

An Experimental- and Simulation-Based Evaluation on the CO Utilization Efficiency in Aqueous-based Electrochemical CO Reduction Reactors with Ion-Selective Membranes

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ACS Appl. Energy Mater., **Just Accepted Manuscript** • DOI: 10.1021/acsam.9b00986 • Publication Date (Web): 27 Jun 2019

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12 Electrochemical CO₂ Reduction Reactors with Ion-
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KEYWORDS: electrochemical CO₂ reduction, CO₂ utilization efficiency, ion-exchange membrane, modeling, ionic conductivity, bipolar membrane

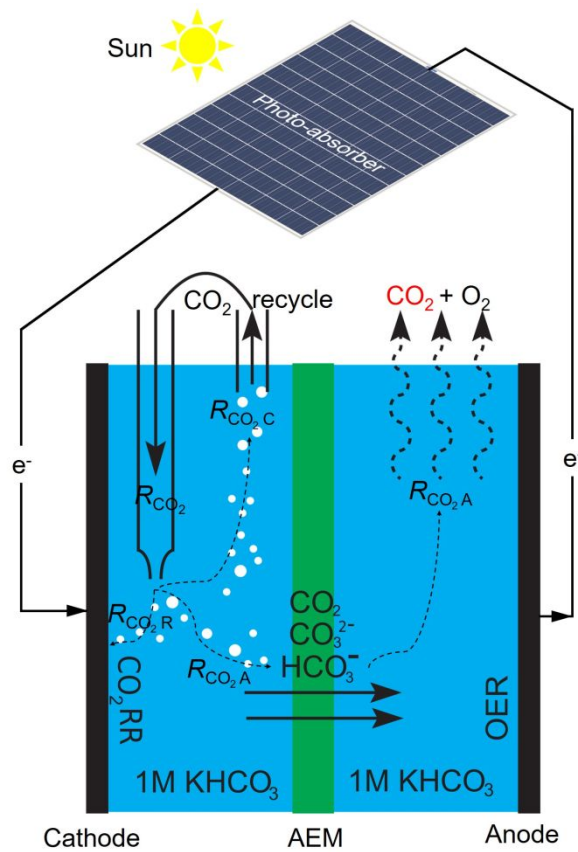
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ABSTRACT

The CO₂ utilization efficiency of three types of electrochemical CO₂ reduction (CO₂R) reactors using different ion-selective membranes, including anion exchange membrane (AEM), cation exchange membrane (CEM), and bipolar membrane (BPM), was studied quantitatively via both experimental and simulation methods. The operating current density of the CO₂R reactors was chosen to be between 10 – 50 mA cm⁻² to be relevant for solar-fuel devices with relatively low photon flux from sunlight. In the AEM based CO₂R reactor with a 6-electron per carbon CO₂R at the cathode surface, an upper limit of 14.4% for the CO₂ utilization efficiency was revealed by modeling and validated by experimental measurements in CO₂ saturated aqueous electrolytes without any buffer electrolyte. Improvements in CO₂ utilization efficiency were observed when additional buffer electrolyte was added into the aqueous solution, especially in solutions with low bicarbonate concentrations. The effects of the feed rate of the input CO₂ stream, the Faradaic Efficiency (FE) and the participating electron numbers of the cathode reaction on the CO₂ utilization efficiency was also studied in the AEM based CO₂R reactor. The CEM based CO₂R reactor exhibited low CO₂ utilization efficiency with re-circulation between the catholyte and the anolyte, and was unsustainable due to the cation depletion from the anolyte without any re-circulation. The BPM based CO₂R reactor operated continuously without a significant increase in the cell voltage and exhibited significantly higher CO₂ utilization efficiency, up to 61.4%, as compared to the AEM based CO₂R reactors. Diffusive CO₂ loss across the BPM resulted in relatively low CO₂ utilization efficiency at low operating current densities. Modeling and simulation also provided target BPM properties for higher CO₂ utilization efficiency and efficient cell operation.

TOC GRAPHICS



INTRODUCTION

Electrochemical and photoelectrochemical (PEC) CO₂ reduction (CO₂R) into value-added fuels or chemicals have become an active area of research in the past few years.¹⁻⁹ Significant advances have been made in the materials discovery and device architecture for selective and efficient CO₂R reactions.^{3,10-15} In aqueous based CO₂R systems, ion selective membranes, such as cation exchange membrane (CEM), anion exchange membrane (AEM) or bipolar membrane (BPM), have been widely used to minimize the product crossovers and to provide ionic conductions between the cathode and anode chambers. In particular, the bipolar membrane-based reactors have been of significant interests¹⁶⁻²⁰ due to its ability to maintain pH differentials between the cathode and the anode chambers. Solar-driven CO₂ reduction devices that used bipolar membrane exhibited solar-to-fuel (STF) conversion efficiencies that exceeded 10% for CO generation²¹ and for formate generation²². AEM-based solar-driven CO₂ reduction devices have also shown promising conversion efficiency to oxygenate and hydrocarbons^{23,24}. On the modeling side, although there have been several studies²⁵⁻²⁷ on the effects of local pHs and local CO₂ concentrations on the operating conditions and constraints for CO₂R reactors, the CO₂ utilization efficiency is often overlooked or sacrificed in fundamental studies and in the materials discovery research in order to maintain a well-controlled reaction environment with constant CO₂ concentrations²⁸. However, the utilization efficiency will have a significant impact on the overall efficiency of the system and the cost of the produced fuels or chemicals in practical devices. This study focuses on the analysis of the CO₂ utilization behavior of membrane-based reactors. More specifically, the rate of CO₂ crossover to the anode chamber and the CO₂ utilization efficiency were modeled, simulated and experimentally measured in aqueous-based electrochemical devices with cation exchange membranes (CEMs), AEMs and bipolar

membranes (BPMs), and a range of electrolyte conditions. The simulation and the experimental results revealed significant challenges in improving the CO₂ utilization efficiency in aqueous-based configurations, especially with higher-electron CO₂R products.

RESULTS AND DISCUSSION

Fig. 1a shows the pathways of CO₂ transport in a generic aqueous-based electrochemical CO₂R device, in which the cathode for CO₂R reaction and the anode for oxygen evolution reaction (OER) are separated by an anion exchange membrane (AEM) separator that facilitates the ionic transport between the catholyte and anolyte. The CO₂ utilization efficiency (η_{CO_2}), which is defined as the CO₂ utilized per unit amount of CO₂ fed to the utilization process,²⁴ and can be written as the following:

$$\eta_{\text{CO}_2} = \frac{R_{\text{CO}_2\text{R}}}{R_{\text{CO}_2\text{R}} + R_{\text{CO}_2\text{A}} + R_{\text{CO}_2\text{C}}} \times 100 \% \quad (1),$$

where $R_{\text{CO}_2\text{C}}$ is the rate of the un-reacted CO₂ leaving the cathode chamber, $R_{\text{CO}_2\text{R}} = \frac{\eta_{\text{F}} j_{\text{total}}}{nF}$ is the rate of CO₂R reaction at the cathode surface (n is the number of electrons participating in CO₂R reaction, F is the Faraday constant, j_{total} is the total geometric current density of the cathode, and η_{F} is the Faradaic efficiency for CO₂R reaction), and $R_{\text{CO}_2\text{A}}$ is the rate of the CO₂ loss across the separator to the anode chamber in the form of CO₂, HCO₃⁻, and CO₃²⁻. $R_{\text{CO}_2} = R_{\text{CO}_2\text{R}} + R_{\text{CO}_2\text{A}} + R_{\text{CO}_2\text{C}}$ is the total rate of CO₂ feed to the cathode chamber. All the rates in Eq. 1 are normalized with respect to the unit area of the cathode. While the rate of the un-reacted CO₂ leaving the cathode chamber can be optimized and minimized in principle with multi-pass reactor designs, the CO₂ transported across the separator ($R_{\text{CO}_2\text{A}}$) would eventually co-evolve with O₂ from the anode and would result in significant energy penalties to bring O₂-free, relatively pure stream of

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3 CO₂ back to the cathode. The R_{CO_2A} was measured experimentally and evaluated
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5 computationally in this study.
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9 In the AEM-based CO₂R reactor (Fig. 1a), the CO₂ transport across the separator, the electrolyte
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11 concentrations and the resulting upper limit for the CO₂ utilization efficiency are independent of
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13 the overpotential of cathodic reaction. The generation rate of OH⁻ at the cathode electrode, which
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15 controls the electrolyte composition in the cathode chamber, is independent of the cathodic
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17 reaction, and is only determined by the operating current density of the electrode. As a result, as
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19 long as the catholyte is saturated with CO₂, the rate of HCO₃⁻ crossing through the AEM is
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21 independent of the cathodic reactions. The effect of cathodic reactions on R_{CO_2A} is shown in
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23 figure S1 showing no difference in R_{CO_2A} between CO₂R reaction with unity Faradaic efficiency
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25 and the hydrogen evolution (HER) case. Therefore, Ni cathode was used for HER, instead of
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27 CO₂R, to mimic the pH gradient and OH⁻ generation rate in the system as well as to simplify the
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29 experimental measurements and validations. This is implemented for all the experiments
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31 performed in this study. Furthermore, the electrochemical rates for the hydroxide generation and
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33 proton generation at the surface of the cathode and anode were held constant during the
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35 modeling and experimental measurements. While the concentration increase of CO₂R products
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37 during the reaction would change the equilibrium potential of the reaction, the electrode potential
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39 would be adjusted accordingly to maintain the constant operating current density. The anolyte
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41 was pre-bubbled with CO₂ gas without any circulation or stirring to ensure an initial equilibrium
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43 of the anolyte, AEM, and catholyte. The plasticization of membranes was not observed during
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45 the course of the measurements. Furthermore, the stable cell potential in AEM- (Figure S2c) and
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47 BPM-based (Figure S8c) reactors confirms the ionic properties of membranes were not changing
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49 significantly throughout the experiment. The lower range of operating current density- 10 - 50
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3 mA cm⁻², chosen in this study to evaluate CO₂ utilization efficiency of the device, is comparable
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5 to the operating photocurrent density of a solar-driven CO₂R system (without solar
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7 concentration).^{21–24,29–31} This will offer direct design guidelines for solar-driven CO₂R reactors
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9 using various un-concentrated photo-absorbers.
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13 In the AEM-based CO₂R reactor, the HCO₃⁻ was the dominating ionic current carrier crossing
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15 the separator, which can be confirmed from Fig. S2b showing that the HCO₃⁻ cross-over current
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17 density, $j_{\text{HCO}_3^-}$, was 9.9 mA cm⁻² at the operating current density of 10 mA cm⁻². The crossed-
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19 over HCO₃⁻ eventually contributed to the CO₂ loss close to anode surface due to the acidic
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21 environment at anode boundary layer region favoring dehydration of HCO₃⁻ to CO₂. From the
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23 nucleation theory,^{25,32} the CO₂ loss at the anode chamber was modeled as a volumetric source
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25 term that was dependent on the CO₂ supersaturation (S) and a CO₂ loss coefficient (γ_{CO_2}) (see
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27 equation S11). In addition, the CO₂ loss was experimentally measured in the gas mixture
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29 evolving from the anode chamber using gas chromatography (see experimental method section in
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31 the SI). Fig. 1b shows $R_{\text{CO}_2\text{A}}$ as a function of operating current density at the cathode surface for
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33 CO₂R. The anodic CO₂ loss due to crossover ($R_{\text{CO}_2\text{A}}$) can also be represented as an equivalent
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35 loss in the CO₂R current density, which is defined as follows and shown in Fig. 1b:
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$$j_{\text{CO}_2\text{R,loss,eq}} = nFR_{\text{CO}_2\text{A}} \quad (2).$$

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43 The simulation results show that $R_{\text{CO}_2\text{A}}$ and $j_{\text{CO}_2\text{R,loss,eq}}$ increase linearly with increasing operating
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45 current density at the cathode (dashed line in Fig. 1b). At the steady state, the simulation results
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47 suggest that all the HCO₃⁻ ions that were crossing over the AEM contributed to the release of
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49 CO₂ gas from the anode chamber. For instance, the rate of CO₂ loss of $R_{\text{CO}_2\text{A}} = 103 \text{ nmol s}^{-1}\text{cm}^{-2}$
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51 was a consequence of the HCO₃⁻ current density of $j_{\text{HCO}_3^-} = 9.9 \text{ mA cm}^{-2}$ crossing the
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3 AEM at the operating current density of 10 mA cm^{-2} . The experimentally measured $R_{\text{CO}_2\text{A}}$ (dots
4 with error bars in Fig. 1b) at various operating current density were in close agreement with the
5 simulation results confirming the mechanism of CO_2 loss in electrochemical or
6 photoelectrochemical devices. Assuming the rate of the un-reacted CO_2 leaving the cathode
7 chamber, $R_{\text{CO}_2\text{C}} = 0$ with ideal multi-pass reactor design, an upper limit of CO_2 utilization
8 efficiency (η_{CO_2}) of 14.4% was found for all applied current densities for a 6-electron CO_2R
9 reaction at the cathode (e.g., C_2H_4 or $\text{C}_2\text{H}_5\text{OH}$) in dependent of the operating current density of
10 the device. The result suggests that in an aqueous-based CO_2R reactor with AEM, the CO_2 loss
11 to the anode chamber is ~ 6 times higher than the CO_2 used and converted at the cathode. Note
12 that the η_{CO_2} varies as the number of electrons per mole of CO_2 involved in CO_2R reaction
13 changes. From Eq. 1 and Eq. 2, the calculated values of η_{CO_2} were 33.3%, 20%, and 11.1%, for
14 2-, 4-, and 8-electron CO_2R products, respectively (see Fig. 2a).
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32 Fig. 1c shows the transient behavior of the rate of CO_2 gas release from the anode chamber. The
33 green, blue, and red data points show the experimental CO_2 gas release rate as a function of time
34 when the reactor operated at 10, 30 and 50 mA cm^{-2} , respectively. After the initial increase, the
35 $R_{\text{CO}_2\text{A}}$ and the corresponding $j_{\text{CO}_2\text{R,loss,eq}}$ reached to a steady-state value for all three current
36 densities. Transport analysis of the cell was then carried out the understand the transient
37 behavior as well as the spatial distribution of the CO_2 (g) release rates. Two values of the CO_2
38 releasing coefficient (γ_{CO_2}) were used and compared in this study, i.e. $\gamma_{\text{CO}_2} = 1.25 \times 10^{14}$
39 and $1.25 \times 10^7 \text{ nmol s}^{-1}\text{cm}^{-3}$. While both values resulted in the same steady-state values of
40 $R_{\text{CO}_2\text{A}}$, the former value ($1.25 \times 10^{14} \text{ nmol s}^{-1}\text{cm}^{-3}$), which was reported by Wilt³², resulted in
41 large discrepancy at the initial stage of the operation (0 - 60 minutes) shown as dashed lines in
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3 Fig. 1c. By reducing the value of γ_{CO_2} to $1.25 \times 10^7 \text{ nmol s}^{-1} \text{ cm}^{-3}$, the transient behavior of the
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6 CO_2 gas release rate (Fig. 1c solid lines) matched relatively well with the experimental results.
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8 The CO_2 releasing coefficient (γ_{CO_2}) represented the gaseous CO_2 escaping rate (mol s^{-1}) per unit
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10 volume (m^{-3}) electrolyte. γ_{CO_2} is closely related to the nucleation rate of CO_2 , which is highly
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12 dependent on the properties (roughness, wettability, etc) of the electrodes, the properties of
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14 electrolyte, and the operating current densities. The releasing coefficient also depends
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16 exponentially on the contact angle and the roughness of the surface where gas bubbles are
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18 nucleating. Wilt³² showed that the rate of nucleation could vary over 7 orders of magnitude with
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20 changes in the surface roughness. In the present study, the lower value of CO_2 releasing
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22 coefficient is likely due to the smoother electrode surface with fewer sites to nucleate CO_2
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24 bubbles. The simulations for all other reactors were performed with this corrected γ_{CO_2} value.
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30 Fig. 1d shows the simulated spatial distribution of $R_{\text{CO}_2\text{A}}$ as a function of distance from the anode
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32 surface. The $R_{\text{CO}_2\text{A}}$ was significantly higher close to the anode surface and decreased drastically
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34 with the increasing distance from the anode surface, indicating a strong influence of pH on the
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36 nucleation of CO_2 gas in the aqueous electrolyte. The simulation results also suggested that the
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38 majority of the nucleation for CO_2 evolution occurred within $\sim 1 \mu\text{m}$ distance from the anode
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40 surface, which agreed well with a previous study by Wilt and others.³²
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45 Figure 2a shows the effects of Faradaic efficiency (FE) and participation electron numbers for
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47 CO_2R reaction on the η_{CO_2} . Higher FE and lower participation electron numbers exhibited higher
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49 η_{CO_2} . For example, at an FE of 100% for a 2-electron CO_2RR , the η_{CO_2} can reach up to 33.3% as
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51 compared to 14.4% for the reference case with a 100% FE and 6-electron CO_2RR . Note that the
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53 results are independent of operation current density according to eq. 1 as long as $R_{\text{CO}_2\text{C}}$ is equal
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3 to 0 (ideal case for cathode gas recycling). In a more realistic case ($R_{CO_2C} > 0$) when the cathode
4 gas recycling is not considered, the η_{CO_2} was much smaller (Fig. S3a). For example, a constant
5 CO_2 flow of 5 sccm is considered for a 1cm^2 area electrode undergoing 6-electron CO_2R reaction
6 with 100% FE, the maximum η_{CO_2} was 2.3% at an operating current density of 50 mA cm^{-2} (Fig.
7 S3a).
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15 One approach to increase the η_{CO_2} is to reduce the CO_2 feed rate while keeping the same
16 operating current density for the cell. Figure 2b shows the R_{CO_2A} and the corresponding η_{CO_2} as a
17 function of reduced cathode CO_2 feed rate at three different operating current densities. The CO_2
18 feed rate (R_{feed}) was defined as the following: $R_{feed} = f_{feed} \times k_{feed} \times c_{CO_2}$, where the k_{feed} is 0.33 s^{-1}
19 based on literature data²⁵ corresponding to ~ 21 sccm for the 10 ml cathode chamber in this study,
20 and c_{CO_2} is the electrolyte CO_2 concentration. The η_{CO_2} remained nearly constant (Fig. 2b) even
21 when the CO_2 feed rate was reduced by 10^4 times for all three operating current densities.
22 Further reduction of the CO_2 feed rate resulted in significant increase of the bulk pH of the
23 catholyte, a significant decrease of the dissolved CO_2 near the cathode electrode and lowering
24 the limiting current density of the cell (Fig. S3b) For instance, when the f_{feed} was reduced to
25 $< 1.8 \times 10^{-4}$, the limiting current density of the cell was limited to $< 50\text{ mA cm}^{-2}$. In the extreme
26 case where f_{feed} was reduced to 1.5×10^{-5} , the bulk pH of the electrolyte reached to 10.1 in the
27 steady state operating and OH^- contributed to the ionic transport through the AEM, a η_{CO_2} of 71.2%
28 was obtained at the limiting current density of the cell at 2.8 mA cm^{-2} . In addition, we also
29 showed that the CO_2 loss rate is independent of the spacing between the electrode and membrane
30 (see Fig. S4).
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53 The R_{CO_2A} in the AEM-based CO_2 reactor was mainly due to the dominating partial current
54 density of HCO_3^- across the separator. One strategy to minimize the transference of HCO_3^-
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3 across the AEM is to introduce supporting anions (current carriers) in the solution, such as
4 adding a high concentration of buffers. Fig. 3 shows the experimental and modeling results of
5 the $R_{\text{CO}_2\text{A}}$ and the corresponding η_{CO_2} when 1 M phosphate buffer solution was added into
6 various KHCO_3 (0.1, 0.5, and 1 M) solutions. The phosphate buffer species (H_2PO_4^- and HPO_4^{2-})
7 were equilibrated according to its pKa of 6.9 in the CO_2 -saturated solutions of pH=7.85 for 1 M
8 KHCO_3 , pH=7.55 for 0.5 M KHCO_3 , and pH=6.58 for 0.1 M KHCO_3 . The detailed buffer
9 compositions are listed in Table S5 of the SI. The near neutral pH conditions used in this study
10 were the dominating pH environments in reported CO_2R studies due to the lack of dissolved CO_2
11 in alkaline conditions (very low operating current density), and the poor selectivity for CO_2R in
12 acidic conditions (very high selectivity towards HER).²⁵ In general, the reactors with buffered
13 electrolytes exhibited higher CO_2 utilization efficiency for various concentrations of KHCO_3
14 solutions. The dashed green line and the dashed black line represent the simulated values of
15 $j_{\text{CO}_2\text{R,loss,eq}}$ and the η_{CO_2} as a function of the HCO_3^- concentration for the un-buffered, and
16 buffered (1M phosphate buffer) solutions, respectively. The simulated η_{CO_2} increased from 14.4%
17 to 15.9% in 1 M KHCO_3 solution when 1 M phosphate buffer electrolyte was added. The
18 simulated η_{CO_2} was further increased up to ~24% by reducing the concentration of KHCO_3 to 0.1
19 M in a 1 M phosphate buffer solution. The experimentally measured $j_{\text{CO}_2\text{R,loss,eq}}$ and the
20 corresponding η_{CO_2} (dots with error bar in Fig. 3a, also see transient data in Fig. S5a) showed a
21 similar trend as compared to the simulation results. The measured η_{CO_2} was 14.4%, 19.6%, and
22 38.3% for 1 M, 0.5 M, and 0.1 M KHCO_3 case, respectively. The discrepancy between
23 simulation and the experimental results with low bicarbonate concentrations could be due to the
24 concentration-dependent diffusion coefficient of HCO_3^- in AEM and in the buffered solutions
25 (see SI and Fig. S6). To further understand the effect of buffer on the CO_2 crossover, spatial
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3 distributions of the partial ionic current of various species were obtained at the steady state
4 operation of the electrochemical CO₂R reactor. Fig. 3b shows the steady-state, spatial
5 distribution of ionic current densities for all anions and cations in 1 M KHCO₃ solution with 1 M
6 phosphate buffer at the operational current density of 30 mA cm⁻². The partial current density of
7 cations- H⁺ and K⁺ were close to zero, whereas the anions have either positive or negative
8 current density indicating the primary mechanism of transport being either migration or diffusion,
9 respectively. The net current density of CO₂-carrier such as HCO₃⁻ and CO₃²⁻ was positive and in
10 the direction away from the cathode.
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22 The addition of a buffer reduces marginally the transference of HCO₃⁻, while the majority of the
23 current density across the AEM was still supported by HCO₃⁻ (red curve). The calculated partial
24 current densities of HCO₃⁻, CO₃²⁻, H₂PO₄⁻, and HPO₄²⁻, were 26.5 mA cm⁻², 3.5 mA cm⁻², 2 mA
25 cm⁻², and -2 mA cm⁻², respectively. As a result of a marginal reduction in the transference of
26 HCO₃⁻, negligible improvement in η_{CO_2} was observed when buffer electrolytes were added into
27 the 1 M KHCO₃ solution. Substantial improvement in η_{CO_2} was observed by lowering the
28 concentration of the KHCO₃ solution by an order of magnitude, which in turn, reduced the
29 partial current density and transference of HCO₃⁻ across the AEM (see Fig. 3c). As seen in Fig.
30 3c, the partial current carried by H₂PO₄⁻, and HPO₄²⁻ increased to 28.3 mA cm⁻² and 13.9 mA
31 cm⁻² when reducing the KHCO₃ to 0.1 M while keeping the buffer solution concentration to be 1
32 M. This leads to reduced partial current densities of HCO₃⁻ and CO₃²⁻ (15.8 mA cm⁻² and ~0 mA
33 cm⁻²) resulting in enhanced η_{CO_2} .
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51 Another strategy to reduce the transference of HCO₃⁻ and hence the CO₂ loss is to use a CEM in
52 the electrochemical CO₂R device. Fig. 4a shows the schematic illustration of an aqueous, CEM-
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3 based CO₂R reactor. Fig. 4 shows that although the CEM-based CO₂R reactors have the
4 potential to minimize CO₂ crossover by blocking the HCO₃⁻ transport, the cell cannot be operated
5 at steady-state without re-circulation due to the excessive potential losses associated with
6 electro dialysis. Fig. 4b shows the experimentally measured values of the voltage drop due to the
7 solution transport losses ($\Delta\phi_{\text{solution}}$) at two different current densities. The $\Delta\phi_{\text{solution}}$ increased as a
8 function of time for both operating current densities until the cell potential exceeded the limit of
9 the potentiostat (up to 11 V, see Fig. S7b). In the near neutral pH conditions, the K⁺ was the
10 dominating current carries across the CEM (see Fig S7a). While proton generation rate at the
11 anode was the same as the K⁺ crossover rate through the CEM, the proton generated at anode
12 surface combined with carbonate ions to generate CO₂ that get escaped from the anolyte and
13 mixed with the produced O₂ to the anode outlet. This leads to a decreased conductivity of anolyte
14 resulting in continuous increase in cell voltage (see Fig. 4c) the increased pH gradients.³³ Fig. 4c
15 shows the spatial distribution of all ionic species' concentrations (solid lines) and pH (black dash
16 line) values across the CEM-based CO₂R reactor at an operating current density of 30 mA cm⁻²
17 at 400 minutes after the cell operation. The average concentration of K⁺ (c_{K^+}) dropped below
18 0.01 M in anolyte, which resulted in the large electrolyte conductivity decrease and un-
19 sustainable operation of the reactor. The nonlinear behavior of $\Delta\phi_{\text{solution}}$ as a function of the
20 operation time was also a result of the reciprocal relation between transport loss and electrolyte
21 conductivity (see eq. S15). In principle, the depletion of the cation in the anolyte can be
22 circumvented by convective re-circulation between the catholyte and anolyte.³⁴ However, the re-
23 circulation and mixing between the catholyte and the anolyte would lead to a significant increase
24 in $R_{\text{CO}_2\text{A}}$ due to the effective transport of the dissolved CO₂ to the anode chamber (see Fig. S7c).
25 The recirculation of electrolyte increased the value of $R_{\text{CO}_2\text{A}}$ to 97 nmol s⁻¹ cm⁻², and decreased
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3 the corresponding η_{CO_2} to 15.1% at an operating current density of 10 mA cm^{-2} (Fig. S7c), which
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5 was comparable to the CO_2 loss in the AEM-based CO_2 reactor without recirculation.
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9 To circumvent the electro dialysis loss in a CEM-based CO_2 reactor without re-circulation, a
10
11 BPM can be used to facilitate the ion transport between the cathode and the anode, and to block
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13 the HCO_3^- anion crossover to the anode. Fig. 5a shows the schematic illustration of the BPM-
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15 based CO_2R reactor. BPM is composed of a CEM component (colored orange) and an AEM
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17 (colored green) component. Instead of allowing specific ions to pass through the BPM, water
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19 was disassociated into H^+ and OH^- at the BPM interface and transported to catholyte and anolyte
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21 through CEM and AEM layers, respectively. Fig. 5b shows the modeling (dashed lines) and the
22
23 experimental measurements (dots) of the steady-state behavior of $R_{\text{CO}_2\text{A}}$ and the corresponding
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25 η_{CO_2} at two different operating current densities – 30 and 50 mA cm^{-2} . The simulated $R_{\text{CO}_2\text{A}}$
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27 values at steady state conditions reached 32.4 and $40.9 \text{ nmol cm}^{-2}\text{s}^{-1}$ for 30 and 50 mA cm^{-2} ,
28
29 respectively. Correspondingly, the experimentally measured $R_{\text{CO}_2\text{A}}$ were 37.6 and $44.6 \text{ nmol cm}^{-2}$
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31 s^{-1} for 30 and 50 mA cm^{-2} (averaged value for the test range of 400 – 500 minutes), respectively,
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33 showing a reasonable agreement between simulation and experimental results. The transient
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35 behavior of $R_{\text{CO}_2\text{A}}$ and the corresponding $j_{\text{CO}_2\text{R,loss,eq}}$ can be found in Figure S8d. The CO_2 loss at
36
37 steady state was driven primarily by the diffusion of the dissolved CO_2 across the BPM. A
38
39 slightly higher $R_{\text{CO}_2\text{A}}$, which corresponds to a larger CO_2 concentration differential between the
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41 catholyte and anolyte, at higher operating current densities was observed because the higher
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43 release rate of CO_2 at the anode surface lowered averaged CO_2 concentration in anolyte. For
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45 example, the average CO_2 concentrations in the anolyte were 20.1 and 15 mM for 30 and 50 mA
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47 cm^{-2} , respectively. The η_{CO_2} in the BPM-based CO_2R reactor were 58.0% and 61.4% for 30 and
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49 50 mA cm^{-2} for 6-electron CO_2R reaction, which was significantly higher than that of AEM-
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3 based reactor (14.4%). Despite the improved η_{CO_2} in the BPM-based reactor compared to the
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5 AEM-based CO₂R reactor, the η_{CO_2} was still limited to 61.4% at the operating current density of
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7 50 mA cm⁻² (see Fig. 5b) for a 6 electron per mole of product CO₂R reaction. Further
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9 improvement in η_{CO_2} for the BPM-based reactor requires a reduction in the diffusion coefficient
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11 of dissolved CO₂ in BPM. Fig. 6 shows contour maps of the η_{CO_2} and the additional potential
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13 drop across BPM ($\Delta\phi_{\text{membrane}}$) as a function of the operating current density when a series of
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15 reduction factor of the CO₂ diffusion coefficient in BPM was used. The simulation results show
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17 that a reduction in the diffusion coefficient by 77 and 44 times were required to achieve η_{CO_2} of
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19 90% for 10 and 50 mA cm⁻², respectively (see the solid line in Fig. 6a). Correspondingly, the
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21 additional potential drop across BPM ($\Delta\phi_{\text{membrane}}$) was relatively low (< 80 mV) to achieve a η_{CO_2}
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23 of 90% for a range of operating current densities from 10 to 50 mA cm⁻² (see Fig. 6b). For a
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25 target η_{CO_2} of 70%, a reduction in the diffusion coefficient in BPM by 18 and 10 times were
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27 required for 10 and 50 mA cm⁻². This offers a practical design guideline for the design of BPM
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29 to achieve higher η_{CO_2} .
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38 CONCLUSIONS

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40 In summary, the CO₂ utilization efficiency in membrane-based electrochemical CO₂R reactors
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42 was quantitatively evaluated by modeling and experimental methods. The transport of the HCO₃⁻
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44 across the AEM in AEM-based CO₂R reactors significantly limited the CO₂ utilization efficiency
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46 of the device. In AEM-based CO₂R reactors, an operating current density independent and an
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48 upper limit of 14.4% for the CO₂ utilization efficiency was observed by modeling and
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50 experiments in the CO₂ saturated aqueous electrolytes without any buffer electrolyte for 6-
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52 electron per mole of product CO₂R reaction with unity FE and ideal gas re-cycling. Lower Fes
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3 and non-ideal gas recycling further lowered the CO₂ utilization efficiency in AEM-based CO₂R
4 reactor. To operate at a current density of 10-50 mA cm⁻² for solar-fuel devices, the reduction of
5 the CO₂ feed in the cathode chamber did not improve the CO₂ utilization efficiency and started to
6 limit the operating current density due to the increase of the bulk electrolyte pH. In the AEM-
7 based reactor, the CO₂ utilization efficiency was improved from 14.4% to 38.3% when a 1 M of
8 phosphate buffer solution was added in the 0.1 M bicarbonate solution. The CEM based CO₂R
9 reactor exhibited low CO₂ utilization efficiency with re-circulation between the catholyte and the
10 anolyte, and was unsustainable due to the cation depletion from the anolyte without any re-
11 circulation. The BPM based CO₂R reactor operated continuously without any significant
12 increase in the cell voltage and exhibited significantly higher CO₂ utilization efficiency, up to
13 61.4%, as compared to the AEM based CO₂R reactors. Further improvement in CO₂ utilization
14 efficiency in the BPM-based reactor requires a reduction in the diffusion coefficient of CO₂ in
15 the membrane. For example, η_{CO_2} of 90% can be achieved by reducing the CO₂ diffusion
16 coefficient by ~77 times at 50 mA cm⁻² without any significant increase in the voltage drop
17 across the BPM. The study revealed significant challenges in CO₂ utilization efficiency in
18 aqueous-based electrochemical cells operating at current densities that are relevant to solar-fuel
19 devices, and also provide design guidelines for membrane-based electrochemical or
20 photoelectrochemical CO₂R reactors to achieve higher CO₂ utilization efficiencies.
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4 **CONFLICTS OF INTEREST**
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7 There are no conflicts to declare.
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10 **ACKNOWLEDGMENT**
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13 This material is based upon work performed by the Joint Center for Artificial Photosynthesis, a
14 DOE Energy Innovation Hub, supported through the Office of Science of the U.S. Department of
15 Energy under Award Number DE-SC0004993, in collaboration with the Materials and Systems
16 Engineering Laboratory at the University of Illinois at Chicago. Meng Lin acknowledges support
17 from the Swiss National Science Foundation through the Early Postdoc Mobility Fellowship,
18 grant no. P2ELP2_178290. Meenesh R. Singh acknowledges the support from the Department of
19 Chemical Engineering at the University of Illinois at Chicago.
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30 **SUPPORTING INFORMATION**
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32 The Supporting Information is available free of charge on the ACS Publications website at DOI:
33 XXX, including: additional simulation results for AEM-, CEM-, BPM-based reactors; Transient
34 CO₂ loss behavior for buffered AEM-based reactor; Transient behavior of reactor voltage for
35 CEM-based reactor; Simulation method; and Experimental method.
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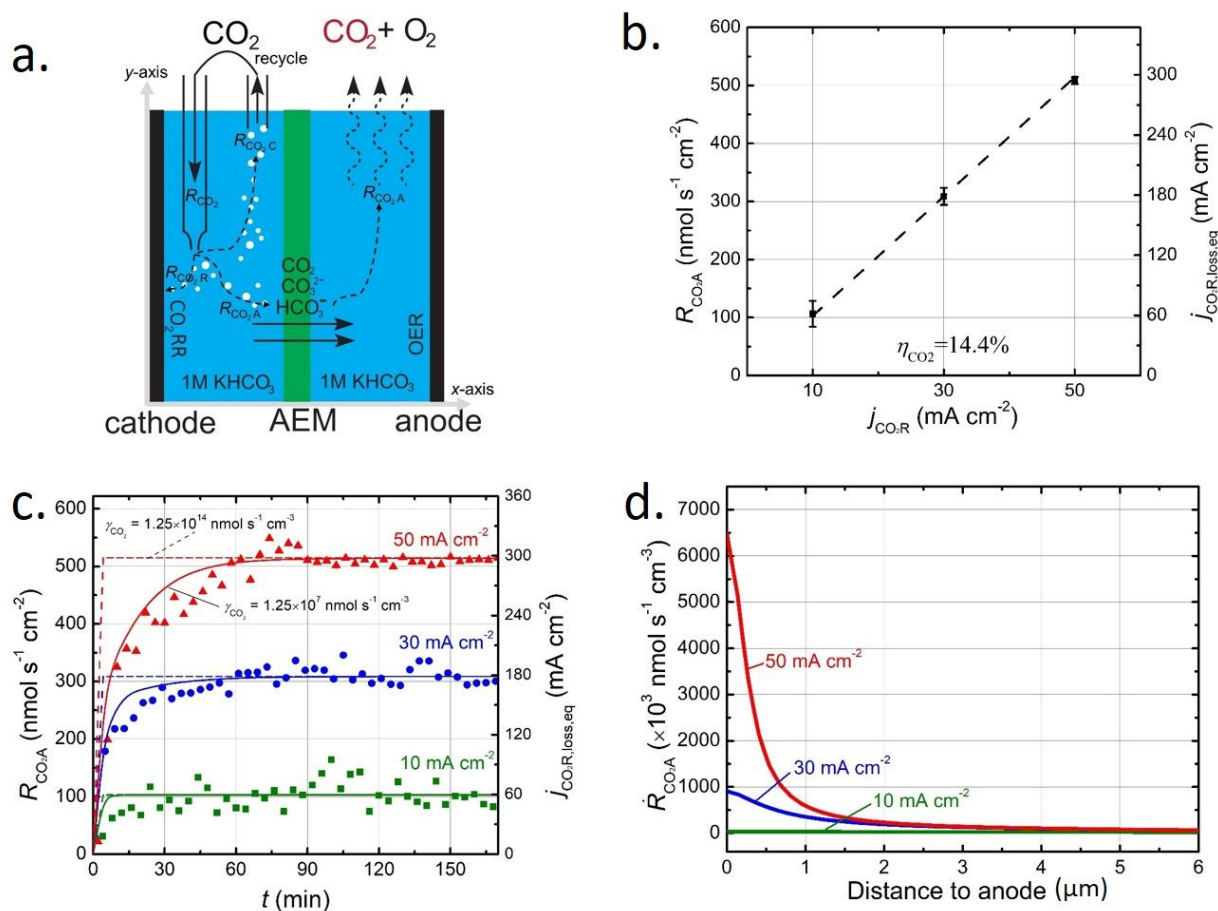


Figure 1. (a) A schematic illustration of the AEM based CO₂R reactor. (b) The CO₂ loss rate ($R_{\text{CO}_2\text{A}}$) and the equivalent CO₂ current density loss ($j_{\text{CO}_2\text{R,loss,eq}}$) as a function of operating current density. (c) Transient behavior of $R_{\text{CO}_2\text{A}}$ and $j_{\text{CO}_2\text{R,loss,eq}}$ of AEM cell for different operating current densities (50 mA cm⁻² in red, 30 mA cm⁻² in blue, and 10 mA cm⁻² in green) with two CO₂ releasing coefficients (γ_{CO_2}). (d) Volumetric CO₂ ($R_{\text{CO}_2\text{A}}$) loss rate distribution as a function of distance from anode to cathode surfaces for applied current densities of 10, 30, and 50 mA cm⁻². Dots with error bars are experimental data and solid and dashed line are simulation data. The 1D simulation is performed along the x -axis.

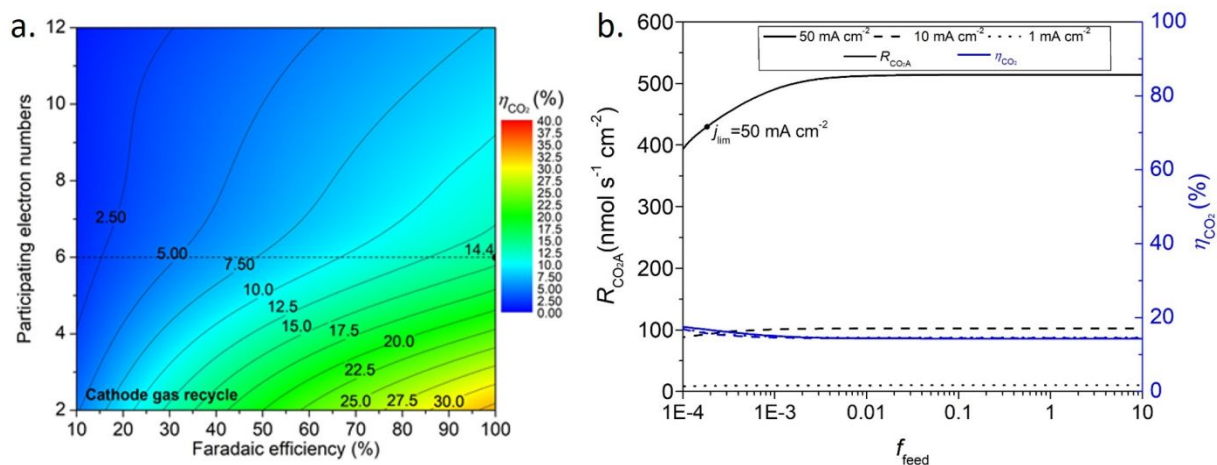


Figure 2. (a) The CO₂ utilization efficiency (η_{CO_2}) as a function of CO₂R participating electron numbers and Faradaic efficiency without considering $R_{\text{CO}_2\text{C}}$ (cathode gas recycle). (b) $R_{\text{CO}_2\text{A}}$ and η_{CO_2} as a function of reduced cathode CO₂ feed rate by a factor of f_{feed} at operating current density of 1, 10, and 50 mA cm⁻²

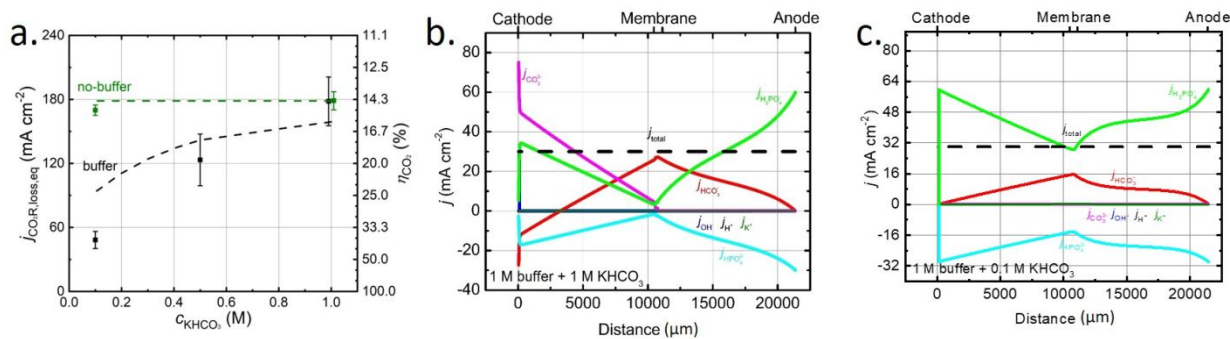


Figure 3. (a) $j_{\text{CO}_2\text{R,loss,eq}}$ and η_{CO_2} as a function of KHCO₃ concentration with 1 M phosphate buffer solution for at an operating current density of 30 mA cm⁻². The no-buffer case (0.1 M and 1 M KHCO₃) was plotted in green as a reference. Dots with error bars are experimental data and lines are simulation data. (b) Partial current density as a function of distance from the cathode surface for the case with 1 M KHCO₃ and 1 M phosphate buffer solution under an applied current density of 30 mA cm⁻² at steady state condition. (c) Partial current density as a function of distance from the cathode surface for the case with 0.1 M KHCO₃ and 1 M phosphate buffer solution under an applied current density of 30 mA cm⁻² at steady state condition.

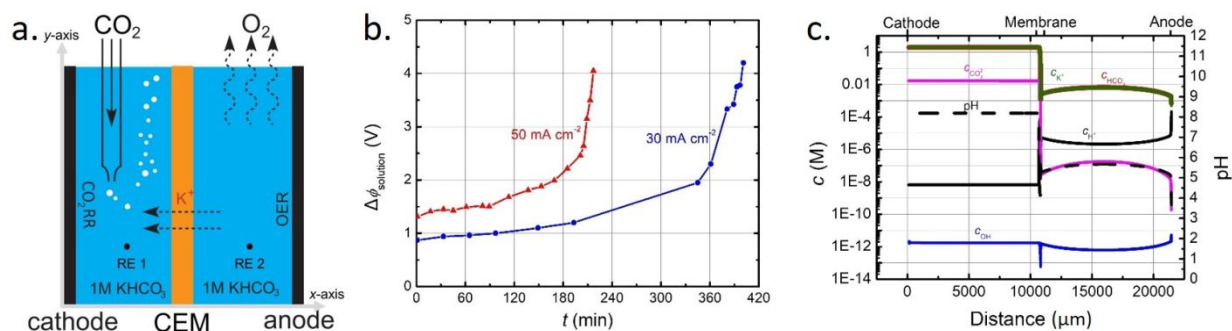


Figure 4. (a) A schematic illustration of the CEM based CO₂R reactor. Two reference electrodes (RE 1 and RE 2, black dots) were placed at the middle of cathode and anode for the quantification of the voltage drop across separator and electrolyte. (b) Experimentally measured voltage loss due to mass transport in the electrolyte and CEM (voltage difference between two reference electrodes) as a function of time for the applied current densities of 30 mA cm⁻² (in blue) and 50 mA cm⁻² (in red). (c) Species concentration and pH as a function of distance from the cathode surface for the case for the applied current density of 30 mA cm⁻² at $\Delta\phi_{\text{solution}} = 4$ V.

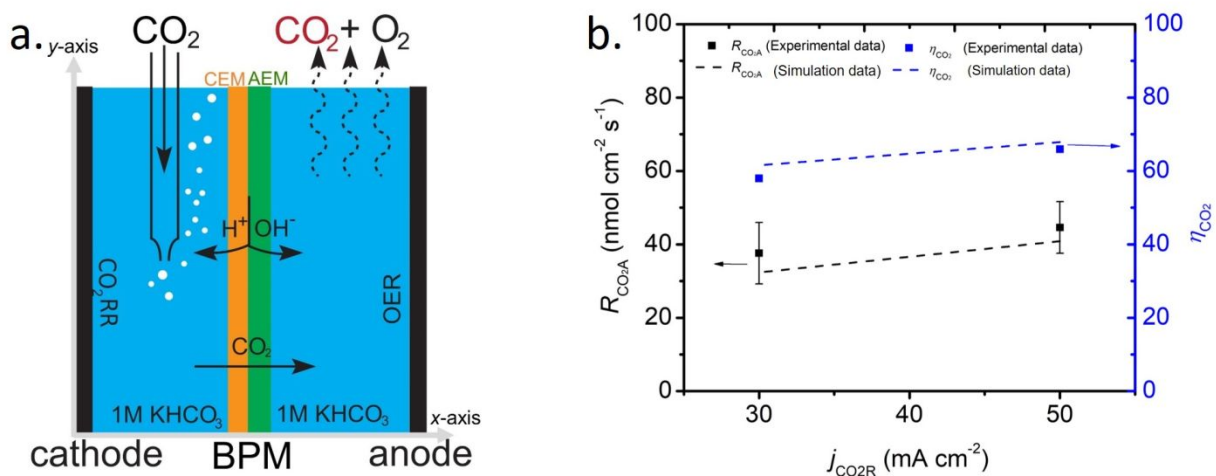


Figure 5. (a) A schematic illustration of the BPM based CO₂R reactor with 1 M KHCO₃. (b) $R_{\text{CO}_2\text{A}}$ and the corresponding η_{CO_2} as a function of the operating current density for both experimental data (dots) and simulation data (dash lines).

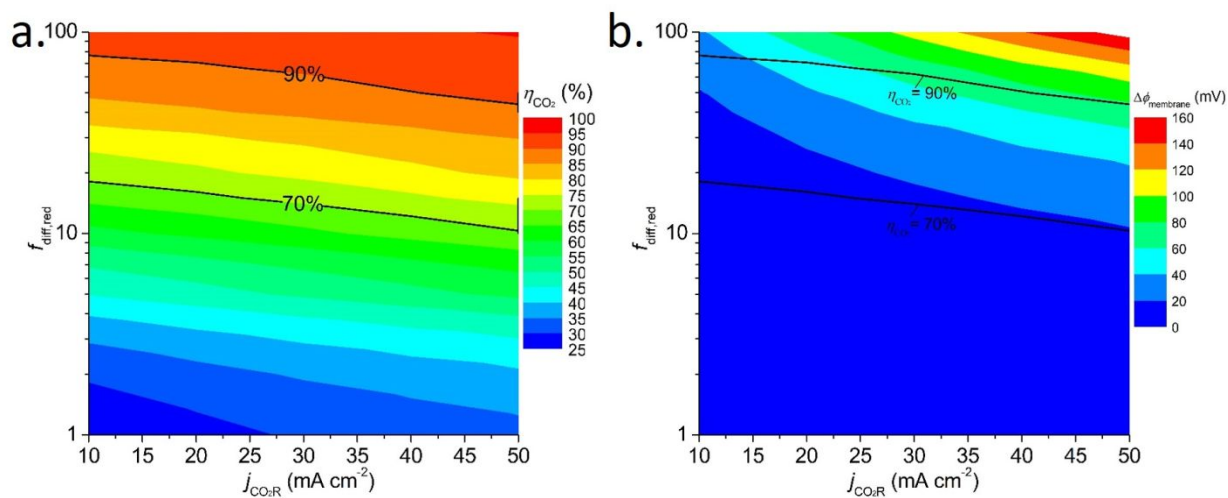


Figure 6. (a) Contour map of η_{CO_2} as a function of CO₂ (aq) diffusion coefficient reduction factor ($f_{\text{diff,red}}$) and $j_{\text{CO}_2\text{R}}$ for the BPM-based CO₂R reactor. (b) Contour map of $\Delta\phi_{\text{membrane}}$ as a function of CO₂ (aq) diffusion coefficient reduction factor ($f_{\text{diff,red}}$) and $j_{\text{CO}_2\text{R}}$ for the BPM-based CO₂R reactor.