1 Structure of the *Legionella* Dot/Icm type IV secretion system *in situ* by

2 electron cryotomography

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15 Abstract

16 Type IV secretion systems (T4SSs) are large macromolecular machines that translocate 17 protein and DNA and are involved in the pathogenesis of multiple human diseases. Here, 18 using electron cryotomography (ECT), we report the *in situ* structure of the Dot/Icm type 19 IVB secretion system (T4BSS) utilized by the human pathogen Legionella pneumophila. 20 This is the first structure of a type IVB secretion system, and also the first structure of 21 any T4SS in situ. While the Dot/Icm system shares almost no sequence homology with 22 type IVA secretion systems (T4ASSs), its overall structure shows remarkable similarities 23 to two previously imaged T4ASSs, suggesting shared aspects of mechanism. However, 24 compared to one of these, the negative-stain reconstruction of the purified T4ASS from 25 the R388 plasmid, it is approximately twice as long and wide and exhibits several 26 additional large densities, reflecting type-specific elaborations and potentially better 27 structural preservation in situ.

28 Introduction

29 Type IV secretion systems (T4SSs) are found ubiquitously in Gram-negative and Gram-30 positive bacteria as well as in some archaea (Wallden et al, 2010). They exchange genetic 31 material within and across kingdoms and translocate virulence factors into host cells 32 (Chandran Darbari & Waksman, 2015). T4SSs have been classified into two major 33 groups, type IVA and type IVB (Chandran Darbari & Waksman, 2015; Sexton & Vogel, 34 2002). Representative examples of T4ASSs include many conjugative plasmids such as 35 F, RP4, R388, and pKM101 and the VirB T4SS (VirB1-11 and VirD4) of the plant 36 pathogen Agrobacterium tumefaciens (Chandran Darbari & Waksman, 2015; Christie et 37 al, 2005). The VirB system is one of the best characterized T4ASSs and consists of a lytic 38 transglycosylase (VirB1), pilins (VirB2 and VirB5), inner membrane proteins (VirB3, 39 VirB6, VirB8), ATPases (VirB4, VirB11 and VirD4) and three factors (VirB7, VirB9, 40 VirB10) that span the inner and outer membrane (Chandran Darbari & Waksman, 2015).

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42 Based on major differences in composition and sequence, a subset of T4SSs were 43 designated as T4BSSs (Sexton & Vogel, 2002). T4BSSs include the Incl conjugative 44 ColIb-P9 plasmids R64 and and the Dot/Icm (defective organelle in 45 trafficking/intracellular multiplication) system of the pathogens Legionella pneumophila, 46 Coxiella burnetii, and Rickettsiella grylli (Chandran Darbari & Waksman, 2015; Christie 47 et al, 2005; Segal et al, 2005). In the case of L. pneumophila, the Dot/Icm system 48 translocates more than 300 effector proteins into host cells (Isaac & Isberg, 2014), 49 thereby allowing the pathogen to survive and replicate within phagocytic host cells (Segal 50 et al, 1998; Vogel et al, 1998). The Dot/Icm T4BSS is more complex than most T4ASSs as it has ~27 components versus 12. The only clear sequence homology between T4A and T4B components is the C-terminus of DotG, which matches part of VirB10 (Nagai & Kubori, 2011). The Dot ATPases (DotB, DotL, DotO) are also of the same general classes of proteins as the *A. tumefaciens* ATPases (VirB11, VirD4, VirB4). Based on relationships between ATPases, T4SSs have recently been reclassified into eight classes, with the IncI class being one of the most distinct (Guglielmini et al, 2014). How similar the structures and functions of different T4SSs are remains unclear.

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59 Great efforts have been invested into structurally characterizing different T4ASSs using 60 an impressive array of biochemistry, crystallography and EM (Chandran Darbari & 61 Waksman, 2015; Chandran et al, 2009; Fronzes et al, 2009; Low et al, 2014; Pena et al, 62 2012; Rivera-Calzada et al, 2013). The most notable achievements include a crystal 63 structure of parts of VirB7, VirB9, and VirB10 from pKM101 (3JQO) (Chandran et al, 64 2009), two cryo-EM structures of the same complex (Fronzes et al, 2009), and a negative 65 stained EM reconstruction of the recombinantly purified $VirB_{3,10}$ complex of the related 66 R388 plasmid (Low et al, 2014). The features of the VirB₃₋₁₀ reconstruction were 67 described as consisting of a periplasmic complex (cap, outer-layer, inner-layer), linked by 68 a relatively thin stalk to an inner membrane complex (upper tier, middle tier, and a lower 69 tier), with the latter forming two barrel-shaped densities that correspond to the VirB4 70 ATPase extending into the cytoplasm. However, to date no structure has been reported 71 for any T4SS in situ or any of the T4BSSs. Considering their distinct genetic organization 72 and composition, whether and how the T4A and T4B types are structurally related 73 remains unclear.

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75 **Results and discussion**

76 To generate the first three-dimensional structure of a T4BSS, here we used ECT to 77 visualize L. pneumophila Dot/Icm machines directly in intact, frozen-hydrated bacteria 78 cells. In our tomograms, we observed multiple dense, cone-shaped particles in the 79 periplasm primarily near the cell poles (Figure 1a,b; Supplementary Movie 1). These 80 structures exhibited the characteristic shape of a "Wi-Fi" symbol comprising two distinct 81 curved layers, the larger just below the outer membrane and the smaller in the middle of 82 the periplasm (Figure 1c). We also observed top views of these particles, which appeared 83 to have two concentric rings (Figure 1d). Similar rings were observed by EM imaging of 84 portions of the Dot/Icm complex (Kubori et al, 2014). No "Wi-Fi" particles were 85 observed in a *L. pneumophila* strain lacking the *dot/icm* genes (Figure 1E, Supplementary 86 Figure 1).

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To further investigate the molecular architecture of these complexes, we generated a 88 89 subtomogram average using ~400 particles. In the initial average, substructures were 90 resolved within the curved layers but details were lacking near the inner membrane 91 (Supplementary Figure 2A-D). Given the previous observation of flexibility within the 92 $VirB_{3,10}$ complex (Low et al, 2014), we used masks to align components near the outer 93 membrane separately from the components near the inner membrane (Supplementary 94 Figure 2 A-D). A composite average was then constructed by juxtaposing the well-95 aligned regions of the outer and inner membrane averages and applying symmetry. In the 96 final composite average, many distinct densities were resolved including a hat, alpha, and 97 beta densities near the outer membrane; a stem, stalk, and gamma densities in the 98 periplasmic region; and weaker densities, which we call "wings", extending from the 99 inner membrane into the periplasm (Figure 1F, 1G). Although of lower resolution, 100 multiple vertical rod-like densities also appeared below the inner membrane in the 101 cytoplasm. We estimate the local resolution of our composite model to be 2.5-4.5 nm 102 (Supplementary Figure 3A), likely limited by inherent flexibility of the complex, as the 103 resolution within the curves layers was the highest and the rods the lowest.

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105 To confirm the "Wi-Fi" particles were the Dot/Icm system, we imaged a strain expressing 106 DotC, DotD, DotF, DotG, and DotH (previously defined as the "core complex" (Vincent 107 et al, 2006)) in an otherwise *dot/icm* null mutant strain (Figure 1H). Western blot analysis 108 showed all five proteins were expressed at similar levels to those in the wild-type strain 109 (Supplementary Figure 1). The subtomogram average of this reconstituted complex 110 revealed a strong similarity to the wild-type structure as it contained the hat, beta, and 111 gamma densities and some of the stem, but there were also major densities missing 112 (Figure 1H and Supplementary Figure 3B, 3C). Since the "Wi-Fi" particles were not 113 observed in a strain lacking the *dot/icm* genes, and a portion but not all of the complex 114 reappeared upon reintroduction of the five core Dot proteins, we are confident that these 115 particles are the Dot/Icm system rather than some other membrane complex such as the 116 L. pneumophila T2SS or a different T4SS.

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In T4ASSs, a "core complex" has been described consisting of three proteins with majordomains in the periplasm: the inner membrane protein VirB10, the outer membrane

120 protein VirB9, and a lipoprotein VirB7, which plays a role in the insertion of VirB9 121 (Chandran Darbari & Waksman, 2015). In the Legionella T4BSS, DotF and DotG are 122 inner-membrane proteins, DotH is an outer membrane, and there are two lipoproteins, 123 DotC and DotD, that function to insert DotH (Vincent et al, 2006) Markedly, and as 124 mentioned above, among these proteins there is only one domain shared between the Dot 125 and VirB systems: the C-terminus of DotG has clear sequence homology to VirB10 126 (Chandran Darbari & Waksman, 2015). Despite this paucity of homology between 127 components, the *in situ* structure of the Dot/Icm T4BSS and the negative-stain 128 reconstruction of the VirB₃₋₁₀ T4ASS complex clearly share key features. First, the size 129 and shape of the hat density in the Dot/Icm apparatus matches the VirB10 density from 130 the crystal structure 3JQO, which contains parts of VirB7, VirB9, and VirB10 (Figure 131 2A-C, Supplementary Movie 2). This makes sense because the domain of VirB10 present 132 in the crystal structure is the one with sequence homology to DotG (Supplementary 133 Figure 4B). Thus it is not surprising that there would be a similar-shaped feature in the 134 equivalent location of the Dot/Icm structure (as seen in the hat). Both the Dot/Icm and 135 VirB_{3,10} structures also contain flexible stalks between the outer membrane and inner 136 membrane complexes. Finally, the four rod-like densities in the Dot/Icm structure 137 correspond well in size, shape and position (with respect to both the inner membrane and 138 stalk) to the walls of the two barrels seen in the $VirB_{3,10}$ complex, leading us to conclude 139 that there are two similar barrels present in the Dot/Icm complex, even though they are 140 poorly resolved (Figure 2A, 2D, 2G-H). Thus the basic architecture of the Dot/Icm 141 system is strikingly similar to that of the $VirB_{3,10}$ complex: each contains a hat, stalk, and 142 two off-axis cytoplasmic barrels.

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144 However, there are also major differences. First, the Dot/Icm complex is strikingly larger 145 than the VirB₃₋₁₀ complex, as it is approximately twice as wide and long (Figure 2G-H). 146 Second, there are no densities in the $VirB_{3,10}$ complex peripheral to the hat which might 147 correspond to alpha and beta. Third, it is not clear if the Dot/Icm gamma density is part of 148 what was described as the inner layer of the $VirB_{3,10}$ complex. Fourth, the Dot/Icm 149 structure has periplasmic wings instead of membrane-associated arches. While some of 150 these differences are likely due to the additional factors present in the Dot/Icm system, 151 others may reflect the loss or collapse of components in the $VirB_{3-10}$ complex upon 152 purification and drying. The arches of the VirB₃₋₁₀ complex, for instance, may correspond 153 to collapsed Dot/Icm wings, and the shorter and thinner stalk in the VirB_{3,10} complex may 154 also be a result of collapse (the distance between the outer and inner membranes in our 155 cryotomograms of different species of intact bacterial cells is typically ~ 40 nm (Chang et 156 al, 2016; Chen et al, 2011), twice as far as in the $VirB_{3-10}$ complex structure).

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158 Recently, two-dimensional class average images of a negatively-stained H. pylori T4ASS 159 comprising Cag3, CagM, CagT/VirB7, CagX/VirB9 and CagY/VirB10 were reported 160 (Figure 2E-F) (Frick-Cheng et al, 2016). While the Helicobacter T4ASS consists of 161 approximately the same number of components as the Legionella Dot/Icm T4BSS, the 162 additional factors share no homology (Frick-Cheng et al, 2016). Despite also being 163 purified, dried, and negatively-stained like the R388 plasmid VirB_{3,10} complex, the 164 Helicobacter structure has almost exactly the same size and overall shape as the 165 periplasmic region of the *Legionella* structure, with a large bulbous structure at one end and a stalk at the other (Figure 2A, 2E-F). Because the *Helicobacter* images were of a purified subcomplex, it was impossible at the time to assign an orientation of the structure relative to the envelope (Frick-Cheng et al, 2016). Based on the similarities to our *in situ* structure, we can now predict that the concave surface faces the outer membrane and the stalk points in the direction of the inner membrane.

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172 In summary, we have revealed the first *in situ* structure of a T4SS and shown that despite 173 very little sequence homology between representative T4ASSs and T4BSSs 174 (Supplementary Figure 4A,B), their basic architectures and therefore likely secretion 175 mechanisms are remarkably similar. They are much more similar than different when 176 compared to the structure of other secretion systems. Type III secretion systems, for 177 example, consist of a series of rings in the inner membrane, periplasm and outer 178 membrane connected by a central channel that serves as the conduit for protein export 179 (Hu et al, 2015). In contrast, neither the T4ASS nor the T4BSS exhibit an obvious tube-180 like channel along the symmetry axis through which substrates might be transported. 181 Although informative within its own right, the *in situ* Dot/Icm structure also sets the stage 182 for future work identifying each protein in the complex and elucidating how this 183 elaborate nanomachine assembles and functions.

184 Materials and methods:

185 Strains, growth conditions and mutant generation

- 186 All experiments mentioned here were performed using the *L. pneumophila* Lp02 strain
- 187 (thyA hsdR rpsL), which is a derivative of the clinical isolate L. pneumophila
- 188 Philadelphia-1. L. pneumophila strains were grown on ACES [N-(2-acetamido)-2-
- 189 aminoethanesulfonic acid]-buffered charcoal yeast extract agar (CYE) or in ACES-
- 190 buffered yeast extract broth (AYE) supplemented with ferric nitrate and cysteine
- 191 hydrochloride. Since Lp02 is a thymidine auxotroph, cells were always grown in the
- 192 presence of thymidine (100 μg/ml). JV5443 is a derivative of Lp02 lacking the *dot/icm*
- 193 genes (JV5319) that was transformed with plasmid pJB4027, which expresses dotD,
- 194 dotC, dotH, dotG, and dotF.
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196 Sample preparation for electron cryotomography

197 L. pneumophila (Lp02) cells were harvested at early stationary phase (OD600 of ~3.0),

mixed with 10-nm colloidal gold beads (Sigma-Aldrich, St. Louis, MO) precoated with
BSA, and applied onto freshly glow-discharged copper R2/2 200 Quantifoil holey carbon
grids (Quantifoil Micro Tools GmbH, Jena, Germany). Grids were then blotted and
plunge-frozen in a liquid ethane/propane mixture (Chang et al, 2016) using an FEI
Vitrobot Mark IV (FEI Company, Hillsboro, OR) and stored in liquid nitrogen for
subsequent imaging.

204

205 *Electron tomography and subtomogram averaging*

206 Tilt-series were recorded of frozen L. pneumophila (Lp02) cells in an FEI Titan Krios 207 300 kV field emission gun transmission electron microscope (FEI Company, Hillsboro, 208 OR) equipped with a Gatan imaging filter (Gatan, Pleasanton, CA) and a K2 Summit 209 direct detector in counting mode (Gatan, Pleasanton, CA) using the UCSF Tomography software (Zheng et al, 2007) and a total dose of $\sim 100 \text{ e/A}^2$ per tilt-series and target 210 211 defocus of ~6 μ m underfocus. Images were aligned, CTF corrected, and reconstructed 212 using IMOD(Kremer et al, 1996). SIRT reconstructions were produced using 213 TOMO3D(Agulleiro & Fernandez, 2015) and subtomogram averaging was performed 214 using PEET (Nicastro et al, 2006). Finally, the local resolution was calculated by ResMap 215 (Kucukelbir et al, 2014). As the Dot/Icm subtomogram average exhibited at least two-216 fold symmetry around the central mid-line in the periplasm, we applied two-fold 217 symmetry in those regions to produce the 2-D figures shown, but no symmetry was 218 applied to the cytoplasmic densities due to their poor resolution.

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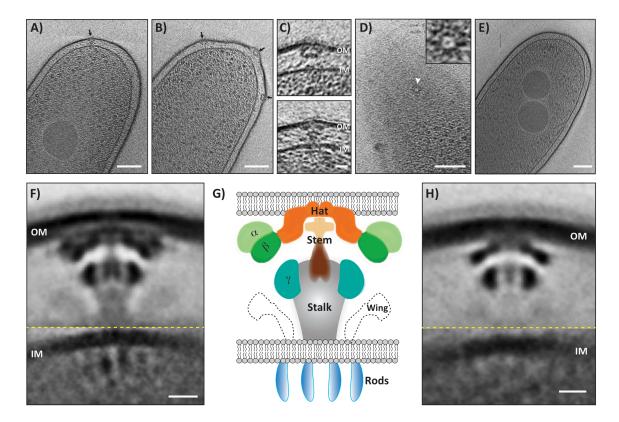
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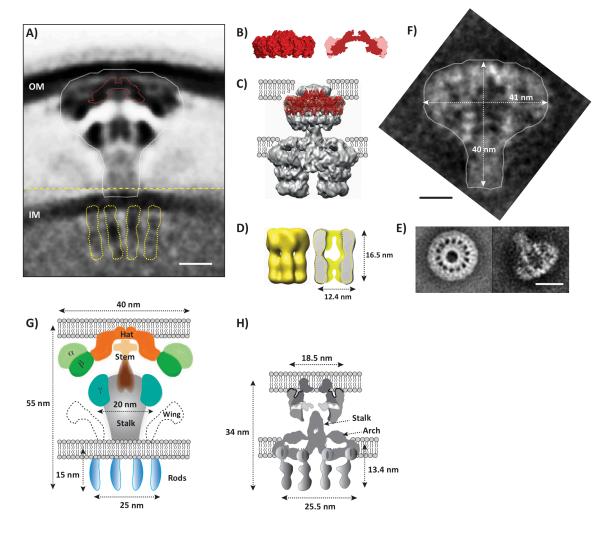
317 Figures:



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319 Figure 1. *In situ* structure of Dot/Icm T4BSS.

320 A,B, Tomographic slices through intact L. pneumophila cells. Black arrows point to 321 Dot/Icm particles. Scale bar 100 nm. C, Enlarged view of Dot/Icm particles, outer-322 membrane (OM) and inner membrane (IM). Scale bar 20 nm. D, Tomographic slices 323 showing a top view of a Dot/Icm particle, enlarged in the inset. Scale bar 100 nm. E, 324 Tomographic slice through a L. pneumophila cell lacking the dot/icm genes. Scale bar 325 100 nm. F, Subtomogram average of wild-type Dot/Icm particles. Scale bar 10 nm. G, 326 Schematic representation of the subtomogram average labeling the prominent densities. 327 **H**, Subtomogram average of a reconstituted sub-complex in the *dot/icm* deletion mutant. 328 Scale bar 10 nm. Dotted yellow lines indicate where the outer membrane average is 329 merged with the inner membrane average to generate the composite model.



331 Figure 2. Comparison between T4ASSs and T4BSSs.

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332 A, Structure of the Dot/Icm complex with the outlines of existing structures of T4ASS 333 subcomplexes superimposed. Scale bar 10 nm. **B**, Surface representation (left) and cross 334 section (right) of crystal structure 3JQO, an outer membrane complex of parts of VirB7, 335 9, and 10 from the plasmid pKM101 T4ASS. Red coloured part of the cross section is 336 density for VirB10, light-pink colour is combined density for VirB7 and 9. The outline 337 of VirB10 density matches the hat density of the Dot/Icm structure (red dotted line in 338 panel A, see also Supp. Movie 2). C, Crystal structure 3JQO fit into the VirB₃₋₁₀ negative 339 stain single particle reconstruction, showing its location with respect to the outer 340 membrane (reproduced from Low et al and Chandran et al (Chandran et al, 2009; Low et 341 al, 2014)). **D**, Isosurface of (left) and cross-section (right) through a single particle 342 reconstruction of a purified VirB4 ATPase (EMDB accession #, EM-5505, reproduced 343 from Pena et al (Pena et al, 2012)). Because it is a hexameric barrel-shaped structure, its 344 cross section is two parallel rod-like densities similar to the cytoplasmic densities found 345 in the Dot/Icm structure *in situ* (outlined in yellow in panel A). E, Class average images 346 of a purified H. pylori T4ASS subcomplex comprising Cag3, CagM, CagT/VirB7, 347 CagX/VirB9, CagY/VirB10 in top and side views (reproduced from Frick-Cheng et al 348 (Frick-Cheng et al, 2016)). F, Same side view as in E but rotated and enlarged to the 349 same scale as the Dot/Icm structure. Outline marked in white and superimposed on 350 Dot/Icm structure in panel A. G,H, Schematic representations of a T4BSS (left) and a 351 T4ASS (right, adapted from Low et al (Low et al, 2014)) showing dimensions, 352 underlying structural similarities and differences. Scale bar for all panels except E 10 nm. 353 Scale bar for panel **E**, 25 nm.