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1 **Monsoon Responses to Climate Changes–Connecting**

2 **Past, Present and Future.**

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8 **Abstract** *Purpose of Review:* Knowledge of how monsoons will respond to exter-
9 nal forcings through the 21st century has been confounded by incomplete theories
10 of tropical climate and insufficient representation in climate models. This review
11 highlights recent insights from past warm climates and historical trends that can
12 inform our understanding of monsoon evolution in the context of an emerging
13 energetic framework. *Recent Findings:* Projections consistent with paleoclimate
14 evidence and theory indicate expanded/wetter monsoons in Africa and Asia, with
15 continued uncertainty in the Americas. Twentieth century observations are not
16 congruent with expectations of monsoon responses to radiative forcing from green-
17 house gases, due to the confounding effect of aerosols. Lines of evidence from warm
18 climate analogues indicate that while monsoons respond in globally coherent and
19 predictable ways to orbital forcing and inter-hemispheric thermal gradients, there
20 are differences in response to these forcings and also between land and ocean. *Sum-*
21 *mary:* Further understanding of monsoon responses to climate change will require
22 refinement of the energetic framework to incorporate zonal asymmetries and the
23 use of model hierarchies.

24 **Keywords** Monsoons · Global Warming · Climate Changes · Paleo-Monsoons

25 **Introduction**

26 In the nearly two decades since its introduction, the concept of a global monsoon,
27 the tropical overturning circulation and its associated rainfall that responds coher-
28 ently to the annual cycle of solar forcing [1], has provided a foundation for inquiry

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29 that has led to substantial gains in understanding of past, present and future
30 monsoons [2,3]. Yet our understanding remains incomplete. Observational trends
31 in regional monsoons since the 1950s have been inconsistent with theory, evidence
32 from paleo-climate, and climate model projections, and model biases have limited
33 the confidence in projections [4]. How global and regional monsoons evolve in the
34 coming decades will most certainly be influenced by anthropogenic drivers, which
35 include greenhouse gases, aerosols and land use change. Untangling the effects of
36 these external forcings as well as the climate system's internal drivers is of criti-
37 cal importance to our understanding. Here we digest the recent literature on the
38 response of monsoons to external forcing during past warm periods, and contrast
39 with the forcing and response seen in historical trends in order to better inform
40 monsoon projections.

41 An emerging theoretical framework interprets monsoons as an integral part
42 of the global atmospheric overturning circulation, and associated energy, angular
43 momentum and moisture budgets [5], rather than regional land-sea breeze circu-
44 lations. In this view, monsoons, like the global Hadley cells, are understood as
45 convectively coupled, energetically direct circulations that export the net energy
46 entering the atmospheric column (through surface energy and top-of-atmosphere
47 radiative fluxes) away from their ascending branches and peak precipitation, which
48 nearly coincide with maxima in the near-surface moist static energy [6,7]. This
49 view is consistent with the projected weakening of monsoon circulations with global
50 warming [8, e.g.], despite an increase in land-sea temperature contrast, and the
51 finding that on interannual timescales monsoon strength is correlated with low-
52 level moist static energy gradients, but anticorrelated with low-level temperature
53 gradients [9,10].

54 The energetic framework has proved particularly powerful in providing theo-
55 retical constraints on the position and shifts of the intertropical convergence zone
56 (ITCZ) even in response to forcing at remote latitudes on timescales from sea-
57 sonal to geologic [11–13]. For an anomalous energy source in one hemisphere, the
58 Hadley circulation can restore energy balance by shifting its ascending branch
59 and ITCZ into the hemisphere with net energy gain and by transporting energy
60 across the equator into the hemisphere with net energy loss, as on average the
61 Hadley cell transports energy across the equator in the direction of its upper-level
62 flow. As discussed by [5], inter-hemispheric energy perturbations usually mani-
63 fest as inter-hemispheric temperature gradients, primarily at latitudes outside of
64 the tropics, because of the weak temperature gradient constraint in the tropics,
65 with the ITCZ hence shifting into (away from) the relatively warmed (cooled)
66 hemisphere [14,15]. For example, the late 20th century Sahel drought has been
67 attributed to the climate impacts of anthropogenic aerosols through cooling of the
68 northern hemisphere and a southward shift in the tropical rain belt [16]. Since 1980
69 this inter-hemispheric temperature asymmetry (annual-mean north minus south)
70 has reversed to show a significant positive trend, which is expected to continue
71 to increase throughout the 21st century in the Coupled Model Intercomparison
72 Project version 5 (CMIP5 [17]) projections [18].

73 The energetic framework has emphasized how zonal mean ITCZ shifts are anti-
74 correlated with anomalies in cross-equatorial energy transport, with roughly a 3
75 degree latitude northward shift for every Petawatt of southward cross-equatorial
76 energy transport [19]. More recent work has, however, shown how changes in the
77 efficiency with which the Hadley cell transports energy can lead to changes in
78 cross-equatorial energy transport even without corresponding shifts in the ITCZ

79 [20,21]. Perhaps more importantly, the emphasis on zonal mean energy budget
80 metrics does not capture changes in monsoons [22], which are zonally asymmetric,
81 and yet responsible for much of the energy transport across the equator during
82 the summer season [23]. Recently [24] and [25] expanded this framework to include
83 zonal and meridional energy fluxes towards the development of a theory based on
84 energetic constraints for regional tropical rainfall shifts.

85 This emerging theoretical framework based on global energetic constraints
86 might be the path forward to identify the causes of disagreements between paleo
87 and modern observations, theories and numerical simulations [4]. Substantial work
88 will be needed to include the complexities of monsoon dynamics (see schematic
89 representation in Figure 1) in this energetic framework. Meanwhile comprehen-
90 sive discussions of monsoons on timescales from tectonic to intraseasonal [3] have
91 yielded new paleoclimatic insights [2] and mechanisms across timescales [26].

92 The overall question posed in this review is - in what ways can recent literature
93 on paleo monsoons and historical observed changes inform our understanding of
94 future monsoon responses to anthropogenic forcing? We examine evidence from
95 past warm climates and discuss examples from regional monsoons. It is important
96 to note that most monsoon research to date has yet to consider the implications of
97 the emerging energetic framework at a regional scale, and we include relevant dis-
98 cussions where appropriate. We focus in particular on the implications on rainbelt
99 shifts in response to increased inter-hemispheric temperature contrasts, with the
100 understanding that regional circulation changes, which to date remain poorly un-
101 derstood and constrained, might impact the tropical precipitation response in ways
102 that remain not fully understood. The structure is as follows: We begin with paleo-
103 monsoon responses to external forcing during past warm periods. While there are

104 no perfect analogues to the present climate drivers, *are there useful insights these*
105 *warm periods can offer toward understanding present and future changes?* We then
106 examine historical and recent changes in monsoons and ask - *can new knowledge*
107 *about the drivers of change (external and internal) in recent monsoon observations*
108 *help to place observed changes within the context of expected changes based on the-*
109 *ory and evidence from past climates?* This is followed by a discussion of *monsoon*
110 *projections, with insight gained from past and historical changes.* The summary
111 provides a recap of these three main questions regarding the future of monsoons
112 and the potential role of the energetic framework in future monsoon research.

113 This brief review does not provide a comprehensive summary of recent liter-
114 ature, but rather a selection of recent research, curated to highlight the state of
115 science in response to the questions above. For this reason not all monsoon regions
116 are equally discussed. Figure 2 presents the monsoons regions (South Asia, East
117 Asia, West Africa, North and South America) discussed in this review, although
118 details of the region boundaries vary among studies. The South Asian monsoon
119 is part of the larger coupled Asian monsoon system and results from the interac-
120 tion between the seasonally migrating ITCZ and the Himalayan mountain range
121 [27], while in East Asia monsoon rainfall occurs over East China and along a
122 band across Korea and Japan and into the western North Pacific [28]. The West
123 African/African summer monsoon extends to the Sahel region at its poleward
124 margin. [29]. In the Americas, the North American monsoon region is located in
125 central and northern Mexico and the southwestern United States [30,31], and the
126 South American Monsoon extends from the Amazon basin southward to Bolivia,
127 Argentina, and Paraguay [32,33].

128 Paleomonsoon responses to external forcing

129 Primary external drivers of past climates include variations in insolation resulting
130 from changes in Earth's orbit, and atmospheric carbon dioxide which affects long-
131 wave cooling. Evidence suggests that the long-term CO₂ decline over the past tens
132 of millions of years has acted as a driver of global temperature, cooling Earth's cli-
133 mate [34]. These long timescale carbon cycle processes reduced atmospheric CO₂
134 from ≈ 400 ppm in the Pliocene through the Quaternary to pre-industrial levels
135 of 280ppm [35]. There is evidence that the South Asian and East Asian mon-
136 soons have responded to the resulting cooling and ice sheet growth over the past
137 3.6M years [26]. At orbital timescales (≈ 20 –100K years), as seen during the ice
138 advances and retreats of the past 800k years, CO₂ amplifies changes in tempera-
139 ture initiated by orbital variations. It is well established that the cyclic pacing of
140 solar forcing affects the global monsoon system in coherent and largely predictable
141 ways. Earth's precession produces hemispheric antiphased insolation variations in
142 the subtropics and leads to an antiphase response between the northern and south-
143 ern hemisphere monsoons, albeit with regional differences [3,2,36]. In this section
144 we pose the question: despite the lack of exact analogues, in what ways can the
145 climates of recent warm paleoclimate epochs inform monsoon projections? The
146 relevant literature is summarized in Table 1.

147 Anthropogenic warming is the consequence of a radiation imbalance at the top
148 of the atmosphere driven by an increase in greenhouse gas concentrations. The
149 main greenhouse gases are well mixed in the troposphere, so that concentration is
150 essentially uniform in the free atmosphere (away from point sources), with CO₂
151 having surpassed 400 ppm in recent years. One could expect that warming would

152 be the same everywhere, but recent studies have pointed to the role of the oceans
153 in breaking the symmetry between northern and southern hemispheres even in
154 present day climate [37]. Under continued greenhouse warming, northern hemi-
155 sphere polar amplification and southern hemisphere cooling in the circumpolar
156 current might suggest northward ocean heat transport, a shift in the ITCZ toward
157 the warmer northern hemisphere and southward energy transport in the atmo-
158 sphere via the cross-equatorial Hadley cell and monsoons. A positive trend has
159 already been observed in the resulting inter-hemispheric temperature asymmetry
160 since the 1980's, and is expected to continue to increase through the 21st cen-
161 tury in the CMIP5 projections [18]. It is important to note that more pronounced
162 warming over land is not just a transient feature, but rather a robust response
163 at equilibrium [38]. Despite the increasing temperature asymmetry, a narrowing
164 rather than a clear shift of the ITCZ has been observed [39], and although indi-
165 vidual models link simulated ITCZ location to changes in cross-equatorial heat
166 transport, they neither agree on heat transport nor ITCZ shifts [13, 19]. Thus, the
167 response of tropical precipitation to any given forcing is complex and not always
168 in line with expectations.

169 With this in mind, we consider Earth's recent warm climates and what condi-
170 tions might qualify as appropriate analogues for anthropogenic greenhouse warm-
171 ing.

172 *Pliocene*

173 During the early Pliocene (3-5 Mya), CO₂ was roughly equivalent to present day
174 while global average temperatures and sea levels were substantially higher, prior

175 to the development of a large Greenland ice sheet. Thus, proxy data and model
176 simulations emphasize an equilibrated climate, while the present climate is tran-
177 sient and in the early stage of response to CO₂ forcing. Paleoclimatic records
178 suggest that monsoons across Asia were wetter during the Pliocene. For exam-
179 ple, reconstructions using biogenic and lithogenic indices indicate a more intense
180 South Asian summer monsoon prior to the development of northern hemisphere ice
181 sheets around 3.5 Mya [40]. Land and marine-based proxies suggest more rainfall
182 in the East Asian summer monsoon prior to 2.7 Mya, small-amplitude monsoon
183 oscillations between 2.7 and 1.2 Mya, and large-amplitude fluctuations after 1.2
184 Mya [41, 42, 26]. Proxy data from the Pliocene (both early and mid-Pliocene, 3.2-3
185 Mya) also indicate a weakened zonal and meridional sea surface temperature (SST)
186 gradient in the Pacific Ocean, which has been labelled a permanent El Niño-like
187 state [43–45]. Pliocene proxy data for southern hemisphere monsoons has not been
188 discussed in the literature to date.

189 Model experiments carried out for the mid-Pliocene warm period (3.3-2.95
190 Mya) under the Pliocene Model Intercomparison Project (PlioMIP, [46]) use pre-
191 industrial orbital parameters with two primary experiments: one with prescribed
192 estimated SST, and a coupled model simulation. The external forcing is comprised
193 of greenhouse gas concentrations, decreased albedo due to the disappearance of
194 the West Antarctic ice sheet and smaller Greenland ice sheet, and resulting sea
195 level rise. PlioMIP results from both experiments show polar amplification leading
196 to warming in both northern and southern high latitudes with reduced meridional
197 temperature gradient [46]. The reduced equator-to-pole temperature gradient re-
198 sults in a robust weakening of the Hadley circulation [47]. However, the coupled
199 simulations show a less robust weakening of the Walker circulation than those

200 with prescribed SST, which depends on the amount of warming in the tropical
201 Indian ocean [48]. Tropical precipitation shows an expansion in both hemispheres
202 with a decrease near the equator in the prescribed SST experiment, while the
203 coupled experiment indicates a northward shift in the tropical rainbelt. Stronger
204 East and South Asian monsoons are robust in both types of experiments [48–51].
205 It should be noted that the coupled model experiments using the PlioMIP forcing
206 have difficulty in reproducing SST values and meridional temperature gradients
207 in agreement with paleo proxies.

208 In a modeling study unrelated to PlioMIP, a climate similar to the Pliocene is
209 simulated by modifying cloud radiative properties. In this simulation a weakened
210 overturning, or negative dynamical change, translates into drying in the tropical
211 cores of convection, and wetting at the poleward margins of monsoons in both
212 hemispheres [52]. Because the primary forcing applied in these experiments (re-
213 duction of meridional cloud albedo) is quite different from those employed in the
214 coordinated PlioMIP experiments, it is difficult to identify precisely the cause of
215 the agreement or disagreement in the details of regional monsoon responses (e.g.,
216 expansion of tropical rainfall versus northward shift).

217 It has been suggested that present and future greenhouse warming could lead
218 to permanent El Niño, Pliocene-like climate, as seen in the experiments described
219 above [52]. Despite the uncertainties in tropical Pacific variability under global
220 warming, projected changes in the mean state reduce the zonal asymmetry with
221 a robust weakening of the Walker Circulation [53]. In addition, models have been
222 shown to lack processes and feedbacks [54] that might make permanent El Niño
223 conditions more relevant in the future.

224 *Quaternary*

225 Cooling from the Pliocene led to the Quaternary (which includes the Pleistocene
226 and Holocene epochs) and was marked by the growth of the Greenland ice sheet
227 and orbitally paced glacial-interglacial cycles. During the last interglacial (LIG)
228 prior to Holocene, the Eemian (129-116Kyr), atmospheric CO₂ was similar to the
229 pre-industrial value (≈ 300 ppm) and the orbital configuration (large obliquity,
230 large eccentricity and perihelion in July) resulted in peak northern hemisphere
231 summer (June-August) insolation ≈ 125 Kya. The summer radiative forcing was
232 stronger than seen in the Mid-Holocene, but annual mean insolation was slightly
233 lower than pre-industrial values [55]. This asymmetry in forcing yielded strong
234 northern hemisphere polar amplification with high latitude temperature increases
235 estimated $\approx 3^\circ\text{C}$ warmer than present day. [56].

236 Modeling studies of the Eemian simulate a global mean annual warming similar
237 to projections in a low emissions scenario, with greater warming at high latitudes
238 than at low latitudes [56]. However, the external forcing for the Eemian is the inso-
239 lation change due to orbital forcing which has a different seasonal response (more
240 warming in boreal summer) than the greenhouse gas forcing of the present and
241 future (more warming in the winters of the two hemispheres). While the LIG is not
242 an exact analog for future warming, proxy reconstructions reveal wetter summer
243 monsoons in East Asia and South Asia [57], West Africa [58] and a drier South
244 American monsoon [59,60]. Various modeling studies support the hypothesis that
245 insolation-driven latitudinal temperature gradients drive monsoon intensity, sim-
246 ulating increased West African, South Asian and East Asian precipitation during
247 the LIG [61,62]. In West Africa the particular mechanism involved in strengthen-

248 ing the monsoon is related to a low pressure anomaly over northern Africa which
249 increases the winds and moisture transport from the tropical Atlantic [63], al-
250 though recently it has been shown, at least on interannual time scales, the heat
251 low and associated shallow circulation might in fact weaken the monsoon through
252 advection of lower level moist static energy air [64].

253 During the time since the Eemian, paleo-records for the past 100 Kyr indicate
254 a strong correlation between the marine ITCZ position and monsoons [65,63,59].
255 The West African monsoon response suggests that hemispheric asymmetry in forc-
256 ing may have been important even in the early Pliocene, and may have increased
257 in importance as northern hemisphere glaciations proceeded [66].

258 Recent model integrations that span the period since the last interglacial sug-
259 gest a more complex response of tropical rainbelts to, on the one hand, insolation
260 driven asymmetry, which can result in an expansion/contraction of the rainbelt,
261 versus northern hemisphere cooling (due to the presence of ice sheets or meltwater
262 hosing), which drives a southward shift [22]. Further, the responses differ over land
263 and ocean. Over land the rain belt appears to be influenced by local insolation and
264 thermodynamic processes, while the response to northern hemisphere extratropical
265 forcing, such as the Dansgaard-Oeschger and Heinrich events that are simulated
266 via freshwater hosing experiments produce a meridional rainbelt shift mainly over
267 oceans [22,67,68].

268 To the extent that projections show that the future warming will not be merid-
269 ionally uniform [69,70] due to polar/Arctic amplification and the presence of an
270 inter-hemispheric gradient with warmer northern than southern hemisphere, it
271 makes sense to consider paleoclimate analogues that display an inter-hemispheric
272 difference, specifically, analogues that are warmer in the northern hemisphere such

273 as the Eemian (above), and this is also the case for the mid-Holocene: increased
274 obliquity, and, most importantly, precession phased such that the perihelion occurs
275 near the time of northern hemisphere summer solstice (June).

276 Lines of evidence from paleoclimatic proxies and modeling studies of the Mid-
277 Holocene concur that the African and South Asian monsoons are generally strength-
278 ened and southern hemisphere monsoons are weakened [15,71]. The expansion of
279 the northern hemisphere monsoon is generally captured by models but under-
280 estimated especially over Africa [72–74]. [14,72] summarize oceanic feedbacks as
281 positive in the case of the African monsoon, but negative in the case of the South
282 Asian monsoon.

283 Evidence suggests that the North American monsoon system with its peak rain-
284 fall occurring in the northern hemisphere summer reached its greatest geographical
285 extent in 6Kya [75]. CMIP5 models indicate both an expansion and increase in
286 rainfall during this period [74]. After 4Kya, as autumn insolation declined and the
287 ITCZ tracked south, the modern antiphase pattern between northern (Mexico,
288 Baja Peninsula and Southwest U.S.) and southern (Central America and Yucatan
289 Peninsula) regions of the North American monsoon emerged, with summer rain
290 continuing to dominate in the south, but with winter rain becoming more impor-
291 tant in the north [75]. In South America, evidence from proxy data indicates drier
292 conditions in monsoon regions of southern and southeastern Brazil, while North-
293 east Brazil appears wetter during the mid-Holocene, suggesting a weaker (than
294 present day) South American monsoon system [76,59].

295 *Summary: paleo-monsoons*

296 Overall the development of coordinated modelling exercises (e.g., PlioMIP, LIG,
297 LGM, midHolocene) is useful to test and investigate hypotheses about the role
298 of external forcings (greenhouse gases and orbital parameters, as well as their
299 influence on ice sheets) on monsoons. To date, most of these exercises have been
300 limited to time-slice experiments. Nevertheless, the development and consistent
301 use of forcing datasets across models has yielded results that are comparable and
302 provide some reliability in the evaluation of monsoon responses to past climates.

303 The mid-Pliocene is not the most appropriate analog for anthropogenic cli-
304 mate change in the near term, as this warm period was equilibrated with no West
305 Antarctic ice Sheet and relatively little ice in Greenland. Still, it is useful to exam-
306 ine the potential for a climate future wherein substantial ice loss occurs. For the
307 mid-Pliocene, there is good model agreement with existing proxy reconstructions
308 of a stronger summer monsoon across West Africa and South Asia compared to
309 pre-industrial conditions [48], although it remains unclear whether the response is
310 a symmetric expansion of tropical rainfall versus a northward shift. Furthermore,
311 experiments that include changes in orbital configuration indicate that these vari-
312 ations modulate the monsoon response as expected from the mechanistic under-
313 standing of orbital pacing of monsoon variability (stronger northern monsoons for
314 orbital configurations that enhance northern summer insolation and vice-versa)
315 [50].

316 The asymmetric insolation forcing of the orbital cycles since the LIG and inclu-
317 sive of the mid-Holocene provides more instructive, though not exact, analogues for
318 future climate. There is model agreement with paleo-records for intensified African,

319 South Asian and East Asian monsoons in response to peak northern summer inso-
320 lation during the last interglacial [57,58,61,62]. However, experiments that span
321 the period since the LIG reveal differences in tropical rainbelt response to asym-
322 metric solar insolation versus northern hemisphere cooling, and the response of
323 land versus ocean that complicate expectations based on the zonal mean energetic
324 framework.

325 **Role of external forcing in observed trends**

326 To understand historical and recent trends in monsoons, we must consider exter-
327 nal as well as internal drivers. Because climate models are the primary means for
328 separating their influence, the categorization of drivers depends on how they are
329 incorporated in the models. In general, we refer to external drivers as those pre-
330 scribed in models and include natural (insolation changes and volcanic aerosols)
331 and anthropogenic (greenhouse gases, aerosols from fossil fuel combustion, and
332 landuse change). Internal drivers are variations generated by interaction within
333 the climate system (air, sea, sea-ice, and land).

334 Let's first set present day insolation within the context of orbital forcing. The
335 current phase of precession, with perihelion in January, suggests wetter southern
336 hemisphere monsoons, but a small eccentricity will limit the effect of precession
337 through the next precession cycle (\approx next 20K years). Obliquity is in the middle of
338 its range, yielding moderate seasonality at high latitudes. Because this present or-
339 bital forcing is and will continue to be relatively weak for the next several thousand
340 years, greenhouse gas and related anthropogenic forcings are the primary drivers

341 of change. The temperature response to present greenhouse gas forcing shows an
342 inter-hemispheric asymmetry with relatively more warming in the north [18].

343 Monsoons can also be sensitive to variations internal to the coupled ocean-
344 atmosphere system (see for example, Figure 3, which shows the variability gener-
345 ated by internal dynamics (grey lines) in a large ensemble of realizations with a
346 single climate model). Modes of internal variability that have unique large-scale
347 influences on the individual regional monsoons include the Madden-Julian Oscil-
348 lation on intraseasonal timescales, the El Niño-Southern Oscillation (ENSO) on
349 interannual timescales, and multi-decadal variations in the extratropical oceans
350 known as the Inter-decadal Pacific Oscillation and the Atlantic Multidecadal Os-
351 cillation (AMO). However, the extent to which the 20th century evolution of the
352 AMO itself is internal or externally forced is hotly contested [77,78].

353 Mechanisms discussed in the literature have, in many cases, focused on near-
354 surface thermal gradients (see below). We note in advance of this discussion that
355 the nascent view of monsoons as energetically direct circulations emphasizes near-
356 surface moist static energy and associated meridional gradients as more directly
357 linked to the spatial extent and strength of monsoonal circulations than the near
358 surface temperature gradient [9,10]. An intention of this review is to support a
359 shift to this new framework for how we view and understand monsoons. With this
360 background, the recent literature on observed trends is discussed and summarized
361 in Table 2.

362 Precipitation metrics associated with the “global monsoon” (large-scale sea-
363 sonal tropical overturning circulation) have been developed and used to quantify
364 the global monsoon, hemispheric monsoons, and their changes [79]. Global mon-
365 soon indices computed from observations including land and ocean regions from

366 1979-2008 show increasing trends in total precipitation and total area covered, and
367 because the area has increased more than the total rainfall, a decrease in precipi-
368 tation intensity [80]. The increasing trend in precipitation is corroborated by [81]
369 for the global and (both) hemispheric monsoon indices from 1979-2011 across five
370 reanalysis products.

371 In contrast, when considering the global monsoons over land only, changes
372 in area and rainfall accumulation from 1949-2002 showed an overall weakening
373 trend during the past 54 years, due mostly to changes in the West African and
374 South Asian monsoons [82]. Since the 1950's anthropogenic aerosols and green-
375 house gases have been dominant forcings in Earth's top of the atmosphere energy
376 imbalance (see for example, Figure 3, which shows the mean externally forced re-
377 sponse (black line) in a single climate model and estimates from two commonly
378 used observational products, GPCP and CMAP, which highlight uncertainties in
379 the observations). The observed precipitation decreases in the monsoon regions of
380 the northern hemisphere (Africa and Asia) through the 1980's have been attributed
381 to increased anthropogenic aerosol emissions [83,84]. The cooling and stabilizing
382 effects of aerosol forcing countered the greenhouse gas warming in the northern
383 extratropics, creating an inter-hemispheric thermal gradient anomaly that shifted
384 the tropical rainbelt and monsoon precipitation equatorward [13,85,86]. As aerosol
385 emissions decrease as a result of policy interventions, the expected polar amplifi-
386 cation has resumed with an inter-hemispheric gradient showing enhanced warming
387 and reduced stability in the northern hemisphere. The observed annual-mean inter-
388 hemispheric temperature asymmetry has varied within a 0.8°C range and features
389 a significant positive trend since 1980 [18]. This appears to have led to a revival

390 of regional monsoons in the recent few decades, which we explore in more detail
391 next.

392 Several studies have suggested that, since the 1950s, rainfall associated with
393 the South Asian summer monsoon has decreased [87,88]. The reduction has been
394 associated with a weakening of the land-ocean thermal contrast driven by relatively
395 enhanced warming of the Indian Ocean in response to greenhouse gases [89,90],
396 and the effect of anthropogenic aerosols [91–93], and land-cover changes [94]. A
397 recent study reported a reversal of the rainfall trends concurrently with the land-
398 ocean thermal gradient since the early 2000s, which also coincided with suppressed
399 Indian Ocean warming [95]. As discussed by Walker et al. (2015) [10], however,
400 this declining trend is not robust across regions and datasets and might be more
401 indicative of local changes than changes in the large-scale monsoon.

402 The East Asian monsoon has exhibited a significant weakening trend in precip-
403 itation and circulation [96] from 1954-2010. However, instrumental records since
404 1901 indicate decadal variations but the long term trend is absent [97,98]. A num-
405 ber of mechanisms have been proposed to explain the declining trend in rainfall
406 since the 1950's, including variations in snow cover over the Tibetan Plateau [99],
407 variability in both tropical and mid-latitude circulations [96]), and variations in
408 tropical Indian and Pacific Ocean SSTs [100]. Analysis of CMIP5 individual forcing
409 experiments indicate a large contribution from aerosol forcing in the second half
410 of the 20th century [101] for which additional evidence has recently been provided
411 [102].

412 In Africa, Sahel rainfall over the 20th century was characterized by marked
413 multi-decadal variability. The 1950s and 60s were wetter than the century-scale
414 mean, and were followed by the decades of persistent drought of the 1970s and 80s.

415 Since then, rainfall has partially “recovered” [103]. Spatial and temporal features of
416 this observed recovery resemble patterns of long-term change in model projections
417 [104,105]: an increase in precipitation in the interior of the Sahel, east of 5°W,
418 and a shift in seasonality, with a decrease in rainfall in the early season, and an
419 increase in the late season.

420 As much as past wet and dry periods were characterized by year-to-year per-
421 sistence, conditions during the current recovery are characterized by year-to-year
422 variability. The recovery is consistent with a reduction in North Atlantic aerosol
423 loadings, which by cooling local SSTs relative to the global tropical mean were
424 responsible for drought [77,106,107]. CMIP5 simulations are in better agreement
425 than prior assessments that greenhouse gas-induced warming may result in a wet-
426 ter monsoon, broadly consistent with the current recovery. This can be interpreted
427 to occur when the “upped ante” in increased vertical stability that results from the
428 global ocean-mediated warming is met by increased moisture supply from the local,
429 North Atlantic Ocean. A positive oceanic feedback is consistent with paleoclimate
430 modeling [14]. The extent to which the significant year-to-year variability that
431 has characterized Sahel rainfall since the mid-1990s is a manifestation of internal
432 variability superimposed on an emergent wetting trend remains to be ascertained.

433 Observations to date show weak or nonexistent trends [82] over the North
434 American Monsoon region due to the presence of large amplitude decadal varia-
435 tions [108]. Despite increases in the land-sea contrast from 1979-2004, [109] found
436 small negative trends in summer precipitation (June-August) over the region in
437 reanalysis datasets, but no such trends in land-based observations over the period
438 1979-2004. Similarly, Petrie et al. (2014) [110] show no change in precipitation
439 over the northern Chihuahuan Desert over the past century. There is some spatial

440 variability in trends, with precipitation increases over June through September in
441 northwest Mexico and the southwestern United States from 1948-2010, with de-
442 creases occurring in central and southern Mexico over the same time period [111].
443 The reduced precipitation could be linked to antecedent wildfire aerosols [112].

444 Our understanding of South American monsoon trends is limited by intermit-
445 tent and sparse observations, particularly in the Amazon. Analysis of available data
446 since 1950 suggest an increasing precipitation trend in the southeast [113], with
447 increasing drought in the region of the South Atlantic convergence zone (SACZ),
448 which implies a poleward shift [114]. There is more confidence in observed trends
449 of increasing precipitation extremes in southern and southeastern Brazil and La
450 Plata River Basin, which lends support for the intensification of the monsoon pole-
451 ward of 20°S [113,115]. The increasing trend in this region of southeastern South
452 America has been attributed to Antarctic ozone loss and greenhouse warming,
453 both acting to shift the Hadley cell and southern hemisphere jets poleward [116].
454 Further, there is evidence for a longer monsoon season, with early onsets and late
455 demises for 1979-2010 [115].

456 The South American monsoon response to ENSO and decadal Pacific and At-
457 lantic SST variations involves a north-south shift in the SACZ that results in a
458 dipole in precipitation [114]. Arias et al. (2012) [117] and Fernandes et al. (2015)
459 [118] found evidence for decadal variability with dry (1948-1970 and 1991-2005)
460 and wet regimes (1971-1990 and 2005-2009). These modes of internal variability
461 can, for a time, mask the regional response to external forcings. This can be seen,
462 for example, in Figure 3 wherein the model ensemble mean shows precipitation
463 decreases in past decades in response to aerosol forcing before exhibiting precipi-
464 tation increases as the greenhouse gas forcing begins to dominate the signal.

465 Let's summarize this discussion of recent trends as they relate to paleo-monsoon
466 responses. First, while cooling in the northern hemisphere due to aerosols was a
467 dominant factor from the 1950's to 80's, asymmetric warming in the northern hemi-
468 sphere is emerging in the recent period as a result of greenhouse gas forcing[18].
469 Given this context, observations of precipitation declines in the earlier period fol-
470 lowed by recent increases, particularly in the northern hemisphere African and
471 Asian monsoon systems are consistent with expectations [103,96,98]. The weak
472 signals seen in the American monsoons are likely due to the dominant influence of
473 internal decadal variations over external forcing [108,114].

474 **Future of monsoons in a warming world**

475 Anthropogenic greenhouse gases emissions are expected to be the dominant ex-
476 ternal forcings on climate through the next 100 years. In addition to the com-
477 peting thermodynamic (increasing atmospheric humidity) and dynamic (slowing
478 of the tropical overturning) responses, as anthropogenic aerosol loadings continue
479 to decrease the asymmetric warming of the northern hemisphere is likely to con-
480 tinue. Uncertainties in this evolution include internal variations on interannual
481 and decadal timescales (Figure 3), and regional cooling in response to a slow-
482 ing of the oceanic Atlantic meridional overturning circulation. Although there is
483 some correspondence between inter-hemispheric temperature gradients and shifts
484 in tropical rainfall, a stronger anti-correlation has been shown between ITCZ shifts
485 and cross-equatorial energy fluxes which can respond to remote factors.

486 Climate projections of the global monsoon precipitation indices point to fu-
487 ture increases in global monsoon area (i.e., monsoon expansion), precipitation and

488 intensity, largely in response to higher atmospheric humidity (thermodynamic)
489 rather than circulation (dynamic) changes [119, 120]. It is surprising that northern
490 monsoons' future response is shown to be weaker than in simulations of the mid-
491 Holocene given that the future warming is larger [74]. This result is attributed to
492 differing mechanisms: during the mid-Holocene both thermodynamic and dynamic
493 responses act in concert and cross-equatorial energy fluxes shift the ITCZ towards
494 the warmer northern hemisphere; in the future the dynamic response (weakened
495 tropical circulation) acts against the thermodynamic response with a small net
496 energy flux.

497 Given the recent findings, rainfall increases are projected for northern hemi-
498 sphere monsoons due to atmospheric moistening, and in part to asymmetry in
499 warming, which more than compensate for the stabilization of the tropical tropo-
500 sphere as warming proceeds [121], while smaller increases are seen in the southern
501 monsoons. Projections of the length of the monsoon season appear to be mixed,
502 but model sensitivity studies corroborate a remarkable model agreement regarding
503 increased amplitude of the annual cycle of precipitation in the tropics, as well as
504 a phase delay (later start and later end) to warm season rains [122]. In addition
505 to these globally coherent responses, regional differences occur in projections, as
506 are summarized in Table 2 and discussed in the following for the Asian, African,
507 and American monsoons.

508 CMIP5 projections of the Asian monsoon indicate increased precipitation dur-
509 ing summer in South Asia and East Asia as well as Australia [123]. The increasing
510 inter-hemispheric gradient (warmer in the north) leads to larger increases in pre-
511 cipitation in South and East Asia compared to the Australian monsoon [123].
512 For South Asia, further analysis suggests a poleward shift in the moisture-bearing

513 monsoon low level jet [124]. High resolution model projections point to weaken-
514 ing and poleward shift in the genesis distribution of monsoon low pressure systems
515 which implies an increased frequency of extreme precipitation events over northern
516 India [125]. Uncertainty in the CMIP5 model projection of South Asian monsoon
517 rainfall has been related to the pattern of SST changes across the western Pacific
518 and Indian Ocean [126].

519 For the African monsoon, [105] shows greater agreement among CMIP5 mod-
520 els (than was seen in the previous model intercomparison [127]) in the projection
521 of a wetter monsoon overall, and this is reaffirmed by [74]. Despite the particular
522 sensitivity to choice of convection parameterization seen in Sahel rainfall changes
523 [128] (e.g. the Community Earth System Model (CESM) large ensemble in Fig 3
524 shows little change in precipitation at the end of the 21st century), a wetter future
525 outcome is consistent with understanding the role of external forcing explored in
526 this review. Specifically, it is consistent with the hypothesis that while greenhouse
527 gas-induced warming contributed to the recent drought, it was not warming per
528 se that dried the Sahel. Rather, it was the absence of warming of the North At-
529 lantic relative to the global tropical oceans that caused the drought [106]. Since
530 the absence of North Atlantic warming is largely attributable to anthropogenic
531 aerosols, the reduction in their loading [129] is consistent with the recovery of the
532 rains, and with projections for wetter conditions.

533 Over the North American monsoon region, the model projections agree that
534 early season monsoon precipitation will decrease while late rainy season precipi-
535 tation in September and October will increase, with little change in total warm
536 season precipitation [130, 131] despite increases in land-sea contrasts in the models
537 and warming overall [109]. These model projections are at odds with the monsoon

538 expansion during paleoclimatic periods such as the mid-Holocene maximum when
539 radiative forcing intensified. The difference between paleo periods and future pro-
540 jections may be even larger, as model biases in sea surface temperatures, partic-
541 ularly over the Atlantic Ocean, appear to have long-ranging effects on the future
542 projections [8]. When these SST biases are corrected in high resolution simula-
543 tions performed with double CO₂, decreases in monsoon precipitation occur from
544 July to October (i.e., even in the late season), with the largest decreases in July
545 and August [8]. These decreases in precipitation are linked to overall increases
546 in atmospheric stability arising from increases in SSTs (the “remote” mechanism
547 discussed by [132–134]).

548 In contrast with northern hemisphere, during the mid-Holocene the monsoons
549 were weaker in the southern hemisphere in response to decreased summer insola-
550 tion [76]. In the 21st century, however, radiative forcing increases in both hemi-
551 spheres. Consequently, projections show a wetter and longer (both early onset and
552 late withdrawal) South American Monsoon [135], consistent with the idea that the
553 monsoon precipitation should increase in a warmer world. The trends are consis-
554 tent with the longer monsoon season shown in observations [135]. The monsoon is
555 also projected to expand poleward with diminished early season precipitation and
556 enhanced late season precipitation [115], similar to the North American monsoon
557 without the SST correction applied by [8], however the ozone recovery will act in
558 opposition to warming and could lessen the response in this region [116].

559 Summary

560 Although there is no perfect analog to the seasonality and hemispheric asymmetry
561 in the warming response expected in the coming decades, the mid-Holocene and
562 Eemian provide estimates of monsoon response to changes in the inter-hemispheric
563 gradients and cross-equatorial energy fluxes set by orbital variations. Lines of
564 evidence from paleoclimatic proxies and from modeling concur that the African
565 and Asian monsoons are generally wetter and southern hemisphere monsoons are
566 drier [15, 71, 59]. Rainfall in northern monsoons increased during strong precession
567 maxima (Mid-Holocene and early Eemian). While this would suggest a similar
568 response of northern hemisphere monsoons with increased greenhouse warming,
569 the different patterns of anomalous net energy input especially over land and
570 differing impacts on stability might make these climates not precise analogues
571 of future warming [74, e.g.]. Estimates of reduced rainfall in southern monsoons
572 during mid-Holocene may be less appropriate for the future because greenhouse
573 gas forcing is warming the southern hemisphere and its oceans, even if not at the
574 same rate as north of the equator. CO₂ also causes a direct increase in dry static
575 stability, with corresponding circulation changes in both hemispheres [136]. It is
576 also worth restating the difference in the regional ocean feedbacks to the monsoon
577 response in Africa and South Asia [72].

578 The 20th century declines in Asian (South and East) and African monsoon
579 rainfall are inconsistent with theory and evidence from paleoclimatic proxies which
580 indicate increasing monsoon precipitation in a warmer climate. Although an array
581 of potential drivers of the observed declines have been proposed for each monsoon
582 region, there is strong evidence that anthropogenic aerosols were a dominant factor

583 in the persistent Sahel drought [83,84,77,106]. There is mounting evidence that
584 aerosols have been a significant driver in South and East Asia as well [83,84,102,
585 101,91–93]. The compensating influence of aerosol forcing has diminished, with
586 rainfall recoveries occurring in Africa and South Asia, and this forcing is expected
587 to decline in the coming decades due to policy interventions. Thus, the radiative
588 forcing from greenhouse gases is expected to be dominant through much of the
589 21st century.

590 [2] conclude that total monsoon precipitation in the northern and southern
591 hemispheres will change in opposite directions in the coming decades, owing to
592 differences in hemispheric warming. Given the vertically integrated moist static
593 energy framework that describe shifts in tropical rainbelts, the increasing inter-
594 hemispheric temperature gradient has been associated with a northward ITCZ
595 shift in the zonal mean. How this ITCZ response will manifest in precipitation
596 shifts in different monsoon regions remains an open question in the literature.
597 Climate model projections indicate overall expansion of, but weakened monsoon
598 circulations and increased precipitation in both hemispheres. While more work is
599 need to incorporate the complexities of different monsoon systems, the atmospheric
600 energy budget might provide a basis for a consistent understanding of the role of
601 each of these changes (e.g., stability, lateral import of moist static energy, inter-
602 hemispheric temperature gradients).

603 As with many other open questions in climate science, our understanding of
604 monsoon circulations remains limited (note for example, significant model biases
605 in Figure 3) because of the complexity of these systems, which involve interplays
606 between the large-scale tropical circulation and convection, the influence of both
607 local and remote forcing, interactions between land, atmosphere and ocean, and

608 other components of the climate system [137]. The framework as currently defined
609 (Figure 2) appears to represent reasonably well the monsoons of Asia and Africa,
610 but will require refinement for monsoons that do not include cross-equatorial cir-
611 culations such as North America. Forward progress requires the use of model
612 hierarchies, which allow for the development and testing of physically-driven hy-
613 potheses by introducing complexity in a progressive way [138, 139]. For a problem
614 as complex as that of the monsoon, this needs to include model setups spanning
615 from zonally symmetric aquaplanets with idealized physics [140] to idealized rep-
616 resentations of land masses or other zonal asymmetric in both idealized and full
617 physics global climate models [141, 64, 142] or of the interaction with the ocean
618 circulation [143–145]. The physical constraints and testable ideas emerging from
619 this approach can hence be used to interpret paleo records, to evaluate compre-
620 hensive Earth system models, and to better constrain their past reconstructions
621 and future projections.

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⁶³⁴

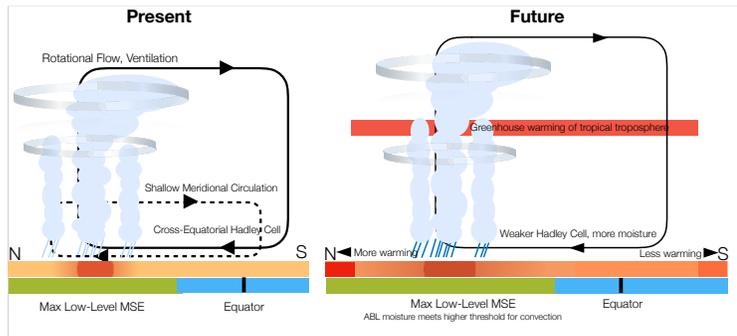


Fig. 1 Mechanistic view of monsoons. Emerging theories interpret monsoons as energetically direct cross-equatorial circulations, integrally linked to the marine ITCZ, and N-S (Hadley) and E-W (Walker) overturning circulations, through global energy budget constraints. The green and blue bar shows land and ocean, respectively. The red and yellow bar shows near-surface moist static energy, where red and orange colors indicate higher moist static energy, and yellow indicates lower values of moist static energy. In the future, the blocks of red at each end of the bar represent the inter-hemispheric gradient (more warming in the Northern Hemisphere). The shallow meridional circulation is not shown in the future diagram because changes to it are uncertain. Not all monsoons show a clear cross-equatorial flow, and more work is needed to understand how theories applicable for large-scale systems can be modified to other monsoon systems. Adapted from [4].

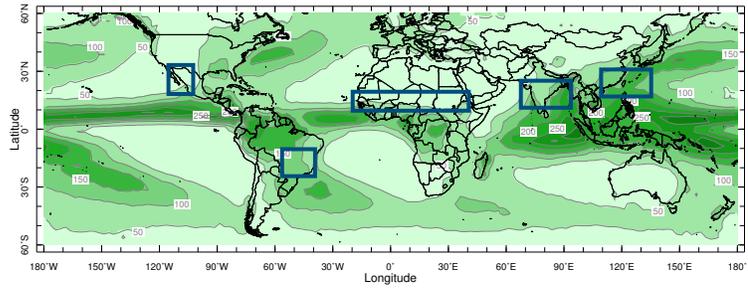


Fig. 2 Observed climatological annual mean precipitation from Climate Prediction Center Merged Analysis of Precipitation version 2 (CMAP) [146,147], 1981-2010. Boxes indicate monsoon region boundaries analyzed in this review. Note that reviewed studies consider differing boundaries for these regions.

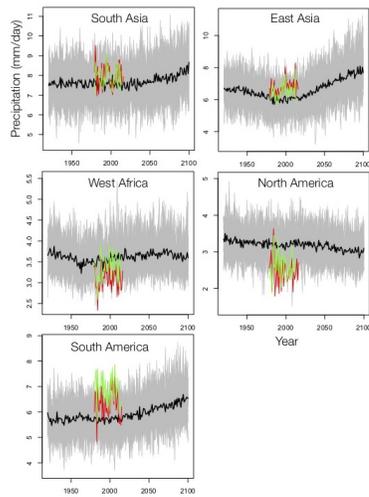


Fig. 3 Time series of regional-average monsoon season precipitation from observations - CMAP [146,147], (red) and Global Precipitation Climatology Project (GPCP) [148,149] (green) – for the historical period (1979-present) and the National Center for Atmospheric Research CESM Large Ensemble Project (1920-2100) with all historical forcings from 1920-2005 and the RCP 8.5 scenario from 2006-2100 [150]. Grey lines indicate the individual ensemble members and the black line is the 40-member ensemble average. The monsoon domains include South Asia ($65\text{-}100^{\circ}\text{E}$, $10\text{-}25^{\circ}\text{N}$), East Asia ($110\text{-}135^{\circ}\text{E}$, $20\text{-}35^{\circ}\text{N}$), West Africa ($20\text{W}\text{-}40^{\circ}\text{E}$, $10\text{-}20^{\circ}\text{N}$), North America ($115\text{-}102.5^{\circ}\text{W}$, $17\text{-}33^{\circ}\text{N}$), and South America ($60\text{-}40^{\circ}\text{W}$, $10\text{-}25^{\circ}\text{S}$). The monsoon seasons are defined as June-August for the Northern Hemisphere and December-February for the Southern Hemisphere.

Table 1 Monsoon responses for past climates. Analysis type includes models (M) and proxy data (P). Precession forcing refers to northern summer perihelion, and Obliquity forcing to increased seasonality. PlioMIP forcing employs a pre-industrial orbit, with 400 ppm CO₂, no ice sheet in West Antarctica, a small ice sheet in Greenland, and increased sea level. Response to forcing represents overall stronger (+) or weaker (-) monsoons and is given for northern/southern hemispheres. A o represents no information given. Abbreviations include: Northern Hemisphere (NH), Southern Hemisphere (SH), East Asia (EAsia), West Africa (WAF), and North America (NAM).

Period	Paper	Region	Analysis M/P	Response NH/SH	Forcing
Review	Zhishen et al. (2015)	Global	M/P	+/-	orbital
	Mohtadi et al (2016)	Global	M/P	+/o	Precession/Obliquity
	Wang et al (2017)	Global	M/P	+/-	Precession/Obliquity
Pliocene	Haywood et al. (2013)	Global	M	+/o	PlioMIP
	Sun et al. (2013)	EAsia	M	+/o	PlioMIP
	Burls, Federov (2014)	Global	M	+/o	CO ₂ , Cloud
	Zhang et al. (2015)	EAsia	M	+/o	PlioMIP, Precession/Obliquity
	Sun et al. (2016)	EAsia	M	+/o	PlioMIP
	Corvec et al. (2017)	Africa Asia	M	+/o	PlioMip
	Keuchler et al. (2018)	WAF	P	+/o	Precession/Obliquity
Eemian	Otto-Bliesner et al. (2013)	Global	M	+/-	Precession/Obliquity
	Schneider et al. (2014)	Global	M	+/o	Precession/Obliquity
	Govin et al. (2014)	WAF	P	+/o	Precession/Obliquity
	Kathayat et al. (2016)	SAsia	P	+/o	Precession/Obliquity
	Singarayer et al. (2017)	Global	M	+/o	Precession/Obliquity
	Pedersen et al. (2017)	Global	M	+/-	Precession/Obliquity
	Gierz et al. (2017)	Global	M	+/o	Precession/Obliquity
Mid-Holocene	Biasutti et al (2018)	Global	M/P	+/-	Precession/Obliquity
	Braconnot et al. (2012)	WAF	M	+/o	Precession
	Jiang et al. (2015)	Global	M	+/o	Precession
	Zhao, Harrison (2012)	Global	M	+/o	Precession
	Metcalfe et al. (2015)	NAM	M/P	+/o	Precession
	Prado et al (2013)	SAM	M/P	o/-	Precession
	Baker, Fritz (2015)	SAM	P	o/-	Precession

Table 2 Monsoon responses for present and future climates. Analysis type includes observations (O) and models (M). The 20th century response (20C) is given as stronger (+) or weaker (-) circulation (C) and wetter(+) or drier (-) for precipitation (P) and likewise for the Present, and late 21st century (21C). A o represents no information given and ? indicate inconclusive results within the C/P pair. The observed mechanism or model forcing is given in a separate column to the right of 20C and Present columns. The scenario employed in experiments for the future is given in the column to the right of 21C. Abbreviations include: Representative Concentration Pathway (RCP), Historical simulation (HIST), no change (nc).

Region	Paper	Analysis	20C	Forcing or	Present	Forcing or	21C	Scenario
		O/M	C/P	Mechanism	C/P	Mechanism	C/P	
Global	Wang et al. (2012)	O	o/+		o/+			
	Hsu et al. (2013)	M					o/+	RCP4.5
	Kitoh et al. (2013)	M					-/+	RCP4.5,8.5
	Lin et al. (2013)	O			o/+			
	Lee et al. (2014)	O			o/+			
	Lee, Wang (2014)	O/M	o/-		o/+	HIST	-/+	RCP4.5
	Polson et al. (2014)	O	o/-	Aerosols	o/+			
	Hurley, Boos (2015)	O	nc/o		nc/o			
South Asia	Singh et al. (2014)	O	o/-					
	Salzmann et al. (2014)	O/M	-/-	Aerosols				
	Wang et al. (2014)	O/M			nc/nc	HIST	-/+	RCP4.5
	Guo et al. (2015)	M	-/-	Aerosols				
	Roxy et al. (2015)	O	-/-	-Gradient				
	Walker et al. (2015)	O	o/nc		o/nc			
	Paul et al. (2016)	O/M	o/-	Land cover				
	Jin, Wang (2017)	O/M			+/+	+Gradient		
East Asia	Zhu et al. (2012)	O	-/-	Circulation				
	Qian, Zhou (2013)	O	-/-	trop SST				
	Li et al. (2016)	O	-/-	Aerosols				
	Song et al. (2014)	O	-/-	Aerosols				
	Wang et al. (2014)	O/M			nc/nc	HIST	nc/+	RCP4.5
West Africa	Sanogo et al. (2015)	O			o/+			
	Giannini et al. (2013)	O/M	o/-	Aerosol, CO ₂	o/+	CO ₂		
	Biasutti (2013)	O/M	o/-		o/+	CO ₂	o/?	RCP, 4x
	Booth et al. (2012)	M	o/-	Aerosol				
	Dong, Sutton (2015)	M			+/+	CO ₂		
	Wang et al. (2016)	M	-/-	Aerosol				
	Hill et al. (2017)	M					/?	+2°K
NAM	Arias et al. (2015)	O	?/nc	SSTs	?/nc	CO ₂		
	Hoell et al. (2016)	O			?/nc	?	o/+	RCP
	Petrie et al. (2014)	O	o/nc		o/nc	?		
	Cook, Seager (2013)	M					o/nc	RCP
	Maloney et al. (2013)	M					o/-	RCP
	Pascale et al. (2017)	O/M					o/-	2xCO ₂
SAM	Arias et al. (2015)	O	?/nc	SSTs	?/nc	CO ₂		
	Skansi et al. (2013)	O	?/+		?/+			
	Grimm, Saboia (2014)	O	?/+					
	de Carvalho et al. (2016)	O			?/+	CO ₂	o/?	RCP

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