

Floquet second-order topological insulators from nonsymmorphic space-time symmetries

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We propose a systematic way of constructing Floquet second-order topological insulators (SOTIs) based on time-glide symmetry, a nonsymmorphic space-time symmetry that is unique in Floquet systems. In particular, we are able to show that the static enlarged Hamiltonian in the frequency domain acquires the reflection symmetry, which is inherited from the time-glide symmetry of the original system. As a consequence, one can construct a variety of time-glide symmetric Floquet SOTIs using the knowledge of static SOTIs. Moreover, the time-glide symmetry only needs to be implemented approximately in practice, enhancing the prospects of experimental realizations. We consider two examples, a 2D system in class AIII and a 3D system in class A, to illustrate our ideas, and then present a general recipe for constructing Floquet SOTIs in all symmetry classes.

Introduction.— Symmetry and topology are both at the crux of topological phases. Nonspatial symmetries, i.e. the time-reversal, particle-hole and chiral symmetries, allows a classification of topological insulators and superconductors into one of the ten Altland-Zirnbauer (AZ) symmetry classes [1–5]. When additional spatial symmetries are considered, the classification can be enriched, giving rise to weak topological insulators (TIs) [6] protected by the lattice translational symmetry, as well as the topological crystalline insulators [6, 7], protected by crystalline symmetries.

Recently, the ideas of utilizing crystalline symmetries were used to construct and understand a new family of TIs: the higher-order TIs [8–14]. An n th-order TI in d dimensions will have topologically protected gapless modes that live in the $(d - n)$ dimensional boundaries, but all $(d - n')$ boundaries with $n' > n$ are gapped. Thus, the conventional TIs are first order TIs according to this definition, while the second-order TIs (SOTIs) in two and three dimensions will host protected zero energy corner modes and gapless hinge modes respectively.

On the other hand, topological phases also exist under nonequilibrium conditions and can be realized by time-periodic driving, known as Floquet engineering. For instance, a Floquet TI with chiral edge modes can be brought from a static band insulator by applying a periodic drive, such as a circularly polarized radiation or an alternating Zeeman field [15–19]. Thus, it is natural to ask: how can higher-order TIs be generated with Floquet engineering? Recently, specific examples of such systems were introduced in Ref. [20–22].

In this work, we provide a general recipe of constructing Floquet second-order TIs (SOTIs) in all symmetry classes, by making use of the dynamical nature and the time dimension in a Floquet system. In particular, we construct Floquet SOTIs from an approximate time-glide symmetry [23], a specific nonsymmorphic space-time crystalline symmetry [24], which is unique in a time-periodic system and has no static analog.

The basic principle behind our construction is as follows. The $d - 1$ dimensional boundaries in the Floquet SOTIs are essentially stand-alone $(d - 1)$ Floquet insulators from a topological perspective, similar to their static cousin [10]. Hence, the topologically protected corner ($d = 2$) or hinge ($d = 3$) modes naturally become domain-wall excitations at the intersection of two gapped boundaries, if these fall into different topological phases. The approximate space-time symmetry then crucially protects such domain walls.

The use of space-time symmetries in Floquet engineering of SOTIs may offer certain advantages over other recipes of creating SOTIs based only spatial point group symmetries. Using external time-dependent fields may remove stringent requirements on material structure, and introduce more controllability. To wit, space-time symmetries can be induced externally, by applying alternating fields which change directions every half a period. Moreover, these space-time symmetries need only be approximately implemented, further enhancing prospects for experimental realizations.

Floquet second-order topological insulators with time-glide symmetry.— The corner and edge modes in a Floquet SOTI actually follow the classification of one- and two- dimensional Floquet topological insulators, see Refs. [25, 26] for example. Thus, we only have Floquet SOTIs in certain AZ symmetry classes (see Table I). We will show in the following that it is possible to construct Floquet SOTI systematically in all five nontrivial AZ classes, based on a single time-glide symmetry \mathcal{M} , which ensures the presence of topologically protected corner or edge states.

The time-glide symmetry is a nonsymmorphic space-time symmetry, which comprises of a spatial reflection and a half-period time translation [23, 24]. Without loss of generality, let us focus on the situation where the reflection plane within time glide is perpendicular to x . When the symmetry acts on the Bloch Hamiltonian $H(k_x, \mathbf{k}_\parallel, t)$, with k_\parallel denotes the rest Bloch mo-

TABLE I. The AZ symmetry classes are defined by the presence (± 1) or absence (0) of time-reversal \mathcal{T} , particle-hole \mathcal{C} , and chiral symmetry \mathcal{S} . The values ± 1 correspond to \mathcal{T}^2 , \mathcal{C}^2 , or \mathcal{S}^2 . The topological invariants at a particular quasienergy gap for the two-dimensional and three-dimensional Floquet SOTIs, which can be constructed from time-glide symmetric Floquet topological phases, are listed in last four columns, as well as the time-glide symmetry \mathcal{M} . The symbols \mathcal{M}_{η_S} , \mathcal{M}_{η_T} , \mathcal{M}_{η_C} and $\mathcal{M}_{\eta_T\eta_C}$ refer to a time-glide operator that squares to one and commutes ($\eta = +$) or anticommutes ($\eta = -$) with S , \mathcal{T} and \mathcal{C} .

Class	\mathcal{T}	\mathcal{C}	\mathcal{S}	$d = 2$		$d = 3$	
A	0	0	0	...	0	\mathcal{M}	\mathbb{Z}^n
AIII	0	0	1	\mathcal{M}_-	\mathbb{Z}^2	...	0
AI	1	0	0	...	0	...	0
BDI	1	1	1	\mathcal{M}_{+-}	\mathbb{Z}^2	...	0
D	0	1	0	\mathcal{M}_-	\mathbb{Z}_2^2	\mathcal{M}_-	\mathbb{Z}^2
DIII	-1	1	1	$\mathcal{M}_{+-}, \mathcal{M}_{-+}, \mathcal{M}_{--}$	\mathbb{Z}_2^2	$\mathcal{M}_{+-}, \mathcal{M}_{--}$	\mathbb{Z}_2^2
AII	-1	0	0	...	0	$\mathcal{M}_+, \mathcal{M}_-$	\mathbb{Z}_2^2
CII	-1	-1	1	$\mathcal{M}_{+-}, \mathcal{M}_{-+}$	$2\mathbb{Z}^2$...	0
C	0	-1	0	...	0	$\mathcal{M}_-, \mathcal{M}_+$	$2\mathbb{Z}^2$
CI	1	-1	1	...	0	...	0

menta parallel to the reflection plane, we have

$$\mathcal{M}H(k_x, \mathbf{k}_{\parallel}, t)\mathcal{M} = H(-k_x, \mathbf{k}_{\parallel}, t + T/2), \quad (1)$$

where \mathcal{M} is the operator implementing the time-glide symmetry, which is both unitary and hermitian.

A complete classification of time-glide symmetric Floquet topological insulators and superconductors in all AZ classes has been worked out in Ref. [23]. It was shown that when the edge is mapped onto itself by the reflection part of the time glide operation, it can host protected anomalous Floquet gapless modes [27, 28], even though the classification of the Floquet system without the time-glide symmetry is trivial. The existence of these anomalous Floquet modes are distinct from the modes protected by the spatial reflection symmetry in topological crystalline insulators, and are purely due to the space-time dynamical symmetry which has no static counterpart.

By deploying the dynamical time-glide symmetry, we can construct intrinsically non-equilibrium Floquet SOTIs with anomalous corner or hinge modes. Our recipe follows three rules, similar to the ones for constructing static SOTIs [10]. First, we require one or more pairs of system boundaries are mapped onto each other by the reflection part of the time-glide operation. Second, the topological classification will be trivial when the time-glide symmetry is broken. Third, the classification of the corresponding AZ class in $(d - 1)$ dimensions must be nontrivial. This guarantees the time-glide-symmetry-breaking mass, which gaps the glide-protected boundaries, is unique.

In Table I, we list all the Floquet SOTIs that can be constructed according to the above recipe. In the rest

of the manuscript, we will construct examples of Floquet SOTI hosting anomalous Floquet corner or hinge modes, namely the modes with quasienergies inside the bulk gap at the Floquet zone boundaries.

Our construction of Floquet SOTIs uses the frequency-domain formulation of the Floquet problem [27]. In this formulation, the quasienergies $\{\epsilon_j\}$ result from diagonalizing the enlarged Hamiltonian $\mathcal{H}(\mathbf{k})$, whose matrix blocks are given by $H(\mathbf{k}, t)$ as $\mathcal{H}_{mm'}(\mathbf{k}) = m\omega\delta_{mm'}\mathbb{I} + H_{m'-m}(\mathbf{k})$ with $H_n(\mathbf{k}) = \frac{1}{T} \int_0^T dt H(\mathbf{k}, t)e^{-in\omega t}$. Here \mathbb{I} is the identity matrix of the same dimension as $H(\mathbf{k})$, and $m, m', n \in \mathbb{Z}$. Moreover, quasienergies ϵ_j and $\epsilon_j + m\omega$ describe the same physical state, and only quasienergies within a single interval of ω , e.g., the “first Floquet zone” with $-\omega/2 < \epsilon_j < \omega/2$, are unique.

To obtain a low-energy effective theory of the anomalous Floquet SOTIS, we should focus on gapless edge modes near $\epsilon = \omega/2$ (modulo ω). These states would always be a result of the time-dependent drive. For that, we focus on 2×2 block of \mathcal{H} containing the two Floquet zones shifted by $(2n + 1)\omega$, with some $n \in \mathbb{Z}$, namely

$$\mathcal{H}_{\text{eff}} = \begin{pmatrix} H_0 + (n + \frac{1}{2})\omega & H_{2n+1} \\ H_{2n+1}^\dagger & H_0 - (n + \frac{1}{2})\omega \end{pmatrix} + \frac{\omega}{2}\rho_0. \quad (2)$$

with ρ_0 the identity in the two Floquet-zone basis. This describes the situation where the bottom band of $H_0 + (n + 1)\omega$ crosses the top band of $H_0 - n\omega$, and H_{2n+1} opens a bulk gap at the crossing. The last term in Eq. (2) shifts the energy origin of the problem by $\omega/2$. What remains of $\mathcal{H}_{\text{eff}}(\mathbf{k})$ is a reflection symmetric system, with the effective reflection symmetry operator $\mathcal{R}_{\text{eff}} = \rho_z \otimes \mathcal{M}$, where $\rho_{x,y,z}$ are the Pauli matrices in the space of the two Floquet zones. Hence, we have mapped a Floquet system with a time-glide symmetry to a static system with a reflection symmetry within the effective description of the anomalous Floquet edge modes.

Based on the Hamiltonian (2), we construct lattice models for harmonically driven SOTIs of the form

$$H(\mathbf{k}, t) = H_0(\mathbf{k}) + H_1(\mathbf{k})e^{i\omega t} + H_1^\dagger(\mathbf{k})e^{-i\omega t}, \quad (3)$$

which couples the upper bands of $H_0 + \omega$ to the lower bands of H_0 , corresponding to the $n = 0$ case of \mathcal{H}_{eff} of Eq. (2). $H_{0,1}(\mathbf{k})$ respect the time-glide symmetry, as well as the non-spatial class-appropriate AZ symmetries.

Before we proceed, it is important to note that terms that gap the anomalous Floquet gapless modes when time-glide symmetry is broken are odd under the time-glide operation (crucially, such terms arise when edges are not locally symmetric under the mirror element of the glide). Hence, the masses in the quasienergy spectra of the two $(d - 1)$ boundaries, which are connected via the time-glide operation, will generically give rise to $(d - 2)$ boundary modes. One can, therefore, break the time-glide symmetry and still have protected $(d - 2)$ boundary modes as long as the gaps of the bulk and the $(d - 1)$

boundaries do not close. Hence, the time-glide symmetry need only be implemented approximately, which greatly enhances the prospects of an experimental realization.

Two-dimensional Floquet SOTI in class AIII.— For a Floquet system in class AIII in 2D with a time-periodic Bloch Hamiltonian $H(k_x, k_y, t) = H(k_x, k_y, t + T)$ of period T , chiral and time-glide symmetry operators \mathcal{S} and \mathcal{M} obey $\mathcal{S}H(k_x, k_y, t) = -H(k_x, k_y, -t)\mathcal{S}$ and $\mathcal{M}H(k_x, k_y, t) = H(-k_x, k_y, t + T/2)\mathcal{M}$. Without the time-glide symmetry, 2D Floquet insulators are trivial. When, however, the time-glide symmetry anticommutes with \mathcal{S} , such systems support a \mathbb{Z} classification.

The effective Hamiltonian defined in Eq. (2) describes a reflection symmetric system in class AIII, with an effective chiral symmetry $\mathcal{S}_{\text{eff}} = \rho_x \otimes \mathcal{S}$. When $\{\mathcal{M}, \mathcal{S}\} = 0$, we have $[\mathcal{S}_{\text{eff}}, \mathcal{R}_{\text{eff}}] = 0$, which leads to a \mathbb{Z} topological classification and can give rise to helical edge modes [29, 30] at the reflection symmetric edge.

Indeed, the anomalous edge state perpendicular to the time-glide plane can be characterized by an edge Hamiltonian $H_{\text{edge}}(k_x) = \omega/2 + k_x \Gamma_x$, where the edge-mode velocity was rescaled to 1, and Γ_x describes a number of helical modes, and satisfies $\Gamma_x^2 = 1$. Because the presence of \mathcal{S}_{eff} and \mathcal{R}_{eff} , we have $[\Gamma_x, \mathcal{S}_{\text{eff}}\mathcal{R}_{\text{eff}}] = 0$. Hence, Γ_x and $\mathcal{S}_{\text{eff}}\mathcal{R}_{\text{eff}}$ can be simultaneously diagonalized. Suppose we can add a mass term Γ_m that respects both the effective chiral and reflection symmetries. Then we have $\{\Gamma_m, \mathcal{S}_{\text{eff}}\mathcal{R}_{\text{eff}}\} = 0$, indicating Γ_m can only gap out helical modes with opposite eigenvalues of $\mathcal{S}_{\text{eff}}\mathcal{R}_{\text{eff}}$. Thus, the \mathbb{Z} topological index actually counts the difference between the number of helical edge states with positive and negative eigenvalue of $\mathcal{S}_{\text{eff}}\mathcal{R}_{\text{eff}}$.

Let us consider, for instance, the helical states with $\mathcal{S}_{\text{eff}}\mathcal{R}_{\text{eff}} = 1$. The reflection operation here is effectively the same as the chiral symmetry operation, namely $\mathcal{R}_{\text{eff}} = \mathcal{S}_{\text{eff}}$. If we further create an edge that breaks the effective reflection symmetry, a mass Γ_m that preserves the chiral symmetry, with $\{\Gamma_m, \mathcal{R}_{\text{eff}}\} = 0$ and $\{\Gamma_m, \Gamma_x\} = 0$, can be added to the edge Hamiltonian. In particular, those edges which are connected via the reflection operation will have opposite mass. Since class AIII in one dimension has a \mathbb{Z} topological invariant, the mass is unique. Thus, the intersection of two reflection-related edges corresponds to a domain wall for the edge theory, which harbors an anomalous Floquet localized state at $\omega/2$.

A lattice model that realizes such a Floquet SOTI follows the form of Eq. (3), with $H_0(\mathbf{k}) = (m - \cos k_x - \cos k_y)\tau_z + b\sigma_z$, and $H_1(\mathbf{k}) = (\sin k_y\sigma_y - i\sin k_x)\tau_-$. Here $\sigma_{x,y,z}$ and $\tau_{x,y,z}$ are the two sets of Pauli matrices for this 4-band model, and $\tau_{\pm} = (\tau_x \pm i\tau_y)/2$. The chiral and time-glide symmetries are realized by $\mathcal{S} = \tau_x\sigma_x$, $\mathcal{M} = \sigma_z$.

The corresponding effective Hamiltonian \mathcal{H}_{eff} of Eq. (2) with $n = 0$ is actually block diagonalized into two blocks with $\rho_z\tau_z = \pm 1$. The block with $\rho_z\tau_z = 1$ is actually a trivial band insulator, whereas the one with $\rho_z\tau_z = -1$ describes a reflection symmetry topological

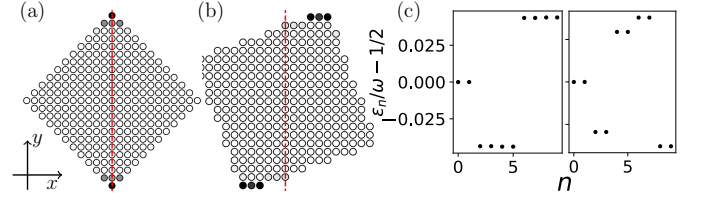


FIG. 1. (a,b) Support of the anomalous Floquet corner modes (darker for a larger magnitude) at quasienergy $\omega/2$ obtained from exact diagonalization of the enlarged Hamiltonian \mathcal{H} (truncated up to $H_0 \pm 2\omega$) for the two dimensional class AIII system with time-glide symmetry defined in Eq. 3, with $\omega = 6$, $m = 4$, $b = 0.4$, $b' = 0.8$ (reflection-symmetry-breaking term). The red dashed line indicates the time-glide plane. (c) 10 eigenvalues close to $\omega/2$ for the two systems on the left.

insulator with helical modes on the edge parallel to x around momentum $k_x = 0$ for $(m - \omega/2) \in (0, 2)$, and around momentum $k_x = \pi$ for $(m - \omega/2) \in (-2, 0)$, where b is numerically small. In these parameter regimes, if we cut the system such that the two edges are mapped into each other via the reflection with respect to the time-glide plane, we expect to find corner modes at their intersections. Note that this model also has a reflection symmetry implemented by $\tau_z\sigma_z$. One can actually introduce an additional term $b'\tau_y$ that breaks this reflection symmetry without affecting the corner modes, as shown in the numerics.

Fig. 1(a), depicts these states, alongside the quasienergies close to $\omega/2$ in (c). Even boundaries that completely break time-glide symmetry, as in (b) still gives rise to localized corner modes, which are still pinned to $\omega/2$ and separated by a smaller gap from the states at other quasienergies, see (c). Thus, the presence of anomalous corner modes does not rely on the time-glide symmetry.

It is worth mentioning that a time-glide symmetric Floquet SOTI can also be constructed using a two-step drive, which may be easier to implement experimentally. For example, considering the system driven by two static Hamiltonians H_{\pm} in the each half period, defined as

$$H_{\pm} = \cos k_x \tau_x + \sin k_x \tau_y + J(\cos k_y \sigma_x \pm \sin k_y \tau_y \sigma_y), \quad (4)$$

where the chiral and the time-glide (with reflection of the x-direction) symmetries are realized by $\mathcal{S} = \tau_z\sigma_z$ and $\mathcal{M} = \tau_x$. It was shown in Ref. [23] that this system can also host anomalous Floquet helical edge modes protected by the time-glide symmetry. If the system contains a pair of edges which are approximately reflect onto each other by the time-glide symmetry, as in Fig. 1(a,b), anomalous Floquet corner modes appear at the intersections (see Supplemental Material [31]).

Three dimensional Floquet SOTI in class A.— Without time-glide symmetry, 3D Floquet systems in class A are topologically trivial. Imposing a time-glide symmetry (realized by \mathcal{M}) gives rise to anomalous Floquet surface modes. Consider the effective Hamiltonian \mathcal{H}_{eff} given in

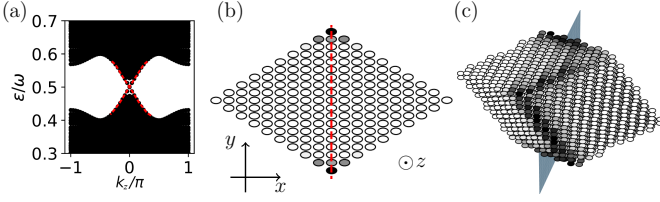


FIG. 2. (a) Bulk (black) and hinge (red) Floquet band structure near $\omega/2$ obtained from exact diagonalization of the enlarged Hamiltonian \mathcal{H} (truncated up to $H_0 \pm 2\omega$) at each momentum along z (periodic boundary condition), for the three dimensional harmonically driven Floquet system in class A, with time-glide symmetry. The parameters are $\omega = 10$, $m = 7$, $b = 0.4$. (b) Support of the anomalous Floquet hinge modes at $k_z = 0$ at quasienergy $\omega/2$. (c) Support of the hinge modes with open boundary conditions along all directions. Here all surfaces breaks the reflection symmetry about the time-glide plane, which is shown in blue.

Eq. (2). Then \mathcal{H}_{eff} describes a class A system with an additional reflection symmetry \mathcal{R}_{eff} , which allows for a \mathbb{Z} mirror Chern number enumerating gapless surface states at reflection-symmetric surfaces [29, 30].

The surface Hamiltonian describing the gapless modes on the plane normal to the z -direction can be written as $H_{\text{surface}} = \omega/2 + k_x \Gamma_x + k_y \mathcal{R}_{\text{eff}}$, with $\{\Gamma_x, \mathcal{R}_{\text{eff}}\} = 0$. A reflection-symmetry-breaking mass Γ_m , with $\{\Gamma_m, \mathcal{R}_{\text{eff}}\} = 0$ and $\{\Gamma_m, \Gamma_x\} = 0$, will gap the surface. This mass is unique as class A in 2D has a nontrivial topological classification.

A 3D model also arises here by embedding a static (x -direction) reflection-symmetric system into \mathcal{H}_{eff} . Using the form in Eq. (3), with $H_0(\mathbf{k}) = (m - \sum_{j=x,y,z} \cos k_j) \tau_z + b \sigma_x$ and $H_1(\mathbf{k}) = \sum_{j=x,y,z} \sin k_j \sigma_j \tau_-$, yields a 3D Floquet SOTI, with time-glide symmetry $\mathcal{M} = \sigma_x$.

When $(m - \omega/2) \in (1, 3)$, and b numerically small, the $\rho_z \tau_z = -1$ block of \mathcal{H}_{eff} (of the form of Eq. (2)) is a 3D reflection-symmetric topological crystalline insulator in class A, with a gapless surface mode on the boundary normal to x . When we have two surfaces related by the reflection symmetry, a localized hinge mode appears at the intersection of the two surfaces. This corresponds to the anomalous Floquet modes of the full harmonically driven system. Similar to the class AIII case, this model also has a reflection symmetry implemented by $\tau_z \sigma_x$. One can get rid of this symmetry by invoking $b_1 \tau_x, b_2 \tau_y$ etc., without affecting the hinge modes.

Fig. 2(a) presents a computation of the quasienergies of the Floquet hinge mode as a function of momentum k_z , with periodic boundary conditions assumed along the z direction. In (b), the support of the hinge mode at $k_z = 0$ was shown. When we consider a finite cube, where all surfaces generically break the reflection symmetry around the time-glide plane, as in (c), we see the chiral Floquet hinge mode zigzags along certain hinges of

the cube, as in the static 3D class A SOTI [10].

Floquet SOTI in real symmetry classes.— As we claimed above, the recipe of constructing Floquet SOTIs is completely general and can also be applied to real symmetry classes with time-reversal (TR) \mathcal{T} and/or particle-hole (PH) \mathcal{C} symmetries, which give rise to an effective TR $\mathcal{T}_{\text{eff}} = \rho_0 \otimes \mathcal{T}$, or/and an effective PH $\mathcal{C}_{\text{eff}} = \rho_x \otimes \mathcal{C}$ symmetries on the frequency domain effective Hamiltonian \mathcal{H}_{eff} defined in Eq. (2).

For a system with time-glide symmetry $\mathcal{M}_{\eta_T, \eta_C}$ where η_T, η_C characterizes the commutation relation between time-glide, and the TR and PH, if they exist, namely $\mathcal{M}\mathcal{T} = \eta_T \mathcal{T}\mathcal{M}$, $\mathcal{M}\mathcal{C} = \eta_C \mathcal{C}\mathcal{M}$. This determines the commutation relations between the effective reflection $\mathcal{R}_{\text{eff}}^{\sigma_T, \sigma_C}$ and effective TR and PH, with σ_T and σ_C defined similarly as $\mathcal{R}_{\text{eff}} \mathcal{T}_{\text{eff}} = \sigma_T \mathcal{T}_{\text{eff}} \mathcal{M}_{\text{eff}}$, $\mathcal{M}_{\text{eff}} \mathcal{C}_{\text{eff}} = \sigma_C \mathcal{C}_{\text{eff}} \mathcal{M}_{\text{eff}}$. It is easy to show that $\sigma_T = \eta_T$ and $\sigma_C = -\eta_C$. In fact, Table I is the same as Table I in Ref. [10], if we replace \mathcal{R} by \mathcal{M} while taking into account the modification of commutation relations. Hence, a topological property of the quasienergy gap at the Floquet zone boundary in a time-glide symmetric Floquet system, can be obtained from analyzing the corresponding reflection symmetric static system in the same symmetry class, according to the mapping defined above.

To construct time-glide symmetric Floquet SOTIs using harmonic drives, let us start with a general d dimensional static SOTI Hamiltonian of the form [32] $h(\mathbf{k}) = \sum_{j=0}^d d_j(\mathbf{k}) \Gamma_j + bB$, where $d_0(\mathbf{k}) = m + \sum_{j=1}^d (1 - \cos k_j)$, and for $j = 1, \dots, d$, $d_j(\mathbf{k}) = \sin k_j$. Here the matrices Γ_0 and Γ_j s are mutually anticommuting, and B commutes with $\Gamma_{0,1}$ but anticommutes with the rest of the Γ_j s, which ensures for small b , that this Hamiltonian describes a topological crystalline phase with reflection symmetry in the first coordinate. One can choose $\Gamma_0 = \tau_z$, and embed h into the $\rho_z \tau_z = -1$ block of \mathcal{H}_{eff} , with $m \rightarrow m - \omega/2$. This will give rise to a harmonically driven Floquet SOTI of the form described in Eq. (3) in the same AZ class of $h(\mathbf{k})$.

Conclusion.— In this work, we extend the second-order topological phase to the Floquet scenario. Particularly, we show how to systematically construct Floquet SOTIs based on time-glide symmetry, which is a nonsymmorphic space-time symmetry unique to Floquet systems.

When a pair of boundaries in the system, which defy the mirror symmetry, are approximately related via the reflection about the time-glide plane, a Floquet corner or hinge modes can appear at the intersection. This can be understood in the frequency domain formulation of the Floquet system, by focusing on the effective two-by-two block of the enlarged Hamiltonian. We showed that the this effective Hamiltonian acquires a reflection symmetry inherited from the time-glide symmetry, besides the AZ symmetries. (Actually this is also true for the full enlarged Hamiltonian in the frequency domain, see

Supplemental Material [31] for details.)

Thus, the properties of the time-glide symmetric Floquet SOTI can be understood from our previous knowledge of the static reflection symmetry SOTI [10]. Furthermore, we are able to systematically construct explicit models of harmonically driven time-glide symmetric Floquet SOTI, from Hamiltonians of static SOTIs. In addition to two examples (2D class AIII and a 3D class A systems), we showed that our recipe yields Floquet SOTIs in other symmetry classes. Clearly, we expect that realistic time-glide symmetric systems could be found using our recipe. For other nonsymmorphic space-time symmetries that may give rise to Floquet higher-order topological insulators, our frequency-domain analysis can be applied and the knowledge of static systems with other crystalline symmetries can be used similarly. We intend to pursue these directions in our future work.

Acknowledgement.— We acknowledge support from the Institute of Quantum Information and Matter, an NSF Frontier center funded by the Gordon and Betty Moore Foundation, the Packard Foundation. YP is grateful to support from the Walter Burke Institute for Theoretical Physics at Caltech. GR is grateful to the support from the ARO MURI W911NF-16-1-0361 Quantum Materials by Design with Electromagnetic Excitation sponsored by the U.S. Army.

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SUPPLEMENTAL MATERIAL

TWO DIMENSIONAL FLOQUET SOTI IN CLASS AIII UNDER A TWO-STEP DRIVE

In this section, we consider a two-step driven Floquet system first introduced in Ref. [23], in which the authors showed that the model can harbor anomalous Floquet edge modes protected by the combination of chiral symmetry and time-glide symmetry. We show that this model describes a Floquet SOTI with anomalous Floquet corner modes, when a pair of edges in the system are mapped onto each other via reflection about the time-glide plane.

Within one full period in time, this system is driven by two static Hamiltonians H_{\pm} in the each half period, defined as

$$H_{\pm}(k_x, k_y) = \cos k_x \tau_x + \sin k_x \tau_y + J(\cos k_y \sigma_x \pm \sin k_y \tau_y \sigma_y), \quad (1)$$

where the chiral and the time-glide symmetries are realized by $\mathcal{S} = \tau_z \sigma_z$ and $\mathcal{M} = \tau_x$.

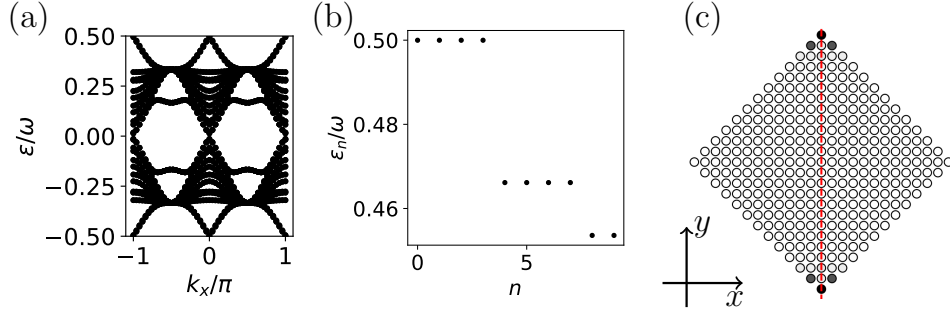


FIG. 1. (a) Quasienergy spectrum of the time-glide symmetric Floquet system defined in Eq. (1) as a function of momentum k_x , when periodic boundary condition along x , and open boundary condition along y are imposed. There are 13 sites along y in the calculation. (b) Quasienergy spectrum for the Floquet modes near $\omega/2$, when the system contains pairs of edges which are mapped onto each other via reflection about the time-glide plane, see the geometry in (c). (c) Support of the wave function for one of the Floquet mode at $\omega/2$. The parameters are $\omega = J = 3$.

In SFig. 1(a), we reproduce the quasienergy spectrum as the one in Ref. [23], when periodic boundary condition is imposed along x . We see that both at $k_x = 0$ and at $k_x = \pm\pi$, the system has gapless Floquet edges states at $\omega/2$. Hence, when we cut the system such that there are two pairs of edges that are mapped onto each other via the reflection about the time-glide plane, anomalous Floquet corner modes at $\omega/2$ appear, see (b). Moreover, since there are two gapless modes, there are four degenerate corner modes at $\omega/2$, twice as many as in the ones in the harmonically driven model introduced in the main text. In (c), we show the support of the wave function for one of the degenerate corner modes.

EMERGENT REFLECTION SYMMETRY IN THE FREQUENCY-DOMAIN FORMULATION

In this section, we show that the full enlarged Hamiltonian in the frequency domain acquires a reflection symmetry, whenever the original time-periodic Hamiltonian has a time-glide symmetry. Moreover, the reflection plane coincide with the time-glide plane.

Let us write down the enlarged Hamiltonian in the frequency domain explicitly as

$$\mathcal{H} = \begin{pmatrix} \ddots & & & & \\ & H_0 + \omega & H_1 & H_2 & \\ & H_1^\dagger & H_0 & H_1 & \\ & H_2^\dagger & H_1^\dagger & H_0 - \omega & \\ & & & & \ddots \end{pmatrix} \quad (2)$$

with

$$H_n(\mathbf{k}) = \frac{1}{T} \int_0^T dt H(\mathbf{k}, t) e^{-in\omega t}. \quad (3)$$

Let us first summarize how time-reversal \mathcal{T} , particle-hole \mathcal{C} and chiral \mathcal{S} symmetries transform $H_n(\mathbf{k})$ [26]:

$$\mathcal{T}H_n(\mathbf{k})\mathcal{T}^{-1} = H_n^*(-\mathbf{k}) \quad (4)$$

$$\mathcal{C}H_n(\mathbf{k})\mathcal{C}^{-1} = -H_{-n}^*(-\mathbf{k}) \quad (5)$$

$$\mathcal{S}H_n(\mathbf{k})\mathcal{S}^{-1} = -H_{-n}(\mathbf{k}). \quad (6)$$

Hence, one can define the effective time-reversal \mathcal{T} , particle-hole \mathcal{C} and chiral \mathcal{S} symmetries for the enlarged Hamiltonian \mathcal{H} as

$$\mathcal{T} = \begin{pmatrix} \ddots & & & & \\ & \mathcal{T} & & & \\ & & \mathcal{T} & & \\ & & & \mathcal{T} & \\ & & & & \ddots \end{pmatrix}, \quad \mathcal{C} = \begin{pmatrix} & & & \mathcal{C} & \cdots \\ & & & \mathcal{C} & \\ & & \mathcal{C} & & \\ & \mathcal{C} & & & \\ \cdots & & & & \end{pmatrix}, \quad \mathcal{S} = \begin{pmatrix} & & & \mathcal{S} & \cdots \\ & & & \mathcal{S} & \\ & & \mathcal{S} & & \\ & \mathcal{S} & & & \\ \cdots & & & & \end{pmatrix}. \quad (7)$$

Let us assume the time-dependent Hamiltonian $H(\mathbf{k}, t)$ has an additional time-glide symmetry, namely

$$\mathcal{M}H(\mathbf{k}, t)\mathcal{M} = H(-k_x, \mathbf{k}_{\parallel}, t + T/2). \quad (8)$$

When acting on $H_n(\mathbf{k})$, the time-glide symmetry becomes

$$\mathcal{M}H_n(\mathbf{k})\mathcal{M} = (-1)^n H_n(-k_x, \mathbf{k}_{\parallel}). \quad (9)$$

This enables us to define an effective reflection symmetry

$$\mathcal{R} = \begin{pmatrix} \ddots & & & & \\ & \mathcal{M} & & & \\ & & -\mathcal{M} & & \\ & & & \mathcal{M} & \\ & & & & \ddots \end{pmatrix}, \quad (10)$$

which is block diagonal with blocks alternating between \mathcal{M} and $-\mathcal{M}$. In this way, we map the original time-glide symmetric Floquet system into a reflection symmetric static system, without changing the AZ classes.
