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## Impact absorption properties of carbon fiber reinforced bucky sponges

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### Abstract

We describe the super compressible and highly recoverable response of bucky sponges as they are struck by a heavy flat-punch striker. The bucky sponges studied here are structurally stable, self-assembled mixtures of multiwalled carbon nanotubes (MWCNTs) and carbon fibers (CFs). We engineered the microstructure of the sponges by controlling their porosity using different CF contents. Their mechanical properties and energy dissipation characteristics during impact loading are presented as a function of their composition. The inclusion of CFs improves the impact force damping by up to 50% and the specific damping capacity by up to 7% compared to bucky sponges without CFs. The sponges also exhibit significantly better stress mitigation characteristics compared to vertically aligned carbon nanotube foams of similar densities. We show that delamination

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3 on the MWCNT-CF interfaces occurs during unloading, and arises from the  
4 heterogeneous fibrous microstructure of the bucky sponges.  
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9 **Keywords:** bucky sponges, heterogeneous materials, carbon fiber reinforced carbon  
10 nanotube composites, impact response, energy absorption, delamination.  
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## 14 15 16 17 18 **1. Introduction.** 19

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21 Lightweight vibration isolating and impact absorbing materials are essential for a variety  
22 of applications such as controlling structural vibrations in automobiles and aircrafts [1],  
23 protecting spacecrafts from undetectable micrometeorite and space debris impacts [2],  
24 and absorbing shock in sport headgears [3,4]. Macroscale carbon nanotube (CNT)-based  
25 materials show high potential for protective applications because of their controlled  
26 physical, mechanical, and electrical properties, and their extremely low density [5–8].  
27  
28 Vertically aligned CNT foams have been synthesized with tailored microstructure and  
29 bulk density for applications requiring energy absorption and a broad range of  
30 mechanical properties [5,6,9–14]. Macrostructures of aligned CNTs with engineered  
31 shapes and geometries have also been synthesized as lightweight materials for efficient  
32 mechanical energy absorption [15,16].  
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48 Random, self-supporting networks of CNTs—that are commonly referred to as CNT  
49 sponges [17–21]—have been fabricated mainly for environmental applications such as  
50 sorption, filtration, and separation [17,22–25]. They are highly compressible up to 95%  
51 of their volume at low stress levels ( $< 0.25$  MPa), have good fatigue resistance in  
52 response to repeated compressive cycles ( $\sim 100$  cycles), and present high strain recovery  
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3 upon unloading ( $> 90\%$ ) [17]. When compressed, the intertwined CNTs in CNT sponges  
4 gradually form bundles and align in the direction perpendicular to the compressive  
5 loading direction [26], in contrast to the collective progressive buckling observed in the  
6 aligned CNT foams [5,6]. CNT sponges also exhibit viscoelastic response that is  
7 invariant over a broad range of temperatures from  $-196\text{ }^{\circ}\text{C}$  to  $1000\text{ }^{\circ}\text{C}$  [27]. The stick-slip  
8 motions of the interlocked CNTs in the sponges contribute to effective energy dissipation  
9 making them a potential protective material (damping ratio: 0.37-0.42) [27–29]. Layered  
10 structures consisting of alternating layers of non-aligned CNT sponges and aligned CNT  
11 arrays have also been created to obtain controlled deformations in desired locations  
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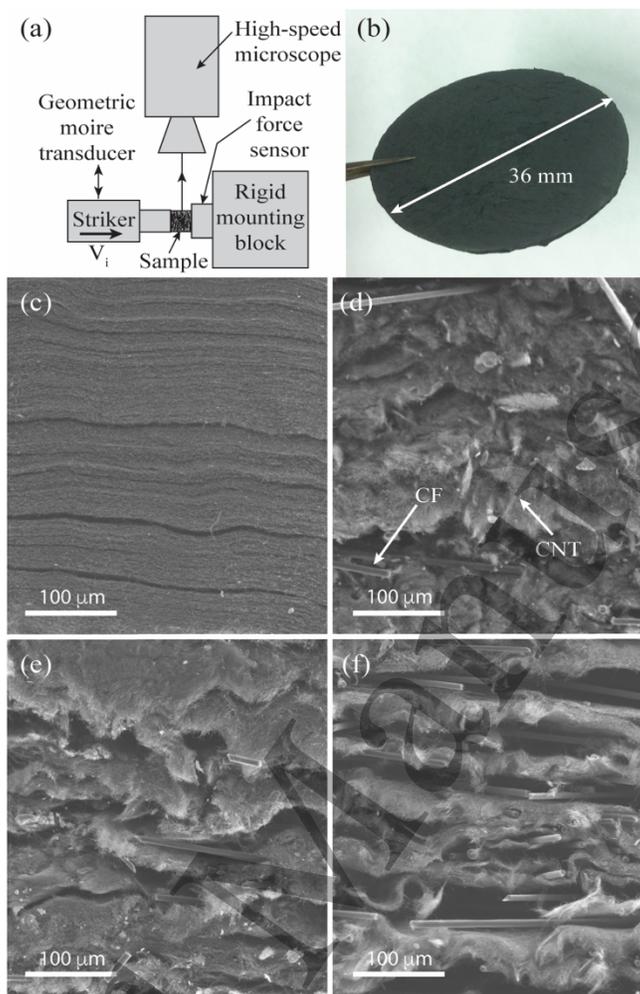
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28 The mechanical responses of CNT sponges can be tailored significantly by coating the  
29 CNTs with different materials [32–34]. For example, coating the CNT sponges with a  
30 uniform conformal coating of 10-30 nm thick amorphous carbon has been shown to  
31 improve the elasticity and fatigue resistance, allowing the sponges to sustain  $\sim 1000$   
32 compression cycles without severe damage [33]. The presence of the coating, however,  
33 reduced the energy absorption during quasistatic cyclic loadings [33]. Coating CNT  
34 sponges with graphene has also been shown to improve their mechanical properties  
35 [32,34]. The presence of graphene overcoats on individual CNTs and at the nodal  
36 junctions of their network improved the Young's modulus of the CNT sponges by a  
37 factor of 6 [32], and increased the buckling load and energy absorption by a factor of 60  
38 [34]. The fatigue resistance has also been improved by the graphene addition, where  
39 samples survived  $\sim 2000$  cycles at 60% strain and  $\sim 1$  million cycles at 2% strain without  
40 significant permanent damage [32].  
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Though there have been many studies in quasistatic loading regime, the dynamic behavior of bucky sponges, particularly concerning their ability to absorb impact, remains elusive. Here, we present the dynamic mechanical response and the energy absorption characteristics of self-assembled bucky sponges made of multiwalled CNTs and carbon fibers (CFs). We use an impact testing platform developed in our laboratory (Fig.1(a)) to characterize their dynamic behavior. We synthesized the bucky sponges using a scalable approach that can be adapted for industrial applications. We control their microstructure and porosity by selecting different weight percentages of CFs during synthesis. We have shown previously that bucky sponges exhibit a nonlinear foam-like stress-strain response, and have the ability to recover large strains up to 80% under quasistatic cyclic compression [23]. They dissipate energy through stress-strain hysteresis ( $\sim 500 \text{ kJ/m}^3$ ), which is  $\sim 20$  times higher than the energy dissipative commercial polymeric foams of similar densities [23].

## 2. Materials and methods.

MWCNTs (*NanoTechLabs, Inc.*) having diameters between 30 and 50 nm were mixed with a surfactant (1% weight sodium dodecyl sulfate (SDS) aqueous solution) at  $0.5 \text{ mg ml}^{-1}$ . CFs having  $\sim 8 \text{ }\mu\text{m}$  diameter were added to the solution in different proportions—10%, 20% and 50% by weight—to produce bucky sponges with three different microstructures. The prepared mixture was tip-sonicated using a *Branson Sonifier* (200 W) for 10-15 minutes at 40% power, to homogeneously disperse the MWCNTs and CFs in the SDS solution. The solution was then vacuum filtrated and heat-treated in air at  $70 \text{ }^\circ\text{C}$  for 30 min. Finally, the dried samples were peeled off the filter membrane as stand-alone bucky sponges (Fig.1(b)).



**Figure 1.** Dynamic characterization of bucky sponges: **(a)** schematic illustration of the dynamic compression testing setup, **(b)** pristine freestanding bucky sponge sample, **(c-f)** SEM images showing the microstructure of bucky sponge sample with **(c)** no CF inclusion ( $CF_0$ ); **(d)** 10% CF inclusion ( $CF_{10}$ ), **(e)** 20% CF inclusion ( $CF_{20}$ ) and **(f)** 50% CF inclusion ( $CF_{50}$ ).

These stand-alone material was then cut into 6.35-mm-diameter-sized samples for dynamic testing using a custom-made core-drill. The synthesis process resulted in three different bucky sponges, denoted here as  $CF_{10}$ ,  $CF_{20}$  and  $CF_{50}$ , where the number

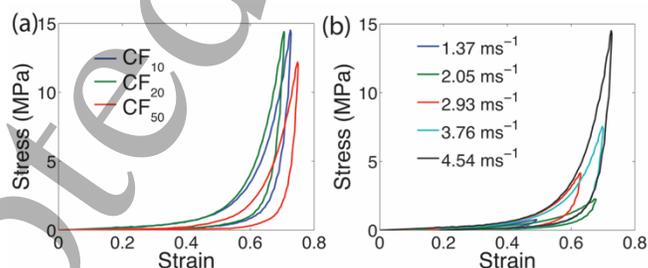
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3 identifies the carbon fiber content by weight in bucky sponges (10%, 20% and 50%,  
4 respectively). An additional control sample was prepared with no carbon fiber inclusions  
5 (denoted as CF<sub>0</sub>). Scanning electron microscope (SEM) images in Figs.1(c-f) show their  
6 microstructure. The CF<sub>10</sub> samples have an average bulk density of 0.21±0.01 gcm<sup>-3</sup>, CF<sub>20</sub>  
7 of 0.20±0.01 gcm<sup>-3</sup> and CF<sub>50</sub> of 0.15±0.01 gcm<sup>-3</sup>, while the control samples (CF<sub>0</sub>) have an  
8 average bulk density of 0.18±0.02 gcm<sup>-3</sup>.  
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11 To determine the bucky sponge's mechanical response to impacts, we performed  
12 dynamic compression experiments on an impact testing setup built in our laboratory [35].  
13 A simplified schematic showing the main components of the experimental setup is  
14 presented in Fig.1(a). The setup consists of an impact generator that delivers direct flat-  
15 punch striker impacts on stationary test samples, at controlled velocities between 0.5 to  
16 10 ms<sup>-1</sup>. The striker (7.08 g) is nearly 500 times heavier than the sample, and delivers  
17 impacts at kinetic energies between 1 and 350 mJ in the impact velocity range we have  
18 tested. During the dynamic compression of the samples, a dynamic force sensor measures  
19 the transient force history, and a geometric moiré transducer measures the time-resolved  
20 dynamic displacements. A high-speed camera (*Phantom VI610*) with microscope lens  
21 (*Infinity*) was used for *in-situ* visualization and characterization of the microscale  
22 deformations. We also examined the samples in an SEM after impact, to identify the  
23 microstructural changes caused by the dynamic compression.  
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### 50 **3. Results and discussions.**

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53 When a bucky sponge is impacted, the stress rises nonlinearly with strain up to a peak  
54 stress that corresponds to the maximum strain, and then declines rapidly as the striker is  
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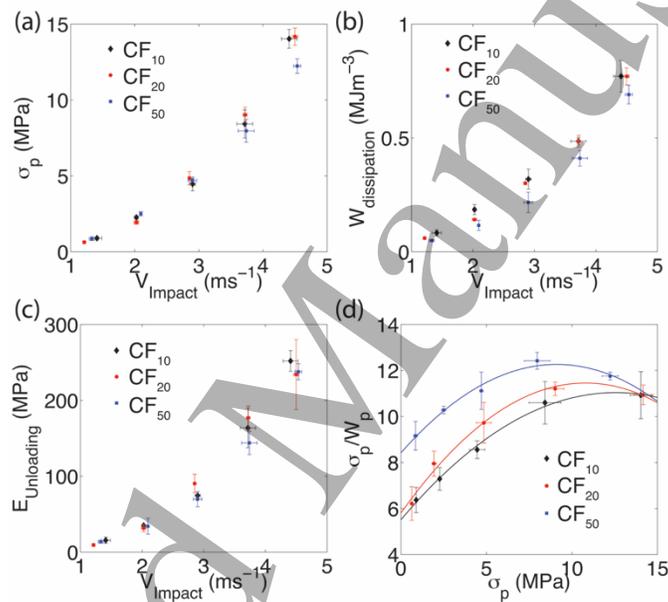
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3 pushed back by the sample (Fig.2). The samples continue to recover as the striker unloads.  
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5 Unloading path differs from the loading path forming a hysteresis that characterizes the  
6 energy dissipated during the loading-unloading cycle. Fig.2(a) shows the characteristic  
7 dynamic stress-strain responses of CF<sub>10</sub>, CF<sub>20</sub> and CF<sub>50</sub> sponges impacted at 4.55 ms<sup>-1</sup>.  
8 The increase in CF content from 10% to 20% shows stiffening in the stress-strain  
9 response (Fig.2(a)). The CF<sub>50</sub> sponge, however, exhibited a more compliant response  
10 compared to the response of CF<sub>10</sub> and CF<sub>20</sub> sponges. Such compliant response arises from  
11 the higher porosity of CF<sub>50</sub> sponges, which is evident in Fig.1(f). We performed similar  
12 impact tests, at five different velocities. The characteristic stress-strain responses of CF<sub>10</sub>  
13 sponges for a range of impact velocities are shown in Fig.2(b). As expected, the  
14 deformation of the bucky sponges increases with increasing impact velocities, with  
15 compressibility reaching more than 70% of their height in the range of velocities tested.  
16 All samples exhibited high resilience to impact, by recovering more than 75% of their  
17 deformation upon unloading (Supplementary Fig.S1).  
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47 **Figure 2.** Dynamic stress-strain response of the CF-reinforced bucky sponges: **(a)**  
48 characteristic stress-strain responses of CF<sub>10</sub>, CF<sub>20</sub> and CF<sub>50</sub> samples, impacted at 4.55  
49 ms<sup>-1</sup>, **(b)** characteristic stress-strain response of CF<sub>10</sub> samples for a range of impact  
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3 We compare the peak stress (Fig.3(a)) and the hysteretic energy dissipation—the area  
4 enclosed by the hysteresis loop (Fig.3(b))—of the three different bucky sponges as a  
5 function of increasing impact velocities, as shown in Fig.3(a-b). Both of these parameters  
6 increase with impact velocity in all three bucky sponges. This is due to the increasingly  
7 higher maximum strains reached as the samples are impacted at increasing velocities. The  
8 CF<sub>50</sub> sponges, however, damp the transmitted stresses more effectively than all other  
9 sponges (Fig.3(a)). This is a desirable characteristic for applications requiring impact  
10 stress attenuation. For example, the peak stress reached in a CF<sub>50</sub> sponge at 4.5 ms<sup>-1</sup>  
11 impact is ~50% lower than the peak stress reached in a control bucky sponge sample with  
12 no CF inclusions (CF<sub>0</sub>), and ~15% lower compared to CF<sub>10</sub> and CF<sub>20</sub> sponges  
13 (Supplementary Figure 2(a)). The hysteretic energy dissipation in all samples with CF  
14 inclusions is also reduced by 40-50% compared to control CF<sub>0</sub> sponges (Supplementary  
15 Figure 2(b)). We attribute the reduction in hysteretic energy dissipation to the initial large  
16 deformations occurring at low-stress levels as the porous volume of the samples are  
17 compressed, and to the significantly reduced peak stresses compared to control CF<sub>0</sub>  
18 sponges. However, the specific damping capacity—the ratio of hysteretic energy  
19 dissipated to the total energy absorbed up to the peak stress during loading—increases up  
20 to 7% with the inclusion of CFs compared to the control bucky sponges, CF<sub>0</sub> (from 0.56  
21 for CF<sub>0</sub> to 0.60 for CF<sub>10</sub>). This suggests that the inclusion of CF induce the sponges to  
22 dissipate more energy during the loading-unloading cycle. The energy that is not  
23 dissipated is stored elastically in the sample during loading and released back to the  
24 striker as the sample unloads. The elastic unloading modulus of the sample (Fig.3(c)) also  
25 increases with the impact velocity, due to the increasing densification of the sample under  
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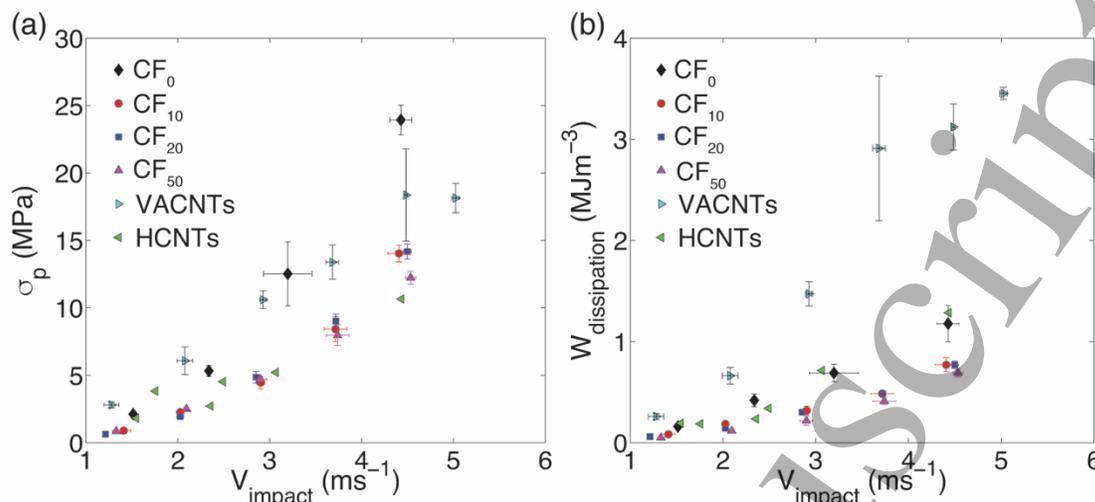
compression. The unloading modulus is calculated from the gradient of the unloading curve, corresponding to the first 5% of the unloading strain. These values are comparable for all three bucky sponges ( $CF_{10}$ ,  $CF_{20}$ ,  $CF_{50}$ ) (Fig.3(a-c)). Among these three samples, the  $CF_{50}$  sponges exhibit slightly higher compliance (lower elastic modulus), lower peak stress, and lower energy dissipation, compared to  $CF_{10}$  and  $CF_{20}$  sponges, because of their highly porous microstructure (Fig.1(f)).



**Figure 3.** Dynamic properties of the CF-reinforced bucky sponges: **(a)** variation of peak stress with impact velocity; **(b)** variation of hysteretic energy dissipation with impact velocity; **(c)** variation of unloading modulus with impact velocity; **(d)** variation of dynamic cushion factor with peak stress. For clarity, data for the control sample is not included in this figure, but can be found in Supplementary Figure S2.

We characterize the cushioning ability of the bucky sponges from the dynamic cushion factor. We define the dynamic cushion factor ( $\sigma_p/W_p$ ) as the ratio between the peak stress

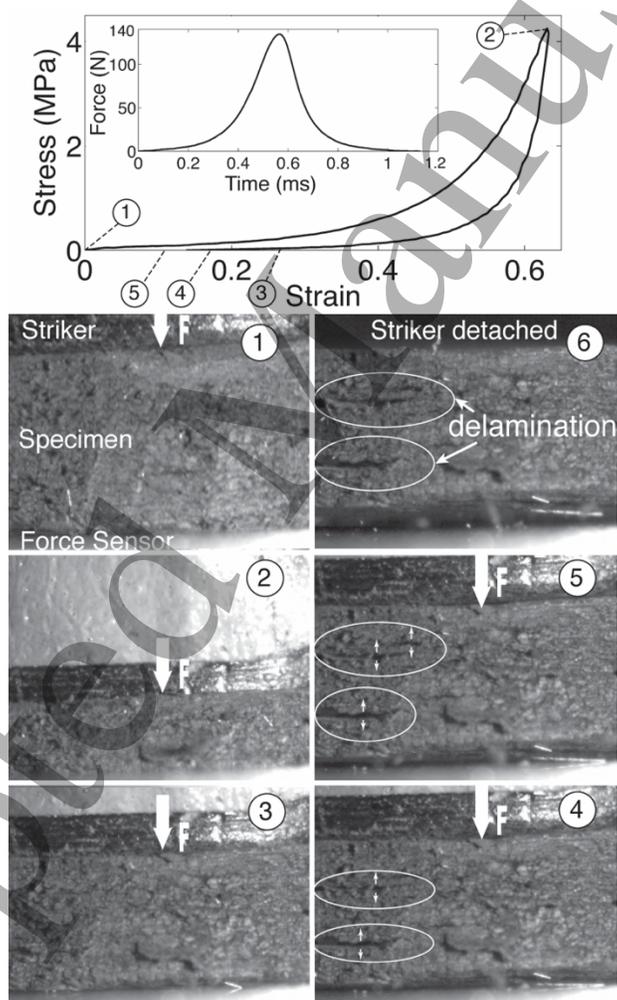
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3 and the energy absorbed up to the peak stress, analogously to the definition of the  
4 quasistatic cushion factor [36]. The increase in energy absorption and/or decrease in peak  
5 stress results in low cushion factor, which is beneficial for protective applications. The  
6 variation of the dynamic cushion factor with peak stress is shown in Fig.3(d). It is evident  
7 that CF<sub>10</sub> sponges exhibit better cushioning performance compared to CF<sub>20</sub> and CF<sub>50</sub>  
8 sponges. It should be noted that CF<sub>50</sub> sponges, albeit having better ability for stress  
9 mitigation, exhibit lower cushion factor due to the lower energy absorption. The cushion  
10 factor vs. peak stress curves exhibit an unusual convex trend that is in contrast to the  
11 usual concave trend seen in quasistatic compression of foam-like materials [36]. This  
12 unique characteristic arises from the differences in the fundamental stress-strain response  
13 of the bucky sponges and of other foams [36]. Foam materials, in general, are  
14 characterized by an initial linear stress-strain response followed by a plateau regime at  
15 nearly constant stress level and finally, a densification regime with rapid increase in  
16 stress [36]. In such materials, increasing energy absorption (area under the stress-strain  
17 curve) at nearly constant stress level in the plateau regime leads to decreasing cushion  
18 factor. This decrease is followed by a rapidly increasing cushion factor in the  
19 densification regime where the peak stress increases rapidly. Consequently, typical  
20 curves relating the cushion factor to stress in foams show a concave trend [36], with a  
21 minimum corresponding to the best cushioning performance. In contrast, the bucky  
22 sponges exhibit nonlinear, monotonically increasing stress in strain with no apparent  
23 plateau regime. Due to this shape of the stress-strain curve, a competing effect arises  
24 between peak stress and the energy absorption that leads to the observed convex cushion  
25 factor curve in the tested impact velocity range.  
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**Figure 4.** Comparison of (a) peak stress, and (b) energy dissipation of bucky sponges ( $\text{CF}_0$ ,  $\text{CF}_{10}$ ,  $\text{CF}_{20}$ ,  $\text{CF}_{50}$ ; present study) with that of the vertically aligned CNT (VACNT) foams (previous study [6]), and helical CNT (HCNT) foams (previous study [37]).

We compare the dynamic response of bucky sponges with the dynamic responses reported previously for vertically aligned CNT (VACNT) foams [6], and helical CNT (HCNT) foams [37] in Fig.4. The bulk densities of the bucky sponges are comparable to that of the VACNT foams ( $0.17 \pm 0.02 \text{ gcm}^{-3}$ ) and HCNT foams ( $0.15 \text{ gcm}^{-3}$ ), and all samples were tested under similar conditions (same striker mass and similar impact velocities). The bucky sponges with CF inclusions ( $\text{CF}_{10}$ ,  $\text{CF}_{20}$  and  $\text{CF}_{50}$ ) are more effective at reducing the transmitted stresses compared to VACNT foams or the control bucky sponges ( $\text{CF}_0$ ), and are comparable to the response of HCNT foams (Fig.4(a)). The VACNT foams, however, have the ability to dissipate higher energy through hysteresis compared to the bucky sponges (Fig.4(b)). The formation and breaking of new van der Waals interactions during collective, progressive buckling of the VACNT foams [5,6] lead to increased energy dissipation, and higher specific damping capacity (0.73) [6],

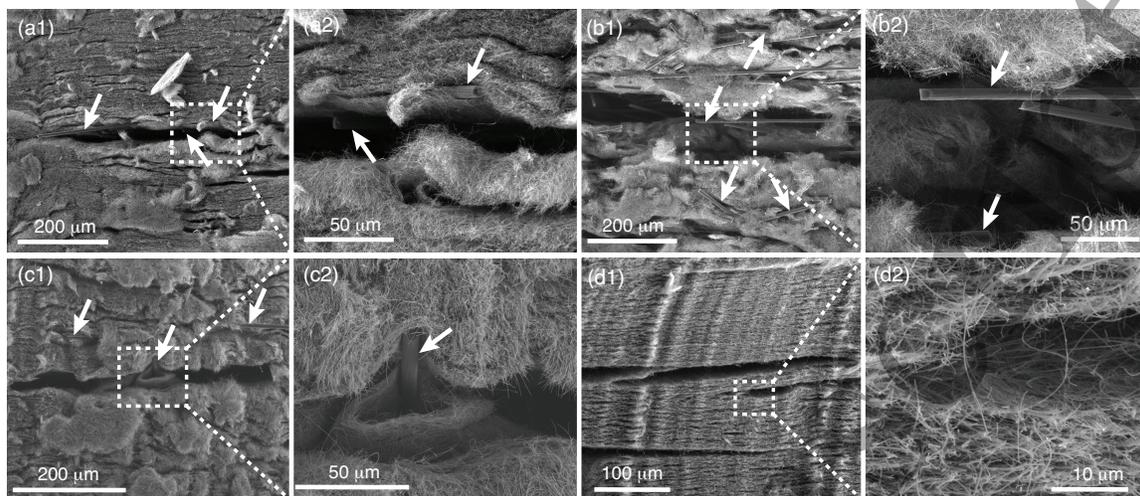
which is 21% higher than the CF<sub>10</sub> sponges. The larger hysteresis present in VACNT foams compared to bucky sponges is also evident from the dynamic stress-strain curves, where the VACNT foams reach higher peak stresses at lower maximum strains compared to bucky sponges. A set of characteristic stress-strain responses of bucky sponge samples and a VACNT foam sample impacted at similar velocities is shown in Supplementary Figure S3.



**Figure 5.** Dynamic response of a CF<sub>10</sub> sponge impacted at 2.85 ms<sup>-1</sup> striker velocity. The inset shows the corresponding force–time profile. Micrographs from the high-speed microscopy image sequence show the foam-like compression of the sample and the crack

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3 growth during unloading. The images (1-6) correspond to the indicated stress-states on  
4 the dynamic stress-strain diagram above.  
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11 We used high-speed microscopy for *in-situ* characterization of micro-scale deformation  
12 in bucky sponges. The high-speed microscopy revealed a uniform, foam-like  
13 compression of the bulk sponges under striker impacts (Supplementary video 1). This  
14 uniform deformation response, which is in contrast to the sequential progressive buckling  
15 observed in VACNT foams [5,6], has been reported previously in randomly aligned CNT  
16 networks as well [26]. The compressed samples recover instantaneously as the striker  
17 unloads, exhibiting high resilience to impact. The dynamic stress-strain and the force-  
18 time responses of a CF<sub>10</sub> sample (density: 0.21 gcm<sup>-3</sup>; height: 1.83 mm) impacted at 2.85  
19 ms<sup>-1</sup> are shown in Fig.5, along with a few snapshots from the high-speed image sequence  
20 that demonstrate the deformation mechanisms during loading and unloading. The sample  
21 underwent ~60% compression and recovered more than 75% of its deformation. A closer  
22 look at the *in-situ* video reveals localized nucleation of microscale delaminations in  
23 several locations of the sample during unloading (Fig.5 micrographs (4-6), where the  
24 delaminations are highlighted by white circles). The nucleation of delamination is  
25 observable when the average stress on the sample decreases to very low stress levels,  
26 below 0.25 MPa, and the microscale delaminations continue to broaden as the bulk  
27 sample recovers further during unloading. Similar delaminations were observed in CF<sub>20</sub>  
28 and CF<sub>50</sub> samples as well. The occurrence of delaminations can be attributed to two main  
29 factors: (i) the intrinsic anisotropy in the alignment of the CNTs, and (ii) the presence of  
30 CFs, which makes the material heterogeneous.  
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**Figure 6.** Post-impact SEM images showing the delamination cracks in the bucky sponges. **(a1, a2)** Cracks due to stress concentration around a CF in CF<sub>10</sub> sponges. **(b1, b2)** Cracks formation due to increased presence of CFs in the CF<sub>50</sub> sponges. **(c1,c2)** Cracks due to possible rotation of a stiff carbon fiber during compression in a CF<sub>10</sub> sponge. **(d1, d2)** Cracks due to intrinsic heterogeneity in CF<sub>0</sub> sponges. The arrows in the images indicate the locations of CFs in the sponges.

Post-impact SEM analysis of bucky sponges showed that the delamination occurred primarily in the areas where the CFs are present (Fig.6(a-c)). This suggest the effect of intrinsic lengthscale differences in CNTs and CFs. The largely different diameters of CNTs and CFs make the bucky sponges highly heterogeneous. When the sponges are compressed, the CNTs undergo more compaction in the vicinity of CFs, as the CFs act as stress concentrators. During unloading, such compacted regions cause local tensile stresses to develop in microscale, even though the bulk sample is under nominal compression at low-stress levels. We also observed rigid rotations or bending of some of the large CFs occurred during compression that could have caused delamination in their

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3 vicinity (Fig.6(c)). We also note that delaminations occur in the direction that is normal  
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5 to the loading direction, because of the described microscale deformation modes, non-  
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7 uniform stress distributions, and the inherently anisotropic self-assembly of CFs inside  
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9 the CNT network during synthesis.  
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13 We observed similar delaminations in the control bucky sponge samples with no carbon  
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15 fiber inclusions (CF<sub>0</sub>, Fig.6(d)). However, in the case of CF<sub>0</sub> sponges, the delamination is  
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17 mainly due to the intrinsic anisotropy of the material arising from the synthesis process—  
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19 mixing, filtering and vacuum compaction. Even though CNTs are randomly oriented  
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21 during mixing, they self-assemble into layers during vacuum filtration due to their large  
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23 aspect ratio—as it is evident from the SEM images of Fig.1(c) and Fig.6(d).  
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#### 28 29 **4. Conclusions**

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31 We synthesized bucky sponge samples with different microstructures using a synthesis  
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33 approach that is potentially scalable for large-scale industrial applications. Using  
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35 controlled striker impact testing, we characterized their dynamic response and energy  
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37 dissipation characteristics as a function of impact velocity and composition. The  
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39 inclusion of CFs improves the ability of bucky sponges to mitigate impact stresses. In  
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41 addition to their unique cushioning characteristics, their intrinsic heterogeneity and the  
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43 microscale deformation modes lead to delamination during unloading. These findings  
44  
45 provide insights into the fundamental deformation mechanisms of sponge materials with  
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47 heterogeneous fibrous microstructure. Bucky sponges can find applications in the  
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49 development of impact protective and structural vibration damping materials due to their  
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51 energy absorption characteristics and ease of fabrication.  
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