comets observed in the



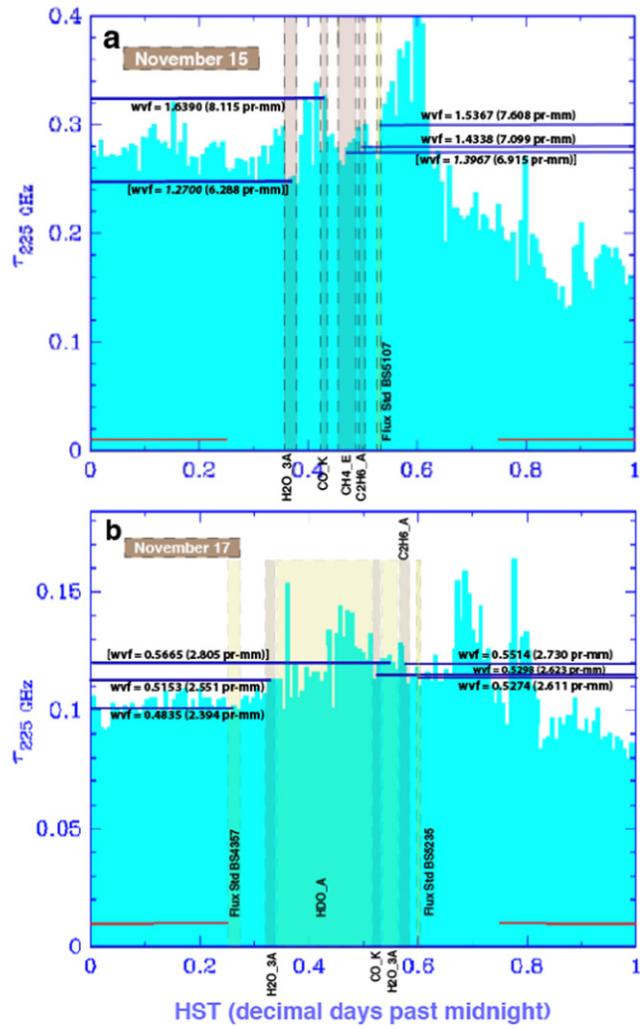
**Figure 8.** Graphical representation showing our iterative approach to quantifying terrestrial atmospheric absorption features and molecular production rates. The trace in panel (a) labeled “cometary emission” represents the *observed* net emission in excess of the continuum. For each spectral setting the goal is to accurately account for all features (telluric and cometary) such that the resulting “residual” spectrum (bottom trace in panel (b)) falls within the  $\pm 1\sigma$  stochastic noise envelope (see Section 3.1 and Appendix A.1 for detailed discussions).

radio (Biver et al. 2002). ALMA observations of ISON on November 17.5 reported a symmetric and narrow spatial distribution of H<sub>2</sub>CO, and significant contribution from a distributed source having a parent scale length of 230–330 km was suggested (Cordiner et al. 2014). Secondary increases are also suggested for HCN and H<sub>2</sub>O (Figure 7), but at less pronounced levels. The secondary peaks for HCN, H<sub>2</sub>O, and H<sub>2</sub>CO occurred at somewhat differing offset distances, which could result from varying gas production over the nearly 2 hr of clock time encompassed by the three settings that measured these molecules on November 19.

Of the profiles shown in Figures 3 and 6, those of NH<sub>3</sub> are the most surprising. Although the photodissociation lifetime of ammonia is relatively short ( $\tau_1 \sim 4 \times 10^3$  s; Huebner et al. 1992, pp. 169–177), Figures 6(c) and (e) show that its profile was very broad, comparable to or broader than that of the much longer-lived H<sub>2</sub>O ( $\tau_1 \sim 8 \times 10^4$  s) co-measured in the H<sub>2</sub>O\_3C setting on both November 19 and 22. It also shows a dip in its central region that is present on both dates and is unique among spatial profiles we observed for Comet ISON, including continuum profiles. This either could result

from optically thick pumping near the nucleus or alternatively could indicate release of NH<sub>3</sub> from a halo or shell of (ice- or grain-dominated) particles relatively close to (e.g., within a few hundred kilometers of) the nucleus. That is, its broad nature could indicate that a fraction of NH<sub>3</sub> was released in the coma, particularly if optical depth effects were unimportant. If optically thin, this result is highly surprising, since, unlike H<sub>2</sub>CO, distributed release of NH<sub>3</sub> has not been reported for any comet.

When we applied the Q-curve formalism to co-measured NH<sub>3</sub> and H<sub>2</sub>O profiles using their respective photodissociation lifetimes, the terminal region—which establishes  $Q_{\text{tot}}$  from measured molecular profiles (see Appendix A.3)—for H<sub>2</sub>O was relatively level on both dates, as expected for release predominantly from the nucleus. However, in the case of NH<sub>3</sub>, using its (considerably shorter) lifetime resulted in production rate values that continued to increase with  $\rho$  throughout the terminal region of the Q-curve. Leveling the terminal region for NH<sub>3</sub> required using a significantly longer effective lifetime,  $\tau_1 \sim 2 \times 10^4$  s (or larger). This implies effective scale lengths of (at least)  $5.0 \times 10^5$  and  $3.3 \times 10^3$  km



**Figure 9.** Sample CSO plots tracking the optical depth of  $\text{H}_2\text{O}$  absorption at 225 GHz on two 2013 dates, with CSHELL settings overplotted (shaded regions) according to the time spanned by each. “HST” on the  $x$ -axis denotes Hawaiian Standard Time ( $=\text{UT} - 10 \text{ hr}$ ).

for November 19 and 22, respectively, significantly larger than those expected for  $\text{NH}_3$  assuming release solely from the nucleus ( $\Lambda \sim 1.5 \times 10^3$  and  $1.0 \times 10^3 \text{ km}$  for  $R_h = 0.46$  and  $0.35 \text{ AU}$ , respectively).

A pronounced secondary peak for  $\text{NH}_3$  occurred around  $\rho = (1.8-2.0) \times 10^3 \text{ km}$  on November 19 and around  $2.0 \times 10^3 \text{ km}$  on November 22, but on both dates these were displaced from the (relatively minor) secondary increases seen for *simultaneously measured*  $\text{H}_2\text{O}$ . Clearly, in addition to potential release in the coma, optical depth effects must be included in a detailed treatment of  $\text{NH}_3$  emission in ISON for  $R_h < 0.5 \text{ AU}$ .

The situation for HCN is also interesting. Did its higher abundance ratio inside  $0.5 \text{ AU}$  result from chemical heterogeneity in the nucleus, or was it dominated by release in the coma? If the latter, the scale for such release appears to be small, within the seeing profile.

In some comets the spatial distribution of HCN suggested the presence of (at least) two phases of ice within the nucleus, one dominated by polar and the other by apolar bonds (Mumma et al. 2011, 2014; Villanueva et al. 2011, 2012b; Paganini et al. 2012; DiSanti et al. 2014). In Comet ISON, no

clear distinction between profiles of polar and apolar molecules was apparent.

Inspection of Figure 6(b) indicates that on November 19 the profile of  $\text{NH}_2$  was similar to that of co-measured HCN. On November 22 this was also the case in the sunward-facing hemisphere; however, it more closely tracked the continuum profile antisunward. The extent to which the increase in  $\text{NH}_2$  between  $0.83 \text{ AU}$  and within  $0.5 \text{ AU}$  tracks that of  $\text{NH}_3$ , the canonical candidate progenitor molecule of  $\text{NH}_2$ , requires detailed modeling of the outflow. This is outside the scope of our present study.

## 6. CONCLUSIONS

We report observations of Comet ISON on 10 UT dates between 2013 October 22 and November 22 (spanning  $R_h = 1.213-0.344 \text{ AU}$ ) using NIRSPEC at Keck II and CSHELL at the IRTF. Thirty-two measurements demonstrated that water production during this period varied by two orders of magnitude. Seven of these measurements were identified as likely being associated with reported “outburst” events. The remaining 25 measurements obeyed a heliocentric power law,  $Q(\text{H}_2\text{O}) = (1.89 \pm 0.11) \times 10^{28} R_h^{(-3.10 \pm 0.09)}$  molecules  $\text{s}^{-1}$ , steeper than the canonical insolation-limited  $R_h^{-2}$  dependence and consistent with a factor of 50 increase in “baseline” water production in ISON.

We also obtained abundance ratios relative to  $\text{H}_2\text{O}$  for eight trace volatiles. Three of these ( $\text{CO}$ ,  $\text{C}_2\text{H}_6$ , and  $\text{CH}_4$ ) showed no systematic change in abundance with heliocentric distance, and they were depleted in ISON by factors of approximately 2–3 relative to their mean (or median) values found among comets, also referred to as their “current normal” abundances.  $\text{CH}_3\text{OH}$  showed somewhat more scatter, being depleted by a factor of  $\sim 2$  for  $R_h > 0.5 \text{ AU}$  but closer to its mean value for  $R_h$  within  $0.5 \text{ AU}$ .

Three other molecules ( $\text{NH}_3$ ,  $\text{H}_2\text{CO}$ , and HCN) showed substantially increased abundance ratios within  $0.5 \text{ AU}$  of the Sun, while  $\text{C}_2\text{H}_2$  showed a more modest increase. Outside  $R_h = 0.5 \text{ AU}$ , the abundances of  $\text{NH}_3$  and  $\text{C}_2\text{H}_2$  were consistent with their current normal values, while  $\text{H}_2\text{CO}$  was depleted by 43% and HCN by 59%. Within  $0.5 \text{ AU}$ , all four of these molecules were enriched.

The column abundance of  $\text{NH}_2$  relative to  $\text{H}_2\text{O}$  at  $R_h = 0.83 \text{ AU}$  was slightly below the “typical” range found for  $\text{NH}/\text{OH}$  based on optical observations, but increased by factors of 3.3–6.1 inside of  $0.5 \text{ AU}$ . Detailed modeling is required to establish its production rate, to test whether its increase is consistent with that observed for  $\text{NH}_3$ , and also to test its release from photodissociation of  $\text{NH}_3$  or other progenitor(s).

Surprisingly, the spatial distribution of  $\text{NH}_3$  at  $R_h = 0.46$  and  $0.35 \text{ AU}$  revealed a very broad profile, much more extended than expected were it released solely from the nucleus as optically thin emission. If optically thin, our analysis suggests that a substantial fraction of  $\text{NH}_3$  was released by one or more progenitor species in the coma on a scale consistent with approximately  $2 \times 10^3 \text{ km}$  from the nucleus. That of  $\text{H}_2\text{CO}$  was much less broad and suggested at most a modest contribution from distributed release at a similar distance from the nucleus.

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## APPENDIX

### A.1. Iterative Fitting of Telluric and Cometary Spectral Components

Figure 8 illustrates the approach we used for obtaining robust measures of absorbers in the telluric atmosphere and of molecular production rates in Comet ISON. We produced line spectra from processed spectral-spatial frames by summing signal over  $3 \times 9$ -pixels ( $0.43 \times 1.78$  arcsec) for NIRSPEC and over  $5 \times 15$  pixels ( $1.0 \times 3.0$  arcsec) for CSHELL—in both cases these were centered on the peak emission intensity and represent the spatial apertures from which we calculated “nucleus-centered” production rates (see Section 3.2.2 and Table 2).

The atmospheric extinction (i.e., telluric transmittance) function (component 1 in Section 3.1) was first compared to the observed spectrum by omitting channels containing (known) strong cometary emission lines. This entailed convolving the fully resolved transmittance to the spectral resolving power of the data ( $RP \approx 2.5 \times 10^4$ ) and establishing approximate column burdens of absorbing species in the terrestrial atmosphere (Figure 8(a)).

Subtracting this convolved transmittance function (gold trace) from the spectral extract yields the observed cometary emissions in excess of the continuum (lower trace). These emissions are multiplied by the terrestrial transmittance function; to obtain actual emitted intensities requires dividing by the monochromatic atmospheric transmittance at each Doppler-shifted line position, as discussed in the literature (e.g., see Dello Russo et al. 1998; DiSanti et al. 2001; Villanueva et al. 2011).

The spectral resolving power and column burdens of telluric absorbers so obtained were then applied together with appropriate fluorescence models (Section 3.1, component 2)

to establish initial nucleus-centered molecular production rates ( $Q_{nc}$ , Section 3.2.2). This was accomplished by fitting such models ( $H_2O$  and CO for the example shown; bottom traces in Figure 8(a)) to these cometary emissions. Our procedure is based on a Levenberg–Marquardt  $\chi^2$  minimization, developed previously for application to spectra of Mars’s atmosphere (Villanueva et al. 2008).

Subtracting the fitted fluorescence models from the original spectral extract (top trace in Figure 8(a)) produces the top trace in Figure 8(b), which approximates the observed cometary dust continuum alone. The terrestrial transmittance function is then refit (i.e., RP and atmospheric absorber column burdens are reestablished), with the goal of producing overall residuals (bottom trace in Figure 8(b)) that are consistent with zero (i.e., that fall within the  $\pm 1\sigma$  stochastic noise envelope). This telluric solution is then used to refit the fluorescence models as in Figure 8(a) and thereby obtain revised values for  $Q_{nc}$ . The process is repeated in an iterative manner between the steps depicted in Figures 8(a) and (b), and in most cases it converges within a few iterations. Telluric water burdens (precipitable millimeters, pr-mm) are included in Table 2 for all settings.

### A.2. Comparing Telluric $H_2O$ Fits with CSO Measurements

We compared the modeled telluric water burdens for our ISON spectra with concurrent Caltech Submillimeter Observatory (CSO) opacity measurements.<sup>14</sup> Figure 9 shows measured  $H_2O$  opacity at 225 GHz on two dates, upon which we superimpose the time intervals spanned by our CSHELL settings, identified by name below each panel and shown as rectangular shaded regions. For each setting, we indicate measured water vapor factor(s) (wvf) and corresponding pr-mm. This comparison was particularly important for those relatively few CSHELL settings in which the aforementioned iterative fitting process did not converge. In these cases we used the CSO measurements as a guide for approximating the telluric  $H_2O$  burden. Values estimated in this manner are shown in square brackets in Figure 9 and in parentheses in Table 2. (The weak [to absent] continuum in the NIRSPEC observations of Comet ISON required us to rely on standard star spectra in conjunction with concurrent CSO tau plots. For this reason, the corresponding pr-mm values applied to these observations are enclosed in parentheses in Table 2.) For all spectra this approach served to minimize the rms deviation in the residual spectrum, shown as the bottom trace and labeled “residuals” in Figure 8(b), and also in Figures 1 and 2.

### A.3. Using Spatial Profiles of Emission to Determine Global Molecular Production Rates

Converting  $Q_{nc}$  to total (or global) production rate ( $Q_{tot}$ ) requires accounting for flux lost, primarily owing to seeing, by virtue of using a narrow slit for both NIRSPEC and CSHELL observations. This involves applying a correction factor (a “growth factor”; GF in Table 2) established from measured spatial profiles of emission lines, such that  $Q_{tot} = Q_{nc} \times GF$ . Our methodology is well documented in the literature; e.g., see Section 3.2 of DiSanti et al. (2014), Villanueva et al. (2011), Appendix B2 in Bonev et al. (2006), and Dello Russo et al. (1998) for detailed discussions of the “Q-curve” formalism.

<sup>14</sup> The relevant URL for these opacity measurements is [cso.caltech.edu/tau/](http://cso.caltech.edu/tau/). The California Institute of Technology operated CSO, and archival tau measurements are available, up until its closure in 2015 September.

Spatial profiles of emissions from selected CSHELL observations of Comet ISON are shown in Figures 3 and 6. To establish GF, we use the mean signal from equidistant, diametrically opposite directions along the profile relative to the position of peak emission intensity, summing over multiple lines where possible. This averages over asymmetries in outflow and provides the most reliable measure of molecular production rates (see Xie & Mumma 1996 for details). In Table 2, retrieved values of  $Q_{\text{nc}}$ , measured (or assumed) GF, and  $Q_{\text{tot}}$  are listed by setting and by molecule, and for NIRSPEC observations, by echelle order.

The “Q-curve” formalism provides production rates that are relatively insensitive to the exact value of photodissociation lifetime ( $\tau_1$ ), as long as the scale length greatly exceeds the spatial coverage along the slit. The retrieval is more complicated for relatively short-lived species, in the present case most notably for H<sub>2</sub>CO and NH<sub>3</sub> within  $R_h = 0.5$  AU. Analysis of their spatial distributions suggests more complicated scenarios for their release (especially for NH<sub>3</sub>) that may imply one or more additional sources in the coma, or alternatively optically thick conditions in the inner coma, as discussed in Section 5.2.

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