

Giant earthquakes on quiet faults governed by rheological transitions

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1 The apparent stochastic nature of earthquakes poses major challenges for earthquake forecasting attempts.
2 Physical constraints on the seismogenic potential of major fault zones may greatly aid in improving seis-
3 mic hazard assessments, but the mechanics of earthquake nucleation and rupture are obscured by the
4 enormous complexity that natural faults display. In this study, we investigate the mechanisms behind
5 giant earthquakes by employing a microphysically based seismic cycle simulator. This microphysical ap-
6 proach is directly based on the mechanics of friction as inferred from laboratory tests, and can explain
7 a broad spectrum of fault slip behaviour. We show that exceptionally large, fault-spanning earthquakes
8 are governed by different micro-scale mechanisms than regular (small) earthquakes. More importantly,
9 the stress-driven transition from ductile creep to granular flow facilitates the nucleation of giant earth-
10 quakes on faults that are otherwise seismically quiet. This microphysically based approach offers new
11 opportunities for investigating long-term seismic cycle behaviour of natural faults.

12 One major limitation of seismic hazard assessments is that they are mostly based on statistics rather than
13 physics. Particularly for large earthquakes that have recurrence times of up to several centuries, instrumental
14 catalogues of seismic events in a given region are short or absent, so that statistical analyses can only be
15 performed through the extrapolation of smaller, more frequent events, which entails model assumptions that
16 are difficult to test. Constraints originating from a physical understanding of earthquakes may therefore greatly
17 improve seismic hazard assessments, but basic underlying mechanisms are obscured by the enormous complexity
18 inherent to natural fault zones.

19 Over the last two decades or so, innovative techniques in palaeoseismology have substantially expanded our
20 catalogue of (pre)historic seismic events, revealing spatio-temporal clustering of earthquakes¹⁻⁴ and occurrences
21 of exceptionally large events ('superimposed cycles')⁵⁻⁸. In addition, millenary recurrence of $M_w \geq 9.0$
22 earthquakes has been anticipated for the Main Himalayan Thrust⁹ and Japan Trench¹⁰ regions on the basis
23 of geodetic estimates of moment accumulation rates. These inferences suggest that the lack of instrumental
24 recordings of great ($M_w > 8$) and giant ($M_w > 9$) earthquakes does not imply an intrinsic upper limit of event
25 magnitude. The 2004 Sumatra-Andaman and 2011 Tohoku-Oki $M_w > 9$ events, hosted by subduction thrusts
26 that were previously marked as being incapable of generating such large magnitude events¹⁰⁻¹², are exemplary
27 to this notion. Statistical analyses of earthquake catalogues do not exclude that most (if not all) subduction
28 regions are intrinsically capable of hosting giant earthquakes^{13,14}, provided that the seismogenic zone geometry
29 is not restrictive (e.g. Weng & Yang¹⁵).

30 The seemingly universal appearance of great earthquakes in subduction settings is suggestive of a common
31 underlying mechanism. On the other hand, though numerous subduction regions have been identified to host

32 giant earthquakes, some of these regions presently exhibit high seismicity rates (Japan Trench⁸, Sumatra⁴),
33 while other megathrusts are currently quiescent except for deeper slow slip and tremor (Alaska¹⁶, Cascadia¹⁷),
34 or generally display low levels of background seismicity (Andaman, Chile Maule¹⁸). This geographical variability
35 in seismic character requires that the mechanism for the generation of giant earthquakes is at least partly
36 independent of that of regular earthquakes, allowing great and giant earthquakes to occur in both seismically
37 active and quiet regions. In order to unravel the emergence of exceptionally large earthquakes in these settings,
38 the underlying physical mechanisms of fault rock deformation need to be closely considered.

39 **A microphysically based approach for earthquake modelling**

40 The seismic cycle behaviour of (heterogeneous) faults has been explored in numerical studies^{19–22}, most
41 commonly employing the rate-and-state friction²³ (RSF) formulation as a description for the time- and
42 velocity-dependence of fault strength (see Supplementary Information S1). While the classical RSF framework
43 is originally motivated by laboratory observations²⁴, it is empirical in nature, and so provides limited physical
44 basis for the extrapolation of laboratory results to natural scales and conditions. Most importantly, the RSF
45 model parameters are typically assumed to be independent of fault slip velocity, whereas much laboratory
46 evidence suggests a more complex velocity-dependence of friction^{25–29}. Since the fault slip velocity likely varies
47 by over 10 orders of magnitude over the course of a seismic cycle, the assumption of constant values of the RSF
48 constitutive parameters greatly impacts the transient slip and nucleation behaviour, as seen in seismic cycle
49 simulations³⁰.

50 As an alternative approach, microphysical models allow for an interpretation of their parameters in terms of
51 thermodynamic or material quantities, such as temperature, fault gouge nominal grain size, or solubility of the
52 solid phase^{31,32}. This facilitates the generalisation of complex laboratory behaviour, and the extrapolation of
53 laboratory results to natural scales and conditions with an independent assessment of the validity of the model
54 outcomes. Most commonly, microphysical descriptions of (steady-state) fault rheology are based on plastic creep
55 of contact asperities between bare rock interfaces, motivated by metallurgical and tribological studies of friction
56 of metals (e.g. refs^{33–35}; Supplementary Information S2). Such models do not however fully acknowledge the
57 complex granular dynamics of fault gouges and corresponding deformation mechanisms observed in laboratory
58 experiments and in field studies (see Supplementary Information S3). In this study, we employ the *Chen-*
59 *Niemeijer-Spiers* (CNS) model^{31,36}, which specifically considers the deformation of fault gouges, and is seated
60 on laboratory and field observations. Previous work³⁰ has demonstrated how the implementation of the CNS
61 model into the seismic cycle simulator QDYN³⁷, is capable of producing a range of fault slip behaviours
62 previously ascribed only to rate-and-state friction, while maintaining a clear physical interpretation. In its
63 essence, the CNS microphysical model considers the interplay between a time-dependent compaction mechanism
64 (pressure solution creep), and dilatant granular flow (see Methods). Both these micro-mechanisms have been
65 identified to be highly relevant for fault rock deformation at seismogenic zone conditions^{29,38–42}. Because
66 the microphysical principles for the CNS model are based on a wide range of laboratory^{27,31,36} and field^{43,44}
67 observations, the model outcomes are readily understood in terms of micro-scale observable quantities.

68 By using a microphysical model for describing the fault rheology, one can readily incorporate field and
69 laboratory observations into a numerical seismic cycle simulator³⁰. Following numerous field studies of
70 exhumed fault zones, we distinguish between two types of fault rock (Fig. 1 and Supplementary Information
71 S3): a phyllite-mylonite matrix deforming predominantly by pressure solution creep, and gouge derived from
72 “competent” lenses (competence defined at the imposed strain rate) that exhibits both pressure solution creep
73 and granular flow. In analogy to seismogenic asperities identified by seismological studies, we refer to fault
74 segments associated with competent lenses as asperities. These asperities obey a fractal distribution in size and
75 separation distance (c.f. Fagereng³⁸), adding to the complexity of heterogeneous faults.

76 In the CNS model formulation, both types of fault rock are governed by the same micro-scale mechanisms.
77 The compositional distinction between the two types is made through a contrast in pressure solution kinetics,
78 with the matrix exhibiting faster pressure solution kinetics than the asperities (Fig. 1b). At steady-state
79 deformation, under the imposed fault zone conditions (effective normal stress $\sigma = 50$ MPa, far-field driving
80 velocity $V_{imp} = 10^{-9}$ m/s, and temperature $T = 250$ °C), the matrix deforms predominantly by velocity-
81 strengthening ductile creep, whereas the asperities deform by parallel operation of pressure solution and
82 granular flow, producing velocity-weakening behaviour³⁶. However, in the seismic cycle simulations deformation
83 occurs under non-steady state conditions, resulting in a spectrum of fault slip transients³⁰ governed by the
84 rheological model. Following the procedure described in the Methods section, we simulate 2000 years of
85 slip along the strike of a heterogeneous, one-dimensional periodic fault, with an along-strike length of 16 km
86 (Fig. 1a), and investigate emergent transient slip features. Although the dimensions of the model fault are
87 smaller than those typical for megathrusts, the outcomes of the numerical simulations are interpreted in a
88 general framework suitable for up-scaling.

89 **Emergence of giant earthquakes**

90 Slip distribution maps for all 10 simulations are given in Supplementary Information S4. Examples of
91 characteristic fault slip behaviour produced in the simulations are given in Fig. 2a. Sections on the fault that
92 exhibit a high asperity density display repeated seismic activity, rupturing small clusters of closely-spaced
93 asperities in a single event. Dynamic ruptures are arrested by regions consisting predominantly of ductile
94 matrix, so that separated clusters of asperities remain mostly isolated. Motivated by Luo & Ampuero²¹, we
95 classify this type of events as partial or P-instabilities, defined as an instability that ruptures only a portion of
96 the entire fault. Note that, unlike Luo & Ampuero²¹, P-events may encompass several (clusters) of nominally
97 velocity-weakening asperities. The seismic character of the simulation (i.e. maximum slip velocities during
98 P-instabilities) seems largely controlled by the fractal dimension D of the asperity size distribution: simulations
99 with $D = 1$ (dominated by several large asperities) show P-instabilities that attain coseismic slip rates, whereas
100 simulations with $D = 2$ (dominated by numerous small asperities) only exhibit aseismic P-instabilities in the
101 form of small slow slip events, consistent with geological observations³⁸.

102 In addition to these P-events, the fault occasionally hosts seismic events that rupture the full extent of the
103 fault, reaching coseismic slip velocities even in regions dominated by ductile matrix. This second class of seismic

104 events is referred to as (“total”) T-instabilities. The occurrence of T-events is not restricted to simulations
105 with seismic P-instabilities, as T-instabilities are also produced in simulations that otherwise only exhibit small
106 slow slip events (which would likely remain undetected by surface monitoring stations). Aside from the seismic
107 character of the model fault, the value of D also affects the style of nucleation⁴⁵ of the T-instabilities, with a
108 cascade-up mode of nucleation observed in simulations with $D = 1$, and a preslip (or “own nucleation”) mode
109 observed in simulations with $D = 2$ (see Fig. 2a).

110 Extending these observations to natural fault zones, one can draw an analogy between P-events, being
111 controlled by a local asperity distribution of nominally velocity-weakening material, and regular natural
112 earthquakes. The T-instabilities generated in the simulations may find their natural counterpart in multi-
113 segment ruptures and anomalously large events ($M_w > 9$), as appearing in palaeoseismic records^{5,6}. It is most
114 striking that simulations that are otherwise seismically quiet are also capable of generating T-instabilities. This
115 shows that the mechanisms and conditions for generating T-events are different from those for P-events.

116 **Microphysical mechanisms behind giant earthquakes**

117 More insight into the emergence of T-instabilities is gained by considering the time-evolution of average fault
118 stress (Fig. 2b). In simulations that exhibit a fractal dimension $D = 1$, the average shear stress supported by
119 the asperities remains roughly constant over time, whereas the average stress on the matrix increases between
120 subsequent T-instabilities, so that the nett fault stress increases over time. At a critical value of stress, a
121 T-instability is generated. In the simulations with $D = 2$, the stress is more homogeneously distributed, and
122 the stress supported by both the asperities and the matrix segments follows a similar upward trajectory, until
123 a critical stress is reached and a T-instability nucleates.

124 The occurrence of a fault-spanning instability at a critical stress level can now be explained by a rheological
125 transition predicted by the CNS model, and is illustrated in Fig. 3. At a given moment in time early in a
126 T-cycle, a segment of ductile matrix is deforming by steady-state, non-dilatant pressure solution creep (point 1
127 in Fig. 3). By continuous tectonic loading and non-uniform fault slip, the average stress supported by the
128 matrix increases over time (point 2). The kinetics of pressure solution assigned to the matrix segments are
129 such that at steady-state (i.e. at the far-field driving velocity), the matrix can accommodate the imposed
130 strain rate entirely by ductile creep. In the absence of interactions with the asperities on the fault, the matrix
131 would remain nominally stable (see inset in Fig. 3). However, stress perturbations resulting from mechanical
132 interaction with the asperities may raise the stress acting on a given matrix segment up to a critical value
133 that marks the onset of dilatant granular flow (point 3 in Fig. 3). If a sufficient volume of matrix is critically
134 stressed, a T-instability is triggered in which both the asperities and the matrix segments enter the unstable
135 granular flow regime (point 4). A fault-spanning rupture then results as the entire fault has become unstable.

136 It is noteworthy that this rheological transition predicted by the CNS microphysical model has been
137 observed in various materials in laboratory experiments^{27–29,46}. This transition is commonly known as the
138 brittle-ductile⁴⁷, or flow-to-friction⁴⁸ transition. The outcomes of the numerical simulations are therefore not
139 a mere peculiarity unique to the adopted fault rheology, and it is expected that models that feature such

140 brittle-ductile transition (e.g. Den Hartog & Spiers³² and Noda & Shimamoto⁴⁹) will display similar behaviour.
141 However, microphysical models from which the brittle-ductile transition naturally emerges are more appealing
142 that purely empirical flow-to-friction laws, as they can be extrapolated based on measurable material properties,
143 and thereby have stronger predictive capabilities.

144 **Discussion and future perspectives**

145 It has been proposed^{9,10,50} that giant earthquakes are a consequence of the conservation of seismic moment,
146 which requires that the long-term slip budget be closed. However, the exact mechanism by which this occurs
147 has yet to be elucidated. The stress-driven transition from non-dilatant to dilatant deformation provides a
148 plausible mechanism for conserving seismic moment on long (centennial to millenary) time-scales. Furthermore,
149 this mechanism exhibits two additional characteristics that are in line with (palaeo)seismological studies:
150 firstly, T-instabilities have been observed in the simulations to occur both on seismically active and quiet
151 faults, in agreement with natural observations from e.g. the regions of Cascadia, Andaman, Japan Trench,
152 and Sumatra^{5,8,18}. Secondly, the observed T-instabilities do not occur randomly in time, but are instead
153 time-predictable depending on the long-term rate of seismic moment accumulation and release¹⁰.

154 Lastly, the rheological transition from non-dilatant to dilatant deformation, as demonstrated experimentally
155 and as embodied by the CNS model, is inherently absent in the classical rate-and-state friction formulations.
156 By adopting a rheological model that is based on micro-scale physical processes, new model features may arise
157 that can be directly compared with laboratory, geological, and (palaeo)seismological observations. In this way,
158 future seismic hazard assessments can be complemented with physical considerations, in addition to existing
159 statistical inferences.

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264 **Author contributions**

265 All authors contributed in the design of the study. MvdE carried out the numerical simulations and prepared
266 the manuscript. All authors discussed the model results and interpretations, and commented on the manuscript.

267 **Competing financial interests**

268 The authors declare no competing financial interests.

270 **Description of the microphysical model.** The derivation of the CNS model, the comparison with classical
 271 rate-and-state friction, and its implementation into QDYN are described in detail in refs¹⁻⁵. Some key concepts
 272 of this model are recited here.

273 The CNS model geometry is based on the microstructural observations provided by ref. 27, and considers
 274 a granular gouge layer of uniform thickness h , characterised by a nominal grain size d and porosity ϕ . A
 275 representative volume element is subjected to an effective normal stress σ and deformation rate V_{imp} , which is
 276 accommodated internally by parallel operation of granular flow (grain rolling and sliding), and one or more
 277 thermally-activated, time-dependent deformation mechanisms. Following previous work¹⁻³ and based on the
 278 observations summarised in Supplementary Information S3, we take intergranular pressure solution as the sole
 279 time-dependent mechanism, ignoring other mechanisms such as stress corrosion cracking^{6,7}. The constitutive
 280 relation for the rheology of the fault then results from the individual constitutive relations for granular flow
 281 and pressure solution, which are dependent on the instantaneous state of stress and gouge porosity.

282 For intergranular pressure solution, the flow law for dissolution controlled pressure solution creep is given
 283 as^{8,9}:

$$\dot{\gamma}_{ps} = A \frac{I_s \Omega}{RT} \frac{\tau}{d} f_1(\phi) \quad (1a)$$

$$\dot{\epsilon}_{ps} = A \frac{I_s \Omega}{RT} \frac{\sigma}{d} f_2(\phi) \quad (1b)$$

284 Here, $\dot{\gamma}_{ps}$ and $\dot{\epsilon}_{ps}$ are the strain rates in the fault tangential and normal directions, respectively, A is a geometric
 285 factor accounting for the grain shape, I_s is the dissolution rate constant, Ω is the molar volume, R is the
 286 universal gas constant, T is the absolute temperature, and τ and σ are the macroscopic shear and effective
 287 normal stress, respectively. The evolution of the grain-grain contact area (and grain contact stress) with
 288 porosity ϕ is described by the porosity function $f_i(\phi)$ ¹⁰. For dissolution controlled pressure solution creep, this
 289 function takes the following form^{3,9}:

$$f_1(\phi) = \frac{\phi_c}{\phi_c - \phi} \quad (2a)$$

$$f_2(\phi) = \frac{\phi - \phi_0}{\phi_c - \phi} \quad (2b)$$

290 where ϕ_0 is a lower cut-off porosity corresponding to the percolation threshold for an interconnected pore
 291 network of 3%¹¹, and ϕ_c is the maximum attainable porosity of a purely dilatant gouge material, referred to
 292 here as the ‘critical state’ porosity^{1,12}. Typically, a porosity function similar to $f_1(\phi)$ is used in analytical models
 293 for intergranular pressure solution that employ a porosity function^{9,10}. However, in laboratory compaction test
 294 it has been observed that microphysical model predictions for compaction by pressure solution overestimate
 295 experimentally measured strain rates at low porosities ($< 20\%$), sometimes by several orders of magnitude⁸.
 296 While the physical mechanisms behind this discrepancy are yet to be fully identified, the trends in the

297 experimental data can be approximated by the modified porosity function $f_2(\phi)$, which asymptotically reduces
 298 $\dot{\epsilon}_{ps}$ to zero for $\phi \rightarrow \phi_0$. Furthermore, this ensures that $\phi > \phi_0$ at all times, preventing negative porosities that
 299 are physically unrealistic. By contrast, shear creep accommodated by pressure solution does not involve volume
 300 changes (i.e. porosity reduction), so it is expected that $\dot{\gamma}_{ps} > 0$ even for $\phi = \phi_0$. A functional form like $f_1(\phi)$ is
 301 therefore more likely to describe shear creep by pressure solution, as is adopted for this study.

302 The constitutive relations for granular flow have been derived as²:

$$\dot{\gamma}_{gr} = \dot{\gamma}_{gr}^* \exp\left(\frac{\tau [1 - \tilde{\mu}^* \tan \psi] - \sigma [\tilde{\mu}^* + \tan \psi]}{\tilde{a} [\sigma + \tau \tan \psi]}\right) \quad (3a)$$

$$\dot{\epsilon}_{gr} = -\tan \psi \dot{\gamma}_{gr} \quad (3b)$$

303 In these relations, $\dot{\gamma}_{gr}$ and $\dot{\epsilon}_{gr}$ denote the granular flow strain rates tangential and normal to the fault
 304 plain, respectively, and $\tan \psi$ denotes the average grain-grain dilatation angle, which can be written as
 305 $\tan \psi = 2H(\phi_c - \phi)$, where H is a geometric constant of order 1^{1,12}. The microscopic coefficient of friction of
 306 grain-grain contacts is given by ref. 2 as $\tilde{\mu} = \tilde{\mu}^* + \tilde{a} \ln(\dot{\gamma}_{gr}/\dot{\gamma}_{gr}^*)$, $\tilde{\mu}^*$ being a reference value of $\tilde{\mu}$ evaluated at
 307 $\dot{\gamma}_{gr}^*$, and \tilde{a} being the coefficient of logarithmic rate-dependence of $\tilde{\mu}$.

308 With the above constitutive relations for the relevant deformation mechanisms, the evolution of the
 309 macroscopic shear stress and gouge porosity of a zero-dimensional (spring-block) fault can be expressed in the
 310 following set of differential equations²:

$$\frac{d\tau}{dt} = k (V_{imp} - h [\dot{\gamma}_{gr} + \dot{\gamma}_{ps}]) \quad (4a)$$

$$\frac{d\phi}{dt} = -(1 - \phi) (\dot{\epsilon}_{gr} + \dot{\epsilon}_{ps}) \quad (4b)$$

311 in which k is the effective shear stiffness (units: Pa m⁻¹) of the fault. The instantaneous fault slip velocity V
 312 is obtained from the addition of the strain rates of granular flow and pressure solution (i.e. $V = h [\dot{\gamma}_{gr} + \dot{\gamma}_{ps}]$).

313 One important characteristic to note, is that the steady-state velocity-dependence of friction, i.e. a material
 314 being velocity-strengthening or -weakening, changes with velocity (see Fig. 1b). As a result, classical rate-and-
 315 state friction is only comparable to the CNS model near steady-state conditions⁵. With increasing departure
 316 from steady-state, both model frameworks predict different frictional behaviour, as is notably seen in seismic
 317 cycle simulations³.

318 **Description of the boundary element method.** To model spatio-temporal variations of fault slip, we
 319 employ the boundary element code QDYN¹³. This seismic cycle simulator originally utilises rate-and-state
 320 friction to describe the model fault rheology, but it has been extended³ to include the CNS microphysical
 321 model as described above in above. Regardless of the underlying rheological model, the shear stress at point i
 322 on the fault is obtained using the quasi-dynamic approximation¹⁴:

$$\tau_i(t) = -K_{ij} [d_j(t) - d_{imp}] - \eta V_i(t) \quad (5)$$

323 Here, K_{ij} is a stress transfer kernel whose coefficients represent the shear stress induced on the i -th fault
 324 element by unitary slip on the j -th fault element, d_j is the total fault slip on the j -th fault element, and d_{imp} is
 325 the far-field displacement, accumulating as $d_{imp} = V_{imp} \times t$. Radiation damping due to seismic wave radiation
 326 normal to the fault plane is accounted for by the last term on the right-hand side, in which the damping
 327 factor η assumes a value of $G/2c_s$, with G being the shear modulus of the homogeneous elastic medium,
 328 and c_s the shear wave speed¹⁴. The stress transfer kernel K_{ij} is computed using a “2.5D” approximation
 329 for infinite one-dimensional faults embedded in two-dimensional homogeneous media (see ref. 21), and fault
 330 stresses are obtained via the spectral approach in finite-size domains¹⁵. For numerical implementation, Eqn. 5
 331 is differentiated with respect to time to give:

$$\frac{d\tau_i}{dt} = -K_{ij} [V_j(t) - V_{imp}] - \eta \frac{dV_i(t)}{dt} \quad (6)$$

332 The fault slip velocity $V(t)$ is obtained as a function of stress and porosity as $V(\tau, \sigma, \phi) = h [\dot{\gamma}_{gr}(\tau, \sigma, \phi) + \dot{\gamma}_{ps}(\tau, \phi)]$.

333 The acceleration term on right hand side of Eqn. (6) is then decomposed in its partial derivatives as:

$$\frac{dV}{dt} = \frac{\partial V}{\partial \tau} \frac{d\tau}{dt} + \frac{\partial V}{\partial \phi} \frac{d\phi}{dt} \quad (7a)$$

$$\frac{\partial V}{\partial \tau} = h \left(A \frac{I_s \Omega}{dRT} f_1(\phi) + \dot{\gamma}_{gr} \left[\frac{1 - \tilde{\mu} \tan \psi}{\tilde{a} (\sigma + \tau \tan \psi)} \right] \right) \quad (7b)$$

$$\frac{\partial V}{\partial \phi} = h \left(\frac{\dot{\gamma}_{ps}}{\phi_c - \phi} + \dot{\gamma}_{gr} \left[\frac{2H (\sigma + \tilde{\mu} \tau)}{\tilde{a} (\sigma + \tau \tan \psi)} \right] \right) \quad (7c)$$

334 Note that these partial derivatives are given specifically for the assumed porosity functions (Eqn. (2)).

335 Substitution of (7) into (6), and rearrangement gives:

$$\frac{d\tau_i}{dt} = \frac{-K_{ij} [V_j - V_{imp}] - \eta \frac{\partial V_i}{\partial \phi} \frac{d\phi_i}{dt}}{1 + \eta \frac{\partial V_i}{\partial \tau}} \quad (8a)$$

$$\frac{d\phi_i}{dt} = -(1 - \phi_i) (\dot{\epsilon}_{gr,i} + \dot{\epsilon}_{ps,i}) \quad (8b)$$

336 These equations are of the general form $\dot{\mathbf{X}} = \mathbf{F}(\mathbf{X}, t)$, with $\mathbf{X}(t)$ being a vector containing the collection of
 337 $\tau_i(t)$ and $\phi_i(t)$ variables on all fault elements. This system of ordinary differential equations is solved by the
 338 4(5)th-order Runge-Kutta-Fehlberg method with adaptive time stepping^{16,17}.

339 **Rendering the heterogeneous fault structure.** By employing a microphysical model that contains
 340 microstructural information, one can closely relate the model fault geometry to field and laboratory observations.
 341 In this work, guided by numerous field reports, we define heterogeneity through spatial variations in pressure
 342 solution kinetics, which reflect contrasts in fault rock composition or spatial variations in strain rate. Following
 343 ref. 38, we assume that competent lenses (the asperities) obey a power-law distribution in size, i.e.:

$$F_X(x) = 1 - cx^{-D} \quad (9)$$

344 where F_X is the cumulative size distribution of asperity size X , D is the fractal dimension (or power-law
 345 exponent), and c is a proportionality constant. Strictly speaking, this cumulative distribution function does
 346 not exist for $D > 0$ on an infinite domain, but it can be re-defined based on a re-scaled probability density
 347 function integrated over a finite range of $0 < x_{min} \leq X \leq x_{max}$ and $D \neq 0$, which yields:

$$f'_X(x) = \frac{-Dx^{-D-1}}{x_{max}^{-D} - x_{min}^{-D}} \quad (10a)$$

$$F'_X(x) = \int_{x_{min}}^x f'_X(x)dx = \frac{x^{-D} - x_{min}^{-D}}{x_{max}^{-D} - x_{min}^{-D}} \quad (10b)$$

348 In accordance with the above relations, the realisation of the asperity size distribution x can be generated from
 349 a uniform variate \widehat{X} as:

$$x = \left(x_{min}^{-D} + [x_{max}^{-D} - x_{min}^{-D}] \widehat{X} \right)^{-1/D} \quad (11)$$

350 The procedure to render a fault with the desired statistical properties is then as follows:

- 351 1. First, the discrete asperity size distribution x_i is realised in accordance with Eqn. (11), with x_{min}
 352 corresponding to twice the fault element size, and $x_{max} = L$. Between simulations, D is systematically
 353 varied between 1 and 2, following the phacoid fractal dimensions reported by ref. 38;
- 354 2. Next, a second size distribution (y_i) is realised that represents the spacing between neighbouring asperities,
 355 assuming that the “gaps” between asperities obey the same power-law distribution;
- 356 3. In order to obtain the desired asperity occupation ratio f , x_i is multiplied by $f/(1-f)$ (i.e. the ratio of
 357 total asperity length over total matrix length) before being combined in an arrangement with y_i ;
- 358 4. The spatial distribution of Z_{ps} for the asperities and the matrix is then sampled from a piece-wise
 359 alternating arrangement of x_i and y_i , respectively, where i ranges from 1 to N , so that $\sum_{i=1}^N (x_i + y_i) \geq L$.
 360 In other words, the spatial layout of the fault follows an arrangement $x_1, y_1, x_2, y_2, \dots, x_N, y_N$;

361 Owing to the fault’s finite size, stochastic noise causes some variability in the statistical properties of the
 362 fault geometry, e.g. by randomly introducing one excessively large asperity, which skews the asperity size
 363 distribution. To prevent this, we compare each realised asperity size distribution with the expected distribution
 364 (Eqn. (10b)), and the realised value of f with the one that is requested. For large ($> 5\%$) deviations of the size
 365 distribution and f from the expected values, the rendered fault structure is rejected and a new one generated.

366 From the above procedure, we obtain a fault structure that is consistent with our interpretation of the field
 367 observations summarised in Supplementary Information S3 (see also Fig. 1). This fault geometry is projected
 368 onto a one-dimensional periodic fault, and the fault is subjected to down-dip conditions of $V_{imp} = 10^{-9} \text{ m s}^{-1}$
 369 and $\sigma = 50 \text{ MPa}$. For the kinetics of pressure solution Z_{ps} defining the asperity and the matrix, we adopt
 370 values of 5×10^{-16} and $3 \times 10^{-15} \text{ Pa}^{-1} \text{ s}^{-1}$. A value of $Z_{ps} = 3 \times 10^{-15} \text{ Pa}^{-1} \text{ s}^{-1}$ corresponds to theoretical
 371 estimates of Z_{ps} for monomineralic quartz at 250°C and a grain size of $5 \mu\text{m}^8$. The simulation is then run for
 372 at least 2,000 years.

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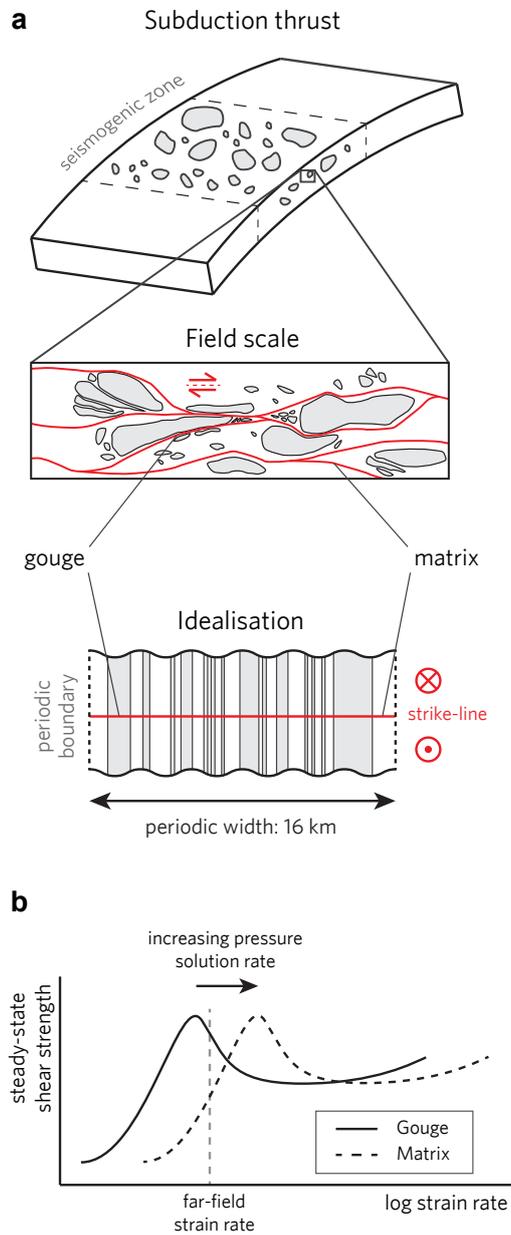


Figure 1 Properties of the model fault. **a**, Idealisation of the envisioned fault geometry, after Fagereng³⁸. **b**, Schematic diagram of the steady-state shear strength versus strain rate, as predicted by the CNS microphysical model. The compositional variation along the fault is reflected by a contrast in pressure solution kinetics, causing a relative shift of the steady-state strength curves.

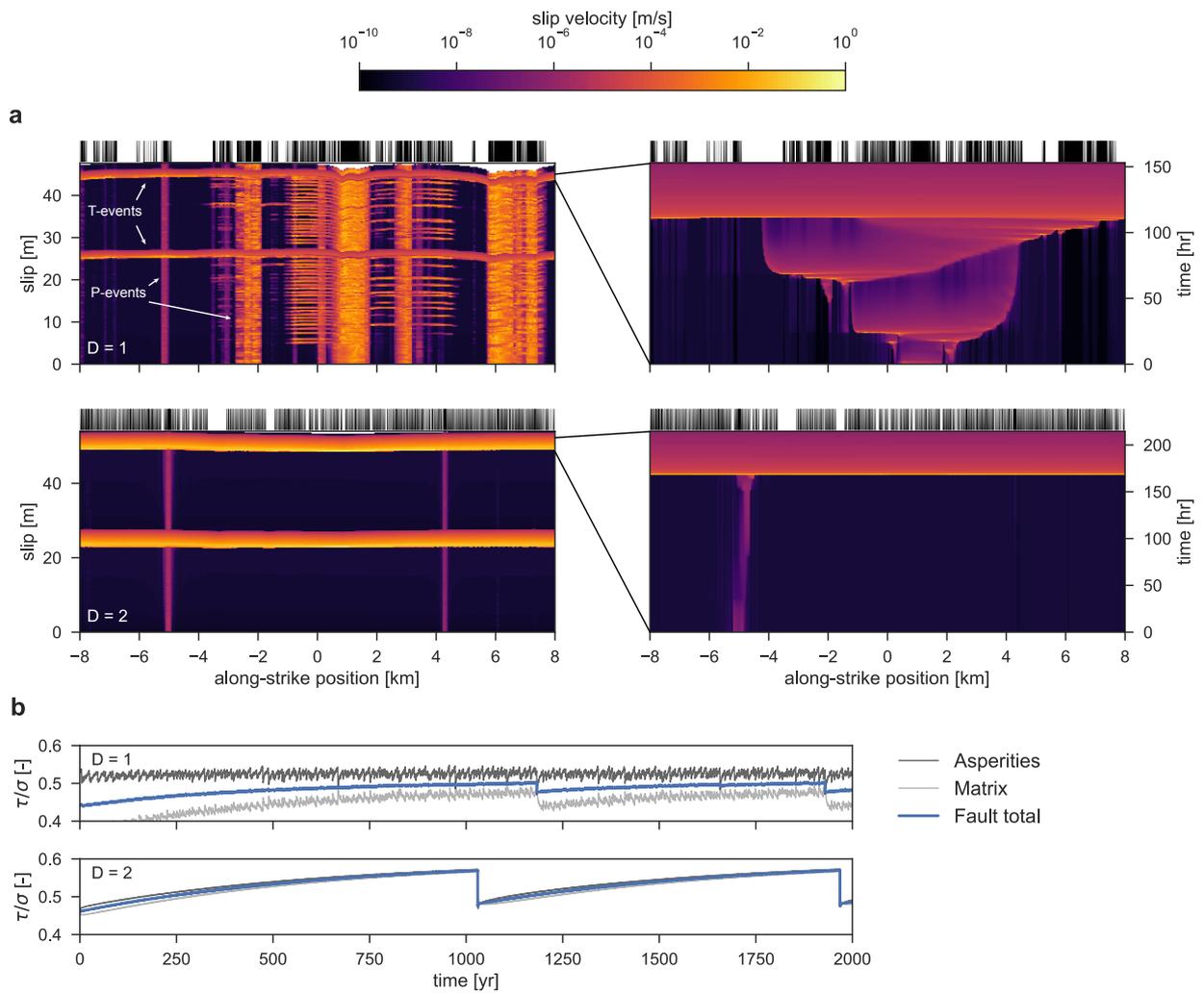


Figure 2 Examples of model fault behaviour. **a**, Spatio-temporal distribution of fault slip velocity (left panels) and nucleation of the last T-instability in each simulation (right panels). The fractal dimension D is as indicated. P-instabilities are identified as small 'hot' regions that span only a portion of the fault, whereas T-instabilities span the entire fault. For reference, the seismogenic asperity distribution is indicated by the black bars at the top of each panel. Simulations with $D = 1$ show numerous regular earthquakes controlled by the local asperity distribution, and a cascade-up style of nucleation of a T-instability. Simulations with $D = 2$ exhibits only minute slow slip events during the interseismic period of a T-event, which emerges with no precursory activity from a small nucleus. **b**, Time-series of the average stress supported by the asperities, the matrix, and the fault as a whole, for $D = 1$ and $D = 2$. A T-instability is triggered when the stress supported by the matrix reaches a critical value.

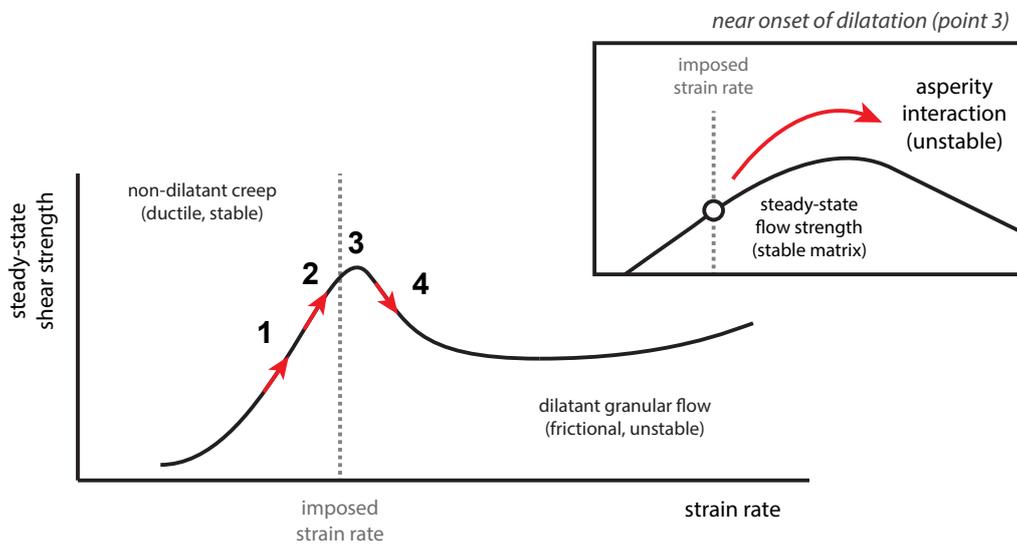


Figure 3 Synoptic overview of the nucleation process. The steady-state strength profile of the matrix, as a function of strain rate, is characterised by a transition from non-dilatant ductile creep (stable) to dilatant granular flow (unstable). At a given moment in time, the stress supported by the matrix is indicated by point 1. Due to tectonic loading and non-uniform fault slip, the stress on the matrix increases (point 2). At a critical value of stress, the matrix enters the dilatant granular flow regime, and a T-instability nucleates.