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Unimolecular Reactions of Peroxy Radicals Formed in the Oxidation of α -pinene and β -pinene by Hydroxyl Radicals

Lu Xu, Kristian H. Møller, John D. Crouse, Rasmus V.
Otkjær, Henrik Grum Kjaergaard, and Paul O. Wennberg

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11 4 Lu Xu^{†,*}, Kristian H. Møller[§], John D. Crouse[†], Rasmus V. Otkjær[§], Henrik G. Kjaergaard^{§,*},
12
13 5 Paul O. Wennberg^{†,‡,*}
14
15

16 6 [†]Division of Geological and Planetary Sciences, California Institute of Technology, Pasadena,
17
18 7 California 91125, United States
19
20

21 8 [§]Department of Chemistry, University of Copenhagen, Universitetsparken 5, DK-2100,
22
23 9 Copenhagen Ø, Denmark
24
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26
27 10 [‡]Division of Engineering and Applied Science, California Institute of Technology, Pasadena,
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3 **13 Abstract**
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6 14 Atmospheric oxidation of monoterpenes (emitted primarily by evergreen trees) is known to
7
8 15 contribute to the formation and growth of aerosol particles. While recent research has tied the
9
10 16 formation of organic aerosol to unimolecular chemistry of the organic peroxy radicals (RO₂)
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12 17 formed in the oxidation of monoterpenes, the fundamental physical chemistry of these RO₂
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14 18 remains obscure. Here, we use isomer-specific measurements and *ab initio* calculations to
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16 19 determine the unimolecular reaction rates and products of RO₂ derived from the hydroxyl radical
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18 20 (OH) oxidation of α -pinene and β -pinene. Among all the structural isomers of the first-generation
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20 21 RO₂ from both monoterpenes, we find that the first-generation RO₂ produced following opening
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22 22 of the four-membered ring undergo fast unimolecular reactions (4 ± 2 and 16 ± 5 s⁻¹ for α -pinene and
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24 23 β -pinene, respectively) at 296 K, in agreement with high level *ab initio* calculations. The presence
25
26 24 of the hydroxy group and carbon-carbon double bond in the ring-opened RO₂ enhances the rates
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28 25 of these unimolecular reactions, including endo-cyclization or H-shift via transition states
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30 26 involving six- and seven-membered rings. These reaction rate coefficients are sufficiently fast that
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32 27 unimolecular chemistry is the dominant fate of these monoterpene derived RO₂ in the atmosphere.
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34 28 In addition, the overall yield of first generation α -pinene and β -pinene hydroxy nitrates, C₁₀H₁₇NO₄,
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36 29 at 296 K and 745 torr is measured to be $3.3\pm 1.5\%$ and $6.4\pm 2.1\%$, respectively, for conditions where
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38 30 all RO₂ are expected to react with NO ([NO] > 1000 ppbv). These yields are lower than anticipated.
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32 Introduction

33 Approximately 150 Tg of monoterpenes ($C_{10}H_{16}$) are emitted from biogenic vegetation to Earth's
34 atmosphere every year¹. Monoterpenes rapidly react with atmospheric oxidants and produce a
35 myriad of oxygenated compounds²⁻⁷ that influence the NO_x cycle⁸ and Earth's radiation balance⁹.
36 Oxygenated compounds produced from monoterpenes are a major contributor to organic aerosol
37 globally¹⁰⁻¹¹ and have been suggested to be the largest source of summertime organic aerosol in
38 the southeastern U.S.^{7, 12}. Despite extensive investigations, knowledge of the mechanisms and
39 products of monoterpene photooxidation is still incomplete¹³. In particular, the fate of peroxy
40 radicals (RO_2) produced in this chemistry remains speculative. Typically, RO_2 in the atmosphere
41 undergo bimolecular reactions with nitric oxide (NO), hydroperoxy radical (HO_2), and other
42 RO_2 ¹⁴⁻¹⁵. Recent studies have shown, however, that RO_2 unimolecular reactions can often compete
43 with these bimolecular reactions¹⁶⁻²⁰. Some monoterpene RO_2 have been inferred to undergo fast
44 unimolecular reactions, based on the observed rapid formation of highly oxygenated molecules
45 (HOMs), which carry six or more oxygen atoms in the oxidation of monoterpenes^{9, 21-22}. The
46 unimolecular reaction rates of monoterpene RO_2 , however, have not been measured.

47 In this study, we experimentally determine the unimolecular reaction rate coefficients of
48 RO_2 derived from the OH oxidation of α -pinene and β -pinene, which together account for more
49 than half of total monoterpene emissions¹. We perform isomer-specific measurements of a suite of
50 hydroxy nitrates (HNs), produced from RO_2 reaction with NO, to probe the competition between
51 RO_2 bimolecular and unimolecular reactive pathways. We also use *ab initio* multi-conformer
52 transition state theory (MC-TST)²³⁻²⁴ to aid the understanding of the reaction mechanisms.

53 **Methods**

54 **Experimental Methods.** We perform a suite of laboratory photooxidation experiments of α -
55 pinene and β -pinene in a 0.8 m³ collapsible FEP Teflon (Dupont) Environmental Chamber at
56 ambient laboratory pressure (\sim 993 hPa) and temperature (296 K). The VOC ((+) α -pinene (98%,
57 Sigma-Aldrich) or (-) β -pinene (99%, Sigma-Aldrich)) is transferred into the experimental
58 chamber via a gas-tight 500 cm³ glass bulb. We prepare the bulb by evacuating it to <0.3 torr,
59 evaporating VOC liquid into the bulb, and serial dilution with N₂ to achieve the desired mixing
60 ratio. The concentration of VOC in glass bulb is verified by FTIR spectroscopy using tabulated
61 cross-sections²⁵. The initial VOC concentration in the chamber ranges from roughly 60 to 120
62 ppbv.

63 The photolysis of methyl nitrite (CH₃ONO) is used as the primary source of OH. CH₃ONO
64 is synthesized, purified, and stored using methods similar to those described by Taylor et al.²⁶ The
65 CH₃ONO is injected into the chamber in the same fashion as VOC. The initial CH₃ONO
66 concentration in the chamber is roughly 70 ppbv. For experiments with initial NO, a 500 cm³ bulb
67 with desired NO concentration is prepared by mixing 1993 ± 20 ppmv NO (Matheson) and N₂ with
68 the desired dilution ratio. The content of the bulb is then transferred to the chamber. The initial
69 NO concentration ranges from 0 to 2.2 ppmv. Dry zero air is added to the evacuated chamber using
70 a mass flow controller (MKS), allowing the initial concentration of species to be calculated to
71 better than 5% uncertainty. The detailed concentrations of VOC, NO, and CH₃ONO in all
72 experiments are shown in Table S1. After all of the reagents are injected and well-mixed in the
73 chamber, UV lights (Sylvania F40/350BL) are turned on to initiate photooxidation. To study the
74 RO₂ unimolecular reactions under low concentrations of NO and HO₂, we use only one UV bulb.
75 The OH concentration ranges from 1×10^6 to 8×10^6 molecule cm⁻³, due to different NO levels

76 between experiments. To achieve similar OH exposure (i.e., 2×10^9 and 1×10^9 molecules cm^{-3} s for
77 α -pinene and β -pinene experiments, respectively), we vary the oxidation time between 2.5 min to
78 30 min. Under these OH exposure levels, roughly 10% of initial VOC is oxidized.

79 **Instrumentation.** The analytical instruments, including an O₃ monitor (Teledyne O₃ M400E), a
80 chemiluminescence NO/NO_x monitor (Teledyne NO_x M200EU), a Gas Chromatograph with a
81 Flame Ionization Detector (GC-FID, Hewlett Packard 5890 series II Plus), and a Gas-
82 Chromatography Time of Flight Chemical Ionization Mass Spectrometer (GC-ToF-CIMS), are
83 connected to experimental chamber using ~2 m of 1/4 inch PFA tubing. We use the GC-FID to
84 measure α -pinene and β -pinene. Because CH₃ONO can cause interference in the measured
85 concentrations of NO and NO₂, the NO_x monitor is only used to measure the initial NO and NO₂
86 concentration (before CH₃ONO injection). The O₃ concentration measured by the O₃ monitor is
87 close to zero in all experiments, which limits VOC consumption by O₃ to less than 1% during these
88 experiments.

89 We use GC-ToF-CIMS with CF₃O⁻ as the reagent ion, which is sensitive to multifunctional
90 oxygenated products. CF₃O⁻ is produced by flowing 1 ppmv CF₃OOCF₃ (in N₂) through a
91 radioactive ²¹⁰Po source. Then, CF₃O⁻ selectively interacts with analytes at a pressure of 35 mbar
92 to generally form either cluster ions (R1) or fluoride transfer ions (R2).



95 The GC-ToF-CIMS has two sampling modes, direct sampling and GC sampling. In direct
96 sampling mode, CIMS samples air directly from the reaction chamber recording spectra at 10 Hz
97 temporal resolution. In GC sampling mode, the CIMS samples the effluent of a GC. In brief, the
analytes are pulled from the reaction chamber and cryo-trapped on the head of a 1 m Restek RTX-

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3 98 1701 column at -20°C. After trapping for certain time (typically 10 min, 2 L chamber air), elution
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5 99 is enabled using a flow of 5 sccm N₂ and a temperature ramping program (SI section S1). The GC
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7
8 100 effluent is diluted with additional N₂ and transferred into the CIMS. More details about the
9
10 101 operation and principles of CF₃O⁻ GC-ToF-CIMS can be found in Vasquez et al²⁷. In both sampling
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12 102 modes, the observed ion signals are normalized to the sum of isotopes of the reagent ion (i.e.,
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14 103 ¹³CF₃O⁻ and ¹³CF₃O⁻•H₂O), to account for variation in the total ion signal. The high mass resolution
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16 104 data analysis is performed using the Tofware software (Tofwerk).

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20 105 **Peroxy radical bimolecular lifetime.** We perform a series of experiments under different RO₂
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22 106 bimolecular lifetimes ($\tau_{\text{bimolecular}}$, Eqn.(1)) by varying [NO] and [HO₂].

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$$\tau_{\text{bimolecular}} = \frac{1}{k_{\text{RO}_2+\text{NO}}[\text{NO}] + k_{\text{RO}_2+\text{HO}_2}[\text{HO}_2]} \text{ Eqn. (1)}$$

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29 108 The [NO] and [HO₂] during photooxidation are simulated using Master Chemical Mechanism
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31 109 (MCM, version 3.2)²⁸⁻²⁹ updated with nitrate branching ratios and ring-opening fractions of
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33 110 activated terpene alkyl radicals based on results from this study. $k_{\text{RO}_2+\text{NO}}$ and $k_{\text{RO}_2+\text{HO}_2}$ are taken
34
35 111 from the MCM²⁹ as 9.15×10^{-12} and 2.39×10^{-11} cm³ molec⁻¹ s⁻¹ at 296 K, respectively. We assume
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37 112 $k_{\text{RO}_2+\text{NO}}$ and $k_{\text{RO}_2+\text{HO}_2}$ to be isomer independent. RO₂+RO₂ chemistry is calculated to be of
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39 113 negligible importance in these experiments due to small concentrations of RO₂ radicals. We use
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41 114 MCM and estimate that RO₂+RO₂ reactions account for < 2% of RO₂ bimolecular loss, using a
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43 115 rate coefficient of 2×10^{-12} cm³ molec⁻¹ s⁻¹. Details about the MCM updates and $\tau_{\text{bimolecular}}$
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45 116 calculation can be found in the SI section S2. For experiments with no initial NO injection (i.e.,
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47 117 Experiments 6 and 13 in Table S1), we estimate [NO] and [HO₂] based on the measured production
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49 118 rates of H₂O₂, hydroxy hydroperoxides, and hydroxy nitrates by following the procedure in
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3 119 Crouse et al.³⁰ The subsequently calculated $\tau_{\text{bimolecular}}$ from this method agree within 25% of the
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5 120 values estimated using MCM (SI section S2).

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8 121 **Computational approach.** Reaction rate coefficients, k , for the RO₂ unimolecular reactions are
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10 122 calculated using MC-TST²³⁻²⁴:

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$$k = \kappa \frac{k_B T}{h} \frac{\sum_i^{\text{All TS conf.}} \exp\left(-\frac{\Delta E_i}{k_B T}\right) Q_{TS_i}}{\sum_j^{\text{All R conf.}} \exp\left(-\frac{\Delta E_j}{k_B T}\right) Q_{R_j}} \exp\left(-\frac{E_{TS} - E_R}{k_B T}\right) \text{ Eqn. (2)}$$

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18 124 where κ is the tunneling coefficient, k_B is the Boltzmann constant, T is the temperature and h is
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20 125 the Planck constant. The two summations run over all transition states and reactant conformers,
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22 126 respectively, and sum the partition function of a given conformer weighted by its Boltzmann factor
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25 127 calculated relative to the corresponding lowest-energy conformer. The final exponential term has
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27 128 the zero-point corrected energy difference between the lowest-energy TS and reactant conformers,
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29 129 i.e., the reaction barrier.

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33 130 Energies and partition functions in the equation are calculated using the approach described
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35 131 by Møller *et al.*²³ Briefly, conformers are located by a systematic conformer search using MMFF
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37 132 in Spartan '14 or '16 with a neutral charge enforced³¹⁻³⁸. The conformer search is followed by
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39 133 optimizations with B3LYP/6-31+G(d) in Gaussian 16, rev. A.03³⁹⁻⁴⁴. Slow reactions (i.e. with rate
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41 134 coefficients below $5 \times 10^{-3} \text{ s}^{-1}$ at room temperature) are ignored, because the calculated rate
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43 135 coefficients at this level of theory are most often biased high. For the faster reactions, conformers
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45 136 with electronic energies within 2 kcal mol⁻¹ of the lowest-energy conformer at the B3LYP/6-
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47 137 31+G(d) level are reoptimized using ω B97X-D/aug-cc-pVTZ⁴⁵⁻⁴⁷. In Møller *et al.*, a 2 kcal mol⁻¹
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49 138 cut-off based on electronic energy at this level was found to be suitable²³. For the conformer
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51 139 lowest in zero-point corrected energy at the ω B97X-D/aug-cc-pVTZ level, an RO-CCSD(T)-
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53 140 F12a/VDZ-F12// ω B97X-D/aug-cc-pVTZ (abbreviated F12) single-point energy calculation is

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3 141 done to get a more accurate barrier height⁴⁸⁻⁵⁴. Due to issues with the HF calculations converging
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5 142 to different possible solutions, no F12 single-point energy calculations are conducted for
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8 143 abstraction from OH groups. Consistent with Møller et al.⁵⁵, we estimate that the uncertainty on
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10 144 the rate coefficients increases to a factor of 100 without F12 single point energy calculations.
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13 145 The tunneling coefficient is calculated using the Eckart approach based on the conformers
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15 146 connected to the lowest-energy TS using an IRC⁵⁶⁻⁵⁷. Eckart barriers are calculated using F12,
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17 147 while the imaginary frequency of the TS is calculated at the ω B97X-D/aug-cc-pVTZ level. The
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19 148 conformer searches are performed using Spartan '14 or '16, the DFT calculations are done with
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21 149 Gaussian 16, rev. A.03 and the F12 calculations are conducted using Molpro 2012. For reaction
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23 150 A1, the DFT calculations are performed using Gaussian 09, rev. D.01.
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27 151 The competition between ring-opening and addition of O₂ for the hydroxy alkyl radicals
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29 152 formed by addition of OH to α -pinene and β -pinene is modeled using RRKM theory within the
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31 153 MESMER software package⁵⁸ with electronic structure theory as described in the approach of
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33 154 Møller et al.²³ A full description of the theoretical approach is included in SI section S3. The dipole
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35 155 moment and polarizability of oxidation products, for use in estimating instrumental sensitivity, are
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37 156 calculated using B3LYP/cc-pVTZ (SI section S3).
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41 157 Following the recommendations of previous studies and comparisons with experiments,
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43 158 we estimate the uncertainty on rate coefficients of this approach to be a factor of 10^{18, 23, 59}. Our
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45 159 calculated results are broadly consistent with previous studies by Vereecken et al.^{5, 60}, Peeters et
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47 160 al.⁶¹, and Berndt et al.²¹, but use higher level of theory and include important pathways not
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49 161 previously considered.
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163 Results and Discussion

164 **The isomer distribution of C₁₀H₁₇NO₄ hydroxy nitrates.** The oxidation of both monoterpenes
165 initiated by OH addition produces a set of RO₂ isomers and, following reaction with NO, hydroxy
166 nitrates (HNs). Here, we only describe the formation of C₁₀H₁₇NO₄. As discussed later, additional
167 nitrates are formed following isomerization chemistry of peroxy and alkoxy radicals. A simplified
168 oxidation mechanism for α -pinene + OH is shown in Scheme 1. The OH addition onto the double
169 bond produces four hydroxy alkyl radicals, two diastereomer pairs of 2-OH,3-R• and 3-OH,2-R•.
170 It is expected that 2-OH,3-R• mainly undergoes collisional stabilization, followed by O₂ addition
171 to produce 2-OH,3-RO₂. 3-OH,2-R•, however, can undergo either collisional stabilization and O₂
172 addition to produce 3-OH,2-RO₂ or, via C-C scission (ring opening) and O₂ addition, form 3-OH,8-
173 RO₂⁴. In total, three distinct structural isomers of RO₂ (each comprised of several stereoisomers)
174 are produced. As a result, three structural isomers of HNs are formed via RO₂ reaction with NO.
175 A similar scheme for β -pinene is shown in Scheme S1.

176 The HNs are separated using gas chromatography and quantified by GC-ToF-CIMS
177 (Figure 1). Three distinct peaks are observed to be produced from the OH oxidation of both
178 monoterpenes in the presence of NO. The structural assignment of the HN isomers is achieved
179 through several experiments. The ring-opened HN is unsaturated and thus reacts with O₃ while the
180 ring-retained HN isomers are saturated and will not do so (Figure S1). Thus, the third peak is
181 assigned to ring-opened HN (i.e., 3-OH,8-ONO₂ for α -pinene) because it disappears upon adding
182 O₃ to the chamber following photooxidation. The first peak in the chromatogram is assigned to the
183 HN with the –ONO₂ group on the less-substituted carbon (i.e., 2-OH,3-ONO₂ for α -pinene). This
184 assignment is based on the elution time of the major HN produced from NO₃-initiated oxidation
185 experiments (Figure S2), where the first peak corresponds to the dominant peak produced from

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3 186 NO₃ chemistry, consistent with the assumption that NO₃ reacts with alkenes by primarily adding
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5 187 to the less-substituted olefinic carbon⁶². Finally, the second peak is assigned to the HN with the –
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7 188 OH group on the less-substituted carbon (i.e., 3-OH,2-ONO₂ for α -pinene). This assignment is
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10 189 based on the previous finding that the retention order for HNs with similar structures generally
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12 190 follows the order: tertiary –OH, secondary –OH, and then primary –OH for the same GC column⁶³
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14 191 (Figure S3). This observation has a plausible rationale as the secondary –OH has stronger
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16 192 interaction with GC column than tertiary OH due to less shielding effects. More details on
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19 193 chromatographic assignment are provided in the SI section S1. In summary, for each monoterpene,
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21 194 the first two peaks are the two structural isomers of ring-retained β -HNs (e.g., 2-OH,3-ONO₂ and
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23 195 3-OH,2-ONO₂ for α -pinene), each likely comprised of multiple diastereomers; the third peak is
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25 196 the ring-opened HN (e.g., 3-OH,8-ONO₂ for α -pinene), likely containing 2 diastereomers for the
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27
28 197 α -pinene system.

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31 198 For conditions where all RO₂ are expected to react with NO ([NO] > 1000 ppbv), the GC
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33 199 transmission efficiencies of α -pinene and β -pinene hydroxy nitrates (C₁₀H₁₇NO₄) are 79±4% and
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35 200 99±5%, respectively (SI section S1).

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38 201 **Rate Coefficients of Unimolecular Reactions.** We find that the yields of ring-retained β -HNs
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40 202 (i.e., the amount of HNs produced divided by the amount of monoterpene oxidized) do not change
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42 203 with RO₂ bimolecular lifetime ($\tau_{\text{bimolecular}}$), after accounting for the fraction of RO₂ that reacts with
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44 204 NO (Figure S4). This finding suggests that the unimolecular reaction rates of ring-retained RO₂
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46 205 are much slower than bimolecular chemistry for conditions investigated here ($\tau_{\text{bimolecular}}$: 0.001 –
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48 206 10 s). This experimental result is corroborated by our MC-TST calculations using ω B97X-D/aug-
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50 207 cc-pVTZ; the unimolecular reaction rate coefficients for ring-retained RO₂ in both monoterpenes
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52 208 are calculated to be slower than 0.05 s⁻¹ with the exception of one isomer of α -pinene which has a
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3 209 calculated H-shift rate coefficient of 0.12 s^{-1} (Table S8 and S9). In contrast, we find that the yield
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5 210 of ring-opened HN (i.e., α -pinene 3-OH,8-ONO₂ and β -pinene 1-OH,8-ONO₂) decreases with
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7
8 211 $\tau_{\text{bimolecular}}$. Figure 1(a) and (b) present the HN distributions under three different $\tau_{\text{bimolecular}}$ for the
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10 212 α -pinene and β -pinene systems, respectively. The yield of ring-opened HNs decreases with longer
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12 213 $\tau_{\text{bimolecular}}$, because the unimolecular reactions shorten the time available for the ring-opened RO₂
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14 214 to react with NO. This observation is corroborated by our theoretical calculation as will be shown
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17 215 later.

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20 216 To determine the unimolecular reaction rates of ring-opened RO₂, we utilize the ratio of
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22 217 the ring-opened HN signal relative to that of the ring-retained HNs. Ring-retained HNs are used
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24 218 as a reference for the RO₂ + NO bimolecular reaction, as the unimolecular reactions of ring-
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26 219 retained RO₂ are negligible for the conditions investigated here. In contrast, the formation of ring-
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29 220 opened HN depends on the competition between reaction with NO and unimolecular chemistry.
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31 221 Thus, the change in the (ring-opened HN):(ring-retained HNs) ratio as a function of $\tau_{\text{bimolecular}}$ is a
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33 222 measure of the ratio of the unimolecular reactions of ring-opened RO₂ to its bimolecular reaction
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35 223 with NO. Detailed mathematical derivations are shown in the SI section S2. The (ring-opened
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38 224 HN):(ring-retained HNs) ratio decreases as $\tau_{\text{bimolecular}}$ increases due to increased importance of the
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40 225 unimolecular reactions of the ring-opened RO₂ at longer $\tau_{\text{bimolecular}}$ (Figure 2). When $\tau_{\text{bimolecular}}$ is
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42 226 $\sim 10 \text{ s}$, the formation of ring-opened HN is suppressed because all ring-opened RO₂ undergoes
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44
45 227 unimolecular reactions. We use a kinetic model to simulate the relationship between (ring-opened
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47 228 HN):(ring-retained HNs) and $\tau_{\text{bimolecular}}$ (SI section S4) with varying unimolecular reaction rates.
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49 229 The unimolecular reaction rate coefficient is the only fitted parameter in the model. By comparing
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52 230 the simulated and measured relationships, we determine the rates of unimolecular reactions to be
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54 231 $4 \pm 2 \text{ s}^{-1}$ and $16 \pm 5 \text{ s}^{-1}$ at 296 K for α -pinene and β -pinene ring-opened RO₂, respectively (Figure 2).
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3 232 An equivalent NO reactivity at 296 K requires mixing ratios of 18 and 70 ppbv NO, respectively
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5 233 – values substantially larger than found over forested regions where monoterpenes are emitted and
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7 234 even over many polluted urban areas⁶⁴⁻⁶⁵.
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10 235 These rates are consistent with the previously estimated ranges from theoretical
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12 236 calculations (1-10 s⁻¹ for α -pinene ring-opened RO₂ in Berndt et al.²¹ and Vereecken et al.⁶⁰) and
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14 237 from simulating α -pinene ozonolysis with a few fitting parameters (3-10 s⁻¹ in Zhao et al.²²). The
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16 238 measured rates agree well with our theoretical calculations using higher level of theory as
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18 239 presented in the next section.
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22 240 **Unimolecular reaction channels.** For the ring-opened RO₂ from both monoterpenes, there are a
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24 241 suite of potential unimolecular reaction channels (Scheme 2). The channel-specific rate
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26 242 coefficients are calculated with the MC-TST approach from Møller et al.²³ (Table S6 and S7). α -
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28 243 pinene ring-opened RO₂ (i.e., 3-OH,8-RO₂) exist in both *syn* and *anti* isomers, depending on the
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30 244 relative positions of the –OH and the –C(CH₃)₂OO groups (Scheme 2a and 2b). For the
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32 245 experimental configuration used in this study we are unable to separate HNs derived from the *syn*
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34 246 and *anti* ring-opened RO₂, and thus we experimentally infer the isomer-averaged sum of
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36 247 unimolecular reaction rates of the precursor RO₂'s. For *anti* 3-OH,8-RO₂, the fastest unimolecular
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38 248 pathway is calculated to be the 1,5 H-shift from C6 (1.1 s⁻¹), followed by the 1,6 H-shift from C3
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40 249 (0.37 s⁻¹) and the endo-cyclization to C7 (0.35 s⁻¹) at 298.15 K. The remaining unimolecular
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42 250 reactions are calculated to be very slow (< ~10⁻³ s⁻¹). The rate of 1,5 H-shift from C6 is enhanced
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44 251 due to stability of the nascent allylic radical. While the 1,6 H-shift from C3 also forms an allylic
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46 252 radical, the calculated rate is slower than the 1,5 H-shift from C6, which is likely because the 1,6
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48 253 H-shift requires a greater distortion of the ring inducing more ring-strain (Figure S5). In addition
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50 254 to H-shift, *anti* 3-OH,8-RO₂ can undergo endo-cyclization to form bicyclic alkyl radical. The endo-
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3 255 addition at C7 is favored over the endo-addition at C2, as the former yields a tertiary alkyl radical
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5 256 with a six-membered ring. The calculated summed rate of unimolecular reactions of all channels
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7 257 for *anti* 3-OH,8-RO₂ is 1.8 s⁻¹ at 298.15 K. For *syn* 3-OH,8-RO₂, the dominant isomerization
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9 258 pathway is predicted to be endo-addition to C7 (2.3 s⁻¹), followed by 1,5 H-shift from C6 (0.16 s⁻¹)
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11 259 ¹). Unlike *anti* 3-OH,8-RO₂, *syn* 3-OH,8-RO₂ cannot undergo a 1,6 H-shift from C3 because the
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13 260 peroxy radical and C3-H are on opposite sides of the six-membered ring. The summed rate of
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15 261 unimolecular reactions of *syn* 3-OH,8-RO₂ is 2.5 s⁻¹ at 298.15 K. Assuming that *anti* and *syn* 3-
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17 262 OH,8-RO₂ are initially produced in equal abundance, we find that the calculated average rate of
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19 263 unimolecular reactions of 3-OH,8-RO₂ (2.1 s⁻¹) agrees with the measured value (4±2 s⁻¹), within
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21 264 uncertainty.

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24 265 In the β-pinene system, the ring-opened RO₂ (i.e., 1-OH,8-RO₂) only has one chiral center.
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26 266 We do not distinguish between enantiomers, as their unimolecular reaction rate coefficients are
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28 267 the same. We calculate that 1-OH,8-RO₂ primarily undergoes endo-cyclization to C7 (4.0 s⁻¹), 1,5
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30 268 H-shift from C6 (1.4 s⁻¹), and 1,6 H-shift from C3 (0.28 s⁻¹) at 298.15 K. The summed rate of
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32 269 unimolecular reactions of 1-OH,8-RO₂ (5.7 s⁻¹) agrees with the measured value (i.e., 16±5 s⁻¹),
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34 270 within uncertainty. The calculated endo-cyclization rate coefficients of β-pinene 1-OH,8-RO₂ and
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36 271 α-pinene *syn* 3-OH,8-RO₂ are roughly 10 times faster than that of α-pinene *anti* 3-OH,8-RO₂. This
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38 272 is likely because β-pinene 1-OH,8-RO₂ and α-pinene *syn* 3-OH,8-RO₂ exhibit a hydrogen bond
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40 273 interaction between the –OH and –OO, stabilizing the transition state (Figure S6). This option is
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42 274 not available to the *anti* 3-OH,8-RO₂ as the two functional groups are on opposite sides of the ring.

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45 275 **Reaction Products of Ring-Opened RO₂ under long τ_{bimolecular}.** In experiments with long
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47 276 τ_{bimolecular}, we detect several stable end products that appear to arise from the unimolecular reactions.
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49 277 For α-pinene, we observe two peaks in the chromatogram of *m/z* 332 (C₁₀H₁₇NO₆·CF₃O⁻),
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3 278 corresponding to the mass of organic nitrates P1 and P4-P6 (Scheme 3) produced from secondary
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5 279 generation RO₂ (R1, R4-R6) reaction with NO. To probe the identity of compounds at *m/z* 332,
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8 280 we add O₃ to the reaction chamber after photooxidation to test for the presence of C-C double
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10 281 bonds. Both peaks at *m/z* 332 in GC chromatography are still present after O₃ addition (Figure S7).
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12 282 In addition, tests are conducted through adding D₂O to the GC eluent to determine the number of
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14 283 exchangeable H atoms (-OH and -OOH groups) in the molecules by H/D exchange reactions. The
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17 284 H/D analysis reveals only one exchangeable H atom in C₁₀H₁₇NO₆. These tests suggest that the
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19 285 compounds detected at *m/z* 332 are saturated with one acidic H (e.g., isomers of P1) rather than
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21 286 unsaturated with two acidic H's (e.g., P4-P6). The lack of P4-P6 formation is likely because either
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24 287 their precursor RO₂ (R4-R6) undergo unimolecular reactions (as discussed below and shown in
25
26 288 Scheme S4) or that P4-P6 have poor transmission efficiency through the GC.

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29 289 A co-product of P1 from R1 reaction with NO is alkoxy radical R2. The likely fate of R2
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31 290 is decomposition via two scission channels, both of which can lead to products sharing the same
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33 291 chemical formula, C₁₀H₁₆O₄ (endoperoxide ketoaldehyde P2 and hydroxy diketone aldehyde P3
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36 292 in Scheme 3). At long $\tau_{\text{bimolecular}}$ (i.e., 2.4 s in Figure 3), we detect one peak in the chromatogram
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38 293 of *m/z* 285 (C₁₀H₁₆O₄·CF₃O⁻). The H/D exchange analysis suggests that this peak does not have
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40 294 any exchangeable H, implying the presence of P2, instead of P3 (has one -OH group). This
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43 295 observation is consistent with our and earlier theoretical calculations⁶⁰ that the major
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45 296 decomposition pathway of R2 is scission towards the -OH group to produce R3 and subsequently
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47 297 P2 (Table S13). The assignment of P2 is further supported in that the signal from this peak is highly
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49
50 298 correlated with P1, which originates from the same precursor RO₂ (i.e., R1) (Figure S8).

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52 299 One oxidation product of the 1,6 H-shift of the hydrogen attached to C3 for α -pinene *anti*
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55 300 3-OH,8-RO₂ is a hydroperoxide ketone (C₁₀H₁₆O₃, P7 in Scheme 3), generated by O₂ addition to
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3 301 C3 in the alkyl radical and subsequent HO₂ elimination. This product is assigned to one peak in
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5 302 the chromatogram of *m/z* 269 (C₁₀H₁₆O₃·CF₃O⁻) (Figure S9), because this peak has one
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7 303 exchangeable H, a C-C double bond, and increases with longer $\tau_{\text{bimolecular}}$ (Figure 4).
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10 304 For β -pinene, the endo-cyclization channel is calculated to account for 70% of the RO₂
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12 305 unimolecular reactivity based on MC-TST calculated rates. Similar to α -pinene, the endo-
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14 306 cyclization channel produces an endoperoxide hydroxy nitrate after reaction with O₂ and NO, the
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16 307 observed formation of which is enhanced under longer $\tau_{\text{bimolecular}}$ (Figure 4). The alkoxy radical
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18 308 from the endo-cyclization channel (similar to R2 in Scheme 3) is calculated to primarily break the
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20 309 carbon-carbon bond attached to the endocyclic peroxide and subsequently decompose (Scheme
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22 310 S6).
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27 311 **Reaction Products of Ring-Opened RO₂ under short $\tau_{\text{bimolecular}}$.** In α -pinene experiments with
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29 312 short $\tau_{\text{bimolecular}}$, we also observe a number of stable end products (Scheme 4). We observe a CIMS
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31 313 signal at *m/z* 316 (C₁₀H₁₇NO₅·CF₃O⁻). A product with the same mass (MW 231 amu) has been
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33 314 detected and proposed to be dihydroxy nitrate by Aschmann et al.⁶⁶ However, H/D exchange
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35 315 analysis reveals only one acidic H in this product. One possible pathway to form the detected
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37 316 products at *m/z* 316 is endo-cyclization of ring-opened hydroxy alkoxy radical (calculated earlier
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39 317 to have an extremely low barrier⁶⁰), followed by reaction with O₂ and NO to produce nitrate P8
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41 318 as shown in Scheme 4. This proposed structure is further supported by the O₃ test, which suggests
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43 319 the absence of C-C double bond in the compound. At short $\tau_{\text{bimolecular}}$, we also observe a GC peak
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45 320 at *m/z* 285 (C₁₀H₁₆O₄·CF₃O⁻), which shares the same chemical formula, but different retention time
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47 321 as P2 produced at long $\tau_{\text{bimolecular}}$ (Figure 3). Aschmann et al. also observed a signal for a compound
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49 322 of molecular weight 200 amu (e.g., C₁₀H₁₆O₄)⁶⁶ at short $\tau_{\text{bimolecular}}$. They assigned this signal to a
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51 323 trihydroxy carbonyl. Our H/D exchange analysis reveals, however, that this product does not have
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3 324 any acidic H. The lack of acidic H in $C_{10}H_{16}O_4$ is consistent with the dicarbonyl ketone ester
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5 325 proposed by Vereecken et al.⁶⁰ (P9 shown in Scheme 4). Finally, multiple isomers are resolved at
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7 326 m/z 269 ($C_{10}H_{16}O_3 \cdot CF_3O^-$, Figure S9) and all contain one exchangeable H. Previously proposed
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9 327 structures for compounds with this mass have more than one $-OH$ or $-OOH$ group⁶⁶.

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12 328 **Potential Routes to Form Highly Oxygenated Organic Compounds.** In addition to producing
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14 329 the end products discussed above, the second-generation RO_2 (e.g., R4-R6 in Scheme 3) can
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16 330 undergo further unimolecular reactions at enhanced rates due to their multi-functional groups
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18 331 (hydroxy, hydroperoxy, and C-C double bond)^{18-19, 67}. Several of these reactions likely lead to the
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20 332 formation of HOMs. In Scheme 5, we show an example route to the formation of a $C_{10}H_{16}O_{10}$
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22 333 compound, one or more species having the same chemical formula have previously been detected
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24 334 by a NO_3^- CIMS and reaches daily maximum in the afternoon in the southeastern U.S.⁶⁸. Peroxy
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26 335 radical R4 can undergo a rapid OOH-OO 1,7 H-shift to produce peroxy radical R11. The rate
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28 336 coefficient of similar H-shifts has been calculated to be on the order of $10^5 s^{-1}$ in other systems⁶⁷.
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30 337 R11 can abstract the allylic H on C3 adjacent to the hydroxy group, the rate coefficient of which
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32 338 is calculated to be $0.37 s^{-1}$ for a RO_2 with similar structure (α -pinene *anti* 3-OH,8- RO_2). Then,
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34 339 following O_2 addition to C7, fast OOH-OO shift, shift of allylic H at C4, and O_2 addition to C4, a
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36 340 peroxy radical R16 is produced. R16 can undergo 1,5 H-shift by abstracting the enolic H which
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38 341 has been calculated in other systems to be extremely fast ($\sim 10^6 s^{-1}$ ⁶⁹) and produce alkyl radical
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40 342 R17. R17 reacts with O_2 , undergoes a series of fast OOH-OO shifts, abstracts the H at C4 adjacent
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42 343 to $-OOH$ ($\sim 0.1 s^{-1}$ in another system⁷⁰), and finally eliminates a $-OH$ to produce $C_{10}H_{16}O_{10}$. This
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44 344 is just one of many plausible routes (Scheme S4 and S5) leading to the formation of HOMs. RO_2
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46 345 with chemical composition $HO-C_{10}H_{15}(OO)(OOH)O_2$, for example, have been detected in the OH
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3 346 oxidation of α -pinene and β -pinene ²¹, suggestive of fast regenerative unimolecular reactions of
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5 347 RO₂ followed by O₂ addition, that is, RO₂ autoxidation.
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8 348 **Yields of Hydroxy Nitrates.** Formation of HNs is an important process in atmospheric
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10 349 photochemistry. This pathway sequesters NO_x and thus inhibits oxidant formation ⁷¹. In this study,
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12 350 we measure the overall yield of first generation α -pinene and β -pinene HNs (C₁₀H₁₇NO₄) to be
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14 351 3.3±1.5% and 6.4±2.1%, respectively, at short $\tau_{\text{bimolecular}}$ ([NO]>1000 ppbv). The details regarding
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16 352 the instrumental sensitivity, the effect of secondary loss and gas/particle partitioning on the
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18 353 measured yields are discussed in SI section S5. The measured yields are much lower than those
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20 354 estimated from structure-activity-relationship (~30%) ⁷². The monoterpene RO₂, however, have
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22 355 more complicated structures than most of the alkenes studied previously ^{63, 73-74}. Our values are
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24 356 consistent with a finding of Teng et al. ⁶³ that internal alkenes have a lower yield of hydroxy
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26 357 nitrates than terminal alkenes with the same carbon number. Although poorly constrained in the
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28 358 literature, perhaps the nitrate yield from cyclic β -hydroxy RO₂ + NO is also lower than their non-
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30 359 cyclic counterparts. We estimate the nitrate branching ratio (BR_{RONO₂}) of different RO₂ isomers
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32 360 and detailed discussions are included in the SI section S6 and Table S21.
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38 361 **The ring-opening fraction of alkyl radicals.** As we find that only the ring-opened RO₂ undergo
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40 362 unimolecular reactions at appreciable rates, the importance of RO₂ unimolecular chemistry
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42 363 depends critically on the yield of the ring-opened RO₂ (Y_{ring-opened RO₂}). Y_{ring-opened RO₂} can be
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44 364 calculated by Eqn. (3)
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48 365
$$Y_{\text{ring-opened RO}_2} = \text{BR}_{\text{OH}_{\text{add}}} \times \text{BR}_{\text{OH}_{\text{less_sub}}} \times \text{BR}_{\text{ring-open}} \quad \text{Eqn. (3)}$$

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51 366 where BR_{OH_{add}} represents the branching ratio of the OH chemistry proceeding via addition,
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53 367 BR_{OH_{less_sub}} represents the branching ratio of OH adding onto the less-substituted olefinic carbon,
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55 368 and BR_{ring-open} represents the branching ratio of the tertiary alkyl radical that opens the four-
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3 369 membered ring. BR here is defined as the ratio of the rate constant for a particular product of a
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5 370 reaction to the rate constant for the total set of possible products⁷⁵.
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8 371 Early theoretical studies estimate that BR_{OH_add} is 90% based on structure-activity
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10 372 relationships^{4, 61}. The approximately 10% of the OH chemistry that proceeds via H-abstraction
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12 373 does not lead to ring opening.
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15 374 For the OH addition, only the addition at the less-substituted olefinic carbon (i.e., C3 in α -
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17 375 pinene and C1 in β -pinene) leads to formation of tertiary alkyl radicals that can undergo ring
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19 376 opening (i.e., 3-OH,2-R• for α -pinene and 1-OH,2-R• for β -pinene). Previous studies calculated
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21 377 $BR_{OH_less_sub}$ as 50% and 93% for α -pinene and β -pinene, respectively^{5, 61}. However, $BR_{OH_less_sub}$
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23 378 is uncertain and not experimentally constrained yet. For example, the OH addition branching ratio
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25 379 of 2-methyl 2-butene, which shares some similarity to the substitutions on α -pinene C-C double
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27 380 bond, is 69% : 31%⁶³. The uncertainties in the OH addition branching ratio will be propagated
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29 381 into the calculated $Y_{ring-opened RO_2}$.
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34 382 Regarding the opening of the four-membered ring, theoretical calculations show that this
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36 383 process is driven by the excess energy from OH addition; at room temperature, the canonical MC-
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38 384 TST rate coefficients for this process are much too slow to compete with the O₂-addition (Table
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40 385 S15 and Vereecken et al.⁴). Using RRKM theory at the CCSD(T)-F12a/VDZ-F12// ω B97X-D/aug-
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42 386 cc-pVTZ level), we calculate that at atmospheric pressure and room temperature $BR_{ring-open}$ is 32%
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44 387 and 44% for α -pinene 3-OH,2-R• and β -pinene 1-OH,2-R•, respectively (calculation details in SI
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46 388 Section S3). These $BR_{ring-open}$ are somewhat lower than those calculated by Vereecken et al. (50%
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48 389 and 70% for α -pinene and β -pinene, respectively)⁴⁻⁵. The calculated fractions are, however, highly
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50 390 sensitive to the energy barrier to break the four-membered ring and the assumed energy transfer
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52 391 per collision with bath gas (Tables S17 and S18). For example, as a sensitivity test we varied the
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energy barrier to break the four-membered ring by ± 1 kcal/mol (the estimated uncertainty in the calculated reaction barrier). This changes the calculated ring-opening fraction by about a factor of 2 in either direction for both monoterpenes. Table 1 briefly summarizes the above discussed branching ratios.

From the measured distribution of HNs under high NO condition, it is not possible to directly calculate $Y_{\text{ring-opened RO}_2}$ because we do not know the nitrate branching ratio (BR_{RONO_2}) for all RO₂. We suggest, however, that the ratio of the yield of α -pinene ring-opened RO₂ to that of β -pinene (i.e., $\frac{Yield_{\alpha\text{-pinene } 3\text{-OH,8-OO}}}{Yield_{\beta\text{-pinene } 1\text{-OH,8-OO}}}$) can be estimated from our HN measurements. As the ring-opened RO₂ of both terpenes share very similar structure (i.e., tertiary RO₂ in the $-\text{C}(\text{CH}_3)_2\text{OO}$ group), it is reasonable to assume that these RO₂ have similar BR_{RONO_2} . Following this assumption, $\frac{Yield_{\alpha\text{-pinene } 3\text{-OH,8-OO}}}{Yield_{\beta\text{-pinene } 1\text{-OH,8-OO}}}$ should be similar to $\frac{Yield_{\alpha\text{-pinene } 3\text{-OH,8-ONO}_2}}{Yield_{\beta\text{-pinene } 1\text{-OH,8-ONO}_2}}$. At $[\text{NO}] > 1000\text{ppb}$, $\frac{Yield_{\alpha\text{-pinene } 3\text{-OH,8-ONO}_2}}{Yield_{\beta\text{-pinene } 1\text{-OH,8-ONO}_2}}$ is 2.7 (i.e., $\frac{1.6\%}{0.6\%}$). Thus, we suggest $\frac{Yield_{\alpha\text{-pinene } 3\text{-OH,8-OO}}}{Yield_{\beta\text{-pinene } 1\text{-OH,8-OO}}}$ to be 2.7 - much large than theoretical calculation by using Eqn. (3) (i.e., 0.39 as shown Table 1).

To go further, we infer the $BR_{\text{ring-open}}$ of both terpenes by making additional assumptions about BR_{RONO_2} and solving system of equations. If we assume that all the β -hydroxy RO₂ have the same BR_{RONO_2} (denoted as $BR_{\text{RONO}_2,\beta\text{-OH}}$) according to Teng et al.¹⁶ and assume that the ring-opened RO₂ of both α -pinene and β -pinene have the same BR_{RONO_2} (denoted as $BR_{\text{RONO}_2,\text{ring-open}}$), we can express the measured yields of six hydroxy nitrate isomers (α -pinene and β -pinene combined) as the product of branching ratio of each step as shown below,

$$Y_{\alpha\text{-pinene } 3\text{-OH,8-ONO}_2} = BR_{\text{OH_add}} \times BR_{\text{add_C3}} \times BR_{\alpha\text{-pinene,ring-open}} \times BR_{\text{RONO}_2,\text{ring-open}}$$

$$Y_{\alpha\text{-pinene } 3\text{-OH,2-ONO}_2} = BR_{\text{OH_add}} \times BR_{\text{add_C3}} \times (1 - BR_{\alpha\text{-pinene,ring-open}}) \times BR_{\text{RONO}_2,\beta\text{-OH}}$$

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3 413 $Y_{\alpha\text{-pinene } 2\text{-OH},3\text{-ONO}_2} = \text{BR}_{\text{OH_add}} \times (1 - \text{BR}_{\text{add_C3}}) \times \text{BR}_{\text{RONO}_2,\beta\text{-OH}}$

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5
6 414 $Y_{\beta\text{-pinene } 1\text{-OH},8\text{-ONO}_2} = \text{BR}_{\text{OH_add}} \times \text{BR}_{\text{add_C1}} \times \text{BR}_{\beta\text{-pinene,ring-open}} \times \text{BR}_{\text{RONO}_2,\text{ring-open}}$

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8
9 415 $Y_{\beta\text{-pinene } 1\text{-OH},2\text{-ONO}_2} = \text{BR}_{\text{OH_add}} \times \text{BR}_{\text{add_C1}} \times (1 - \text{BR}_{\beta\text{-pinene,ring-open}}) \times \text{BR}_{\text{RONO}_2,\beta\text{-OH}}$

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11
12 416 $Y_{\beta\text{-pinene } 2\text{-OH},1\text{-ONO}_2} = \text{BR}_{\text{OH_add}} \times (1 - \text{BR}_{\text{add_C1}}) \times \text{BR}_{\text{RONO}_2,\beta\text{-OH}}$

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14 417 where $\text{BR}_{\text{add_C3}}$ and $\text{BR}_{\text{add_C1}}$ represent the branching ratio of OH adding onto C3 and C1 in α -
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16 418 pinene and β -pinene, respectively. $\text{BR}_{\text{OH_add}}$ is 0.9 as discussed above. $\text{BR}_{\text{add_C3}}$, $\text{BR}_{\text{add_C1}}$, BR_{α -
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18 419 pinene,ring-open, $\text{BR}_{\beta\text{-pinene,ring-open}}$, $\text{BR}_{\text{RONO}_2,\beta\text{-OH}}$, and $\text{BR}_{\text{RONO}_2,\text{ring-open}}$ are unknowns. By solving the
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20 420 system of equations (six unknowns and six equations), we find that $\text{BR}_{\text{ring-open}}$ is 97% and 34% for
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22 421 α -pinene and β -pinene, respectively.

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26 422 Similarly, if we assume that the ratio of $\text{BR}_{\text{RONO}_2}$ for tertiary, secondary, and primary β -
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28 423 hydroxy RO_2 is 1.25: 1: 0.75 as suggested by Wennberg et al.⁷², $\text{BR}_{\text{ring-open}}$ is found to be 97% and
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30 424 36% for α -pinene and β -pinene, respectively (SI section S6). The discussions on the other inferred
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32 425 parameters, such as $\text{BR}_{\text{RONO}_2}$, can be found in the SI section S6. In summary, this analysis suggests
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34 426 that essentially all the tertiary alkyl radicals formed in $\text{OH} + \alpha$ -pinene (i.e., 3-OH,2-R•) ring-open
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36 427 while only $\sim 1/3$ of those do so for β -pinene. As the $\text{BR}_{\text{RONO}_2}$ exhibits highly complicated
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38 428 dependence on molecular size, structure, and functionality, we place very low confidence in these
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40 429 estimates. However, we place much higher confidence in the ratio of the yield of α -pinene ring-
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42 430 opened RO_2 to that of β -pinene (i.e., 2.7).

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47 431 One approach used in the literature to evaluate the ring-opening fraction has been to
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49 432 compare the measured yields of oxidation products, such as pinonaldehyde and acetone, with the
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51 433 theoretical yield obtained by propagating the branching ratio of each step (e.g., Scheme S7)⁴. For
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53 434 example, using a ring-opening fraction of 50% and branching ratios of other reaction steps (SI

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3 435 section S6), Peeters et al. calculated the pinonaldehyde yield from α -pinene photooxidation to be
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5 436 59.5%⁶¹. This yield is about twice as the measured yield (27-35%)^{2, 76-77} under conditions similar
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8 437 to theoretical calculation (see SI section S6 for more discussions). Using the highly uncertain
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10 438 branching ratios inferred above based on Wennberg et al.⁷² suggested BR_{RONO_2} , the implied
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12 439 pinonaldehyde yield is 17% (2% from 3-OH,2-RO₂ and 15% from 2-OH,3-RO₂).

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15 440 In addition to pinonaldehyde, acetone yields have also been used to estimate the ring-
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17 441 opening fraction. Acetone has been proposed to be the major decomposition product of ring-
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19 442 opened hydroxy alkoxy radicals (see Scheme 4 for α -pinene). However, an earlier theoretical study
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21 443 suggested that the endo-cyclization of R1 has an extremely low barrier, which is about 3.6 kcal
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23 444 mol⁻¹ lower than that of acetone elimination⁶⁰ – consistent with the formation of the C₁₀H₁₇NO₅
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25 445 hydroxy nitrates described above. Thus, the endo-cyclization easily outruns the acetone
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27 446 elimination reaction. An alternative formation pathway for acetone has been proposed in
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29 447 Vereecken et al.⁶⁰ Experimental evidence from this study supports this theoretically-based
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31 448 prediction: we observe a very low yield of C₇H₁₁NO₄, 0.2% (assuming the same sensitivity as
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33 449 C₁₀H₁₇NO₄), which is expected as a co-product of acetone elimination (Scheme S7). This yield is
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35 450 ten times smaller than expected based on the measured acetone yield (10%)⁷⁷ and an assumed 20%
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37 451 nitrate branching ratio (using cyclohexane as a surrogate)⁷⁸. Thus, acetone elimination is likely
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39 452 only a minor pathway of the ring-opened alkoxy radical and thus not a good constraint on the ring-
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41 453 opening fraction.

42 43 44 45 454 **Conclusions**

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48 455 Unimolecular reactions of ring-opened RO₂ in the OH oxidation of α -pinene (4±2 s⁻¹) and β -pinene
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50 456 (16±5 s⁻¹) are shown here to outrun bimolecular chemistry in the atmosphere. While the ring-
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52 457 opening fraction of alkyl radical remains highly uncertain, our results suggest that this fraction is

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3 458 roughly a factor of three larger for α -pinene than β -pinene. This ring-opening fraction determines
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5 459 the yield of ring-opened RO₂ formed in both α -pinene and β -pinene systems and the extent to
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8 460 which the RO₂ unimolecular reactions occur.
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10 461 In contrast to RO₂ bimolecular reactions with NO, the unimolecular reactions do not
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12 462 convert NO to NO₂ and hence influence the O₃ formation. This unimolecular chemistry, currently
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15 463 not considered in atmospheric models, may lead to an over-prediction in O₃ formation rate by
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17 464 models⁷⁹. On the other hand, the low rate of nitrate formation compared with estimates using
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19 465 structure-activity relationships, may reduce the simulated loss of NO_x due to organic nitrates,
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21 466 impacting ozone formation in the opposite direction. In addition, as shown in many reaction
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24 467 schemes in this study, hydroxyl radical or hydroperoxyl radical are likely generated at the
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26 468 termination step of unimolecular reactions. A detailed understanding on the sensitivity of
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29 469 atmospheric oxidation capacity to unimolecular reactions such as these warrants further modeling
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31 470 studies.
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34 471 The unknown kinetics of RO₂ unimolecular reactions has been a prominent challenge to
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36 472 unravel the exact formation mechanisms of HOMs. The fast unimolecular reactions of α -pinene
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38 473 and β -pinene ring-opened RO₂ described here support the hypothesis that formation of HOMs via
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40 474 autoxidation occurs for monoterpenes on relevant timescales. These HOMs are important
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42 475 contributors to ambient monoterpene organic aerosol (OA), suggested as the largest source of
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44 476 summertime OA in the southeastern U.S.^{7,12}.
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48 477 Finally, the *ab initio* calculations, together with the detection of unimolecular reaction
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50 478 products, imply that the unimolecular reactions of ring-opened RO₂ are enhanced by the presence
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53 479 of C-C double bond and the hydroxy group. In light of this, it is likely that RO₂ from other
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3 480 monoterpene species, such as limonene, terpinolene, and myrcene, also undergo similar fast
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5 481 unimolecular reactions and deserve further study.
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3 482 **ASSOCIATED CONTENT**
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6 483 Supporting Information
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8 484 Additional information on the peak identification of α -pinene and β -pinene hydroxy nitrates,
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10 485 mathematical derivations to show the relationship between the (ring-opened HN):(ring-retained
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12 486 HN_s) ratio and RO₂ bimolecular and unimolecular lifetimes, calculation of $\tau_{\text{bimolecular}}$,
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14 487 computational approach, kinetic box model to calculate the rate of unimolecular reactions,
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16 488 discussions of yields of α -pinene and β -pinene hydroxy nitrates, discussions of uncertainties
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18 489 associated with the branching ratios, and discussions of nitrate branching ratio.
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22 490 Output files of all calculations including the xyz-geometries can be found online at:
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24 491 <https://sid.erda.dk/sharelink/hYtUUanavY>
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42 498 **AUTHOR INFORMATION**
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45 499 Corresponding Authors
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47
48 500 * E-mail: luxu@caltech.edu
49

50 501 * E-mail: wennberg@caltech.edu
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53 502 * E-mail: hgk@chem.ku.dk
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3 503 **ORCID**
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5
6 504 Lu Xu: 0000-0002-0021-9876
7

8
9 505 Kristian H. Møller: 0000-0001-8070-8516
10

11 506 John D. Crouse: 0000-0001-5443-729X
12

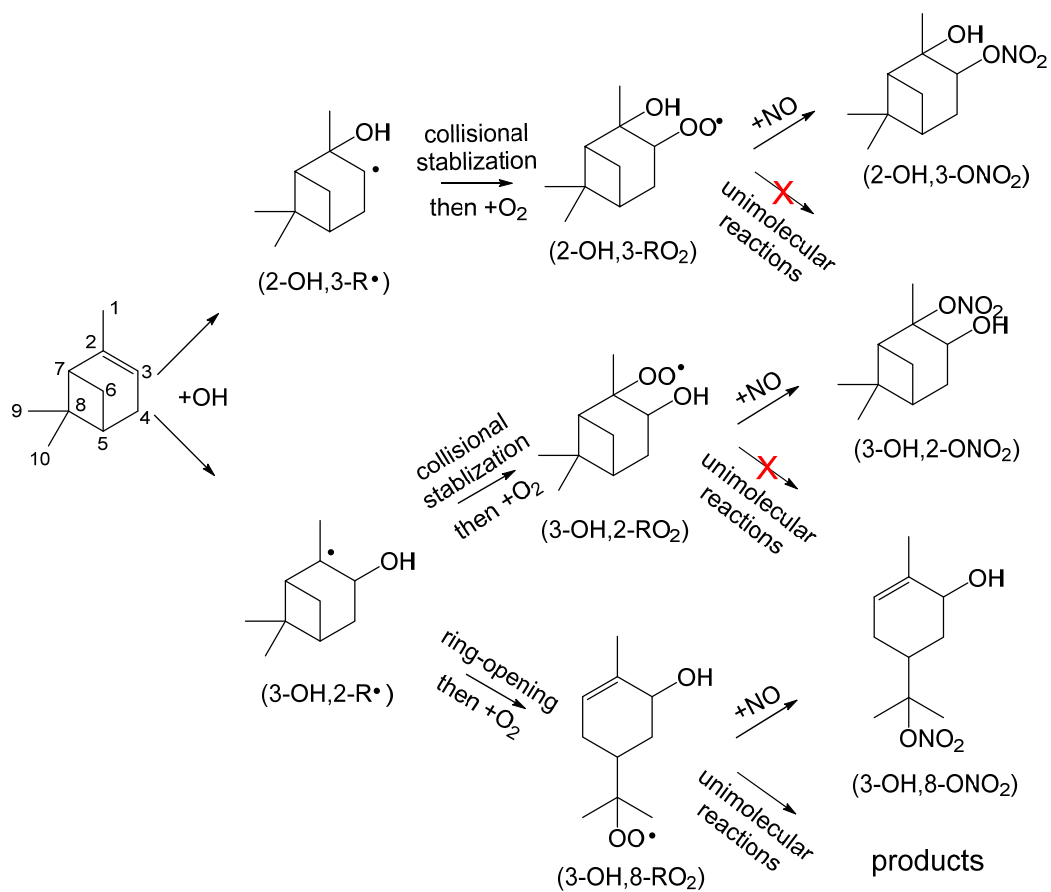
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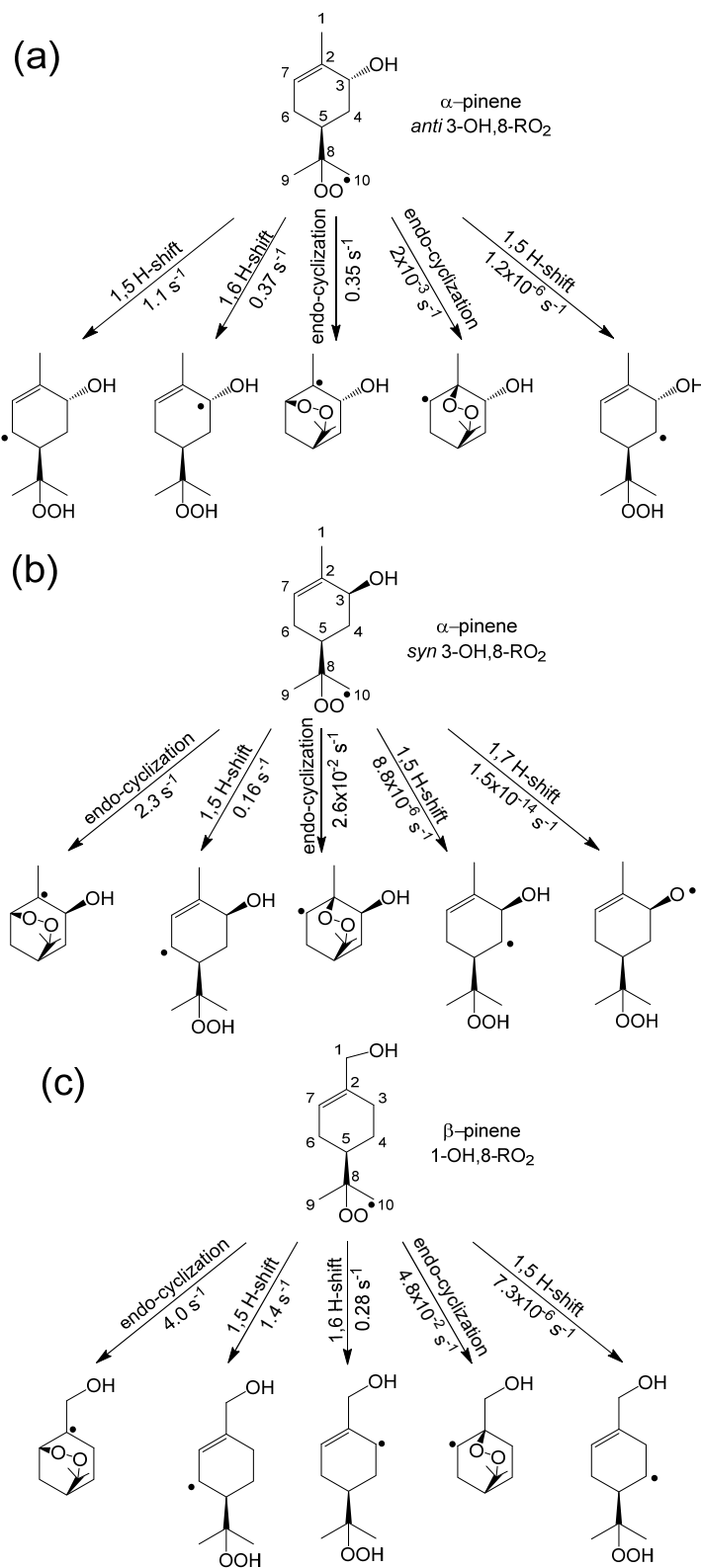
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17 508 Henrik G. Kjaergaard: 0000-0002-7275-8297
18

19
20 509 Paul O. Wennberg: 0000-0002-6126-3854
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23 510 Notes: the authors declare no competing financial interest.
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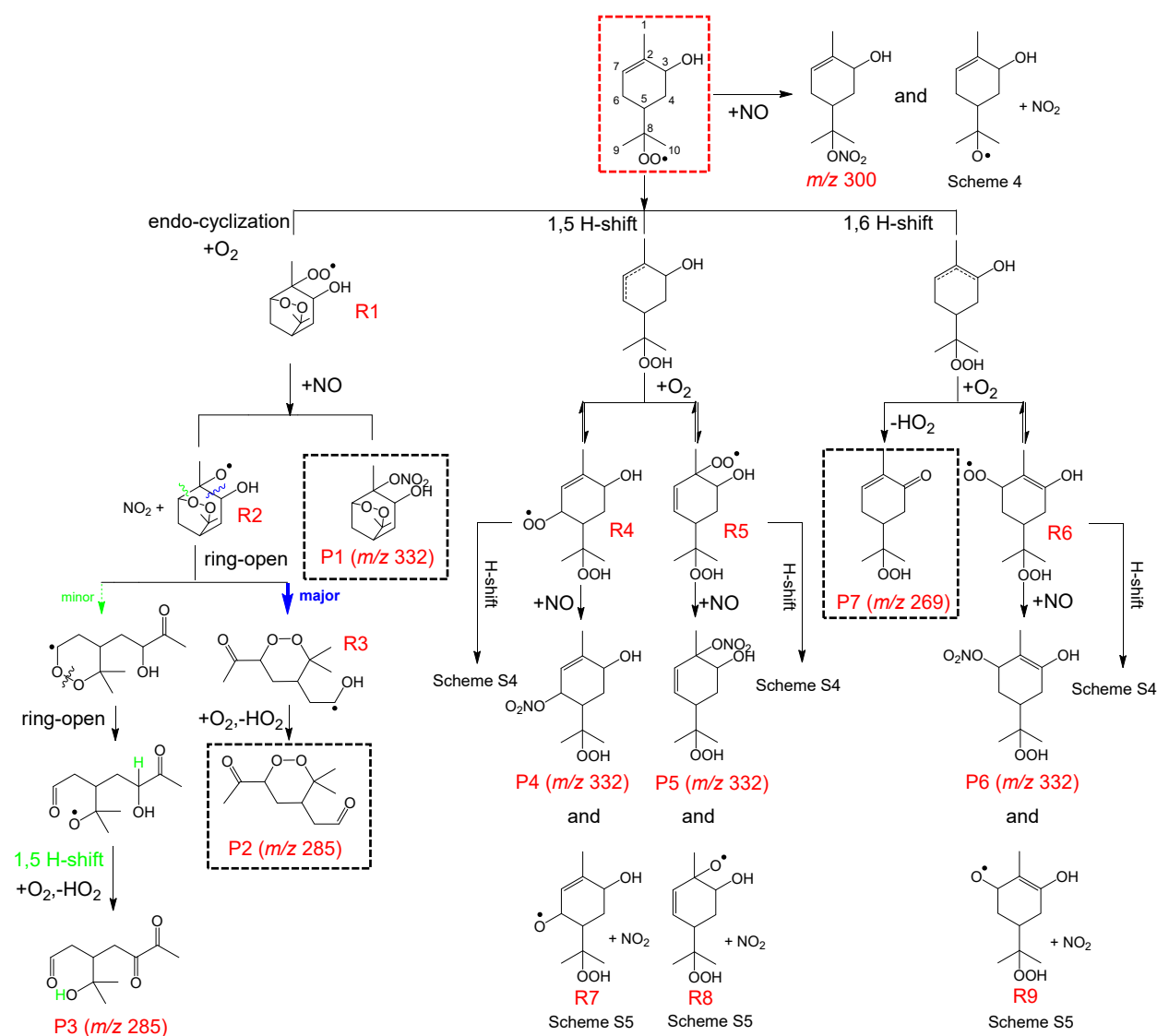


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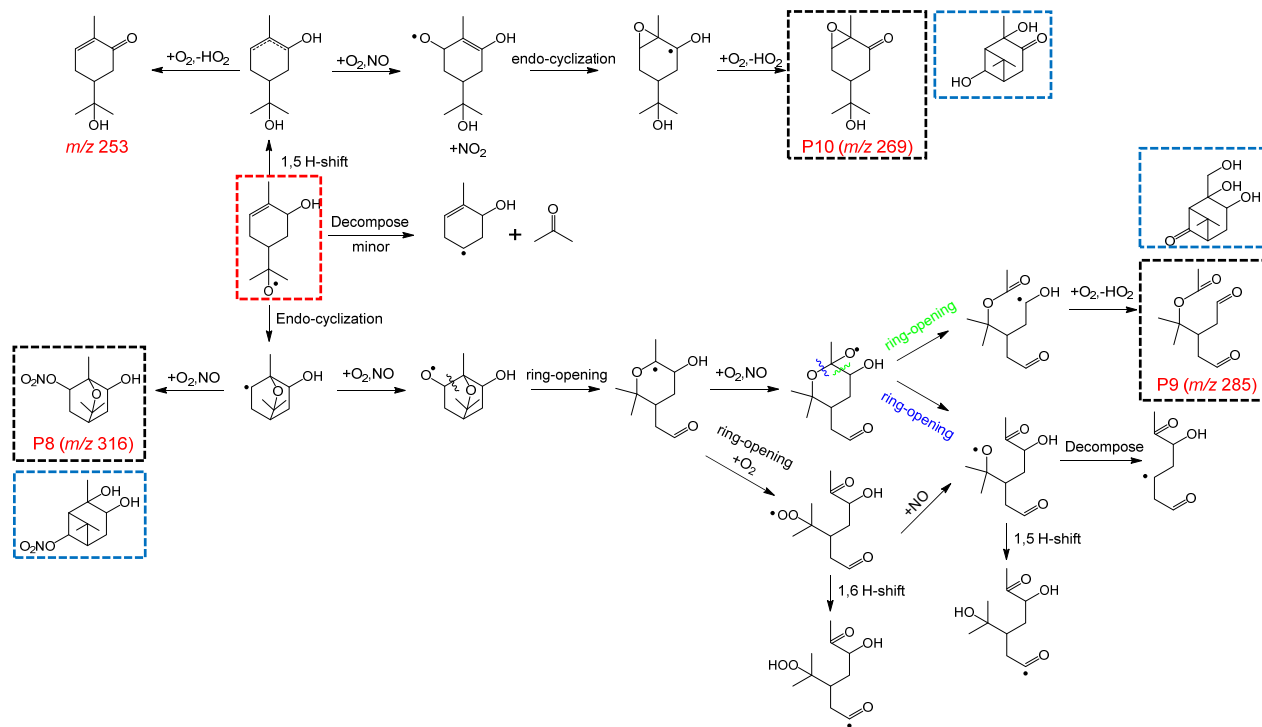
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519 Scheme 2. Unimolecular reaction channels for (a) α -pinene *anti* 3-OH,8-RO₂; (b) α -pinene *syn* 3-
 520 OH,8-RO₂ and (c) β -pinene 1-OH,8-RO₂. Rate coefficients are calculated with the MC-TST
 521 approach by Møller et al.²³ at 298.15 K.



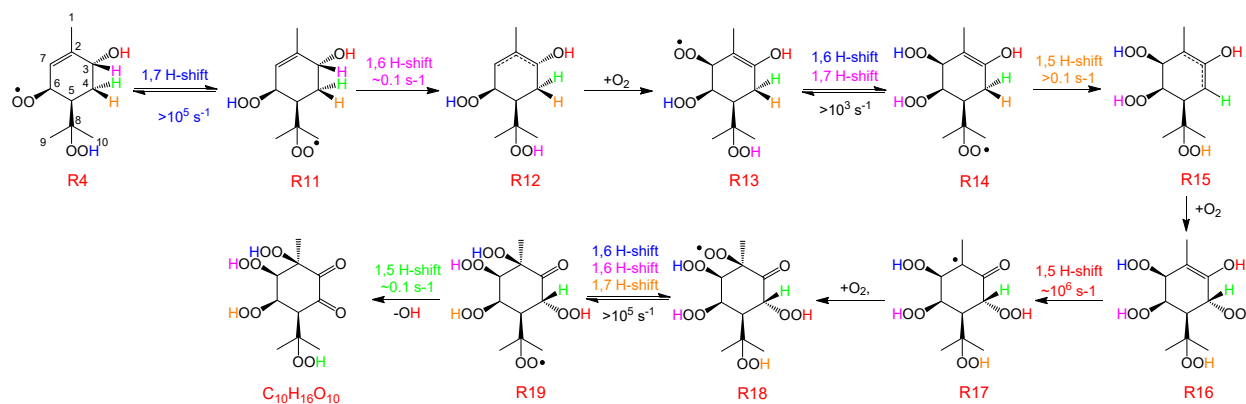
523 Scheme 3. The unimolecular reaction products of α -pinene 3-OH,8-RO₂ (shown in red box). The
 524 stereoisomers are not distinguished in this scheme. The 1,6 H-shift only occurs for *anti* 3-OH,8-
 525 RO₂. Observed species are shown in black boxes. The *m/z* listed below observed species are for
 526 the complex with CF₃O⁻ (molecular mass + 85).

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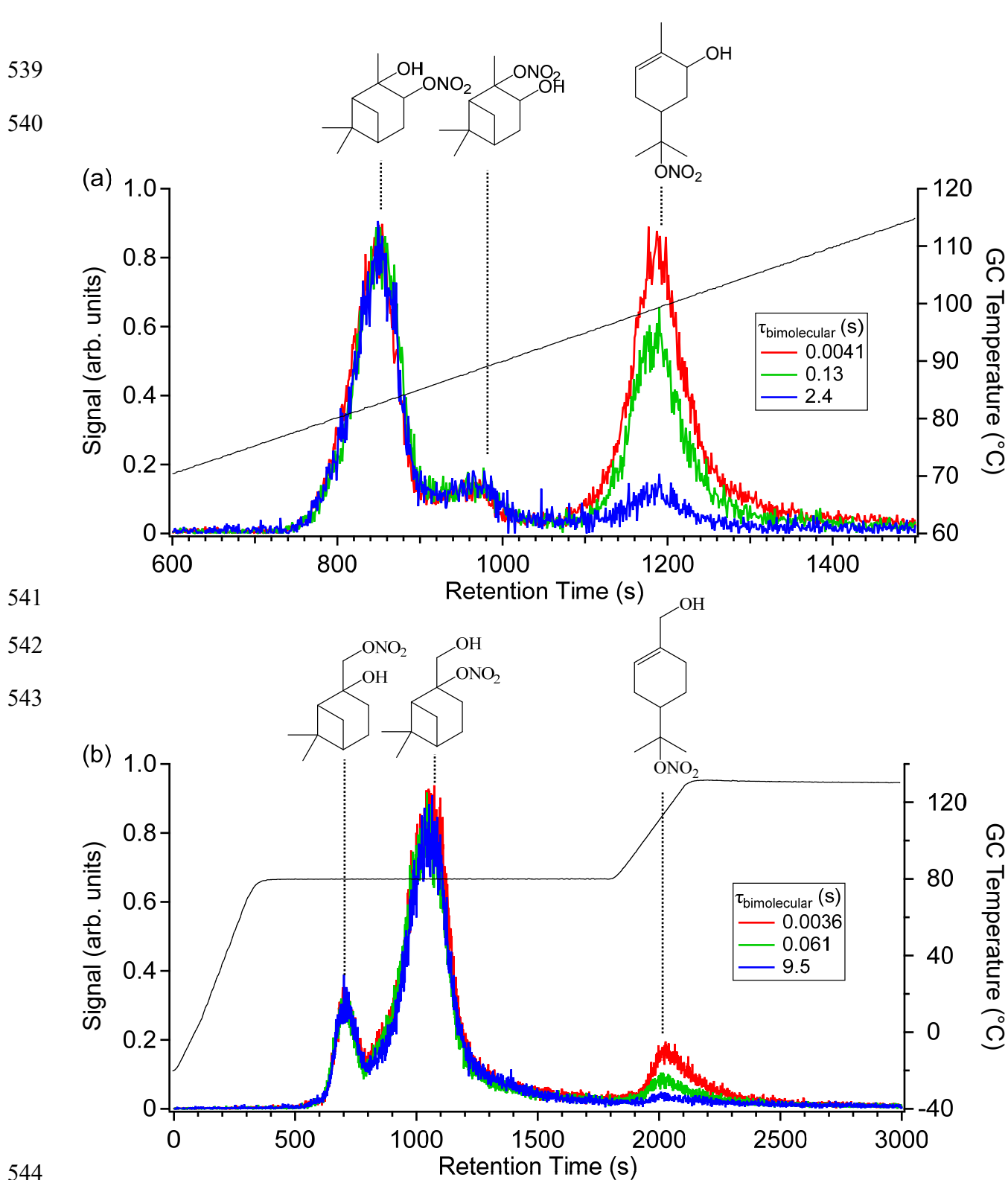
529 Scheme 4. Speculations on the reactions of α -pinene 3-OH,8-RO alkoxy radical (shown in red box)
 530 at short $\tau_{bimolecular}$. The m/z listed below observed species are for the complex with CF_3O^-
 531 (molecular mass + 85). Species having molecular weight consistent with observed signals are
 532 shown in black box. The products proposed by Aschmann et al.⁶⁶ are shown in blue boxes. NO_2
 533 produced from RO_2+NO is not shown in the figure.



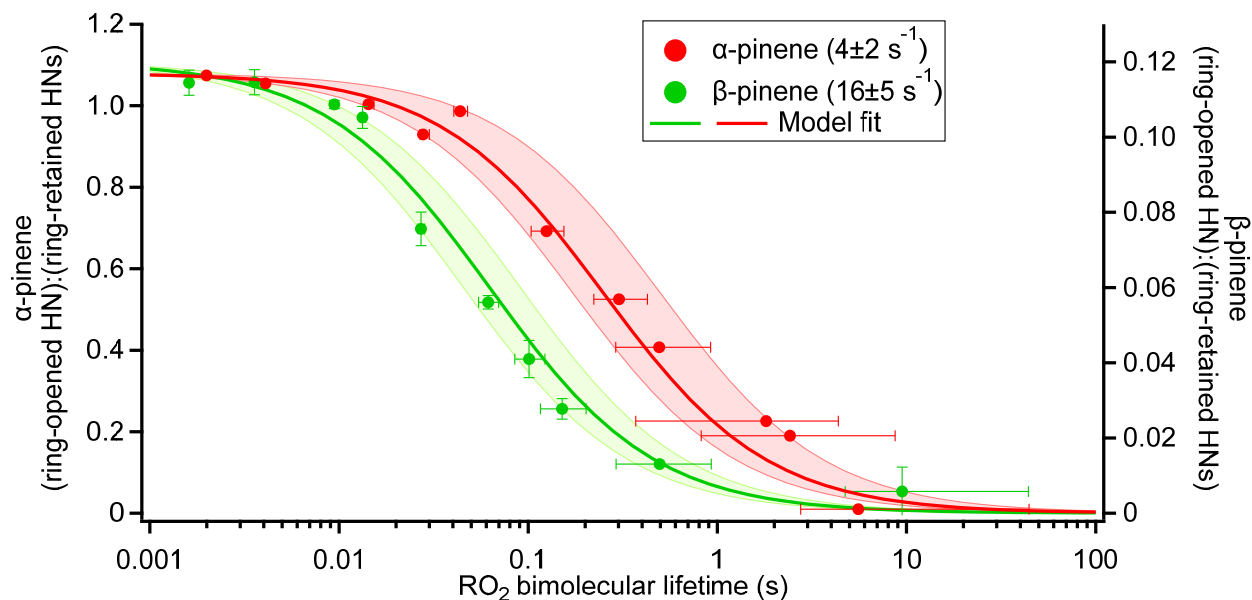
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535 Scheme 5. An example pathway from α -pinene ring-opened RO_2 leading to the production of
 536 highly oxygenated molecules. Unimolecular rate coefficients estimated from similar H-shifts at
 537 298.15 K.

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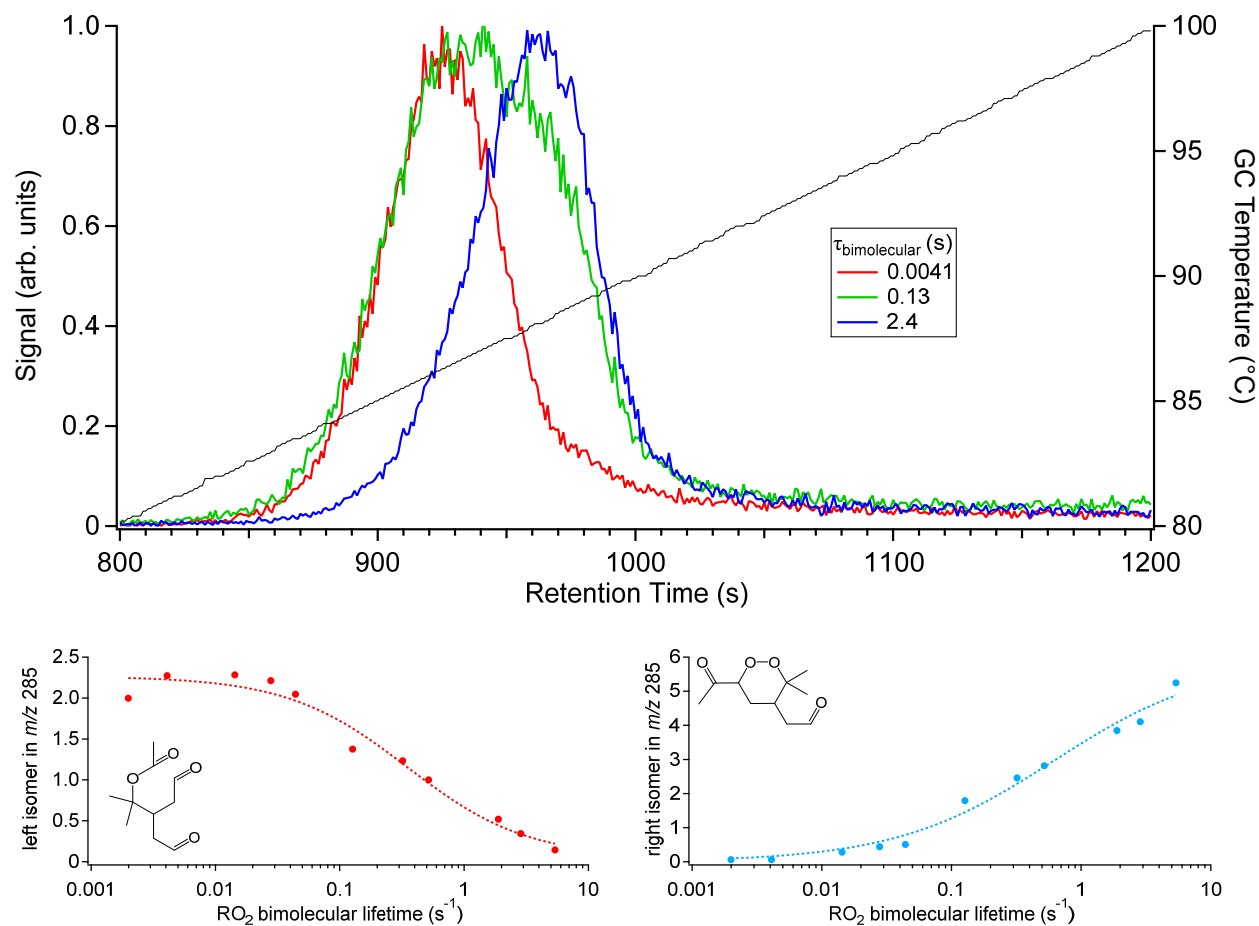
545 Figure 1. Chromatograms of hydroxy nitrates of (a) α -pinene and (b) β -pinene. The structural
546 assignment of GC peaks is discussed in the SI section S1. In each panel, the GC chromatograms
547 for three different RO_2 bimolecular lifetimes ($\tau_{\text{bimolecular}}$) are shown. The signals are scaled to match
548 the magnitude of the ring-retained hydroxy nitrates. The black solid line shows the GC temperature.



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550 Figure 2. Ratio of ring-opened HN to ring-retained HNs of α -pinene and β -pinene as a function of
551 RO_2 bimolecular lifetime. The uncertainty in RO_2 bimolecular lifetime is represented by the range
552 from the beginning to the end of the photooxidation period. The solid line represents the
553 experimentally determined average rate coefficient of unimolecular reactions of the ring-opened
554 RO_2 s. The shaded regions represent the range of uncertainty in the rate coefficients of unimolecular
555 reactions.

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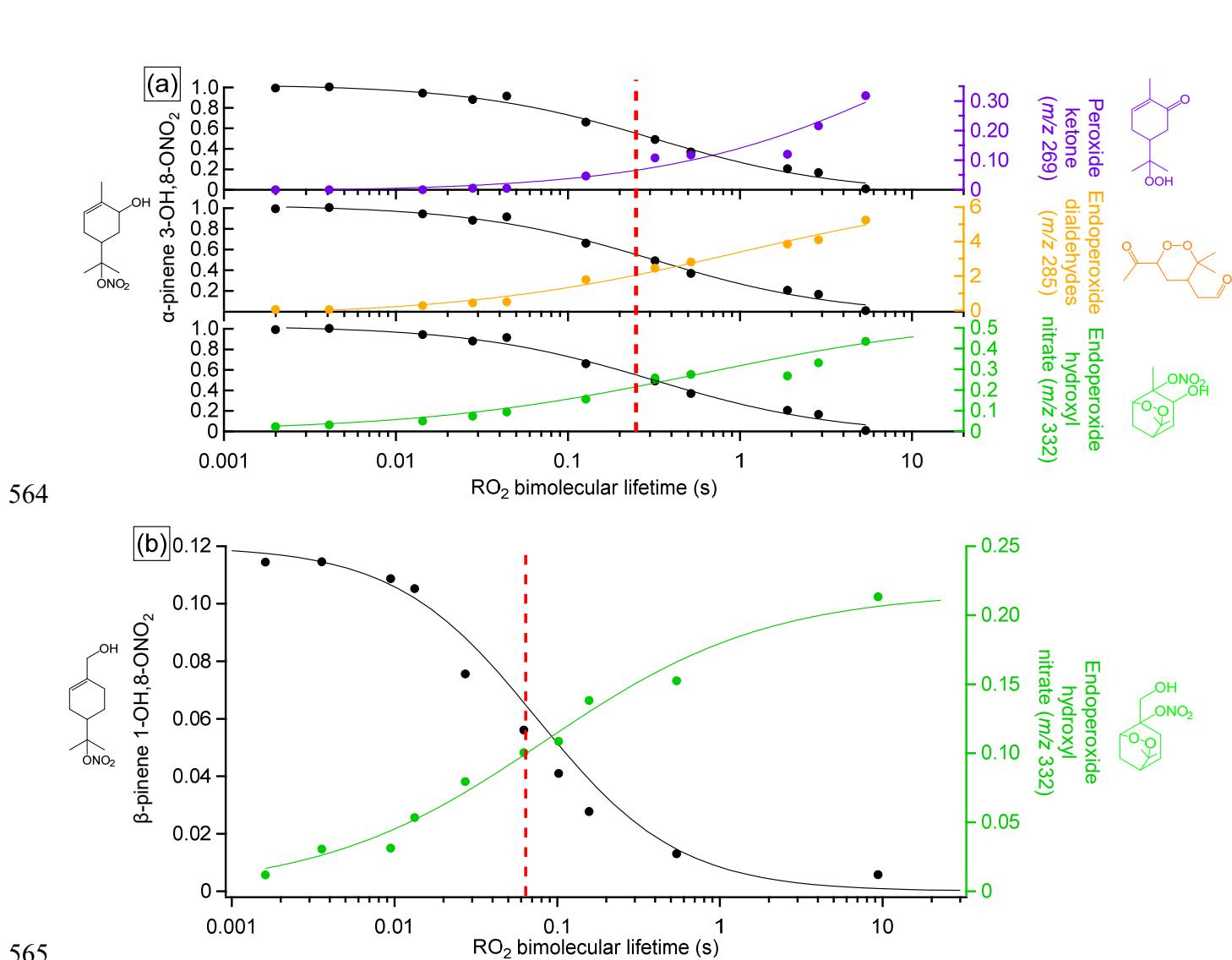


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559 Figure 3. (Top) GC chromatograms of m/z 285 in α -pinene photooxidation experiments under
 560 different $\tau_{\text{bimolecular}}$. The signal is scaled by that of ring-retained HNs. H/D exchange analysis
 561 reveals that neither compound have acidic H. The proposed structures and the abundances of both
 562 peaks as a function of $\tau_{\text{bimolecular}}$ are shown in the bottom two panels.

563



566 Figure 4. End products from unimolecular reactions of (a) α -pinene and (b) β -pinene as a function
 567 of RO₂ bimolecular lifetime. Data are normalized by the abundance of ring-retained hydroxy
 568 nitrates. The red dashed line indicates the unimolecular lifetime of ring-opened RO₂ at 296 K.

570 Table 1. The theoretically calculated yields of ring-opened RO₂ and the branching ratios along its
 571 formation pathway of both α-pinene and β-pinene.

Compound	Reference	BR _{OH_add} ^a	BR _{OH_less-sub} ^b	BR _{ring-open} ^c	Yield _{ring-opened RO₂} ^{d,e}
α-pinene	Vereecken et al. (2000) ⁴	0.9	0.5	0.5	0.23
	This work	-	-	0.32 ^f	-
β-pinene	Vereecken et al. (2012) ⁵	0.9	0.93	0.7	0.59
	This work	-	-	0.44	-

572 ^aBR_{OH_add} represents the branching ratio that terpene+OH proceeds via addition to the double bond.

573 ^bBR_{OH_less_sub} represents the branching ratio that OH adds to the less-substituted olefinic carbon
 574 (i.e., C3 and C1 in α-pinene and β-pinene, respectively).

575 ^cBR_{ring-open} represents the ring-opening fraction of the activated tertiary alkyl radical.

576 ^dYield_{ring-opened RO₂} represents that the yield of ring-opened RO₂. Yield_{ring-opened RO₂} = BR_{OH_add} ×
 577 BR_{OH_less-sub} × BR_{ring-open}.

578 ^eThe ratio of α-pinene Yield_{ring-opened RO₂} relative to that of β-pinene is 0.39 based on studies of
 579 Vereecken et al. However, a ratio of 2.7 is derived from our measured yield of ring-opened
 580 hydroxy nitrate and an assumption that the nitrate branching ratio of ring-opened RO₂ is the same
 581 for both terpenes.

582 ^fα-pinene ring-opened RO₂ has two isomers, *syn* and *anti*. The calculated BR_{ring-open} only differs by
 583 0.02 between the two isomers (shown in Table S15). Here we report the average value. The
 584 calculated values are highly sensitive to the energy barrier to break the four-membered ring and
 585 the energy transfer per collision with bath gas (Tables S17 and S18).

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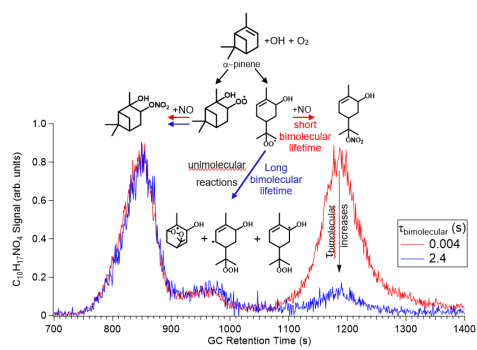
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