

FUNCTIONS ORTHOGONAL IN THE HERMITIAN SENSE.
A NEW APPLICATION OF BASIC NUMBERS

By H. BATEMAN

DEPARTMENT OF MATHEMATICS, CALIFORNIA INSTITUTE OF TECHNOLOGY

Communicated December 12, 1933

§1. To find a particular set of functions $H_n(u)$ satisfying the Hermitian relation

$$I_{m,n} \equiv \int_{-\infty}^{\infty} e^{-1/2x^2} H_m(ix)H_n(-ix)dx = 0 \tag{1}$$

in which the exponential factor is $\exp(-x^2/2)$ as also in (14) we may put $z = e^{iax}$, where a is an arbitrary positive constant and assume that $H_n(ix)$ is a polynomial of the n th degree in z with real coefficients. If $q = \exp(-a^2)$ and use is made of Euler's generalized binomial coefficient which Jensen¹ denotes by the symbol (n, r) and R. Tambs Lyche² by the symbol $\left[\begin{matrix} n \\ r \end{matrix} \right]_q$, or $\left[\begin{matrix} n \\ r \end{matrix} \right]$, we have, with F. H. Jackson's notation $[n]$ for a basic number³

$$\begin{aligned} [n](1 - q) &= (1 - q^n), & (n, r)[1][2] \dots [r] &= [n][n - 1] \dots \\ [n - r + 1] & & (n, 0) &= 1. \end{aligned} \tag{2}$$

If, now

$$S_m^{(n)} = \sum_{r=0}^n (-)^r (n, r) q^{r(m-n) + 1/2r(r+1)} \tag{3}$$

we readily find that

$$S_{m+1}^{(n)} - S_m^{(n)} = (1 - q^n)q^{m-n+1} S_m^{(n-1)}. \tag{4}$$

Hence

$$S_m^{(n)} = 0 \quad m < n$$

$$S_n^{(n)} = (1 - q^n) S_{n-1}^{(n-1)} = (1 - q)(1 - q^2) \dots (1 - q^n). \tag{5}$$

On account of these relations we may write

$$H_n(ix) = C_n \{ z^n - (n, 1)q^{1/2}z^{n-1} + (n, 2)qz^{n-2} \dots + (-)^n (m, n)q^{1/2n} \}, \tag{6}$$

where C_n is a constant which will be chosen so that $I_{nn} = 1$. The appropriate value of C_n is given by the equation

$$C_n^2 (2\pi)^{1/2} (1 - q)(1 - q)^2 \dots (1 - q^n) = 1. \tag{7}$$

To expand z^m in a series of functions $H_n(ix)$ we make use of the inversion formula **LIE**

$$X_n = \sum_{r=0}^n (-)^r (n, r) q^{1/2r} Y_{n-r} \quad (8)$$

$$Y_m = \sum_{s=0}^m (m, s) q^{1/2s^2} X_{m-s}$$

which is easily verified with the aid of the relations (5). The relation to be established is, in fact

$$X_n = \sum_{r=0}^n (-)^r (n, r) q^{1/2r} \sum_{k=0}^{n-r} (n-r, n-r-k) q^{1/2(n-r-k)^2} X_k. \quad (9)$$

Changing the order of summation we have to prove that

$$0 = \sum_{r=0}^{n-k} (-)^r (n, r) (n-r, n-r-k) q^{kr + 1/2r(r+1)} \quad k \neq n. \quad (10)$$

Now

$$(n, r) (n-r, n-r-k) = (n, k) (n-k, r) \quad (11)$$

and so the relation to be established reduces to a particular case of (5). We obtain, then, the relation

$$z^m = \sum_{r=0}^m (m, r) q^{1/2r^2} C_{m-r}^{-1} H_{m-r}(ix) \quad (12)$$

which may be used to transform a power series in z into a series of type

$$f(x) = \sum_{n=0}^{\infty} A_n H_n(ix), \quad (13)$$

in which, under suitable conditions

$$A_n = \int_{-\infty}^{\infty} e^{-1/2x^2} H_n(-ix) f(x) dx. \quad (14)$$

Putting

$$f(x) = \sum_{m=0}^{\infty} B_m z^m \quad (15)$$

the equations of transformation are

$$B_m = \sum_{r=0}^{\infty} (-)^r (m+r, r) q^{1/2r} C_{m+r}^{-1} A_{m+r}$$

$$A_n = \sum_{m=0}^{\infty} B_m \int_{-\infty}^{\infty} e^{-1/2x^2} H_n(-ix) z^m dx \quad (16)$$

$$= C_n^{-1} \sum_{s=0}^{\infty} (n+s, s) q^{1/2s^2} B_{n+s}$$

and these suggest the existence of a second inversion formula

$$X_m = \sum_{r=0}^{\infty} (-)^r (m + r, r) q^{1/2r} Y_{m+r} \tag{17}$$

$$Y_n = \sum_{s=0}^{\infty} (n + s, s) q^{1/2s} X_{n+s}.$$

A particular set of functions satisfying the Hermitian relation

$$J_{m, n} = \int_{-\infty}^{\infty} \text{Sech}^2 \left(\frac{1}{2} \pi x \right) F_m(ix) F_n(-ix) dx = 0 \quad m \neq n \tag{18}$$

is obtained by defining $F_n(u)$ to be a polynomial of the n th degree in u such that

$$F_n \left(\frac{d}{dt} \right) \text{Sech } t = \text{Sech } t P_n (\tanh t) \tag{19}$$

where $P_n(t)$ is the Legendre polynomial. It has already been shown⁴ that

$$F_m(ix) \text{Sech} \left(\frac{1}{2} \pi x \right) = \frac{1}{\pi} \int_{-\infty}^{\infty} e^{-ixz} \text{Sech } z \cdot P_m (\tanh z) dz \tag{20}$$

$$\therefore J_{m, n} = \frac{1}{\pi^2} \int_{-\infty}^{\infty} dx \int_{-\infty}^{\infty} \text{Sech } t \cdot P_m (\tanh t) dt \int_{-\infty}^{\infty} e^{ix(t-z)} P_n (\tanh z) \text{Sech } z dz$$

Changing the order of integration with respect to x and t , which is permissible since

$$\int_{-\infty}^{\infty} dx \int_{-\infty}^{\infty} e^{ixt} F_n(ix) \text{Sech} \left(\frac{1}{2} \pi x \right) \text{Sech } t P_n (\tanh t) dt$$

is absolutely convergent, we have

$$\begin{aligned} J_{m, n} &= \frac{2}{\pi} \int_{-\infty}^{\infty} \text{Sech}^2 t \cdot P_m (\tanh t) P_n (\tanh t) dt. \\ &= 0 \quad m \neq n. \\ &= \frac{4}{\pi} \frac{1}{2n + 1} \quad m = n. \end{aligned} \tag{21}$$

¹ J. L. W. V. Jensen, *Nyt Tidsskr Math.*, **29**, 29 (1918). Jensen remarks that this generalized binomial coefficient plays an important part in Gauss' memoir "Summatie quarundam serierum singularium" (1811), in which the following relation is obtained

$$\begin{aligned} \sum_{r=0}^n (-)^r (n, r) &= (1 - q^n)(1 - q^{n-2}) \dots (1 - q) & n \text{ odd} \\ &= 0 & n \text{ even} \end{aligned}$$

Jensen discusses the properties of the sums

$$\sum_{r=0}^n (-)^r q^r (n, r), \quad \sum_{r=0}^n q^{r(s_r^* + 1/2)} (n, r).$$

² R. Tambs Lyche, *Bull. Société mathématique France*, **55**, 102 (1927); *Compt. rend. t. 186*, 1810 (1928); *Avhandlingar Oslo* (1928) No. 6; *Forhandlingar Norske Videnskaber, Selskab 1*, No. 35, 3 p. In the first paper Tambs Lyche shows that if

$$\phi_1(z) \equiv \phi(z) = qz + a_2z^2 + \dots + a_pz^p + \dots$$

the n th iterated function is expressed formally by the series

$$\phi_n(z) = \phi[\phi_{n-1}(z)] = q^n z + a_2^{(n)} z^2 + \dots + a_p^{(n)} z^p + \dots$$

where

$$a_p^{(n)} = \sum_{r=1}^{p-1} (-)^{p-r-1} q^{n-r+1/2(p-r)p-r-1} (n, r)(n-r-1, p-r-1)a_p^{(r)}$$

The notation $\begin{bmatrix} n \\ r \end{bmatrix}$ is preferable to either (n, r) or $\begin{bmatrix} n \\ r \end{bmatrix}_q$ but we use (n, r) here for convenience in printing. In 1897 F. H. Jackson used the notation $\begin{bmatrix} n \\ r \end{bmatrix}$ for the generalized binomial coefficient derived from p , where $p > 1$ but he also used it in a more general sense. See *Proc. London Math. Soc.* **28**, 475 (1897). The notation (n) for $1 - q^n$ was suggested by A. Cayley and adopted by P. A. MacMahon. *Proc. London Math. Soc.*, ser. 2, **15**, 314 (1916). L. J. Rogers, *Ibid.*, **16**, 315 (1917), uses the notation q_n for the same quantity and also writes $q_n!$ for the product $q_1 q_2 \dots q_n$.

³ F. H. Jackson, *Proc. London Math. Soc.*, ser. 2, **1**, 63 (1904); **1**, 361 (1904); **2**, 192 (1904); **3**, 1 (1905); *Trans. Roy. Soc. Edinburgh*, **41**, 1, 105, 399 (1904-5); *Proc. Edinburgh Math. Soc.*, **22**, 80 (1904); *Proc. Roy. Soc. Lond.*, **76**, 127 (1905); *Messenger Math.*, ser. 2, **37**, 123 (1907); **38**, 57, 62 (1908); **39**, 26, 145 (1910); **40**, 92 (1910); **47**, 57 (1917); **50**, 101 (1920); **57**, 169 (1928); *Rendiconti Palermo*, **29**, 340 (1910); *Amer. Jour. Math.*, **32**, 305 (1910); *Proc. Roy. Soc. Edinburgh*, **30**, 378 (1910). Many of these papers deal with a generalization of the binomial theorem and generalizations of the functions of Legendre and Bessel all of which are more or less closely connected with the theory of the q -hypergeometric series. This series has been studied also by C. G. J. Jacobi, *Jour. Math.*, **32**, 197 (1846); E. Heine, *Ibid.*, **32**, 210 (1846); **34**, 285 (1847); **39**, 288 (1850); J. Thomae, *Ibid.*, **70**, 258 (1869); G. N. Watson, Cambridge, *Phil. Trans.*, **21**, 281 (1910). A new use of the generalized binomial coefficients in the theory of numbers has been found recently by I. Schur, *Sitzungsberichte preuss. Akad. Wiss.*, 145 (1933).

⁴ H. Bateman, *Tôhoku Math. Jour.*, **37**, 23 (1933).