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# Using phase-change materials to switch the direction of reflectionless light propagation in non-PT-symmetric structures

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## ABSTRACT

We introduce a non-parity-time-symmetric three-layer structure, consisting of a gain medium layer sandwiched between two phase-change medium layers for switching of the direction of reflectionless light propagation. We show that for this structure unidirectional reflectionlessness in the forward direction can be switched to unidirectional reflectionlessness in the backward direction at the optical communication wavelength by switching the phase-change material  $\text{Ge}_2\text{Sb}_2\text{Te}_5$  (GST) from its amorphous to its crystalline phase. We also show that it is the existence of exceptional points for this structure with GST in both its amorphous and crystalline phases which leads to unidirectional reflectionless propagation in the forward direction for GST in its amorphous phase, and in the backward direction for GST in its crystalline phase. Our results could be potentially important for developing a new generation of compact active free-space optical devices. We also show that phase-change materials can be used to switch photonic nanostructures between cloaking and superscattering regimes at mid-infrared wavelengths. More specifically, we investigate the scattering properties of subwavelength three-layer cylindrical structures in which the material in the outer shell is the phase-change material GST. We first show that, when GST is switched between its amorphous and crystalline phases, properly designed electrically small structures can switch between resonant scattering and cloaking invisibility regimes. The contrast ratio between the scattering cross sections of the cloaking invisibility and resonant scattering regimes reaches almost unity. We then also show that larger, moderately small cylindrical structures can be designed to switch between superscattering and cloaking invisibility regimes, when GST is switched between its crystalline and amorphous phases. The contrast ratio between the scattering cross sections of cloaking invisibility and superscattering regimes can be as high as  $\sim 93\%$ . Our results could be potentially important for developing a new generation of compact reconfigurable optical devices.

**Keywords:** Physical optics; Nanophotonics and photonic crystals; Guided waves; Physical optics; Scattering, invisibility; Subwavelength structures

## 1. INTRODUCTION

Exceptional points, which are branch point singularities of the spectrum, are associated with the coalescence of both eigenvalues and corresponding eigenstates in open quantum systems described by non-Hermitian Hamiltonians [1-7]. Exceptional points have been studied in lasers [8], coupled dissipative dynamical systems [9], mechanics [10], electronic circuits [11], and atomic as well as molecular systems [12]. In the past few years, unidirectional light reflectionlessness caused by the existence of exceptional points in non-Hermitian parity-time (PT) symmetric optical systems possessing balanced gain and loss has attracted considerable attention [13-17]. In such structures the reflection is zero when measured from one end of the structure at optical exceptional points, and nonzero when measured from the other end. Unidirectional light reflectionlessness can also be attained in non-PT-symmetric structures with unbalanced gain and

loss [18-30]. This is due to the fact that exceptional points exist in a larger family of non-Hermitian Hamiltonians [18]. Achieving unidirectional reflectionless propagation is important for several key applications in photonic circuits such as optical network analyzers [15, 19]. In addition, switching of the direction of reflectionless light propagation could be essential for building compact optoelectronic devices, for reducing the size of optical systems, and for developing reconfigurable optical components [31-33]. This could be achieved by using materials with tunable optical properties such as phase-change materials.

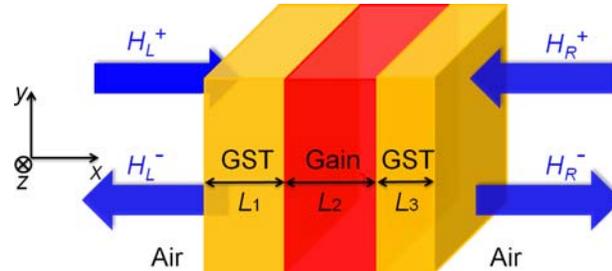


Fig. 1. Schematic of a non-PT-symmetric three-layer structure composed of a gain medium layer sandwiched between two GST layers for switching of the direction of reflectionless light propagation at exceptional points.

$\text{Ge}_2\text{Sb}_2\text{Te}_5$  (GST) is a phase-change material with amorphous and crystalline phases [34]. The atom distribution of GST is chaotic in the amorphous phase. In contrast, the atoms are aligned in an orderly manner in the crystalline phase. Thus, GST can significantly change its optical properties through phase transitions. GST can be switched reversibly and rapidly between its amorphous and crystalline phases by applying external electrical pulses, optical pulses or thermal annealing. Picosecond-order crystallization times have been reported for GST by femtosecond laser pulses [35, 36]. Amorphization of GeSbTe has been achieved on the subpicosecond timescale with femtosecond laser pulse excitation [37]. In addition, GST retains its phase for years after removal of the external excitations. GST has been widely studied for applications in non-volatile, rewritable optical data storage and memory [38, 39]. Recently, a variety of optically reconfigurable GST-based active photonic devices have also been demonstrated [40-45].

Here, motivated by the transport behavior enabled by non-Hermiticity and the high refractive index contrast between the amorphous and crystalline phases of phase-change material GST, we use a non-PT-symmetric three-layer structure, consisting of a gain medium layer sandwiched between two GST layers, to switch the direction of reflectionless light propagation at exceptional points (Fig. 1). We show that, when GST is switched from its amorphous to its crystalline phase, the structure switches from unidirectional reflectionless in the forward direction to unidirectional reflectionless in the backward direction. The structure is designed at the optical communication wavelength of  $\lambda_0 = 1.55 \mu\text{m}$ . We then discuss the underlying physical mechanism of unidirectional reflectionless light propagation in this structure. We show that a layer with gain has to be included in the structure to compensate the loss in the GST layers so as to achieve complete destructive interference. We demonstrate that the structure exhibits exceptional points for GST in both its amorphous and crystalline phases. These exceptional points result in unidirectional reflectionless propagation in the forward direction for GST in its amorphous phase, and in the backward direction for GST in its crystalline phase. We investigate the phase transitions associated with the exceptional points. Finally, the topological structure of the exceptional points is also explored by encircling them in parameter space.

In recent years, investigating the interaction of light with subwavelength structures has attracted a lot of attention, since it could potentially lead to a new generation of photonic devices [23, 46-50]. In particular, the capability to control the scattering of light and achieve invisibility cloaking of subwavelength structures is important for applications in biomedicine, photovoltaics, sensing, optical detection, and near-field imaging [51-57]. In the past few years, the use of plasmonic and dielectric multilayer coatings to drastically reduce the total scattering cross-section of deep subwavelength objects, and thus achieve invisibility cloaking based on scattering cancellation, has been explored [58-62]. In addition to cloaking, it has been demonstrated that subwavelength multilayer core-shell structures can lead to enhanced resonant scattering, so that the scattering cross sections of the original structures are greatly enhanced [63-66]. This phenomenon is commonly referred to as superscattering. Switching between the cloaking and enhanced scattering states could be essential for building compact optoelectronic devices, for reducing the size of optical systems, and for developing reconfigurable optical components [67-79]. Such switching between the enhanced scattering and invisibility cloaking regimes has been demonstrated using nonlinear materials [70] and quantum emitters [71]. An alternative way to achieve this switching could be through the use of materials with tunable optical properties, such as phase-change materials.

Here, we also investigate the scattering properties of a three-layer cylindrical structure with GST at the mid-infrared wavelength of  $4\ \mu\text{m}$  (Fig. 2). We first consider an electrically small structure. We show that, when GST is switched between its amorphous and crystalline phases, the structure switches between resonant scattering and cloaking invisibility regimes. The contrast ratio between the scattering cross sections of the cloaking invisibility and resonant scattering regimes reaches almost unity. We then consider the case of a larger, moderately small cylindrical structure. In this scenario, we demonstrate that, when GST is switched between its crystalline and amorphous phases, the structure switches between superscattering and cloaking invisibility regimes. The contrast ratio between the scattering cross sections of cloaking invisibility and superscattering regimes can be as high as  $\sim 93\%$ . Although here we focus on two-dimensional infinitely long cylindrical structures, the proposed approach is rather general and can be applied to other optical structures.

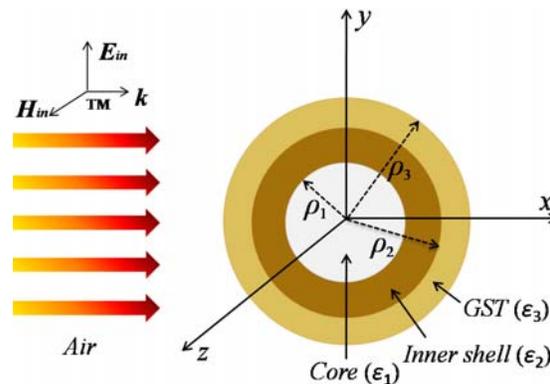


Fig. 2. Schematic of a three-layer core-shell cylindrical structure. The material in the outer shell is the phase-change material GST.

## 2. CONCLUSIONS

In this paper, we design a non-PT-symmetric three-layer structure, consisting of a gain medium layer sandwiched between two phase-change medium layers for switching of the direction of reflectionless light propagation at exceptional points. We show that for this structure unidirectional reflectionlessness in the forward direction can be switched to unidirectional reflectionlessness in the backward direction at the optical communication wavelength of  $\lambda_0 = 1.55\ \mu\text{m}$  by switching the phase-change material GST from its amorphous to its crystalline phase. We use the transfer matrix method and optimize the geometric and material parameters of the structure, to minimize the reflection in the forward direction when GST is in its amorphous phase, and the reflection in the backward direction when GST is in its crystalline phase. We also confirm these findings with full-wave finite-difference frequency-domain (FDFD) simulations. We discuss the underlying physical mechanism of unidirectional reflectionless light propagation in this structure, and the role of the ultrathin GST layer in the reflection process from the structure. We show that a gain material layer has to be included in the structure in order to compensate the material loss in the GST layers and achieve complete destructive interference.

In addition, for experimental realization, an ultrathin thermal barrier layer can be used next to a GST layer to confine heat in the GST film. Such a thermal barrier layer keeps surrounding materials isolated from heating and protects them from harmful interaction with the GST film, when GST is switched between its two phases by optically, electrically or thermally provided heat stimuli [35, 40, 45]. For example,  $\text{Ta}_2\text{O}_5$ ,  $\text{Al}_2\text{O}_3$  and  $\text{Si}_3\text{N}_4$  are materials which can be chosen for the thermal barrier due to their low thermal conductivities [35, 40, 45]. We find that the addition of such a thin thermal barrier layer does not affect the functionality of the proposed structure. In addition, even though the structure is designed assuming normally incident light, we find that the contrast ratio between the forward and backward reflection is large in a wide angular range for both TE and TM polarization, and for GST in both its amorphous and crystalline phases. Our results could be potentially important for developing a new generation of compact active free-space optical devices. It is noteworthy that the concept of combining gain and phase-change materials for switching of the direction of reflectionless light propagation could also be applied to nanoplasmonic waveguide-cavity systems [23, 26], which could lead to implementations in integrated optical chips.

In this paper, we also design subwavelength three-layer core-shell cylindrical structures with the phase-change material GST for switching between the cloaking invisibility and enhanced scattering regimes at the mid-infrared wavelength of  $\lambda_0=4 \mu\text{m}$ . We use the Mie-Lorenz mode-expansion method and optimize the geometric and material parameters of the structures to achieve the switching. For an electrically small three-layer structure, we optimize the dielectric permittivity of the material in the inner shell and the layer dimensions, to switch between cloaking and resonant scattering by switching the phase-change material GST from its crystalline to its amorphous phase. We find that for the optimized structure the contrast ratio between the cloaking and resonant scattering states is almost unity. For larger, moderately small three-layer structures, we optimize the layer dimensions to minimize the scattering cross section when GST is in its amorphous phase, and maximize the scattering cross section when GST is in its crystalline phase. We find that cloaking occurs when the amplitude of the monopole scattering coefficient is zero, while superscattering occurs when a higher order multipolar scattering mode is on resonance. For the optimized structure, the contrast ratio between the cloaking and superscattering states can be as high as  $\sim 93\%$ . We also confirm these findings with full-wave finite-element simulations.

As final remarks, we first investigated a two-layer cylindrical structure consisting of a core layer and a GST shell layer. We found that such a two-layer structure cannot achieve the same functionality as the three-layer structure. In other words, the inner shell between the core and the GST outer shell is necessary to achieve switching between cloaking and enhanced scattering regimes. This is due to the fact that for electrically small two-layer cylindrical structures, a shell with negative or near-zero permittivity is necessary to cloak a dielectric core based on scattering cancellation [58, 59]. Thus, an electrically small two-layer structure consisting of a GST shell and a dielectric core cannot be used to realize switching between the cloaking and resonant scattering regimes. We also note that layered core-shell cylindrical nanostructures can be fabricated by chemical vapor deposition and sputter coating [72]. Light scattered from an individual cylindrical nanostructure can be detected using dark-field microscopy [73]. In addition, switching between cloaking and superscattering regimes using phase-change materials could also be generalized to three-dimensional spherical nanostructures [64]. Our results could be potentially important for developing a new generation of dynamically reconfigurable subwavelength optical devices.

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