



# Trident: A Universal Tool for Generating Synthetic Absorption Spectra from Astrophysical Simulations

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## Abstract

Hydrodynamical simulations are increasingly able to accurately model physical systems on stellar, galactic, and cosmological scales; however, the utility of these simulations is often limited by our ability to directly compare them with the data sets produced by observers: spectra, photometry, etc. To address this problem, we have created TRIDENT, a Python-based open-source tool for post-processing hydrodynamical simulations to produce synthetic absorption spectra and related data. TRIDENT can (i) create absorption-line spectra for any trajectory through a simulated data set mimicking both background quasar and down-the-barrel configurations; (ii) reproduce the spectral characteristics of common instruments like the Cosmic Origins Spectrograph; (iii) operate across the ultraviolet, optical, and infrared using customizable absorption-line lists; (iv) trace simulated physical structures directly to spectral features; (v) approximate the presence of ion species absent from the simulation outputs; (vi) generate column density maps for any ion; and (vii) provide support for all major astrophysical hydrodynamical codes. TRIDENT was originally developed to aid in the interpretation of observations of the circumgalactic medium and intergalactic medium, but it remains a general tool applicable in other contexts.

*Key words:* cosmology: theory – methods: data analysis – methods: numerical – radiative transfer

## 1. Introduction

### 1.1. Why Observe Simulated Data?

Most of the baryonic material in the universe consists of low-density gas that is insufficiently bright to be detected by its emission alone (e.g., Cen et al. 1994; Zhang et al. 1995; Hernquist et al. 1996; Miralda-Escudé et al. 1996; Davé et al. 2001). In order to reveal this gas, observers rely on its ability to absorb certain wavelengths of light from bright background sources, analogous to how the Sun appears red to viewers looking at it through an Earth-bound dust cloud. The location of a background source in the sky thus defines a sightline, usually parameterized as an infinitesimally thin one-dimensional line, which probes the intervening material between us and the background object. Electron energy transitions in the intervening gas preferentially absorb light at discrete wavelengths, creating troughs in the spectrum of the light along this sightline. The atoms and ions present in the intervening gas determine the viable electron transitions, which when coupled with the relative velocity to the observer, produce the distribution of absorption-line features in the observed spectrum. Thus, the characteristics of different absorption features in a sightline’s spectrum can reveal an enormous amount of information about the density, temperature, velocity, radiation field, and ionic composition of gas along a given line of sight. See Figure 1 for a schematic of this process.

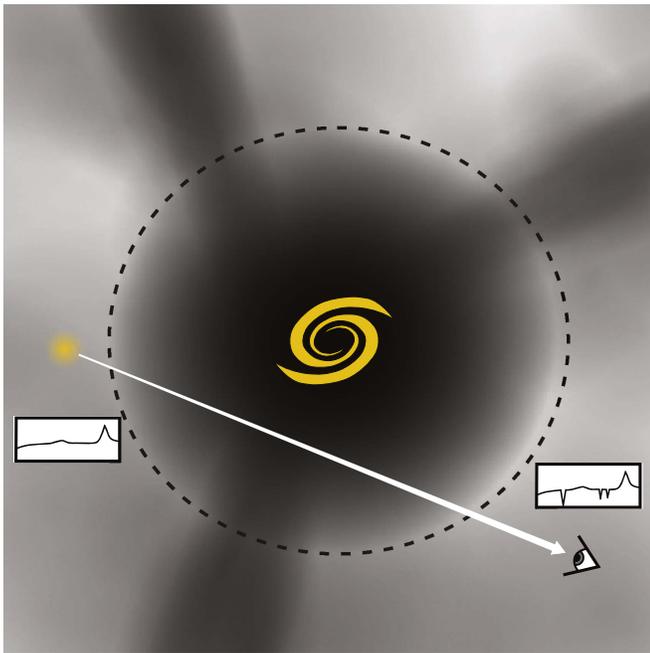
“Absorption-line spectroscopy” is employed in a variety of environments where observers attempt to detect low-density gas, ranging from the gas between stars (the interstellar medium—ISM), the gas surrounding galaxies (the circumgalactic medium—CGM), and the gas between galaxies (the intergalactic medium—IGM). These observations provide us with clues as to (i) how galaxies balance external gas accretion (e.g., Lehner 2017; Rubin 2017) with turbulent outflows of material from supernovae (e.g.,

Hummels & Bryan 2012; Fielding et al. 2017) and supermassive black holes (e.g., Johnson et al. 2015; Kacprzak et al. 2015); (ii) what is happening in the vast volume of “empty” space between galaxies (e.g., Peebles et al. 2014); and (iii) what the CGM/IGM can tell us about the evolution of the universe as a whole (e.g., McQuinn 2016).

Observers require that background sources used in absorption-line spectroscopy be sufficiently bright and well characterized, so that the baseline spectra are constrained when identifying absorption features that are due to intervening material. Typically, quasars are used as background sources, but because bright quasars are relatively uncommon, only few cosmic structures such as galaxies or gas filaments can be probed by multiple sightlines. In order to study these gas structures, observers must therefore combine samples of sightlines through many different galaxies, making assumptions about the homogeneity of the probed galaxy population in order reach general conclusions (e.g., COS-HALOS—Tumlinson et al. 2013; COS-DWARFS—Bordoloi et al. 2014; KBSS—Rudie et al. 2012; Chen et al. 2010; Steidel et al. 2010; Prochaska et al. 2011; Nielsen et al. 2013; Liang & Chen 2014; Rubin et al. 2014; Turner et al. 2015). These samples contain an enormous amount of information about the galaxy population, but as of yet, the details of the relationship between the CGM and host galaxy are not well understood.

In the past decade, significant advances have been made in the field of hydrodynamical modeling of astrophysical systems. There now exist many high-resolution simulations that track the positions and velocities of stars as well as the phase and composition of gas through galaxies and significant cosmological volumes (e.g., ERIS—Guedes et al. 2011; FIRE—Hopkins et al. 2014; ILLUSTRIS—Vogelsberger et al. 2014; EAGLE—Schaye et al. 2015). These simulations possess sufficient detail to enable us to follow the distribution and evolution of individual gas, metal, and ionic species self-consistently, making them ideal aids

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**Figure 1.** Schematic showing how absorption-line spectroscopy indicates the presence of low-density gas through its absorption of light from background objects. Ions in the intervening gas between an observer and a bright background object absorb discrete wavelengths of light, providing information about the composition and phase of the intervening gas. Here, an observer detects absorption from the CGM (inside the dashed circle) and filamentary IGM (outside the dashed circle) in the spectrum from a background quasar.

**Table 1**  
Important Trident Resources

Resource	Location
Web Page	<a href="http://trident-project.org">http://trident-project.org</a>
Source Code	<a href="https://github.com/trident-project/trident">https://github.com/trident-project/trident</a>
Documentation	<a href="http://trident.readthedocs.org">http://trident.readthedocs.org</a>
Mailing List	<a href="mailto:trident-project-users@googlegroups.com">trident-project-users@googlegroups.com</a>

in understanding what is truly happening in low-density gas, which is so difficult to track observationally.

The best way to compare observations and simulations is to directly compare similar data products. The production of synthetic observations of simulated data sets enables such a comparison by modeling the way light travels through space and into telescopes. Astrophysics has a rich history in producing mock spectra in many contexts, including stars (e.g., Kurucz 1979), the Sun (e.g., Husser et al. 2013), galaxies (e.g., STARBURST99—Leitherer et al. 1999), stellar population synthesis (e.g., FSPS—Conroy et al. 2009), molecular clouds (e.g., RADMC-3D—Dullemond 2012), plasmas (e.g., CLOUDY—Ferland et al. 1998), and dust (e.g., DUSTY—Nenkova et al. 2000). Additionally, there exist a number of open-source Monte Carlo radiative transfer (RT) codes (e.g., SUNRISE—Jonsson 2006; HYPERION—Robitaille 2011) that are potentially applicable to synthetic absorption-line spectroscopy, but they currently lack the line transfer physics necessary to produce absorption features.

A number of works have made use of specialized tools for generating synthetic spectra from simulation data, each designed with the specific features and needs of their own data formats in mind. Examples include SPECXBIN (Oppenheimer & Davé 2006) and SPECWIZARD (Schaye et al. 2003) for GADGET

**Table 2**  
Simulation Codes that TRIDENT Explicitly Supports

Code	Type	Reference
AREPO	Moving Mesh	Springel (2010)
ART-I	AMR	Kravtsov (1999)
ART-II	AMR	Rudd et al. (2008)
ATHENA	AMR	Stone et al. (2008)
CHANGA	SPH	Stinson et al. (2006)
ENZO	AMR	Bryan et al. (2014)
FLASH	AMR	Fryxell et al. (2000)
GADGET	SPH	Springel (2005)
GASOLINE	SPH	Wadsley et al. (2004)
GIZMO	SPH and Meshless	Hopkins (2015)
RAMSES	AMR	Teyssier (2002)

(Springel et al. 2001; Springel 2005); the method of Shen et al. (2013) for GASOLINE (Wadsley et al. 2004); the tools of Churchill et al. (2015) and Liang et al. (2016) for their respective versions of ART (Kravtsov et al. 1997; Kravtsov 1999); the methods of Smith et al. (2011) and Hummels et al. (2013) (early versions of the work presented here) for ENZO (Bryan et al. 2014); and the FAKE\_SPECTRA<sup>5</sup> code (Bird et al. 2015) for AREPO (Springel 2010). These tools span a range of simulation methods, from adaptive mesh-refinement (AMR) to smoothed-particle hydrodynamics (SPH) and moving mesh techniques, and so must work with fundamentally different quantities.

Thus, there is a need for a universal tool for generating mock absorption-line spectra, one that can work with many different simulation code formats. Like a real telescope facility, this virtual telescope is most beneficial when it is publicly available, not used solely by its designers and their collaborators. Such a publicly available universal tool prevents unnecessary duplication of efforts, provides a single resource for members of the scientific community to contribute their specific strengths, ensures fewer bugs and more features, and enables inter-code simulation comparison efforts (e.g., AGORA project—Kim et al. 2014).

## 1.2. Introducing TRIDENT

This paper announces the full public release of TRIDENT, an open-source tool for generating synthetic absorption spectra from astrophysical hydrodynamical simulations. TRIDENT is an object-oriented pure Python library with support for both Python 2 and Python 3. TRIDENT relies on the ability of the YT<sup>6</sup> analysis toolkit (Turk et al. 2011) to ingest simulation data from a vast array of sources and formats for further analysis and processing. As a result, TRIDENT is capable of generating spectra for at least 10 different simulation codes. In addition to spectral creation, TRIDENT provides other analysis tools, such as a method for creating fields of ion densities (e.g., C IV, O VI) from simulation data using various photo- and collisional ionization models. TRIDENT can also operate in parallel using the Message Passing Interface system (MPI, Forum 1994) to scale to many processors and speed up execution (see Appendix A for details).

Members of the scientific community are actively encouraged to use and develop TRIDENT<sup>7,8</sup> as a community code

<sup>5</sup> [https://github.com/sbird/fake\\_spectra](https://github.com/sbird/fake_spectra)

<sup>6</sup> <http://yt-project.org>

<sup>7</sup> <http://trident-project.org>

<sup>8</sup> <https://doi.org/10.5281/zenodo.821220>

according to the Revised BSD License. Table 1 lists locations for various important resources related to TRIDENT, including its documentation, mailing list, and source code repository.

This paper is composed as follows. Section 2 describes the three-pronged approach TRIDENT takes to generating spectra: creating ion fields for the simulation data set (Section 2.2), making sightlines through the data set to sample the relevant fields (Section 2.3), and depositing absorption lines based on the characteristics of gas along the sightline (Section 2.4). Section 3 provides an annotated Python script demonstrating the use of TRIDENT to create a simple spectrum. In Section 4 we perform some tests of TRIDENT, including a comparison with observational data and a curve-of-growth analysis, and we describe some of TRIDENT’s assumptions and limitations. Finally, Section 5 summarizes the highlights and features of the code.

## 2. Code Method

This section describes the algorithms employed by TRIDENT to post-process simulation outputs. It covers a brief discussion of what simulation outputs contain and how TRIDENT interacts with them (Section 2.1); how TRIDENT estimates the concentration of a desired ion when it is absent from the simulation (Section 2.2); how TRIDENT calculates the trajectory of different sightlines (Section 2.3); how TRIDENT produces an absorption-line spectrum (Section 2.4); and how TRIDENT processes this spectrum to make it resemble real observations (Section 2.5).

TRIDENT is an object-oriented software library with its own set of classes and modules. The most important of these are discussed in detail in later sections, but they are provided here as a reference:

1. `ion_balance`: a module used to calculate the density of any atomic ion in a simulated data set.
2. `LightRay`: a class describing a one-dimensional sightline through a simulated data set.
3. `SpectrumGenerator`: a class responsible for creating absorption-line spectra from `LightRay` objects.

For a full description of all classes and their usage in TRIDENT, see the API documentation.<sup>9</sup>

### 2.1. Brief Overview of Simulation Outputs and how TRIDENT and YT Interact with Them

An astrophysical hydrodynamical simulation output represents a three-dimensional volume with a series of scalar and vector fields expressing different fluid quantities for the gas across that volume. Grid codes discretize the gas into a regular grid with elements of fixed volume, oftentimes employing AMR to achieve higher resolution in regions of interest. SPH codes discretize the gas into particles, each representing a parcel of gas with fixed mass, which can be smoothed using a three-dimensional smoothing kernel to achieve a finite size. Moving mesh and meshless codes take a hybrid approach by representing the gas over tessellating fluid elements that change shape as the gas moves. Despite their differences, in all of these code formats, the gas is represented as a series of field elements describing its distribution in position, velocity, density, temperature, metallicity, etc.

TRIDENT was originally developed as an analysis module within the YT framework (Turk et al. 2011), and it consequently inherits the way YT interacts with simulation outputs. For operations that involve sampling fluid values at arbitrary locations, particle fields must be converted into a grid-like structure. YT first creates an underlying octree grid structure that ensures high resolution in regions of high particle density, and then deposits particle-based fluid quantities onto these grid elements using the appropriate smoothing kernel and length. These steps ensure that subsequent analyses can be treated homogeneously across different simulation formats and methods. Note that some operations like calculating ion densities are performed on the particles before the smoothing process.

Because of the close relationship of TRIDENT to YT, many of the features that TRIDENT provides to users, such as the ability to post-process a data set to include density fields for a desired ion, can be further used within the YT framework seamlessly. This enables users to make volumetric projections and slices of these ion fields, create phase plots and probability distribution functions for the presence of arbitrary ions, categorize how fluid quantities change along a line of sight, and more.

In addition, TRIDENT inherits the support of YT for every major astrophysical hydrodynamical code. Table 2 contains a list of the simulation codes that have been tested and confirmed to work with TRIDENT. TRIDENT should function correctly with other YT-supported codes, but appropriate sample data sets were unavailable for testing.

### 2.2. Creating Ion Fields with the `ion_balance` Module

In order to create absorption lines for a given ion, it is necessary that a fluid field representing the density of that ion be present for all computational elements sampled by the sightline or `LightRay`. In some cases, these fields may be explicitly tracked by the simulation with a non-equilibrium chemistry solver. Examples of this include Smith et al. (2011) and Hummels et al. (2013), which follow atomic species of H and He in non-equilibrium, and Cen & Fang (2006), which additionally follows O V through O IX. However, in most cases, tracking additional ion densities within a simulation is computationally prohibitive, and so they must be derived from the available data fields using models that assume ionization equilibrium.

For species not followed by the simulation, the TRIDENT `ion_balance` sub-package creates a new field by defining the density of an ion,  $i$ , of an element,  $X$ , as

$$n_{X_i} = n_X f_{X_i}, \quad (1)$$

where  $n_X$  is the total number density of the element and  $f_{X_i}$  is the ionization fraction of the  $i$ th ion. For simulations that track multiple metal fields, such as those presented by Hopkins et al. (2014),  $n_X$  may already exist in the simulation output. If this is not the case, then `ion_balance` defines  $n_X$  as

$$n_X = n_H Z \left( \frac{n_X}{n_H} \right)_{\odot}, \quad (2)$$

where  $n_H$  is the total hydrogen number density,  $Z$  is the metallicity, and  $(n_X/n_H)_{\odot}$  is the solar abundance by number. If

<sup>9</sup> <http://trident.readthedocs.io/en/latest/reference.html>

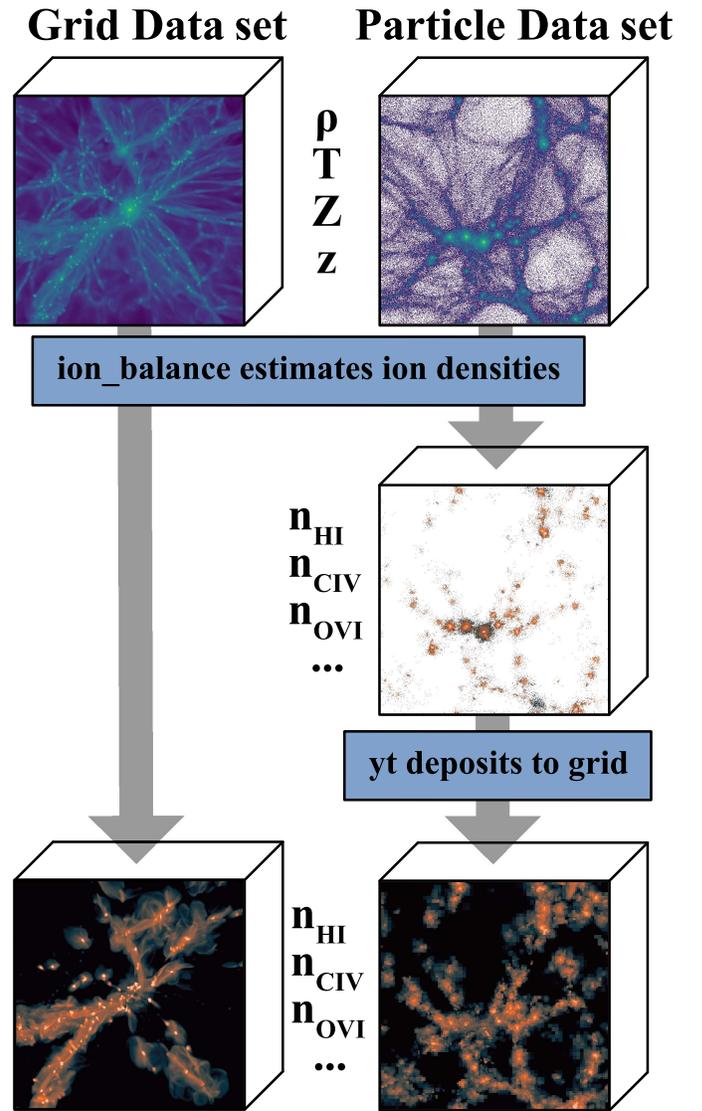
the simulation does not explicitly track the hydrogen number density, we assume it to be given as

$$n_{\text{H}} = \chi \frac{\rho}{m_{\text{H}}}, \quad (3)$$

where  $\rho$  is the total gas density and  $\chi$  is the primordial H mass fraction, for which we adopt a value of 0.76. If desired, `ion_balance` can overwrite a data set's existing ion fields using the `force_override` keyword to ensure consistency in ionization calculations.

Under the assumption of ionization equilibrium, the ionization fraction is a function of temperature, density, and the shape and intensity of the incident radiation field. Currently, `ion_balance` only considers radiation from metagalactic UV background models, such as those of Faucher-Giguère et al. (2009) and Haardt & Madau (2012). In these models, the radiation field is parameterized solely by the value of the redshift, making the ionization fraction a function of just temperature, density, and redshift. Using the code<sup>10</sup> in Smith et al. (2008), we have computed the equilibrium ionization fractions for all the ions and elements through atomic number 30 (i.e., Zn) over a grid of temperature, hydrogen number density, and redshift. These data are generated with a series of single-zone simulations using the photoionization software CLOUDY<sup>11</sup> (Ferland et al. 2013), following the same method used by the GRACKLE chemistry and cooling library (Smith et al. 2017). The resulting data are saved as a three-dimensional lookup table, which is loaded by TRIDENT when needed. Ionization fractions for each ion are then calculated for each computational element by linearly interpolating over these precomputed tables. Currently, TRIDENT provides data tables for the UV backgrounds described by Faucher-Giguère et al. (2009) and Haardt & Madau (2012), but the method is general enough that other backgrounds can be added. For more information demonstrating the accuracy of these tables, see Appendix B.

Figure 2 presents a visual illustration of the way in which the `ion_balance` module generates ion fields for simulated data sets. `ion_balance` can create ion density fields for all computational elements for which density and temperature fields exist. This allows fields created by `ion_balance` to be used independently of spectrum generation, for example, to study the spatial distribution of various ions and their relationship to physical gas quantities, as shown in Figure 3. When creating light rays from grid-based simulation data, the creation of the ion fields is saved for after the light ray is generated, allowing the fields to only be created for the cells in the light ray itself and not the entire simulation domain. Since the three-dimensional interpolation can be computationally expensive when performed for all grid cells in the domain, this results in a significant speed up. However, for particle-based data sets, where particle fields are smoothed onto a grid, we do not take this shortcut. In this case, we first create the ion fields for each particle on the particle itself, and afterward deposit the resulting ion densities onto the corresponding grid cells according to the chosen smoothing kernel. While more time consuming, this avoids errors that may arise by creating ion fields from smoothed density and temperature fields.



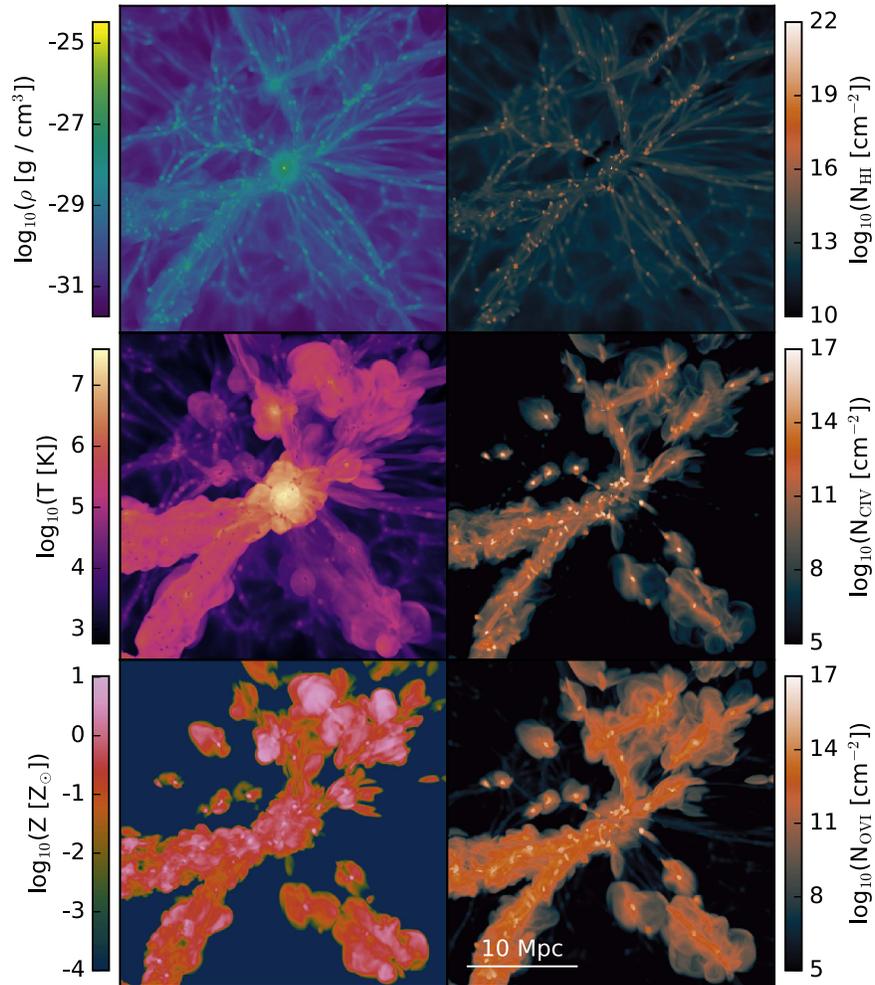
**Figure 2.** Operation of the TRIDENT `ion_balance` module. Grid and particle data sets pass their redshift and fluid quantities (density, temperature, and metallicity) to the `ion_balance` module to estimate the number density of any ions of interest (e.g., H I, C IV, and O VI). These number densities are computed on the original fluid elements, whether grid cell or particle. Additionally, particle-based data sets are deposited onto a grid as an AMR octree using the particle smoothing kernel.

### 2.3. Sightline Creation: the *LightRay* Object

The next step in the process of generating a spectrum is choosing and sampling a line of sight through the simulation data. The user specifies the trajectory of the sightline through the simulation output, as well as the gas fields they wish to sample. Optionally, the user can specify which spectral lines or ionic species they wish to include in any subsequently generated spectrum, and TRIDENT will include the necessary fields. The end product of this step is a *LightRay* object, a set of spatially ordered one-dimensional arrays sampling the the desired fields of the simulation output along the ray's path. The *LightRay* is saved to an HDF5 file (The HDF Group 1997) that can be reloaded by YT as an ordinary data set for the purposes of spectrum generation or direct access for further analysis.

<sup>10</sup> [https://github.com/brittonsmith/cloudy\\_cooling\\_tools](https://github.com/brittonsmith/cloudy_cooling_tools)

<sup>11</sup> <http://nublado.org/>



**Figure 3.** Projections of a  $1536^3$  cosmological ENZO data set aimed at probing the nature of the intergalactic medium, similar to those presented by Smith et al. (2011). Each projection shows a region of the box that is 30 Mpc on a side and 5 Mpc deep. The region is centered on a halo in the simulation that was identified using the ROCKSTAR halo-finder Behroozi et al. (2012). The halo has a mass of  $1.9 \times 10^{14} M_\odot$  and a virial radius of 1.5 Mpc. From top to bottom, the left panels show density, temperature, and metallicity, while the right panels show the projected number densities (effective column densities) of H I, C IV, and O VI. The ion number densities were computed using the `ion_balance` module in TRIDENT.

These “light rays” make use of the `YT ray` data container, which takes a start and end point and returns field values for all computational elements intersected by the ray’s trajectory through the data set. For grid-based simulation codes, these computational elements are simply the highest-resolution grid cells of the Eulerian mesh along the line of sight. As described in Section 2.1, particle-based codes have their particles deposited to a grid by first smoothing the particles into an octree mesh to create gridded Eulerian fluid fields. In this case, the computational elements returned by the `LightRay` are these octree cells.

In addition to sampling the fields specified by the user, the `LightRay` creates some special fields for further processing. For each line element along the `LightRay`, TRIDENT calculates and records its `dl`, path length; `dredshift`, cosmological redshift interval; `redshift`, cosmological redshift; `velocity_los`, line-of-sight velocity; `redshift_dopp`, Doppler redshift; and `redshift_eff`, effective redshift. Here we derive all of these quantities.

Let us define the sightline of a `LightRay` vector  $\mathbf{l}$  passing through a single data set from point  $a$  to point  $b$ , where the observer sits at point  $b$ . We can think of it as a collection of  $n$

individual line elements  $d\mathbf{l}$ :

$$\mathbf{l} = \mathbf{r}_b - \mathbf{r}_a = \sum_{i=0}^n d\mathbf{l}_i. \quad (4)$$

Field values along the light ray are the values within the grid or octree cell intersected by the ray trajectory. The path length,  $d\mathbf{l}_i$ , is the vector intersection of the ray with the cell.

$$d\mathbf{l}_i = |d\mathbf{l}_i|. \quad (5)$$

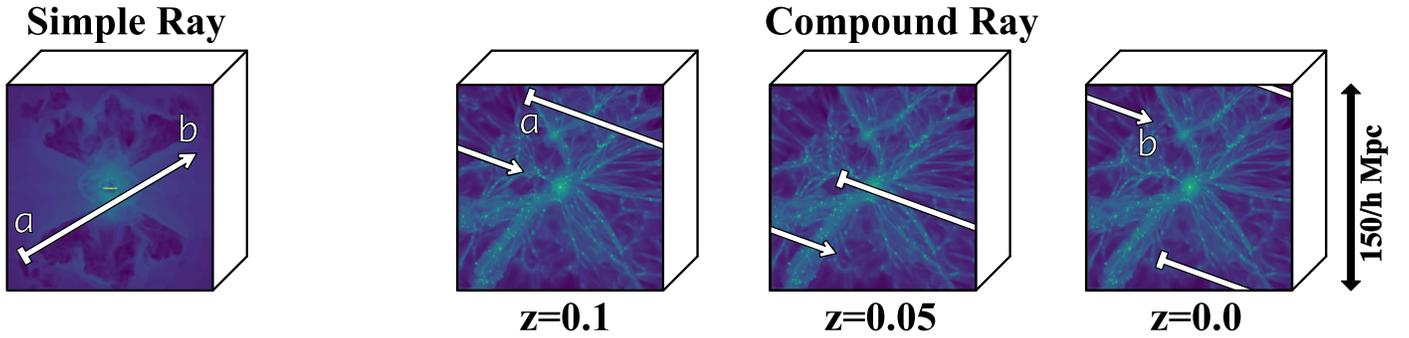
We assume a smooth Hubble expansion between points  $a$  and  $b$  in the ray such that their separation in redshift is the comoving radial distance (Hogg 1999), given by

$$l = D_H \int_{z_a}^{z_b} \frac{dz'}{E(z')}, \quad (6)$$

where

$$E(z) = \sqrt{\Omega_M(1+z)^3 + \Omega_k(1+z)^2 + \Omega_\Lambda}, \quad (7)$$

$D_H$  is the Hubble distance, and  $\Omega_M$ ,  $\Omega_k$ , and  $\Omega_\Lambda$  are the ratios of mass density, spatial curvature density, and vacuum density, respectively, to the critical density of the universe. Since the simulation data provide  $l$ , the comoving radial distance



**Figure 4.** Methods by which `LightRay` objects are generated to represent a sightline path from point  $a$  to point  $b$  (the observer). Left: simple rays are defined by a start point and end point for a single data set output. Right: compound rays contain path lengths longer than the width of a single data set by continuing the ray path over periodic boundary conditions and consecutive outputs.

between  $a$  and  $b$ , and we require  $z_a - z_b$ , the redshift difference between  $a$  and  $b$ , we must invert Equation (6). Since there is no simple analytical form, we use Newton’s method to iteratively calculate the redshift interval between the points, taking the redshift of the simulation as the redshift at the *far* point  $a$ .

Now that we know the redshift interval connecting points  $a$  and  $b$  within our simulation output, we assume that the cosmological redshift interval of a line element is linearly related to its path length:

$$dz_i = \frac{dl_i}{l}(z_b - z_a). \quad (8)$$

This redshift interval estimate is a good approximation on the small path lengths within an individual data set. This also allows us to calculate the cosmological redshift for the  $i$ th `LightRay` element as

$$z_i = z_b + \sum_{j=i}^n dz_j. \quad (9)$$

When generating spectra, the observer cannot discern between an absorber redshift due to cosmological expansion or one due to the absorber’s motion along the line of sight. Thus, by default, TRIDENT also includes the effects of Doppler redshift that are due to radial motions of the gas. The line-of-sight velocity for a `LightRay` element is defined as

$$v_{\text{LOS},i} = \mathbf{v}_i \cdot d\mathbf{l}_i = v_i dl_i \cos(\theta_i), \quad (10)$$

where  $v_i$  is the local gas velocity field in the cell and  $\theta_i$  is the angle between the line of sight and the gas velocity vector. The local velocity field enables us to calculate the Doppler redshift  $z_{\text{dopp},i}$  of a `LightRay` element as

$$1 + z_{\text{dopp},i} = \frac{1 + \frac{v_i}{c} \cos(\theta_i)}{\sqrt{1 - \left(\frac{v_i}{c}\right)^2}}, \quad (11)$$

where  $c$  is the speed of light. The *effective* redshift, the redshift used to modify the location of spectral absorption lines, for each element of the ray is then given as a combination of its cosmological and Doppler redshifts (Peebles 1993) as

$$1 + z_{\text{eff},i} = (1 + z_{\text{dopp},i})(1 + z_i). \quad (12)$$

`LightRay` generation is divided into two use cases: simple rays, and compound rays. Aside from the differences in generating their trajectories, these objects have similar internal structures and are treated the same by the rest of the TRIDENT machinery.

### 2.3.1. Simple Rays: Rays Traversing a Single Data Set

Simple rays are defined for use with a single simulation output at a fixed point in time. The primary use case for simple rays is the creation of spectra from targeted physical structures, such as a specific galaxy at a particular redshift. To generate a simple ray, the user must specify the simulation output data set and the starting and ending locations of the ray in the data set volume. TRIDENT uses the simulation data set’s redshift as the redshift at the back of the ray (location  $a$ ), and increments it forward along its path to the user according to the method described in Equations (6)–(8). For non-cosmological simulation outputs, TRIDENT defaults to using a redshift of zero, but the user can specify any desired value. Figure 4 illustrates a simple ray object traversing a simulation data set.

### 2.3.2. Compound Rays: Rays Traversing Multiple Data Sets

Synthetic spectra that resemble those arising from real QSO sightlines require light rays that are many times longer than the box size of typical cosmological simulations. For example, a comoving radial distance of  $150 \text{ Mpc } h^{-1}$  at  $z = 0$  corresponds to a change in redshift of only  $\sim 0.05$ . Even with a larger box size, using a single data set to generate a spectrum that probes the material between a distant QSO and an observer would be inappropriate as it would fail to capture the temporal evolution of structure occurring over the light travel time within a single simulation output.

In order to create light rays spanning cosmological distances, TRIDENT splices together ray segments from multiple data sets written at different redshifts of the simulation. This process was first described by Smith et al. (2011). To create these compound light rays, the user must provide the parameter file of the original simulation as well as the desired start and end redshift. Machinery within YT determines the redshifts of all data sets from information stored in the simulation parameter file. Using this layout and the framework described in Equations (6)–(8), we calculate the precise data sets and path length through each data set required to span the desired redshift range. The process for this is similar to that described in Section 2.3.1 in that we calculate the change in redshift equivalent to traversing the entirety of the box at the redshift of any given data set. The full compound ray is then constructed by piecing together the line segments from the required data sets, as illustrated in Figure 4. By default, these segments are chosen at random locations and trajectories within the box to avoid probing the same structures multiple times at different redshift. If desired, the user has the option of maintaining a single constant trajectory (as in Figure 4),

where the end point of one segment is used as the start point for the next to avoid spatial discontinuities.

#### 2.4. Using *SpectrumGenerator* to make Spectra

The `SpectrumGenerator` class contains all of the machinery to create absorption-line spectra from the `LightRay` objects. In order to instantiate a `SpectrumGenerator`, `TRIDENT` needs some information about the characteristics of the spectrograph modeled. These details include the desired wavelength range, the size of individual wavelength bins, and optionally the line spread function (LSF) of the spectrograph. Users can create their own custom spectrographs or select one of the existing presets, like observing mode G130M of the Cosmic Origins Spectrograph (COS) on board the *Hubble Space Telescope* (*HST*).

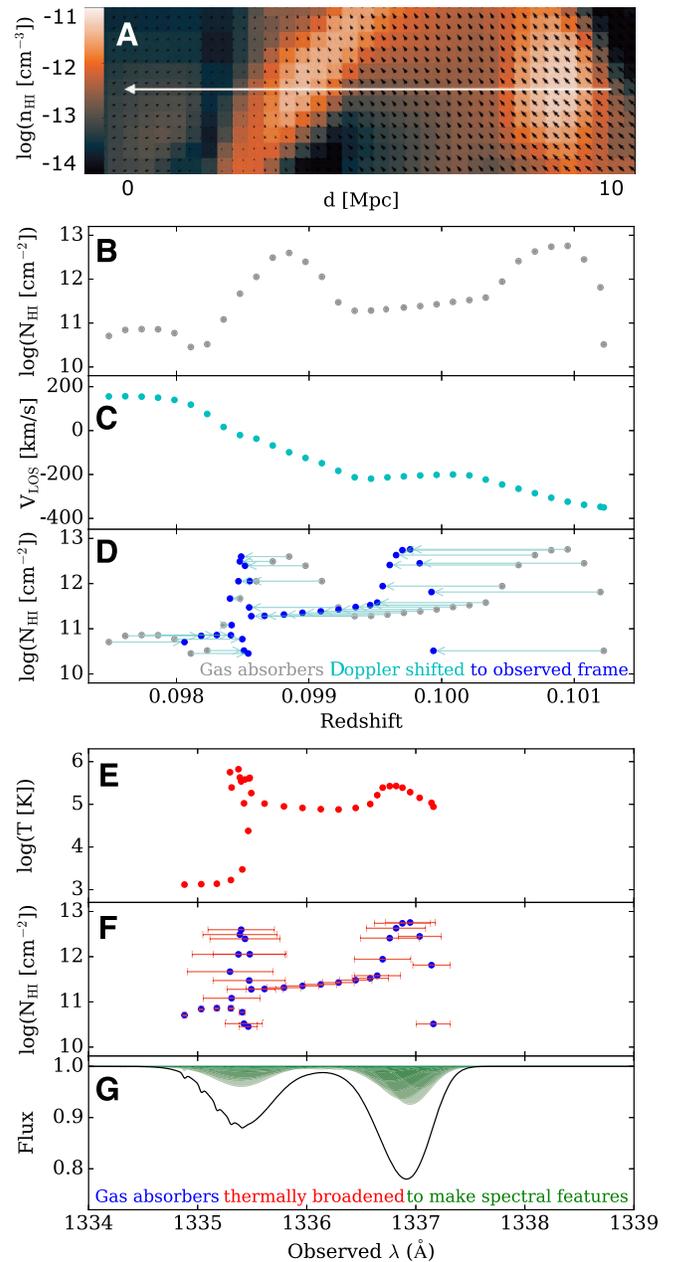
In addition, users must provide the details of the absorption lines they wish to observe in their data sets. For a given absorption line to be modeled, `TRIDENT` needs information about the corresponding quantum transition including its source ion, wavelength  $\lambda$ , oscillator strength  $f_{\text{val}}$ , and probability of transition  $\Gamma$ . `TRIDENT` includes a list of 220 absorption lines frequently used in CGM and IGM studies in the UV and optical (line data extracted from NIST<sup>12</sup> using `AstroQuery` package<sup>13</sup>) (Sipocz 2016), but users can easily add their own or subsample this list.

We recall that the `LightRay` object consists of a series of one-dimensional arrays of different fields (e.g., temperature, density, path length,  $n_{\text{H I}}$ , etc.) along its trajectory through the simulation volume. Before creating the spectrum, `SpectrumGenerator` ensures that all of the necessary ion density fields are present on the ray object needed to calculate optical depths for the desired absorption lines. If they are not present, `ion_balance` constructs them on the `LightRay` object itself using the gas fields. `SpectrumGenerator` multiplies the `d1` (path length) field against each of the relevant ion number density fields to produce an array of ion column densities, corresponding to the column density of each ion for each parcel of gas intersected by the ray.

Finally, `TRIDENT` steps through the ray object from back to front, depositing Voigt profiles for each encountered absorber at the appropriate wavelength for each of the requested lines. The wavelengths are shifted appropriately to account for the *effective* redshift of the absorber (see Section 2.3). `TRIDENT` will add Lyman continuum absorption features for any neutral hydrogen source it encounters, each operating as an opacity source below 912 Å in the rest frame with optical depth approximated as a power law  $\tau \propto \lambda^3$  (Rybicki & Lightman 1979). Once it has passed through the entirety of the ray and looped over each desired absorption line, it calculates the flux array from the optical depth array as flux  $f = e^{-\tau}$ , inherently assuming that the only variations in the flux array are due to the absorption of measured species present in the ray.

Figure 5 illustrates the process by which the `SpectrumGenerator` produces a Ly $\alpha$  absorption feature from a sightline passing through a low-resolution simulation volume. This figure clearly depicts how physical structures can be traced directly to features in the final spectrum based on density, temperature, and velocity data.

The resulting spectrum can subsequently be post-processed to make it resemble realistic telescopic data (see Section 2.5),

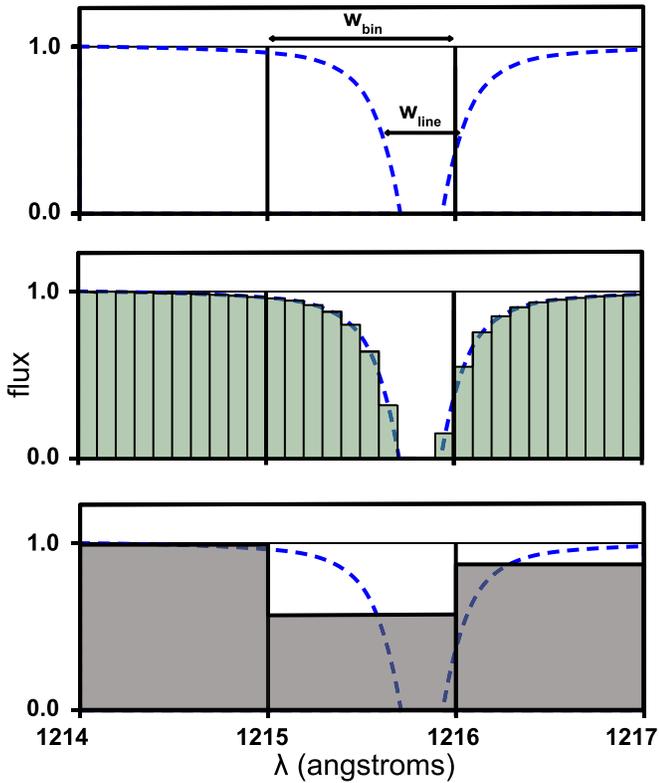


**Figure 5.** Physical structures traced to spectral features as `TRIDENT` generates a spectrum. Begin at the top and travel downward (A)–(G), noting vertical alignment between plots. (A) A 2D slice in neutral hydrogen density taken from a low-resolution simulation of some cosmological filaments. Black arrows represent the gas velocities, and a white arrow indicates the 10 Mpc long `LightRay` sightline passing through the slice to the observer on the left. (B) Individual points represent gas cells probed by the sightline, plotted as cosmological redshift vs. neutral hydrogen column density. (C) Line-of-sight velocity for each gas cell along the `LightRay`. (D) Applying a Doppler shift from the line-of-sight velocity shifts the location of each gas absorber into *effective* redshift, the frame of the observer. (E) In this new effective redshift frame, equivalent to  $(1+z)1216$  Å for the Ly $\alpha$  transition, the temperature of each gas cell is plotted vs. observed wavelength. (F) Points indicate the H I column density in this effective redshift frame with thermal widths determined from gas temperature denoted as red error bars. (G) Voigt profiles calculated for each gas cell for the Ly $\alpha$  transition in green, superimposing to the black profile, the spectral feature seen by the observer.

or it can be saved to disk as is. `TRIDENT` supports saving spectra as tab-delimited text files, as HDF5 files, or as FITS files. `TRIDENT` also contains a sophisticated plotting routine built on

<sup>12</sup> <https://www.nist.gov/pml/atomic-spectra-database>

<sup>13</sup> <https://astroquery.readthedocs.io>



**Figure 6.** Subgrid deposition method. When absorption features are narrower than the spectral bin width, TRIDENT creates an array of virtual bins at higher spectral resolution to ensure flux conservation of unresolved features. Top: depositing a narrow Ly $\alpha$  feature (dashed blue line) into a spectrum with coarse 1 angstrom spectral bins  $w_{\text{line}} < w_{\text{bin}}$ . Middle: TRIDENT creates an array of virtual bins with 0.1 angstrom resolution (green bins) into which we deposit the Voigt profile to approximate the flux deficit of the line. Bottom: we numerically integrate the area of the virtual bins to calculate the equivalent width of the unresolved spectral line on our original coarse bins (gray bins).

top of MATPLOTLIB (Hunter 2007) for plotting the spectrum in various ways quickly and easily.

#### 2.4.1. Voigt Profile Calculation

A spectral absorption line is caused by an atom or ion absorbing incident light of a particular energy in order to boost itself to a higher quantum energy state. In an ideal environment with a single particle, the result is an absorption line consisting of a perfect delta function at the wavelength corresponding to the energy of the difference between the particle’s quantum states. However, in practice there are a number of processes that broaden this delta function based on the characteristics of the gas. The two most important processes are Doppler broadening due to the velocity distribution of the gas particles, and pressure broadening caused by the collisions of the gas particles against each other. Doppler broadening is well described by a Gaussian function, whereas pressure broadening can be modeled with a Lorentzian function. The convolution of these two functions is called the Voigt profile, and it is commonly used to model spectral line profiles, yielding a value for the optical depth  $\tau$  at different wavelengths. For reference, Section 4.2 demonstrates the Voigt profile shape at various spectral line strengths.

The Voigt profile in TRIDENT is calculated consistent with the method described in Hill (2016) reproduced in part here. The Voigt profile  $V(x, \sigma, \gamma)$  is the convolution of the Gaussian profile  $G(x, \sigma)$  and the Lorentzian profile  $L(x, \gamma)$ , where

$x = \nu - \nu_0$ , the range of frequencies relative to the line center frequency,  $\sigma$  is the standard deviation of the Gaussian, and  $\gamma$  is the half-width at half-maximum of the Lorentzian,

$$G(x, \sigma) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left(\frac{-x^2}{2\sigma^2}\right), \quad (13)$$

$$L(x, \gamma) = \frac{\gamma/\pi}{x^2 + \gamma^2}, \quad (14)$$

$$V(x, \sigma, \gamma) = \int_{-\infty}^{\infty} G(x', \sigma)L(x - x', \gamma)d\lambda'. \quad (15)$$

Let us adopt  $\lambda$  as our independent variable instead of  $x$ , since TRIDENT operates in wavelength space. The Voigt profile possesses no closed form, but it can be numerically calculated as

$$V(\lambda, \sigma, \gamma) = \frac{\Re[w(z)]}{\sigma\sqrt{2\pi}}, \quad \text{where } z = \frac{u + ia}{\sigma\sqrt{2}}, \quad (16)$$

and  $w(z)$  is the Faddeeva function, a scaled complex complementary error function (Poppe & Wijers 1990), defined as

$$w(z) = \exp(-z^2) \left(1 + \frac{2i}{\sqrt{\pi}} \int_0^z e^{-t^2} dt\right). \quad (17)$$

The complex components of  $z$  consist of  $u$ , our range of wavelengths relative to line center, and our damping parameter  $a$ :

$$u = c \left(\frac{\lambda_0}{\lambda} - 1\right) \text{ and } a = \frac{\Gamma\lambda_0}{4\pi}, \quad (18)$$

where  $c$  is the speed of light,  $\lambda_0$  is the central wavelength of the Voigt profile, and  $\Gamma$  is the sum of the transition probabilities (i.e., Einstein A coefficients) for the ionic transition.

This optical depth,  $\tau$ , for a line is calculated by scaling the resulting Voigt profile by the peak optical depth  $\tau_0$  at the center of the spectral line (Armstrong 1967),

$$\tau(\lambda, \sigma, \gamma) = \tau_0 V(\lambda, \sigma, \gamma) \quad (19)$$

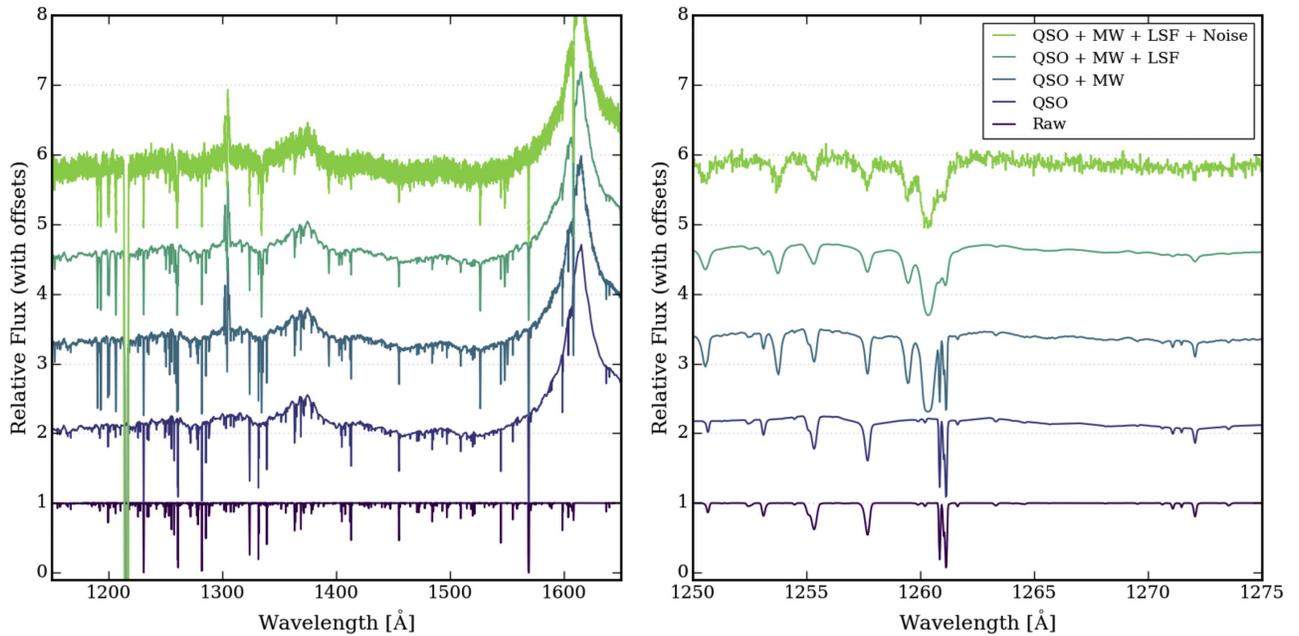
$$\tau_0 = \frac{\pi e^2 N f_{\text{val}} \lambda_0}{m_e c}, \quad (20)$$

where  $e$  and  $m_e$  are the charge and mass of the electron,  $c$  is the speed of light,  $N$  is the absorber’s column density, and  $f_{\text{val}}$  is the oscillator strength of the ionic transition.

#### 2.4.2. Voigt Profile Deposition

Each time a spectral feature is added, TRIDENT identifies the wavelength bin where its deposition will be centered. However, because there is no closed form for the Voigt profile, it is difficult to know a priori how wide a spectral absorption feature will extend in wavelength space. Therefore, TRIDENT adaptively increases the size of the window over which it deposits the spectral feature, sampling the Voigt profile at the center of each bin location in the spectral window. TRIDENT repeats this operation until the window is wide enough that the deposited  $\tau$  values at the edges of the window are lower than  $10^{-3}$  before depositing the entire Voigt profile into the spectrum.

On the other hand, spectral features that are too small, narrower than the chosen wavelength bin width, would be ignored by the algorithm and lost since the `SpectrumGenerator` only calculates the Voigt profile at the centers of each wavelength bin. Ignoring these narrow features leads to the



**Figure 7.** From bottom to top, we show the progression of an increasingly complex synthetic absorption spectrum from a clean, raw spectrum to one with all of the components described in Section 2.5. The spectral components are added in the following order: composite quasar spectrum (QSO), Milky Way foreground (MW), line-spread function (LSF), and noise. The left panel shows the entirety of the generated spectrum, while the right panel shows a zoomed-in region of the spectrum to allow showing the significance of the post-processed modifications of the original spectrum. The light ray used for this spectrum comes from a 1536<sup>3</sup> cosmological ENZO data set aimed at probing the nature of the intergalactic medium and traverses a range in redshift from  $z = 0.0$  to  $z = 0.03295$ . It includes a set of common UV spectral lines.

total  $\tau$  and flux being dependent on the chosen wavelength bin width, which is inherently unphysical.

To address this problem, TRIDENT performs subgrid deposition when it recognizes that the thermal width of a spectral line is narrower than the spectral bin width in `SpectrumGenerator`. Subgrid deposition creates an array of virtual spectral bins, each smaller than one-tenth of the thermal width of the spectral feature. TRIDENT deposits the Voigt profile to these virtual bins, and then numerically integrates them to determine the equivalent width of the spectral line at the original low-resolution wavelength bin. This process conserves  $\tau$  and total flux regardless of the wavelength bin width used in the output spectrum. The process of subgrid deposition is illustrated in Figure 6.

### 2.5. Post-processing the Spectrum

When a “raw” spectrum has been generated, additional levels of complexity can be added by TRIDENT. These additional features are intended to produce progressively more realistic synthetic spectra that can be directly compared to observational data sets using the same analysis tools employed by observers. Figure 7 illustrates how TRIDENT can post-process a raw spectrum to make it more realistic according to the steps below.

First, in order to make comparisons with observational quasar sightlines, the most basic spectrum modification includes the addition of an underlying quasar spectrum at a desired redshift, usually the far redshift  $z_a$  of the `LightRay`. This is accomplished by taking the composite QSO spectrum<sup>14</sup> calculated by Telfer et al. (2002), shifting it to the desired redshift, and computing an interpolated relative flux as a function of wavelength. This interpolated and shifted spectra is then multiplied by the raw spectrum to add the effects of the background quasar. To further approach realism with our synthetic spectrum, we can also

introduce spectral features that are due to foreground contamination from the Milky Way (MW). Similar to the method introduced for adding a background QSO spectrum, instead we use the average MW foreground<sup>15</sup> computed by Danforth et al. (2016).

When a spectrum is produced that contains all of the desired observational signatures, it can be further modified by applying a set of instrument-specific properties, as suggested in Section 2.4. First, to most accurately match the desired instrument, the initial spectrum uses the known pixel resolution of the instrument for the wavelength bin size, then, in this post-processing step, the spectrum is convolved with the LSF of the specified instrument. TRIDENT can currently convolve TopHat and Gaussian kernels with its spectra, and it additionally accepts custom kernels. In the case of mimicking COS, we convolve the spectrum with an average LSF computed for the kernel of each observing mode.<sup>16</sup> Furthermore, TRIDENT readily allows for the addition of other instrument properties through its built-in `Instrument` class.

Finally, we allow for the addition of Gaussian random noise. For a specified value of the signal-to-noise ratio (S/N), random fluctuations drawn from a Gaussian distribution are added to the spectrum. Alternatively, an arbitrary noise vector can be supplied by the user. The resulting spectrum is as realistic as possible.

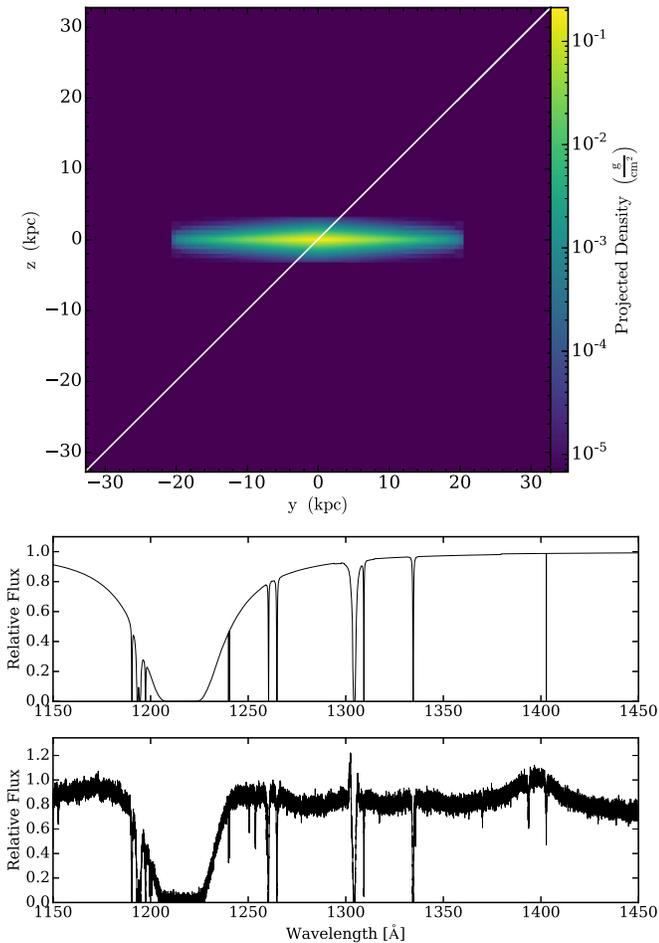
### 3. Demonstration: How to Run TRIDENT

Here we provide an annotated example Python script for a common use-case of TRIDENT. This script generates a COS spectrum of a sightline passing through the center of an ART-II data set. This script and others that are similar can be found in our documentation to guide through the process with different simulation codes.

<sup>14</sup> <http://www.pha.jhu.edu/~rt19/composite/>

<sup>15</sup> <https://archive.stsci.edu/prepds/igm/>

<sup>16</sup> [http://www.stsci.edu/hst/cos/performance/spectral\\_resolution/](http://www.stsci.edu/hst/cos/performance/spectral_resolution/)



**Figure 8.** Resulting images from our annotated demonstration. Top: density projection of an isolated galaxy simulation taken from the AGORA team showing the path of `LightRay`. Middle: raw spectrum of our `LightRay`, featuring lines from H, Si, Mg II, and the C II 1335 Å line. Bottom: final post-processed spectrum, also including quasar background, MW foreground, COS line spread function, and an S/N of 30.

First, we load the relevant Python modules of YT and TRIDENT. We set the data set filename and load the data set into YT. The data set used is the publicly available<sup>17</sup> initial output of an ART-II run of an isolated galaxy used in an AGORA paper (Kim et al. 2016), assumed to be at redshift of 0. We define the trajectory of our `LightRay` sightline to cross the full domain of our simulation, and additionally define which lines, ions, or atoms we wish to include in our spectrum. TRIDENT is extremely flexible in terms of which lines we can include. Here we will include all lines produced by all ions from hydrogen and silicon, singly ionized magnesium (Mg II), and the 1335 Å line from singly ionized carbon (C II).

```
import yt
import trident as tri
fn = 'AGORA_LOW_000000.art'
ds = yt.load(fn)
ray_start = ds.domain_left_edge
ray_end = ds.domain_right_edge
line_list = ['H', 'Si', 'Mg II', 'C II 1335']
```

Now, we create a sightline through the data set, using the trajectory and field requirements we defined. We will save it to disk as `ray.h5` as well as use it locally. Note that we have set our `ftype` keyword to `'gas.'` This is the YT-based field type indicating where TRIDENT should do the ion\_balance calculations. Here we set it to `'gas'` because this is an ART-II data set and AMR codes should make the ion interpolations on the grid, denoted by `'gas.'` However, for SPH data sets, the interpolation must occur on the particle itself before being smoothed to the grid. Thus one would set `ftype` to be the field type associated with the frontend's gas particles (e.g., `PartType0` for GADGET and GIZMO, `Gas` for GASOLINE, etc.).

```
ray = tri.make_simple_ray(
    ds,
    start_position = ray_start,
    end_position = ray_end,
    lines = line_list,
    ftype = 'gas')
```

We can then examine the path of our sightline through the simulated volume by using YT's functionality to create an image of our simulated volume down the `x`-axis in projected gas density, zoom-in on the center, overplot the path of the ray on our projection, and save it to disk.

```
p = yt.ProjectionPlot(ds, 'x', 'density')
p.annotate_ray(ray)
p.zoom(20)
p.save('projection.png')
```

From this `LightRay` object we just created, we will generate an absorption spectrum using the defaults associated with the COS instrument on board HST. This sets things according to the G130M observing mode where the spectral range is 1150–1450 Å, the spectral bin size is 0.01 Å, and the appropriate LSF is applied. The user could easily define their own instrument with arbitrary settings. This raw spectrum is now saved to a tab-delimited text file, and the spectrum is plotted to an image.

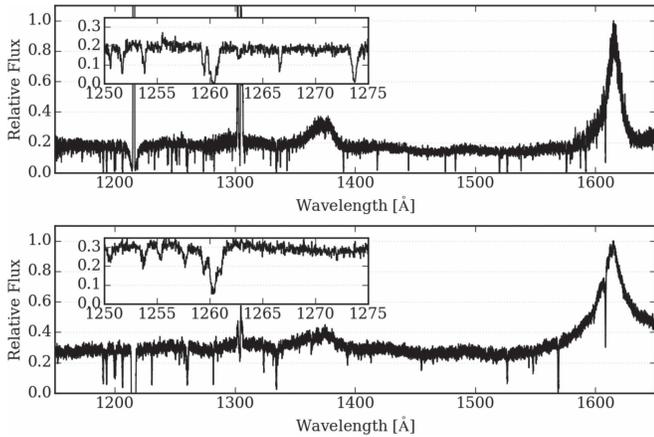
```
sg = tri.SpectrumGenerator('COS')
sg.make_spectrum(ray, lines = line_list)
sg.save_spectrum('spec_raw.txt')
sg.plot_spectrum('spec_raw.png')
```

Last, we perform some post-processing to the resulting spectrum, adding in a background quasar and the MW foreground, applying the defined LSF to it, and adding Gaussian noise with an S/N of 30. These steps are performed to make our data as much like spectra an observer would obtain through a real spectrograph. We then plot and save the “final” spectrum.

```
sg.add_qso_spectrum()
sg.add_milky_way_foreground()
sg.apply_lsf()
sg.add_gaussian_noise(30)
sg.plot_spectrum('spec_final.png', step = True)
```

Figure 8 displays the three images that are generated by this working script, showing the path of the `LightRay` sightline as it probes the isolated disk galaxy, the raw spectrum, and the post-processed COS-like spectrum. While this data set and script are

<sup>17</sup> [http://trident-project.org/data/sample\\_data](http://trident-project.org/data/sample_data)



**Figure 9.** Top: COS spectrum for a QSO at  $z = 0.3295$  from data made available by Danforth et al. (2016) Bottom: TRIDENT synthetic spectrum for a QSO at  $z = 0.3295$  using a light ray from an  $1536^3$  cosmological ENZO data set similar to those presented in Smith et al. (2011). Both of the inset plots show the same zoomed-in region of wavelength space for each spectrum.

extremely simple, we are able to reproduce the spectrum of a damped Ly $\alpha$  absorber with several accompanying lines, including some silicon and oxygen lines. Because this script and data set are freely available, we encourage readers to reproduce this result on their own.

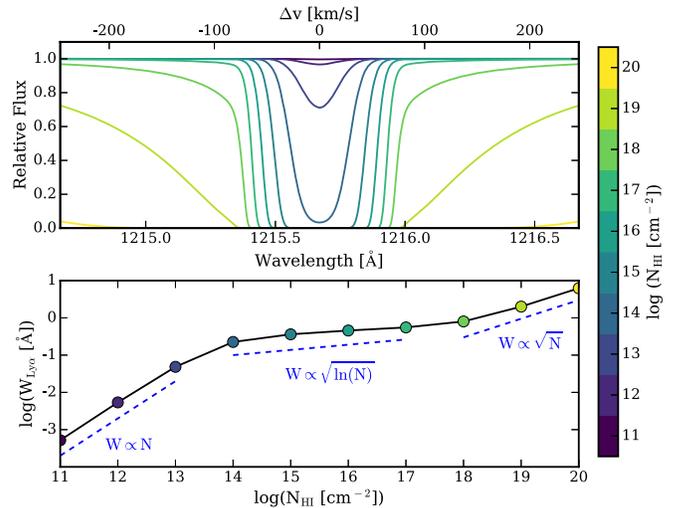
## 4. Discussion

### 4.1. Comparison with Real Spectra

We can assess how well TRIDENT creates synthetic spectra by making a direct comparison against equivalent observational data. IGM LightRays are generally compound rays, sightlines that pass through several simulation outputs to create a long enough trajectory to reach high-redshift sources. Figure 9 compares a publicly available QSO spectrum from Danforth et al. (2016) to a synthetic spectrum from outputs of a simulation similar to those of Smith et al. (2011). For the purposes of this comparison, the synthetic spectrum is generated using the instrument properties of COS to match the observational characteristics of the true spectrum. As can be seen, the spectra are similar in shape, in the location of major features, and in the locations of many spectral lines. Subsequent analyses can be performed using automated Voigt profile fitting algorithms (e.g., Davé et al. 1997; Egan et al. 2014) to extract the “observed” properties of the the simulated IGM. Taken a step further, TRIDENT-generated spectra like the one in Figure 9 readily enable the creation of community tools like the MAST Interface to Synthetic Telescopes with yt (MISTY; Peeples 2014), a public simulation-to-archive pipeline that simplifies the process of accessing and interacting with synthetic spectra.

### 4.2. Code Test: Curve of Growth

Because of the extreme conditions found in the low-density astrophysical environments probed by absorption-line spectra, it is very challenging to make explicit tests to ensure that TRIDENT exactly reproduces the spectra from experimental data. However, one viable test is to demonstrate how well TRIDENT reproduces the so-called *curve of growth*, the relationship between an ion’s column density and its resulting absorption strength. The curve of growth is a well-studied problem with a clear empirically derived physical solution relating the equivalent width  $W$  of a



**Figure 10.** Top: Voigt profiles of the Ly $\alpha$  line for different neutral hydrogen column densities at  $T = 30,000$  K ( $b = 22$  km s $^{-1}$ ) as produced by TRIDENT. Bottom: corresponding curve of growth describing the relationship between the column density of each line and the line equivalent width. Dashed lines indicate the linear (left), saturated (center), and logarithmic (right) portions of the curve of growth as reproduced by TRIDENT.

spectral absorption line to the number density  $N$  of that ion along the probed sightline.

As described in Section 2.4.1, absorption-line shapes follow a Voigt profile, a combination of the relatively narrow Gaussian profile with the relatively wide Lorentzian profile. The complex shape of the Voigt profile leads to a nonlinear relationship between the column density of an absorber and its corresponding spectral line strength. Observers commonly use the equivalent width of a spectral line  $W = \int (1 - f_\lambda/f_0) d\lambda$  as a proxy for its strength. In Figure 10, we have plotted several Ly $\alpha$  absorption features deposited at various neutral hydrogen column densities at a Doppler parameter of  $22$  km s $^{-1}$  on top, and the resulting curve of growth indicating their corresponding equivalent widths on bottom.

In the optically thin limit, when an absorption line does not block out all of the flux at a given wavelength, it is said to be in the “weak” or “linear” regime of the curve of growth. The Voigt profile approximates a Gaussian, where increases in column density cause proportional increases in the equivalent width of the absorption line. The first three shallow absorption features ( $N_{\text{HI}} = 10^{11}$ – $10^{13}$  cm $^{-2}$ ) all sit within the “linear” regime of the curve of growth where  $W \propto N$ .

As the absorption features increase in strength and become opaque enough to *saturate* and block out all flux at a given wavelength, increases in column density are met with negligible increases in the line equivalent width. Because the Voigt profile is still dominated by the Gaussian profile, increases in column density increase the depth of the line only slightly. This regime is termed “flat” or “saturated,” and it is visible in Figure 10 as the middle four absorption features ( $N_{\text{HI}} = 10^{14}$ – $10^{17}$  cm $^{-2}$ ) where  $W \propto \sqrt{\ln N}$ .

Finally, very strong lines start to behave more like a Lorentzian profile, where the wings block increasing amounts of flux in surrounding wavelengths. This regime is referred to as “strong” or “damped.” The growth of the equivalent width is slow in this regime and only increases with the square root of the column density. The “damped” lines are the three strongest lines

in Figure 10 for absorption features ( $N_{\text{H I}} = 10^{18} - 10^{20} \text{ cm}^{-2}$ ) where  $W \propto \sqrt{N}$ .

The behavior of the curve of growth in TRIDENT perfectly reproduces the textbook case, thereby validating these aspects of TRIDENT’s operation.

### 4.3. Limitations of TRIDENT and its Data Tables

#### 4.3.1. Limitations of TRIDENT

While the availability of a code like TRIDENT is a benefit to the astrophysical community, it has several limitations that should be noted when using it and interpreting its results for scientific research. Many of the limitations listed below can be addressed in future versions of the code or by including more detail in the underlying simulations themselves before using TRIDENT.

*TRIDENT does not perform full RT on the simulation.* An RT code approximates how electromagnetic waves propagate between all of the emitters and absorbers in a simulated volume over various wavelength photons. RT codes can produce very realistic photometry, spectroscopy, and IFU data, but they are computationally expensive to run at comparable resolution to observational data, and oftentimes, they lack relevant physics (e.g., line transfer). TRIDENT only tracks the absorption effects along the desired sightline, but it is fast and possesses a number of additional features lacking in most RT codes, making it a good complement to RT analyses.

*SPH codes deposit ion fields to a grid before sightline integration.* Because TRIDENT operates as an extension of YT, it inherits YT’s treatment of particle-based codes. At present, YT converts particle-based codes outputs into a grid-based format in order to leverage the extensive framework of YT for processing and analyzing grid data. The process is performed conservatively, depositing the particles to an adaptive grid using a *scatter* operation at the cell centers to preserve the inherent dynamic resolution of the data set and use the unique smoothing kernel of the original simulation code for the deposition. Furthermore, the ionic abundance calculations of *ion\_balance* (see Section 2.2) take place on the particles themselves before their deposition to the grid. Most particle-based absorption-line synthetic spectral generators (e.g., Oppenheimer & Davé 2006) calculate the column density of a sightline by directly integrating its trajectory through the smoothing kernel of each intersected SPH particle. Preliminary analysis indicates agreement between this traditional SPH integration method and the grid-based method adopted by TRIDENT to  $< \sim 10\%$  (B. Dong et al. 2017, in preparation). Therefore, the grid-based treatment of SPH particles is not a limitation per se, but it requires explanation. The next version of TRIDENT, expected to be out by end of 2017, will incorporate particle kernel direct integration consistent with other particle-based spectral generation codes.

#### 4.3.2. Limitations of the Current *ion\_balance* Data Tables

It is impossible to calculate the exact abundance of a given ion by simply knowing the instantaneous gas density, temperature, and metallicity fields, but TRIDENT estimates this fairly well. However, there are several assumptions built into the currently available data tables used by the *ion\_balance* module to approximate ionic species abundances. We recall that *ion\_balance* operates by plugging the density, temperature, and radiation field (vis-a-vis redshift) of a gas parcel into a three-dimensional data table to

interpolate and determine the relative abundance of its desired ionic species. The lookup table is populated by thousands of individual CLOUDY runs varied over these gas densities, temperatures, and radiation fields. For a full description of how *ion\_balance* operates, see Section 2.2 and Appendix C.

TRIDENT provides a few data tables assuming different UV background models (Faucher-Giguère et al. 2009; Haardt & Madau 2012), but at present, they suffer from some limitations. To avoid the following data table limitations, one can simulate and track the desired ion species in the simulations, avoiding use of the *ion\_balance* module entirely. Furthermore, users can generate their own data tables with publicly available code<sup>18</sup> (Smith et al. 2008). The following limitations apply when using *ion\_balance* with the default lookup tables.

*Current *ion\_balance* data tables assume that UV background radiation operates in the optically thin limit.* At present, the data tables provided to *ion\_balance* were produced by including the full effect of the UV background, ignoring any self-shielding effects of gas deeply embedded in a high-opacity envelope. Gas with neutral hydrogen columns  $N_{\text{HI}} < 10^{17.2} \text{ cm}^{-2}$  shields nearby gas from ionizing radiation  $E < 13.6 \text{ eV}$  (Faucher-Giguère & Kereš 2011). This effect has been approximated in previous work (Rahmati et al. 2013), and the next version of TRIDENT, due out by the end of 2017, will account for it. Currently ignoring the effects of self-shielding will artificially raise the ionization state of the various low ions present to some degree, particularly in clumped regions.

*Current *ion\_balance* data tables ignore local photoionizing sources.* Currently, the strength and the spectrum of the UV background radiation field used to generate the lookup tables does not account for additional local sources of ionizing radiation that may be present in the simulation, like AGN and massive stars. This is a common approach used by other groups, since including additional radiation source terms (and additional dimensions) in the *ion\_balance* data table would make it extremely large. Notably, Shen et al. (2013) calculated that local photoionizing effects from an  $L^*$  galaxy with a galactocentric star formation rate of  $\text{SFR} = 20 M_{\odot} \text{ yr}^{-1}$  were only dominant over the metagalactic UV radiation field within 45 kpc of the galactic center. Therefore, by not accounting for local photoionizing sources in the data tables, *ion\_balance* artificially reduces the ionization state of gas in the interiors of AGN and starburst galaxies.

**ion\_balance* data tables assume ionization equilibrium.* In the absence of fields in the original simulation that explicitly follow the evolution of a desired ionic species, it is impossible to calculate its non-equilibrium state instantaneously. Thus, *ion\_balance* estimates an ion abundance by using the aforementioned photoionization from a UV background coupled with collisional ionization. Ionization equilibrium remains valid in high-density, low-temperature regime where the cooling time is short, but it breaks down in the low-density parts of the IGM (Cen & Fang 2006). Studies suggest that ionization fractions can vary between equilibrium and non-equilibrium treatment of high-ionization species of oxygen gas in the CGM and IGM at the  $\lesssim 30\%$  level (Cen & Fang 2006; Oppenheimer et al. 2016; D. Silvia et al. 2017, in preparation). In these studies, the equilibrium models predict a reduced ionization state of gas for high ions in low-density environments.

<sup>18</sup> [https://bitbucket.org/brittonsmith/cloudy\\_cooling\\_tools](https://bitbucket.org/brittonsmith/cloudy_cooling_tools)

## 5. Summary

In this paper, we have presented TRIDENT, a parallel Python-based open-source code for producing synthetic observations from astrophysical hydrodynamical simulation outputs. Its features include the following:

1. post-processing simulation outputs to include ion density fields for any desired ion based on instantaneous fluid and ionizing radiation conditions;
2. creating `LightRays`, ordered one-dimensional arrays sampling the fields in a data set along a chosen sightline or across multiple consecutive simulation outputs to approximate a sightline spanning a large redshift interval;
3. generating a spectrum from the fluid quantities contained in a `LightRay` object and a custom list of relevant ions and spectral lines;
4. post-processing a spectrum to match the characteristics of a spectrum observed by a real spectrograph, including its wavelength range, spectral resolution, LSF, noise, etc.;
5. full support for simulations for all major astrophysical hydrodynamical code formats;
6. automatic parallelization for both sightline and spectral generation using MPI;
7. ability to directly trace physical structures to their resulting spectral features and vice versa (see Figure 5).

We encourage members of the scientific community to both use and contribute to TRIDENT. For more information on acquiring, installing, and using TRIDENT, please see Table 1.

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GLCPC\_jth. This research also used resources of the National Energy Research Scientific Computing Center (NERSC), a DOE Office of Science User Facility supported by the Office of Science of the U.S. Department of Energy under Contract No. DE-AC02-05CH11231. We acknowledge access to NERSC resources made possible by University of California High-Performance Astro-Computing Center (UC-HiPACC).

*Software:* HDF,<sup>19</sup> H5PY,<sup>20</sup> SCIPY,<sup>21</sup> NUMPY<sup>22</sup> (van der Walt et al. 2011), MATPLOTLIB<sup>23</sup> (Hunter 2007), MPI (Forum 1994), MPI4PY<sup>24</sup> (Dalcin et al. 2005), YT<sup>25</sup> (Turk et al. 2011), and ASTROPY<sup>26</sup> (Astropy Collaboration et al. 2013).

## Appendix A Parallelism and Performance

The two primary classes of TRIDENT, `LightRay` and `SpectrumGenerator`, are both parallelized using MPI. This is implemented using the `parallel_objects` helper function from YT, which is itself built upon the MPI4PY module. The `parallel_objects` function is a loop iterator that divides iterations between MPI work groups and facilitates the re-joining of results from all groups at the end of the loop. Use of parallelism in both YT and TRIDENT scripts requires that `yt.enable_parallelism()` be present after module imports.

The `LightRay` creation step is parallelized by splitting up the simulation data sets required for compound ray generation over the available MPI processes, typically in single-process work groups. When there are more available processes than data sets (such as for simple rays), multiple processes can be allocated to an instance of a single data set, making use of the internal parallelism of YT to partition the work.

The `SpectrumGenerator` is parallelized using a similar two-layered approach. First, the generation of a single spectrum is parallelized over the absorption lines to be deposited (i.e.,  $Ly\alpha$ ,  $Ly\beta$ , etc.). If the number of available processes exceeds the number of lines to be deposited, then the line deposition task itself is split among available processes for depositing each absorber. In the limit where the number of lines and/or absorbers is much greater than the number of available processes, this parallelism strategy scales well and is, in practice, limited by the speed and parallelism of the file system. In addition, the `YT.parallel_objects` function can be used directly by the user for the embarrassingly parallel task of operating over multiple `LightRay` objects and their subsequent spectra.

As a reference benchmark, we ran TRIDENT on the AGORA idealized galaxy simulations (Kim et al. 2016). We ran the script provided in Section 3 (without the `ProjectionPlot` step) on the initial outputs for each of the simulations codes supported in the AGORA study. These scripts were run on two machines: (1) an early 2015 MacBook Pro with 3.1 GHz Intel Core i7 processor and 16 GB RAM, and (2) a single Intel Xeon E5 Sandy Bridge processor on Stampede, the National Science Foundation’s flagship supercomputing cluster run by the Texas Advanced Computing Center (TACC) as part of the Extreme

<sup>19</sup> <https://hdfgroup.org>

<sup>20</sup> <https://h5py.org>

<sup>21</sup> <http://scipy.org>

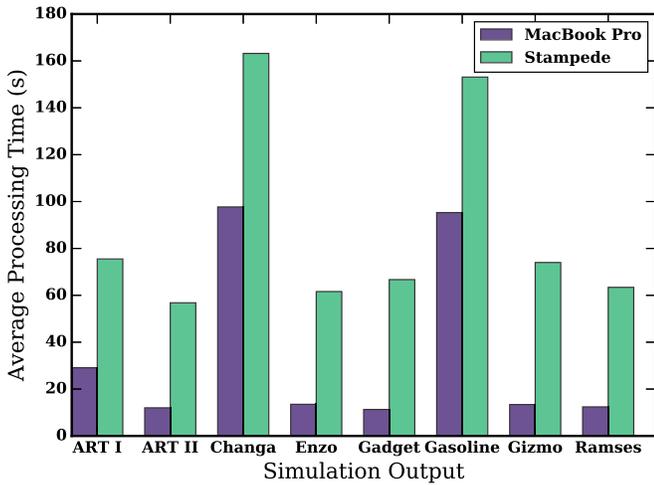
<sup>22</sup> <http://numpy.org>

<sup>23</sup> <http://matplotlib.org>

<sup>24</sup> <http://pythonhosted.org/mmpi4py/>

<sup>25</sup> <http://yt-project.org>

<sup>26</sup> <http://astropy.org>



**Figure 11.** Average TRIDENT processing time to generate a simple ray and spectrum for different simulation outputs from the AGORA isolated galaxy simulations (Kim et al. 2016).

Science and Engineering Discovery Environment (XSEDE) initiative. The script was modified slightly to send ten sightlines through the central galaxy and create a spectrum for each. Figure 11 shows the average amount of time TRIDENT takes to generate a single sightline and spectrum. Stampede computed cores are substantially slower than the MacBook Pro cores, which is presumably due to file system load. The increased processing time required for GASOLINE and CHANGA particle-based codes reflects the particle deposition step described in Section 2.2 and the fact that fields are not cached between sightline generation. As previously noted in Section 4.3.1, the next TRIDENT release will address these issues that affect the particle-based code performance by numerically integrating particle kernels on the fly and avoiding the particle deposition step altogether.

Subsequent profiling of this benchmark script reveals that  $\sim 60\%$  of the processing time is taken by the `SpectrumGenerator`, made up of equal parts Voigt profile calculation, subgrid deposition, and array bookkeeping. Creation of the `LightRay` requires about  $\sim 20\%$  of the processing time, which is primarily spent calculating which cells are intersected by the sightline and then accessing and saving these data. The remaining time spent is miscellaneous time associated with applying the LSF, saving the `LightRay` and spectra to disk and figures, etc. Notably, less than 1% of the run time is spent in the `ion_balance` portion of TRIDENT.

## Appendix B Accuracy of `ion_balance` Tables

The dependency of a given ionization state of gas is a very complex and nonlinear function of its gas density, temperature, and incident radiation field. As described in Section 2.2, TRIDENT approximates this dependency by interpolating over a large three-dimensional lookup table created by thousands of one-cell CLOUDY models (Ferland et al. 2013). However, the resolution of this data table will determine how well this nonlinear three-dimensional function can be sampled to provide adequate estimates of ionic abundances.

We estimate the level of error for a data table by taking the sum of all ionization fractions for a given species over a grid of random points that span the density/temperature/redshift

parameter-space of the data table. In reality, all ionization fractions species for a given species should always add to 1 (e.g.,  $f(\text{H}_I) + f(\text{H}_{II}) = 1.0$ ). We measure by how much the sum of our ionization fractions for a species deviate from 1 as an estimate of the error of the data table.

We perform this test using low- and high-resolution data tables for the Haardt & Madau (2012) UV background model used by TRIDENT and available for download.<sup>27</sup> The high-resolution table (296 MB) is the default that we recommend for users, but the low-resolution table (41 MB) is provided for users concerned about disk space or bandwidth. Both data tables span their density, temperature, and redshift dimensions as  $-9 \leq \log(n_{\text{H}}/\text{cm}^{-3}) \leq 4$ ;  $1 \leq \log(T/\text{K}) \leq 9$ ; and  $1 \leq \log(1+z) \leq 1.2$ . The low-resolution table samples the density, temperature, and redshift dimensions with 27, 161, and 22 points, respectively. The high-resolution table samples the density, temperature, and redshift dimensions with 105, 321, and 22 points, respectively, and is thus eight times larger than the low-resolution table. We find the error to be significantly dependent on redshift, so we use the same set of random densities and temperatures within each redshift bin covered by the input table.

In Figures 12 and 13, we show the distribution of error in ionization fraction for O and Si. In each case, we use 100,000 random points in  $\log(n_{\text{H}}/\text{cm}^{-3})$  and  $\log(T/\text{K})$  for each redshift bin. For each redshift bin, we select random redshifts within the bin. We define the error as

$$\text{error} = 1 - \sum_{i=1}^N f_i, \quad (21)$$

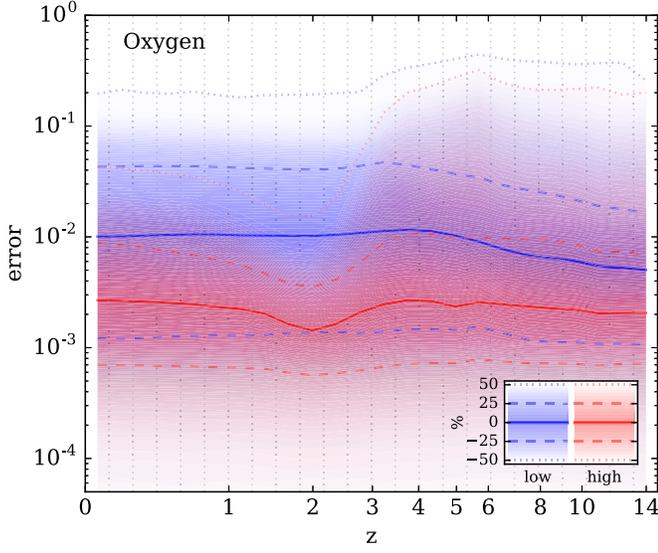
where  $f_i$  is the ionization fraction of the  $i$ th species of any element with  $N$  total ionization states. We find that the total ionization fraction only ever exceeds 1 by  $\sim 10^{-3}$  at most, and so we only show situations where the total ionization fraction is smaller than 1. For both O and Si, the average error is about 1% for the low-resolution table and about 0.2% for the high-resolution table. We find rare cases where the error can be significantly larger. For oxygen, the error can reach  $\sim 40/32\%$  in the low-/high-resolution tables at redshifts  $z \sim 5.5$ . This is even higher for silicon, due mainly to the greater number of ionization states. However, at redshifts lower than 2, the maximum error for the high-resolution table never exceeds 5% for O and 8% for Si.

While interpolation over the data table works well, extrapolation beyond its bounds can create some problems. TRIDENT does not explicitly support data with densities or temperatures outside the range provided above. If an extrapolation yields an unphysical ionization fraction for an ion, for instance, one greater than one, TRIDENT will cap it at one and warn the user. This can occur for calculating ionization fractions of low ions at exceptionally cold temperatures outside our provided temperature ranges (e.g., 1 K).

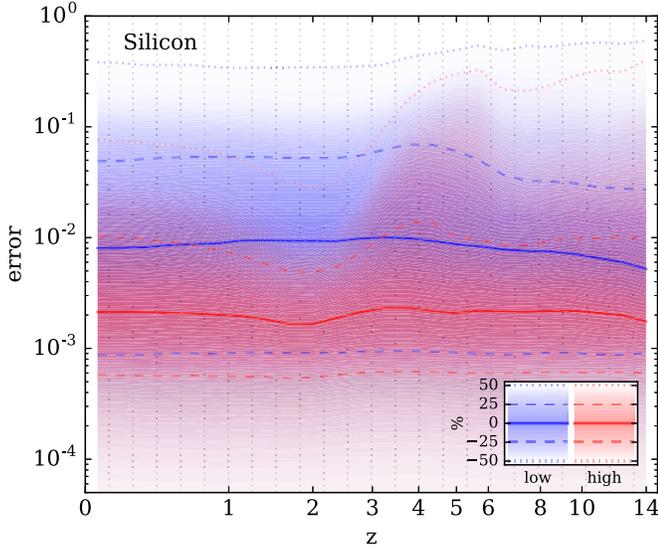
## Appendix C Ion Density Generation

Here we describe the full algorithm that TRIDENT employs in the `ion_balance` module to generate the number density field for a desired ionic species. The ionic number density field can be derived in different ways depending on the fields

<sup>27</sup> [http://trident-project.org/data/ion\\_table/](http://trident-project.org/data/ion_table/)



**Figure 12.** Levels of error in oxygen ionization fraction calculations by `ion_balance` when using the Haardt–Madau data tables. The error is defined in Appendix B. Blue shaded regions show the error distribution for the low-resolution table, and red shaded regions show the high-resolution table. The solid lines show the median error, dashed lines show  $\pm 25\%$ , and the dotted line shows the maximum error. The horizontal gray dotted lines show the redshift bins of the input data.



**Figure 13.** Same as Figure 12, but for silicon.

that exist in the simulation output data set. In short, for a desired ion field (e.g., O VI), TRIDENT will use the on-disk field if present in the simulation, otherwise, TRIDENT employs `ion_balance` on the appropriate metal field when present (e.g., oxygen abundance), or when the desired metal fields are not tracked at all, TRIDENT assumes a solar abundance of the desired metal from the bulk metallicity field (e.g.,  $Z$ ). Solar abundance values are extracted from the documentation of Cloudy (Ferland et al. 1998) based on previous work (Grevesse & Sauval 1998; Allende Prieto et al. 2001, 2002; Holweger 2001). Hereafter we describe the full algorithm.

Define  $X_i$  as the  $i$ th ion of element  $X$ . The total number density of  $X_i$  is

$$n_{X_i} = f_i n_X, \quad (22)$$

where

$$f_i \equiv \frac{n_{X_i}}{n_X}, \quad (23)$$

and  $n_X$  is the total nuclei number density of all species of  $X$ . In terms of the mass density,  $\rho_X$ ,

$$\rho_X = n_X m_X, \quad (24)$$

where we define the atomic mass of  $X$ ,  $M_X$ , as

$$m_X \equiv M_X m_H, \quad (25)$$

and  $m_H$  is the hydrogen mass.

The goal is to generate  $n_{X_i}$ , but different base fields will exist, depending on the data set. If  $\rho_X$  exists, then

$$n_X = \frac{\rho_X}{M_X m_H} \quad (26)$$

and

$$n_{X_i} = \frac{f_i \rho_X}{M_X m_H}. \quad (27)$$

If  $\rho_X$  does not exist, then there are two possibilities. First, we define the solar abundance of element  $X$ ,  $A_X$ , as

$$A_X = \frac{n_X}{n_H}|_{\odot}. \quad (28)$$

$X$  is either H or He.

$$n_X = A_X n_H. \quad (29)$$

If we have  $\rho_H$ , then we can say

$$n_X = A_X \frac{\rho_H}{m_H}. \quad (30)$$

If we do not have  $\rho_H$ , then we will assume the primordial H mass fraction,  $\chi = 0.76$ . In that case, we have

$$n_H = \frac{\chi \rho}{m_H} \quad (31)$$

and

$$n_X = A_X \frac{\chi \rho}{m_H}. \quad (32)$$

If  $X$  is a metal, then define the metallicity of  $X$  as

$$Z_X = \frac{\rho_X}{\rho}. \quad (33)$$

If we have  $Z_X$  and Equation (26), then

$$n_X = \frac{Z_X \rho}{m_H M_X}. \quad (34)$$

If we do not have  $Z_X$ , then we must use the solar abundance and the total metallicity,  $Z$ , given by

$$Z = \frac{\rho_{\text{metals}}}{\rho}, \quad (35)$$

and

$$\rho_X = Z \rho \frac{\rho_X}{\rho}|_{\odot}. \quad (36)$$

Taking  $\rho_X$  and  $\rho_H$ , we have

$$\frac{\rho_X}{\rho_H} = \frac{n_X M_X m_H}{n_H m_H}. \quad (37)$$

Canceling out the  $m_H$ , we have

$$\frac{\rho_X}{\rho_H} = \frac{n_X M_X}{n_H}, \quad (38)$$

and

$$\frac{\rho_X}{\rho_H}|_{\odot} = \frac{n_X}{n_H}|_{\odot} M_X, \quad (39)$$

and with Equation (28), we obtain

$$\frac{\rho_X}{\rho_H}|_{\odot} = A_X M_X. \quad (40)$$

Equation (36) then becomes

$$\rho_X = Z \rho_H A_X M_X. \quad (41)$$

Finally, if we do not have  $\rho_H$ , we use  $\chi$  to obtain

$$\rho_X = Z \chi \rho A_X M_X. \quad (42)$$

This gives us

$$n_X = \frac{Z \chi \rho A_X}{m_H} \quad (43)$$

and

$$n_{X_i} = \frac{f_i Z \chi \rho A_X}{m_H}. \quad (44)$$

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