



# GRACE-REC: a reconstruction of climate-driven water storage changes over the last century

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**Abstract.** The amount of water stored on continents is an important constraint for water mass and energy exchanges in the Earth system and exhibits large inter-annual variability at both local and continental scales. From 2002 to 2017, the satellites of the Gravity Recovery and Climate Experiment (GRACE) mission have observed changes in terrestrial water storage (TWS) with an unprecedented level of accuracy. In this paper, we use a statistical model trained with GRACE observations to reconstruct past climate-driven changes in TWS from historical and near-real-time meteorological datasets at daily and monthly scales. Unlike most hydrological models which represent water reservoirs individually (e.g., snow, soil moisture) and usually provide a single model run, the presented approach directly reconstructs total TWS changes and includes hundreds of ensemble members which can be used to quantify predictive uncertainty. We compare these data-driven TWS estimates with other independent evaluation datasets such as the sea level budget, large-scale water balance from atmospheric reanalysis, and in situ streamflow measurements. We find that the presented approach performs overall as well or better than a set of state-of-the-art global hydrological models (Water Resources Reanalysis version 2). We provide reconstructed TWS anomalies at a spatial resolution of  $0.5^\circ$ , at both daily and monthly scales over the period 1901 to present, based on two different GRACE products and three different meteorological forcing datasets, resulting in six reconstructed TWS datasets of 100 ensemble members each. Possible user groups and applications include hydrological modeling and model benchmarking, sea level budget studies, assessments of long-term changes in the frequency of droughts, the analysis of climate signals in geodetic time series, and the interpretation of the data gap between the GRACE and GRACE Follow-On missions. The presented dataset is published at <https://doi.org/10.6084/m9.figshare.7670849> (Humphrey and Gudmundsson, 2019) and updates will be published regularly.

## 1 Introduction

Because the amount of freshwater available on land controls the development of natural ecosystems as much as human activities, terrestrial water storage (TWS) represents a critical variable of the Earth system. Changes in TWS can be caused by both anthropogenic and natural processes. Natural variability in ocean and atmospheric circulation, such as the El Niño–Southern Oscillation (ENSO), is responsible for anomalies in precipitation which strongly influence water storage (Ni et al., 2017), leading to regional droughts and floods with large impacts on human activities (Veldkamp et

al., 2015). At the global scale, climate-driven fluctuations in the total amount of water stored on land have been linked to a wide range of geophysical phenomena, including changes in global mean sea level (Cazenave et al., 2014; Reager et al., 2016; Rietbroek et al., 2016; Dieng et al., 2017), changes in global carbon uptake by land ecosystems (Humphrey et al., 2018), and the motion of the Earth’s rotational axis (Adhikari and Ivins, 2016; Youm et al., 2017). In addition to climate-driven natural variability, human activities also influence terrestrial water storage, for instance through groundwater depletion (Rodell et al., 2009; Chen et al., 2016), build-

ing of dams (Chao et al., 2008), or the impact of anthropogenic climate change on land ice (Jacob et al., 2012).

From 2002 to 2017, changes in terrestrial water storage (TWS) have been measured by the GRACE satellites with an unprecedented accuracy. Because these observations integrate both natural and anthropogenic effects across all water reservoirs (i.e., soil moisture, groundwater, snow, lakes, wetlands, rivers, and land ice), isolating the contribution of specific reservoirs or the relative importance of natural versus anthropogenic effects is still relatively uncertain and has been the focus of several recent publications (Reager et al., 2016; Eicker et al., 2016; Wada et al., 2016; Fasullo et al., 2016; Felfelani et al., 2017; Getirana et al., 2017; Pan et al., 2017; Andrew et al., 2017; Rodell et al., 2018; Hanasaki et al., 2018; Khaki et al., 2018; WCRP Global Sea Level Budget Group, 2018). In this context, one critical aspect is to model the effect of climate variability on TWS changes. At this time, only global hydrological models and land surface models can provide long-term estimates of natural TWS variability; however, they are usually not calibrated against GRACE measurements and sometimes exhibit large biases in TWS amplitude (Schellekens et al., 2017; Zhang et al., 2017; Scanlon et al., 2018). Typically, only a small number of such model runs is available and exploring the uncertainty related to the use of different meteorological forcing datasets is not possible. With this paper, we aim to address these shortcomings with a computationally cheap alternative. Unlike hydrological models which represent physical processes and model water reservoirs individually (e.g., snow, soil moisture, lakes), we train a statistical model to directly reconstruct the total TWS changes from precipitation and temperature information.

The primary objective of this paper is to provide long and consistent time series of climate-driven TWS variability. Although the temporal coverage of GRACE observations will be extended by the GRACE Follow-On mission launched on 22 May 2018, there will be a temporal gap of approximately 1 year between the two missions. The reconstruction provided here is calibrated against GRACE measurements and can be used to interpret this data gap and reconcile the two datasets. In addition, we provide a century-long TWS reconstruction that can be used to study past natural TWS variability. We expect that this product will be relevant to sea level budget studies (Chambers et al., 2016; Cheng et al., 2017; Frederikse et al., 2018; WCRP Global Sea Level Budget Group, 2018), the analysis of climate signals in geodetic time series (in GRACE or in ground GNSS measurements, for example), development of daily hydrological loading models (Dill and Dobsław, 2013; Moreira et al., 2016), and global to regional assessments of the recurrence of extreme hydrological droughts and their impact on ecosystems (Sheffield and Wood, 2007; Sheffield et al., 2012; Beguería et al., 2014; Griffin and Anchukaitis, 2014; Kusche et al., 2016; Dai and Zhao, 2016; Spinoni et al., 2017; Heim, 2017; Rudd et al., 2017; Sinha et al., 2017; Haslinger

and Blöschl, 2017; Um et al., 2017; Bento et al., 2018; D'Orangeville et al., 2018; Huang et al., 2018; Markonis et al., 2018; Anderegg et al., 2018; Gao et al., 2018).

## 2 Data and methods

### 2.1 GRACE products

The two different monthly GRACE solutions used here (Table 1) are obtained using the so-called mass concentration (mascon) technique. This technique provides estimates of mass changes over small predefined regions, which are referred to as *mascons*. The two solutions differ in terms of the employed processing algorithms and also in terms of the models used to correct for the effect of glacial isostatic adjustment (GIA). For more general information on the GRACE mission, gravity recovery techniques and processing, we refer the reader to the reviews of Wouters et al. (2014) or Wahr (2015).

### 2.2 Precipitation and temperature

We use three different precipitation products which are aimed to address the needs of various user communities (Table 2). The multisource weighted-ensemble precipitation dataset (MSWEP) merges a large number of existing precipitation products, including satellite-based, rain-gauge-based and reanalysis products (Beck et al., 2017, 2018). We expect this dataset to provide a best estimate for the period 1979–2016. The Global Soil Wetness Project Phase 3 (GSWP3) forcing dataset (Kim, 2017) is based on the 20th Century Reanalysis (20CR) version 2c (Compo et al., 2011). The original 20CR precipitation fields produced at a resolution of 2° are dynamically downscaled using spectral nudging and bias-corrected using observations from the Global Precipitation Climatology Project (GPCP) and the Climatic Research Unit (CRU). With this dataset, we aim to provide a homogeneous long-term reconstruction of climate-driven TWS changes over the period 1901–2014. Third, we use precipitation estimates from the European Centre for Medium-Range Weather Forecasts (ECMWF) re-analysis (ERA5), which cover the period 1979–present. With this dataset, we aim to provide frequent updates of reconstructed TWS anomalies which can, for instance, be used to investigate the data gap between the GRACE mission (decommissioned in October 2017) and the GRACE Follow-On mission launched in May 2018. For temperature, we use ERA5 air temperature in combination with MSWEP and ERA5 precipitation, and GSWP3 air temperature in combination with GSWP3 precipitation. We note that sensitivity analyses have shown that the choice of the temperature dataset has very little influence on the final product (not shown).

**Table 1.** GRACE datasets used for model calibration.

GRACE product	Time period	Spatial resolution	GIA correction	Access	Citation
JPL mascons RL06 with CRI	April 2002 to June 2017	3° equal-area mascons, sampled on a 0.5° grid	A et al. (2013)	ftp://podaac-ftp.jpl.nasa.gov/allData/ tellus/L3/mascon/RL06/JPL/CRI/netcdf/ (last access: 18 July 2019)	Watkins et al. (2015), Wiese et al. (2016)
GSFC mascons v2.4, ICE6G	January 2003 to July 2016	1° equal-area mascons, sampled on a 0.5° grid	Peltier et al. (2015)	https://neptune.gsfc.nasa.gov/gngphys/ index.php?section=456products.html (last access: 18 July 2019)	Luthcke et al. (2013)

**Table 2.** Meteorological forcing datasets.

Dataset	Time period	Spatial resolution used	Description	Access	Citation
MSWEP v2.2	1979–2016	0.5° grid	Merged precipitation product combining multiple data sources	http://www.gloh2o.org/ (last access: 18 July 2019)	Beck et al. (2018)
ERA5	1979–present	0.5° grid	Atmospheric reanalysis with regular updates	https://cds.climate.copernicus.eu/#/! search?text=ERA5&type=dataset (last access: 18 July 2019)	Hersbach and Dee (2016)
GSWP3 v1.1	1901–2014	0.5° grid	ERA 20th Century Reanalysis, downscaled to 0.5° resolution using spectral nudging and bias-corrected with GPCP and CRU	https://doi.org/10.20783/DIAS.501	Kim (2017)

## 2.3 Modeling approach

### 2.3.1 Model formulation

A simple statistical model is calibrated at each GRACE mascon individually, meaning that model parameters are space-dependent. One model is calibrated for each combination of the two GRACE products (Table 1) with the three precipitation products (Table 2). The meteorological forcing is always spatially averaged over the spatial footprint of the GRACE mascons. Because the model described here does not have any explicit constraint in terms of mass or energy conservation, we refer to it as a statistical model; however its formulation is largely inspired from basic principles of hydrological modeling. Assuming a linear water store model, water outputs are directly proportional to the storage and to the residence time of the water store (e.g., Beven, 2012), so that the temporal evolution of the storage can be approximated as

$$\text{TWS}(\mathbf{t}) = (\text{TWS}(\mathbf{t} - 1)) \cdot e^{-\frac{1}{\tau(\mathbf{t})}} + P(\mathbf{t}), \quad (1)$$

where  $\mathbf{t}$  is a daily time vector,  $\text{TWS}(\mathbf{t})$  is the storage,  $P(\mathbf{t})$  is the precipitation input, and  $\tau(\mathbf{t})$  is the residence time of the water store.

Small (large) values of the residence time indicate that water inputs tend to leave the reservoir quickly (slowly), through either runoff or evapotranspiration. Here we introduce seasonal changes in residence time (e.g., related to snow accumulation during the cold season or increased evaporative demand during the warm season) using a temperature-

dependent relationship. The residence time used in Eq. (1) is formulated as a function of de-trended daily air temperature:

$$\tau(\mathbf{t}) = a + b \cdot T_Z(\mathbf{t}), \quad (2)$$

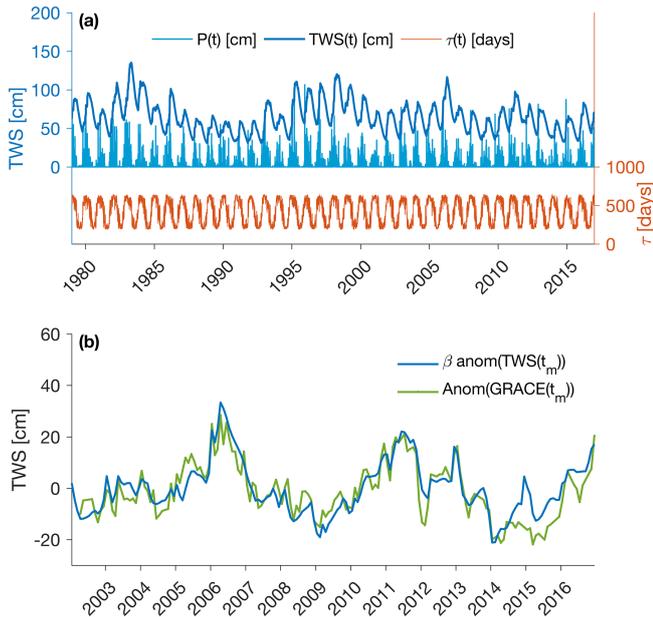
where  $a$  and  $b$  are calibrated model parameters with a positive sign and  $T_Z(\mathbf{t})$  is a transformation of the original de-trended daily air temperature  $T(\mathbf{t})$ . The purpose of this transformation is to first make  $\tau$  only sensitive to changes in temperature when temperature is higher than 0 °C,

$$T_0 = \begin{cases} 0, & T < 0 \\ T, & T \geq 0 \end{cases}, \quad (3)$$

and to moderate the influence of extreme temperature values by applying a sigmoid transform to the standardized temperature:

$$T_Z = 1 - \tanh\left(\frac{T_0 - \text{mean}(T_0)}{\text{SD}(T_0)}\right). \quad (4)$$

As a result of this transformation,  $T_Z$  approaches a value of 1 (0) when temperature gets colder (warmer) and thus the residence time increases (decreases) (Eq. 2). Note that different or more complex formulations (e.g., also involving net radiation) were tested but did not yield significant improvement compared to the relatively simple approach presented here. The result of this model is illustrated in Fig. 1a, which depicts the temperature-dependent residence time (red line), the daily precipitation input (blue bars) and the resulting terrestrial water storage time series (blue line).



**Figure 1.** Illustration of the GRACE reconstruction at one given  $3^\circ \times 3^\circ$  mascon (located in California). **(a)** Input daily precipitation time series  $P(t)$ , temperature-dependent residence time  $\tau(t)$ , and the resulting daily TWS time series  $TWS(t)$ . **(b)** Agreement between GRACE and GRACE-REC after subtracting the seasonal cycle and long-term trend (zoomed over the period 2002–2017).

The initial value of the storage ( $TWS(t)$  at  $t = 0$ ) is computed from the analytical solution for the equilibrium state of Eq. (1) given the mean precipitation input and the mean residence time:

$$TWS(0) = \frac{\text{mean}(P)}{1 - \text{mean}\left(e^{-\frac{1}{\tau(t)}}\right)}. \quad (5)$$

The initial value of the storage is thus obtained as the ratio between the mean rate of water input and the mean rate of water loss (also see the full development in the Supplement). Using this solution (Eq. 5) requires the assumption that the storage is close to equilibrium at the start of the reconstruction but avoids the loss of 6 years for model spin-up as was done in previous work (Humphrey et al., 2017). Still, we note that reconstructed TWS anomalies at the very beginning of the time series (typically the first year) should be interpreted with care.

### 2.3.2 Model calibration

The daily water storage time series (Eq. 1) is averaged to monthly temporal resolution ( $t_m$ ) in order to make it comparable with the monthly GRACE time series. Calibration is conducted at a monthly scale against de-seasonalized and de-trended GRACE TWS observations (Fig. 1b), such that

$$\text{anom}(\text{GRACE}(t_m)) = \beta \cdot \text{anom}(TWS(t_m)) + \varepsilon, \quad (6)$$

where  $\beta$  is a calibrated scaling factor,  $\varepsilon$  corresponds to an error term, and  $\text{anom}()$  is an operator indicating that the seasonal cycle and the linear trend are removed as mentioned above. The trends are removed during model calibration because many trends in GRACE are caused by anthropogenic activities (Humphrey, 2017; Rodell et al., 2018), which our climate-driven model cannot explain by definition. We note that as a result, the choice of the GIA model used in GRACE processing (Table 1) does not impact the model calibration. Removing the seasonal cycle lets the model focus on capturing the inter-annual variability correctly. The three model parameters ( $a$ ,  $b$ : Eq. 2 and  $\beta$ : Eq. 6) are calibrated at each mascon using a Markov chain Monte Carlo (MCMC) procedure minimizing the sum of squares of the residuals between the predicted and observed monthly TWS anomalies (Haario et al., 2006; Humphrey et al., 2017). The MCMC procedure provides distributions of equally acceptable parameter sets which are later used in the generation of ensemble members (Sect. 2.4).

## 2.4 Generation of ensemble members at monthly resolution

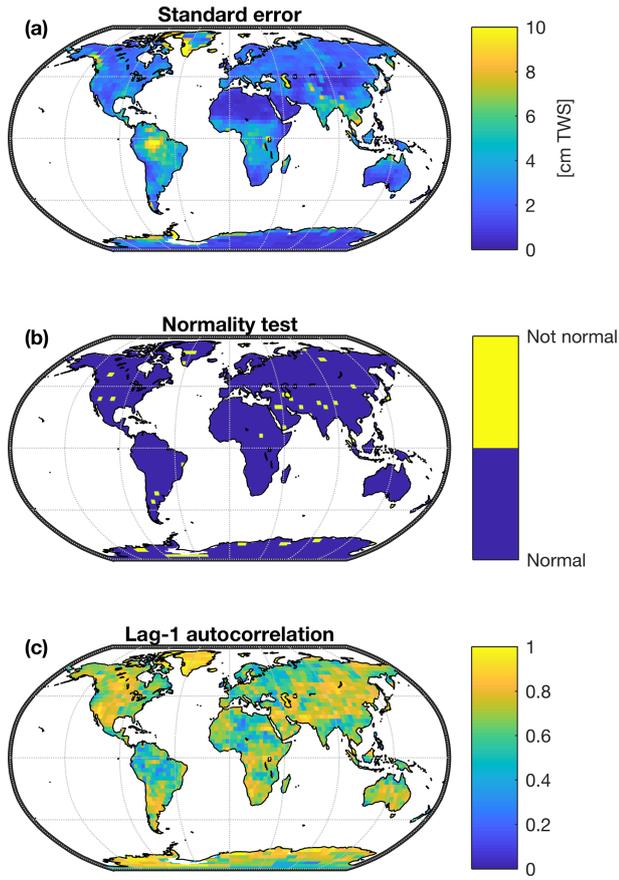
### 2.4.1 Rationale for the generation of model ensembles

The empirical residuals ( $\varepsilon$ ) in Eq. (6) correspond to the difference between observed and predicted water storage anomalies. They include measurement and leakage errors from GRACE, structural model errors, and errors introduced by the imperfect meteorological forcing. In this section, we aim to quantify and communicate the magnitude of these errors to end users in a practical way. A classical approach is to provide the standard error  $\sigma_\varepsilon$  for every mascon  $m_1, \dots, i, \dots, n$  (Fig. 2a):

$$\sigma_\varepsilon(m_i) = \sqrt{\text{variance}[\varepsilon(m_i)]}. \quad (7)$$

Because it can be shown in our case that the residuals are normally distributed (Fig. 2b), it is relatively safe to use the standard error to estimate the predictive uncertainty (and any confidence interval) over a given mascon. However, in many applications, predictions from individual mascons need to be aggregated, for instance to compute basin-scale averages or global means. In this case, obtaining an error estimate for the aggregated value is not trivial because the spatial covariance of the errors needs to be taken into account during the error propagation (Bevington and Robinson, 2003). Because errors are spatially and temporally correlated, any averaging operation (in the time or space domain) potentially requires that error covariance is taken into account.

To provide a practical solution to this problem, we generate ensemble members which incorporate the spatial and temporal covariance structure of the residuals. These ensembles can be easily averaged over any larger area, and once averaged they provide a predictive spread that is representative of the aggregated error. In order to generate these en-



**Figure 2.** Characterization of the empirical model residuals for the GRACE-REC dataset based on MSWEP precipitation and ERA5 air temperature, calibrated with the JPL mascons. (a) Standard model error. (b) Result of a Kolmogorov–Smirnov test for normality on the model errors ( $p < 0.05$ ); (c) lag-1 serial autocorrelation of the model errors.

sembles, we present hereafter a spatial autoregressive (SAR) noise model (Cressie and Wikle, 2011), which aims at reproducing the spatial and temporal autocorrelation structure found in the empirical residuals ( $\varepsilon$ ). The SAR model is used to generate random realizations of these residuals (hereafter denoted  $\hat{\varepsilon}$ ) which have a spatial and temporal autocorrelation structure that is comparable to that of the empirical residuals ( $\varepsilon$ ). De-seasonalized ensemble members ( $\text{GRACE}_{\text{REC}}$ ) are obtained by combining the monthly water storage predictions (from Eq. 6) with the randomly generated residuals  $\hat{\varepsilon}$ .

$$\text{GRACE}_{\text{REC}}(t_m) = \beta \cdot \text{deseas}(\text{TWS}(t_m)) + \hat{\varepsilon}(t_m) \quad (8)$$

#### 2.4.2 Generation of random residuals

In the SAR model (Cressie and Wikle, 2011), residuals ( $\hat{\varepsilon}(t_m)$ , hereafter noted  $\hat{\varepsilon}_{t_m}$ ) at a given monthly time step are represented as the sum of (1) the product of the residual of the antecedent month ( $\hat{\varepsilon}_{t_m-1}$ ) with a local (mascon-specific)

autoregressive parameter ( $\varphi$ ) and (2) spatially autocorrelated innovations ( $\eta$ ) that are randomly generated from a multivariate Gaussian with zero mean and covariance matrix  $\mathbf{Q}_n$ :

$$\begin{bmatrix} \hat{\varepsilon}_{t_m}(m_1) \\ \vdots \\ \hat{\varepsilon}_{t_m}(m_n) \end{bmatrix} = \begin{bmatrix} \varphi(m_1) \cdot \hat{\varepsilon}_{t_m-1}(m_1) \\ \vdots \\ \varphi(m_n) \cdot \hat{\varepsilon}_{t_m-1}(m_n) \end{bmatrix} \begin{bmatrix} \eta(m_1) \\ \vdots \\ \eta(m_n) \end{bmatrix}, \quad (9)$$

where  $m_1, \dots, m_n$  corresponds to the mascon index and squared brackets indicate a  $n \times 1$  vector. An equivalent vector notation yields

$$\hat{\varepsilon}_{t_m} = \varphi \circ \hat{\varepsilon}_{t_m-1} + \eta, \quad \eta \sim \text{iid Gau}(0, \mathbf{Q}_n), \quad (10)$$

where  $\hat{\varepsilon}_{t_m}$ ,  $\hat{\varepsilon}_{t_m-1}$ ,  $\varphi$  and  $\eta$  are  $n \times 1$  vectors,  $\mathbf{Q}_n$  is a  $n \times n$  spatial covariance matrix and  $\circ$  denotes the Hadamard product (i.e., pair-wise multiplication).

The local autoregressive parameters  $\varphi(m_1, \dots, m_n)$  are estimated at each mascon from the lag-1 temporal autocorrelation of the empirical residuals ( $\varepsilon$ ) ( $\varphi$  illustrated in Fig. 2c) (Wilks, 2011). To estimate the spatial covariance matrix of the innovations ( $\mathbf{Q}_n$ ), we employ the following procedure. First, an isotropic exponential decay autocorrelation function (Eq. 11) is fitted at each individual mascon (Fig. 3a, b) to represent the spatial autocorrelation (AC) of the empirical residuals, such that

$$\text{AC}(d) = e^{-\frac{d}{k}}, \quad (11)$$

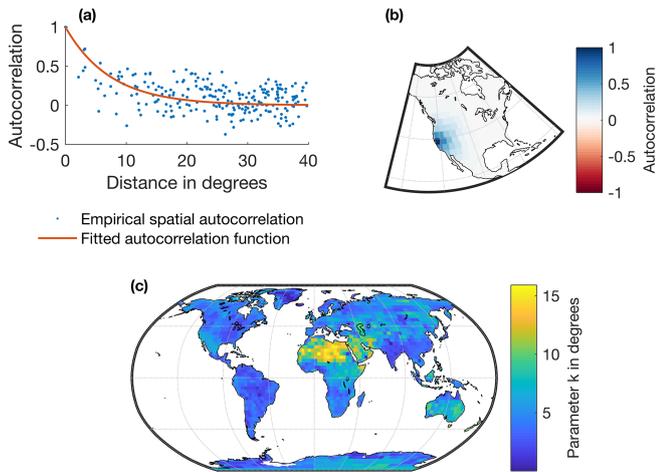
where  $d$  is the distance and  $k$  is the parameter to fit. Locations with high (low) values of  $k$  (Fig. 3c) indicate regions where the residuals have a strong (weak) spatial autocorrelation. The calibrated AC functions are then used to construct the spatial autocorrelation matrix  $\mathbf{P}_n$ , which approximates the structure of the spatial autocorrelation matrix of the empirical residuals. From this, the covariance matrix for the innovations is obtained by definition as

$$\mathbf{Q}_n = \text{diag}(\sigma_\eta) \mathbf{P}_n \text{diag}(\sigma_\eta), \quad (12)$$

where  $\sigma_\eta$  is a  $n \times 1$  vector containing the standard deviation of the innovations at each mascon estimated from (Cressie and Wikle, 2011)

$$\sigma_\eta = \sigma_\varepsilon \sqrt{1 - \varphi^2}, \quad (13)$$

where  $\sigma_\varepsilon$  is the empirical standard error of each mascon (Eq. 7, Fig. 2a). The multiplication with  $\sqrt{1 - \varphi^2}$  scales the empirical standard error under the assumption of an autoregressive process of order 1 (Cressie and Wikle, 2011). This accounts for the fact that the variance of an autoregressive process ( $\sigma_\varepsilon$ ) is larger than that of the driving white noise process ( $\sigma_\eta$ ). In the special case where the first residual in Eq. (10) ( $\hat{\varepsilon}_{t_m}$  at  $t_m = 1$ ) is generated and  $\hat{\varepsilon}_{t_m-1}$  does not exist yet, the multiplication with  $\sqrt{1 - \varphi^2}$  is not necessary and the



**Figure 3.** Illustration of the spatial autocorrelation of the empirical model residuals and their representation in the SAR model (for the GRACE-REC product based on MSWEP and calibrated with JPL mascons). **(a)** Empirical and fitted spatial autocorrelation functions for the model residuals at a given  $3^\circ \times 3^\circ$  mascon in California. **(b)** Fitted spatial autocorrelation at that mascon. **(c)** Fitted parameter  $k$  (Eq. 11), which conditions the steepness of the autocorrelation function (high values are equal to high autocorrelation length of the residuals).

following formulations are used instead of Eqs. (10) and (12).

$$\hat{\boldsymbol{\varepsilon}}_1 = \boldsymbol{\eta}, \quad \boldsymbol{\eta} \sim \text{iid Gau}(0, \mathbf{Q}'_n) \quad (14)$$

$$\mathbf{Q}'_n = \text{diag}(\boldsymbol{\sigma}_\varepsilon) \cdot \mathbf{P}_n \cdot \text{diag}(\boldsymbol{\sigma}_\varepsilon) \quad (15)$$

To summarize, a first residual is generated with Eq. (14) and subsequent residuals are generated from Eq. (10).

As mentioned in Sect. 2.3, the Markov chain Monte Carlo (MCMC) procedure for model parameter estimation additionally provides a distribution of equally acceptable model parameters ( $a$ ,  $b$ , and  $\beta$ ). Each parameter set provides one ensemble member for which the entire procedure described here is repeated. Thus, ensemble members combine (1) a model parameter uncertainty arising from the distribution of calibrated model parameters and (2) an estimate of the predictive uncertainty. Here, we provide 100 randomly sampled ensemble members. This number was chosen as a compromise between the size of the final dataset and the minimum number of ensemble members required to derive a reasonable estimate of the 90 % confidence interval.

### 2.4.3 Evaluation of ensemble members

The result of the above-described procedure is briefly illustrated and evaluated in Fig. 4. For illustration, Fig. 4a shows the empirical residuals ( $\boldsymbol{\varepsilon}$ ) for the month of April 2002 and Fig. 4b shows one instance of the randomly generated residuals ( $\hat{\boldsymbol{\varepsilon}}$ ). As expected, both the empirical and the randomly generated residuals exhibit spatial autocorrelation. The generated residuals also have approximately the same variance

(Fig. 4c) and lag-1 temporal autocorrelation (Fig. 4d) as that of the empirical residuals. The confidence intervals derived at a regional- or basin-scale level reliably cover the actual GRACE-based regional average, which was the initial motivation for the presented approach (illustrated for the Mississippi basin in Fig. 4e). We evaluate the overall *reliability* of the ensemble hindcast for regional averages over 90 large ( $> 500\,000 \text{ km}^2$ ) river basins using a rank histogram (or Talagrand diagram) (Fig. 4f). In the ideal case (*perfect reliability*), the observed TWS ranks lower than the  $p$ th percentile of the reconstruction only  $p$  percent of the time (for instance, GRACE observations should be lower than the fifth percentile of the reconstruction only 5 % of the time). According to this first-order metric (see, e.g., Hamill, 2001, for a discussion), we conclude that regional averages of the ensemble members provide *reliable* forecasts (Fig. 4f), with only a minor tendency to miss extreme positive TWS anomalies.

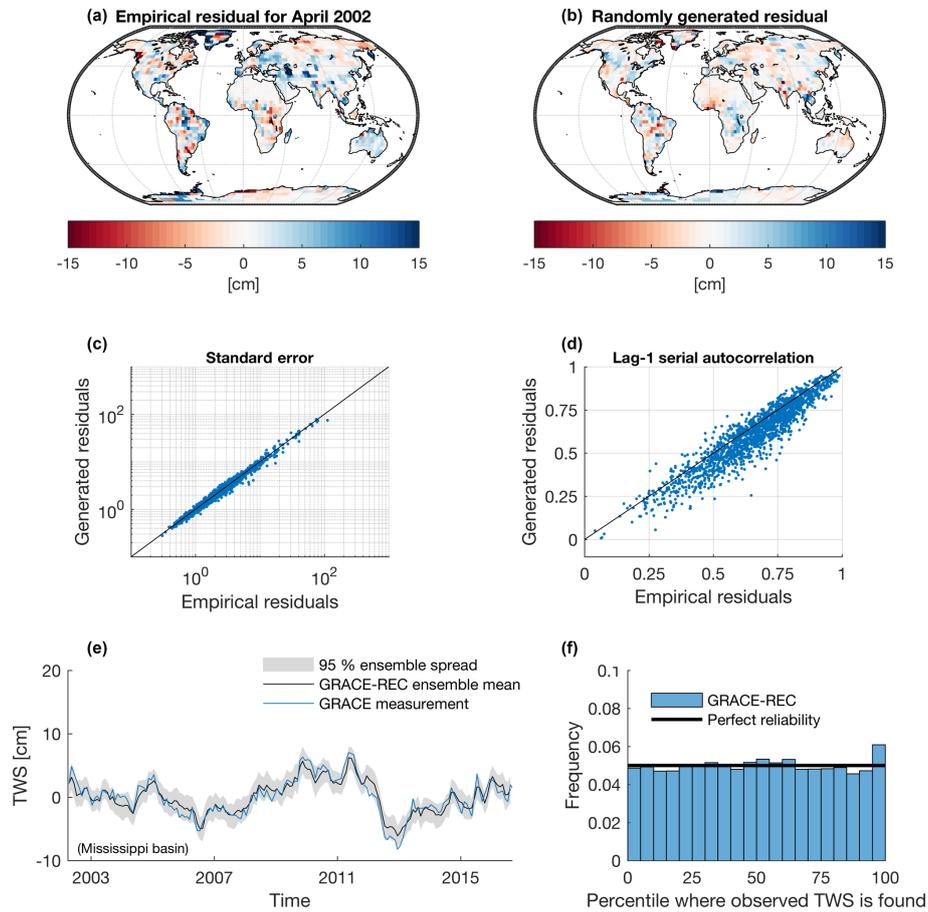
The presented method represents one amongst many possible approaches to the generation of ensemble members. This method has the advantage of reflecting the uncertainty of the reconstruction (compared to GRACE measurements) and mimics the empirical spatiotemporal autocorrelation structure of the errors while only requiring a minimal degree of model complexity and parameterization. We note that while the SAR model also represents errors coming from the GRACE solution itself, it does not include any anisotropic error structure (e.g., due to striping) due to the isotropic nature of Eq. (11). The uncertainty related to the choice of the input precipitation or training GRACE dataset can be explored independently by comparing the six different versions of GRACE-REC (see Table 3).

Finally, we note that our modeling approach could in principle be evaluated with a cross-validation experiment, using only a subset of the data to calibrate the model parameters and then evaluate the performance against the other unused data (as done in Humphrey et al., 2017). However, this would go beyond the scope and objective of this paper, which is to document the generation of the GRACE-REC product. We prefer to evaluate the ability of the final product to extrapolate beyond the model calibration period in later sections by comparing the model predictions with fully independent datasets (Sect. 4.3 to 4.5).

## 3 Product description

### 3.1 Definition of GRACE-REC TWS datasets

The GRACE-REC data provide de-seasonalized terrestrial water storage (TWS) anomalies in units of millimeters of water ( $\text{kg m}^{-2}$ ) (Eq. 8). Thus, GRACE-REC does not include a reconstructed seasonal TWS cycle. Because some applications also require the seasonal signals, we provide the GRACE-based TWS seasonal cycle (Humphrey et al., 2017), which can directly be added to the GRACE-REC TWS



**Figure 4.** Output of the SAR model for the generation of random noise realizations that have a spatiotemporal structure similar to that of the empirical model residuals (for the GRACE-REC product based on MSWEP and calibrated with JPL mascons). **(a)** Empirical model residual at a given time step. **(b)** Residual randomly generated by the SAR model. **(c)** Agreement between the standard deviation of the empirical versus generated residuals (each point represents one mascon). **(d)** Agreement between the lag-1 autocorrelation of the empirical versus generated residuals (each point represents one mascon). **(e)** Illustration of the resulting ensemble spread for a basin-scale average. **(f)** Rank histogram using 5% bins, combining the data for 90 large (> 500 000 km<sup>2</sup>) basins (from 2003 to 2014), used to evaluate the reliability of ensemble forecasts.

**Table 3.** List of the six GRACE-REC datasets available at monthly and daily scales.

GRACE-REC dataset	Time period	Spatial resolution	Forcing data	Training data	Unit
JPL-MSWEP	1979–2016	3° equal area	MSWEP & ERA5		
JPL-GSWP3	1901–2014	(provided on	GSWP3	GRACE JPL	mm TWS
JPL-ERA5	1979–present	a 0.5° grid)	ERA5		
GSFC-MSWEP	1979–2016	1° equal area	MSWEP & ERA5		
GSFC-GSWP3	1901–2014	(provided on	GSWP3	GRACE GSFC	mm TWS
GSFC-ERA5	1979–present	a 0.5° grid)	ERA5		

anomalies if needed. As a caveat, note that this GRACE-based TWS seasonal cycle is kept constant over time, which might potentially be unrealistic (Hamlington et al., 2019).

### 3.2 Monthly products with ensemble members

Using two different training GRACE datasets (Table 1) and three different precipitation forcing datasets (Table 2), we produce a total of six different GRACE-REC datasets with 100 ensemble members each. For convenience, we also provide smaller summary files which only contain the ensemble mean and 90 % confidence interval.

### 3.3 Daily products

For the daily TWS reconstructions, we only provide the ensemble mean of each GRACE-REC product in order to limit the data size. This ensemble mean is based on ensemble members which sample the parameter uncertainty only (Sect. 2.3.2). The reason for this is that no SAR model (Sect. 2.4.2) can be reliably calibrated at daily resolution as the two training GRACE datasets have monthly resolution. The format is identical to that of the monthly data (Table 3).

### 3.4 Global land averages

For global-scale applications, we provide global averages of the TWS time series. Global averages are weighted by mascon area and include all land mascons with or without Greenland and Antarctica (both options are available). This format is especially suited for sea level and global water budget studies and units are gigatons of water. To convert gigatons back to millimeters of global land water, total land area values of 148 940 000 and 132 773 914 km<sup>2</sup> can be used for each option, respectively. The evaluation of global means in Sect. 4.1.2 and 4.3 can guide the choice between the different versions of GRACE-REC.

### 3.5 Interpretation of multi-decadal trends

Although linear trends are removed during model calibration (Eq. 6), potential TWS trends caused by decadal variability and long-term changes in precipitation are not removed from the final dataset (Eq. 8) and can be substantial. By definition, any trend found in the reconstructed TWS products is caused by a trend in the underlying precipitation forcing (since the time-varying residence time uses de-trended temperature and there is no limit to storage capacity). Thus the reconstructed TWS trends mainly depend on the trends initially present in the driving precipitation data (see Sect. 4.1.2 for an example at global scale).

With these elements in mind, it should be clear that there will be differences between the trends found in GRACE and the trends found in the reconstruction. Such discrepancies are expected because the reconstruction does not represent several sources of long-term changes in TWS, including for

instance, land ice melt, dams, anthropogenic water depletion (Reager et al., 2016; Felfelani et al., 2017; Rodell et al., 2018), or long-term changes in evaporative demand. Consequently, trends in GRACE-REC cannot be directly evaluated against the trends from GRACE itself. Thus, when we compute trends over the period 2003–2014 (Figs. S2 and S3 in the Supplement), we find that reconstructed trends are consistent with GRACE trends only over certain regions, likely due to the reasons mentioned above (linear trends simulated by the WRR2 models are also shown in Fig. S4).

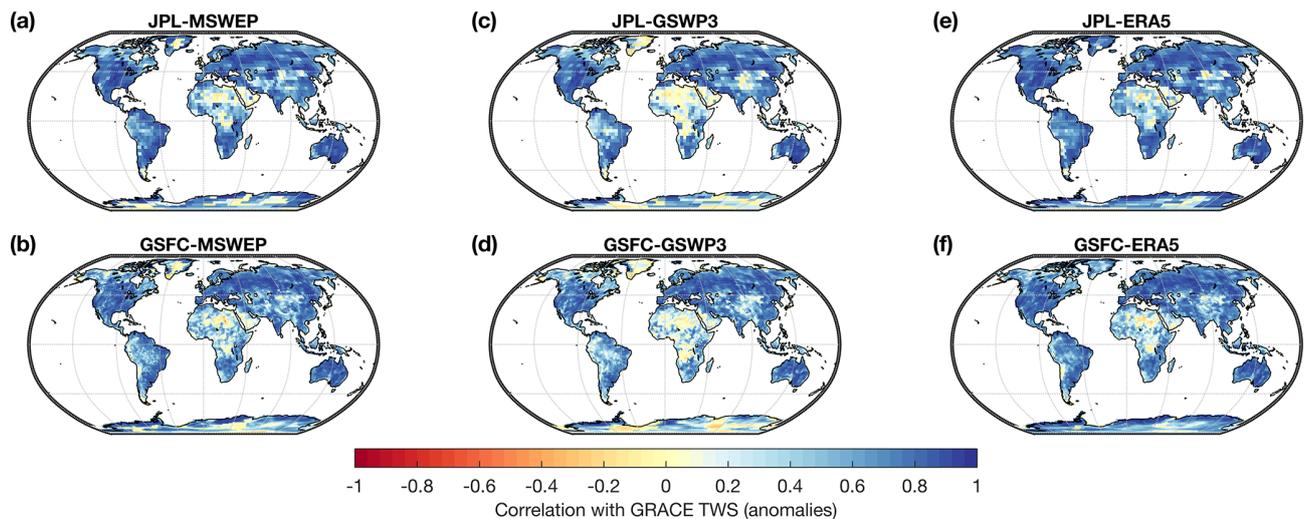
As illustrated in Humphrey et al. (2017), the reconstruction can be used to remove the precipitation-driven variability from the original GRACE time series in order to better isolate and quantify other sources of long-term changes (such as anthropogenic impacts). However, users interested in computing long-term TWS trends from this dataset should always proceed with caution as the dataset was not evaluated for trends. For regional analyses, we recommend using the model ensembles to obtain a range of possible trends and thus better assess the uncertainty. More generally, we highlight that the quality of the reconstruction is strongly dependent on the quality of the input precipitation forcing and on the adequateness of an exponential decay model for representing water storage behavior. For instance, routing of water through the river system is not represented and might be important over certain regions. Section 4.1 provides global maps of model performance that can guide regional applications.

## 4 Product evaluation

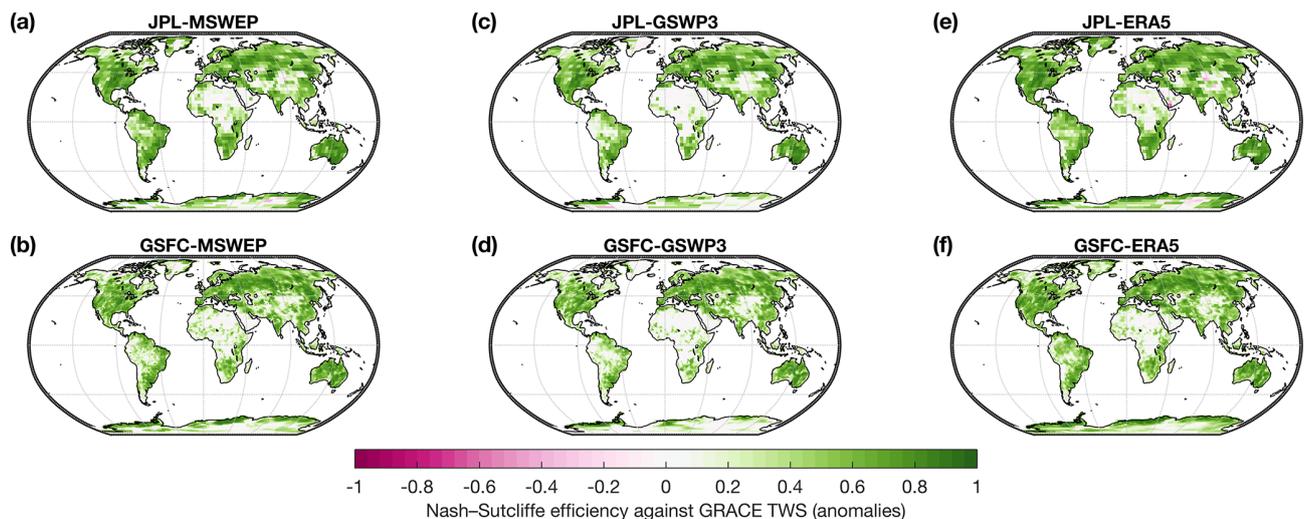
### 4.1 Comparison with de-seasonalized monthly GRACE

#### 4.1.1 Mascon scale

In this section, the ensemble mean of GRACE-REC is compared against GRACE observations. Note that this does not constitute an independent evaluation because GRACE-REC is calibrated with GRACE data (comparisons with independent sources are provided in Sect. 4.3 to 4.5). We evaluate model performance with the Pearson correlation coefficient (Fig. 5) and the Nash–Sutcliffe efficiency (Fig. 6). Model performance is highest especially in regions with dense meteorological observing systems (e.g., Europe, western Russia, North America, India, Australia) where we expect precipitation datasets to have the highest accuracy. Over South America and central Africa, the performance of the century-long reconstruction (GSWP3-based products, Figs. 5c, d and 6c, d) is slightly inferior to that of multisource and reanalysis precipitation datasets such as MSWEP and ERA5. Interestingly, there is no clear difference in performance when GRACE-REC is calibrated with the 3° JPL mascons (top row) or the 1° GSFC mascons (bottom row). We conclude that in terms of model performance, the choice of the GRACE product used to calibrate GRACE-REC is of sec-



**Figure 5.** Correlation (of de-seasonalized, de-trended anomalies) between GRACE-REC and GRACE JPL mascons (a, c, e) or GRACE GSFC mascons (b, d, f). Three different precipitation forcing datasets are tested: MSWEP (a, b), GSWP3 (c, d), and ERA5 (e, f). Values closer to 1 correspond to a higher model performance.

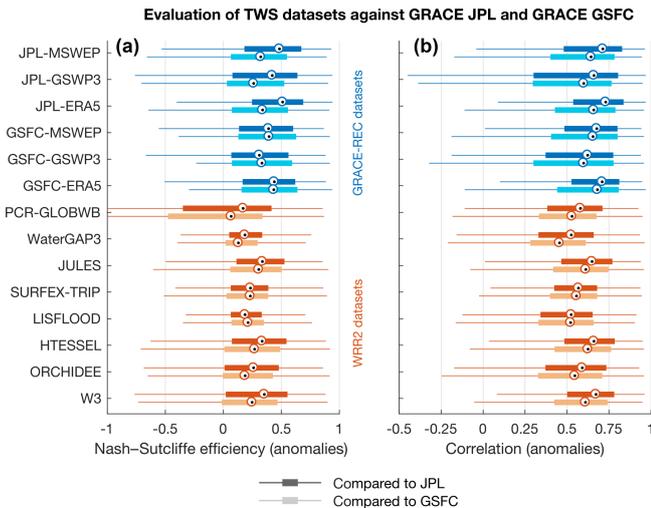


**Figure 6.** Nash–Sutcliffe efficiency (of de-seasonalized, de-trended anomalies) between GRACE-REC and GRACE JPL mascons (a, c, e) or GRACE GSFC mascons (b, d, f). Three different precipitation forcing datasets are tested: MSWEP (a, b), GSWP3 (c, d), and ERA5 (e, f). Values closer to 1 correspond to a higher model performance.

ondary importance compared to the accuracy of the input precipitation datasets.

We compare these performance metrics with the scores obtained by hydrological models and land surface models of the Water Resources Reanalysis version 2 (WRR2) (Schellekens et al., 2017; Dutra et al., 2017), which were also forced with MSWEP precipitation. Compared to the simple modeling approach used in GRACE-REC, WRR2 models are forced with additional meteorological information (such as radiation and humidity), were calibrated using various data streams, sometimes including GRACE observations (Dutra et al., 2017; Decharme et al., 2011, 2012, 2016; Vergnes et al., 2014;

Krinner et al., 2005; de Rosnay et al., 2002; Van Der Knijff et al., 2010; Döll et al., 2009; Sutanudjaja et al., 2011, 2014; van Beek and Bierkens, 2008; van Beek et al., 2011; Wada et al., 2011, 2014; van Dijk et al., 2013, 2014), and are potentially able to resolve more complex processes that are relevant for TWS, such as snow dynamics, the effect of vegetation phenology on evapotranspiration, and runoff routing through the river system. We calculate TWS in WRR2 models by summing over all simulated water reservoirs (this includes soil moisture, snow, groundwater, and surface waters whenever these are represented in the models). It is important to underline that unlike WRR2 models, GRACE-REC is di-

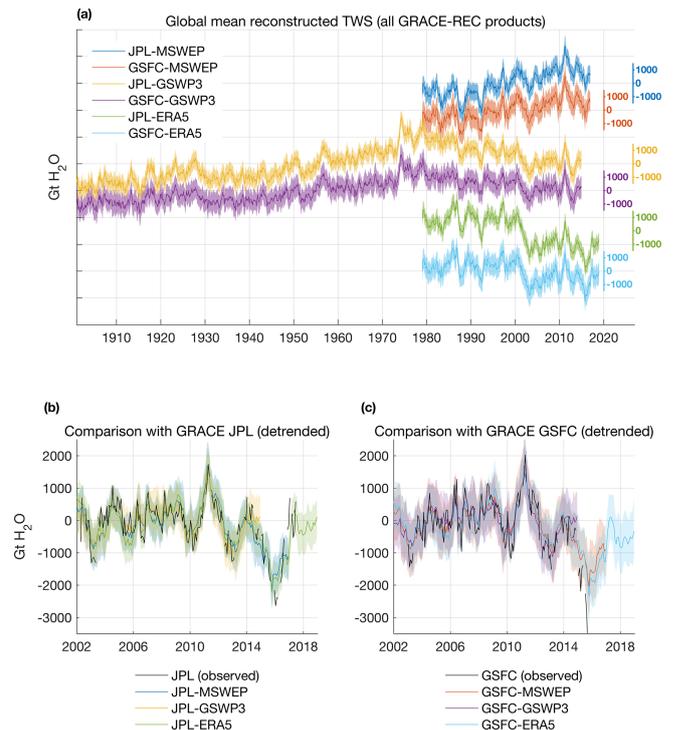


**Figure 7.** Global-area-weighted box plots of the performance metrics shown in Figs. 5 and 6 for GRACE-REC datasets (blue), and comparison with the performance of global hydrological models participating in the Earth2Observe Water Resources Reanalysis version 2 (WRR2) (orange). Dark colors indicate the performance obtained when comparing against  $3^\circ \times 3^\circ$  JPL mascons, and against  $1^\circ \times 1^\circ$  GSFC mascons for light colors. Note that WRR2 models are driven with MSWEP precipitation and all model outputs are aggregated to the resolution of the corresponding GRACE dataset. Greenland and Antarctica are always excluded.

rectly calibrated to reproduce GRACE observations. Therefore, GRACE-REC should be interpreted here as a benchmark, indicative of the performance that is at least achievable for a given precipitation dataset. In terms of Nash–Sutcliffe efficiency, GRACE-REC often obtains better scores than the WRR2 models (Fig. 7a). This is because the reconstruction better fits the local amplitude and variance of the observed TWS signal, as already diagnosed in previous work (Humphrey et al., 2017). We note that the reconstructions driven with ERA5 precipitation are most often superior to those driven with the other two precipitation datasets.

#### 4.1.2 Global scale

Global averages of all GRACE-REC products are illustrated in Fig. 8a. Differences caused by different precipitation forcing datasets are much greater than the differences related to different GRACE training datasets. This is particularly true for long-term ( $> 20$  years) trends as we find that, over the overlapping period 1979–2014, the two MSWEP-based products both produce a positive climate-driven TWS trend while GSWP3-based and ERA5-based products yield a negative TWS trend. As mentioned above (see Sect. 3.5), discrepancies in long-term trends in GRACE-REC largely depend on the trends initially present in the driving precipitation data and also do not incorporate effects such as ground-



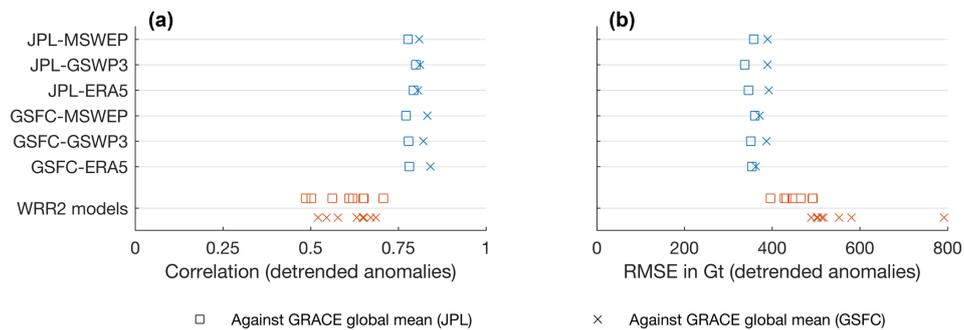
**Figure 8.** (a) Global average of TWS anomalies for the six GRACE-REC datasets (excluding Greenland and Antarctica) with an artificial vertical offset added for better visual comparison. (b) Comparison of the three GRACE-REC datasets calibrated with GRACE JPL against GRACE JPL (de-trended anomalies). (c) Same as (b) but for GRACE GSFC.

water depletion or potential long-term changes in evaporative demand.

Comparisons with the de-trended GRACE global average are shown in Fig. 8b, c. We find that all GRACE-REC products produce a very similar inter-annual variability at the global scale and compare well against actual global mean GRACE, without applying any global constraint to the locally calibrated statistical model. Correlations between global means of GRACE-REC and global means of GRACE are larger than 0.75 (Fig. 9a) (evaluated over the common period 2003–2014). Compared to global means from the WRR2 models, GRACE-REC is on average better correlated (Fig. 9a) to the observed GRACE global mean and has a lower root-mean-square error (Fig. 9b), regardless of the GRACE dataset used for evaluation.

#### 4.2 Comparison with de-seasonalized daily GRACE

We compare the daily GRACE-REC products with a Kalman smoothed daily GRACE solution named ITSG-Grace2018 (Kurtenbach et al., 2012; Mayer-Gürr et al., 2018). While this daily GRACE solution contains significant information on the sub-monthly variability of TWS, the increased temporal resolution is at the cost of spatial resolution, which is on

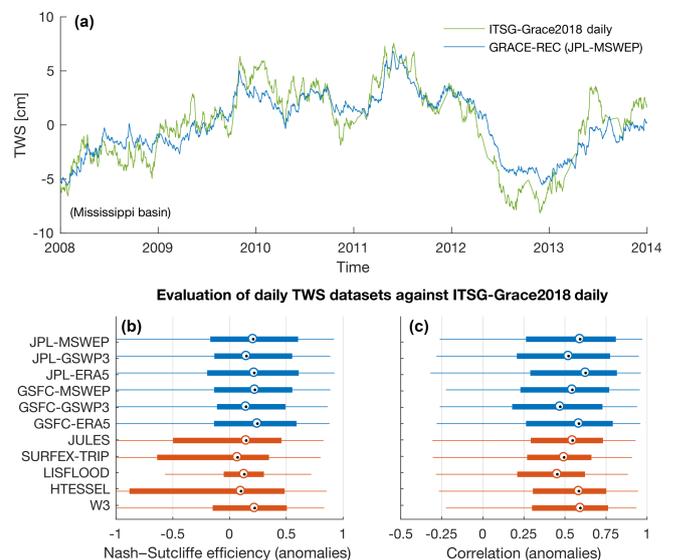


**Figure 9.** Agreement of the global average of different TWS model estimates (from GRACE-REC, blue, and WRR2 models, orange) with the observed TWS anomalies from JPL (squares) and GSFC (crosses) solutions.

the order of 500 km for this particular product (note that the solution is also correlated in time as a result of the Kalman smoothing). As illustrated in Fig. 10a, there can be a good agreement between GRACE-REC and ITSG-Grace2018 for sub-monthly variability when daily averages are computed over large regions (here the Mississippi basin). Figure 10b, c provide a summary of the agreement between GRACE-REC and ITSG-Grace2018 at a daily scale, as well as a comparison with the performance of WRR2 models. Due to the coarse resolution of the ITSG-Grace2018 product, the comparison (Fig. 10b, c) is conducted at a spatial resolution of 5°. We find that, even though the performance of all products is lower than at monthly resolution, the GRACE-REC products agree on average as well as or better with ITSG-Grace2018 than most models of the WRR2 ensemble.

### 4.3 Comparison with the de-seasonalized and de-trended sea level budget

Together with changes in ocean heat content, changes in the amount of water stored on land are responsible for a large fraction of the year-to-year variability in global mean sea level (Boening et al., 2012; Cazenave et al., 2014; WCRP Global Sea Level Budget Group, 2018). Because changes in land water storage result in opposite changes in ocean mass, the sea level budget provides an independent mean of evaluating various estimates of global mean TWS variability. Here we assess the ability of terrestrial water storage products (GRACE, GRACE-REC, and the WRR2 models) to close the sea level budget at the inter-annual timescale. We use de-seasonalized and de-trended global mean sea level (GMSL) from satellite altimetry (Beckley et al., 2017) and steric height estimates ( $GMSL_{steric}$ ) based on observations of Argo floats (Roemmich and Gilson, 2009; Llovel et al., 2014). From the sea level budget, we obtain an estimate of inter-annual changes in ocean mass (Eq. 16, black line in Fig. 11a), which we compare against global mean TWS estimates. We use this budget-based ocean mass to provide an independent evaluation of all TWS products (i.e., not based on any GRACE data), although GRACE-based ocean

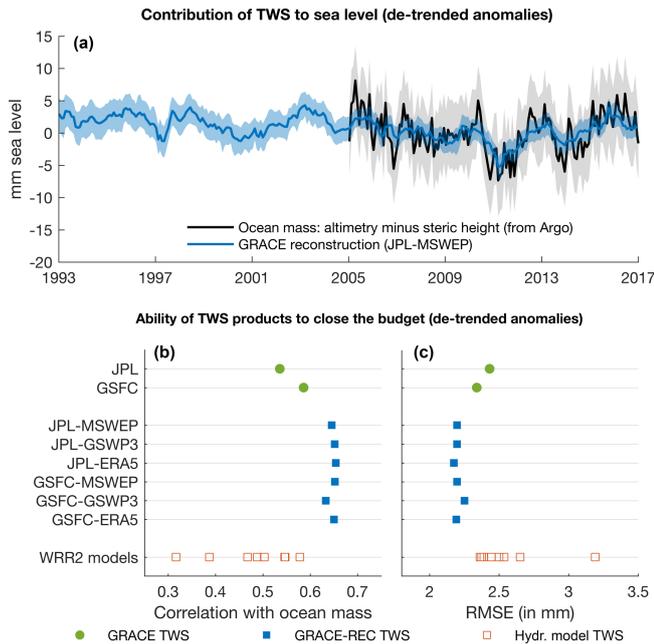


**Figure 10.** (a) Comparison between the GRACE-REC daily TWS reconstruction (JPL-MSWEP dataset) and the daily GRACE ITSG-Grace2018 solution for the Mississippi basin (focused over the period 2008–2014 to improve readability of the high-frequency fluctuations). (b–c) Global-area-weighted box plots of the performance metrics of the daily TWS datasets when compared with ITSG-Grace2018 at a spatial resolution of 5°. Note that some WRR2 models are not included because not all water storage variables were available to us at daily frequency. Greenland and Antarctica are excluded.

mass is obviously also available since 2002 (e.g., Watkins et al., 2015). Greenland and Antarctica are excluded from the TWS averages to enable a consistent comparison among all products (hydrological models typically do not represent these regions).

$$GMSL_{ocean\ mass} = GMSL - GMSL_{steric} \quad (16)$$

We find that, although all considered products are significantly correlated with the budget-based ocean mass ( $GMSL_{ocean\ mass}$ ), GRACE and GRACE-REC estimates are clearly better correlated and yield a lower root-mean-square



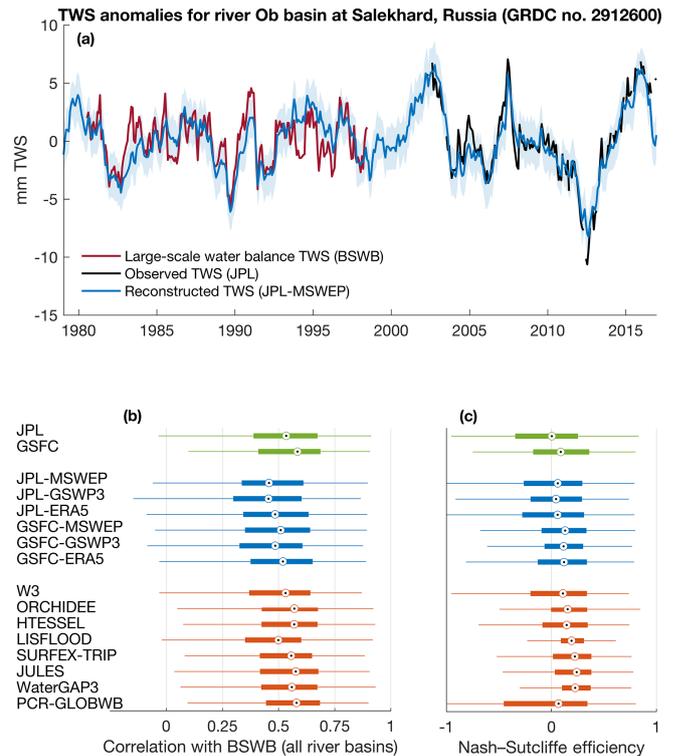
**Figure 11.** (a) Comparison of the global mean TWS reconstructed by GRACE-REC (converted to equivalent mm sea level) against the ocean mass derived from the sea level budget. (b–c) Evaluation of the ability of various TWS datasets to close the sea level budget (GRACE estimates in green, GRACE-REC datasets in blue, and WRR2 models in orange).

error (Fig. 11b, c). Surprisingly, GRACE-REC products also yield better results than the two original GRACE datasets (JPL and GSFC). We hypothesize that this might occur because the global mean GRACE TWS is more susceptible to non-compensating continental-scale errors (e.g., caused by errors in low-degree spherical harmonics or residual longitudinal stripes) compared to climate-driven reconstructions, which yield smoother global averages (as seen in Fig. 8b, c).

#### 4.4 Comparison with de-seasonalized basin-scale water balance

Over moderately large river basins ( $> 100\,000\text{ km}^2$ ), TWS changes can be estimated by combining streamflow measurements with moisture fluxes from an observation-assimilating atmospheric reanalysis system (Oki et al., 1995; Seneviratne et al., 2004). This approach provides relatively independent estimates of TWS changes over large basins, which has been used to evaluate distributed hydrological models and land surface models. Here, we aim to use such estimates to also evaluate the quality of the reconstruction during the period when no GRACE data are available (i.e., prior to 2002).

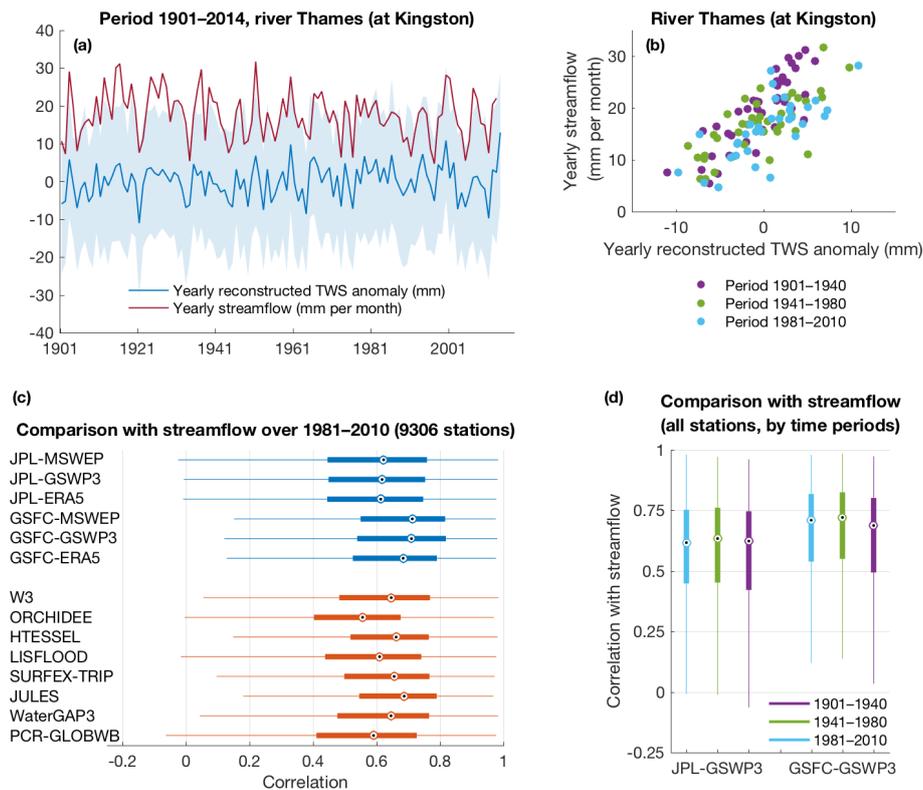
We evaluate TWS products using a recently updated basin-scale water balance dataset (BSWB) (Hirschi and Seneviratne, 2017), which covers 341 catchments and is based on ERA-Interim reanalysis data (Dee et al., 2011) and runoff observations from the Global Runoff Data Centre (GRDC). The



**Figure 12.** (a) Comparison between TWS anomalies derived from atmospheric basin-scale water balance (BSWB), GRACE observations (JPL), and the GRACE reconstruction (JPL-MSWEP dataset). (b–c) Global box plots of the agreement between various TWS products and BSWB estimates (based on the performance metrics at 341 large basins). The scale factors were applied to the JPL data for this specific analysis.

temporal coverage of BSWB estimates at each river basin thus depends on the availability of runoff data and does not always cover the GRACE time period. As a caveat, we note that BSWB should not be viewed as entirely independent of WRR2 models or as a ground truth. This is because moisture fluxes from ERA-Interim are not only influenced by the assimilated atmospheric profile information but are also dependent on the underlying land surface model (TESSEL), which is similar to WRR2 models in many aspects. All WRR2 models also used ERA-Interim as forcing data for all meteorological variables except for precipitation.

As illustrated in Fig. 12a for the Ob basin, we find that the reconstructed TWS compares relatively well with BSWB estimates. Overall, all TWS products considered here (including the GRACE data) seem to compare relatively well with BSWB (Fig. 12b, c). We note that GRACE-REC products calibrated on GSFC seem to compare slightly better with BSWB than the JPL-based products. This might be because of the higher spatial sampling of the GSFC mascons ( $1^\circ$  instead of  $3^\circ$  for JPL), which might enable a better separation between mass changes located inside or outside the river basin boundaries. This mainly occurs because the meteorological



**Figure 13.** (a) Comparison between century-long measurements of streamflow and the TWS anomalies reconstructed at this location (GSFC-GSWP3 dataset). (b) Scatter plot of the data in (a), by time period. (c) Global box plots of the performance of GRACE-REC and WRR2 models when compared with yearly streamflow anomalies. (d) Global box plots of the performance of the JPL-GSWP3 and GSFC-GSWP3 products when compared with yearly streamflow anomalies, by time period ( $n = 1274, 8065, \text{ and } 9306$  for 1901–1940, 1941–1980, and 1981–2010 respectively).

logical forcing is aggregated at a resolution of  $1^\circ$  in the case of GSFC-based products, allowing the GSFC reconstructions to provide a slightly more localized signal.

#### 4.5 Comparison with annual streamflow measurements

In this section, we compare reconstructed TWS against streamflow observations over the period 1901 to 2010. Streamflow and TWS of course represent different variables with different units; however, we expect that their temporal dynamics will correlate at the yearly scale, as illustrated for the river Thames in Fig. 13a, b. Because observed streamflow is one of the few water cycle variables available prior to 1980, it provides an independent and useful means of evaluating the century-long reconstruction. We use streamflow observations collected by the Global Streamflow Indices and Metadata Archive (GSIM) (Do et al., 2018a; Gudmundsson et al., 2018). From the 30 959 available stations, we keep stations with a basin size smaller than  $10\,000\text{ km}^2$  and with at least 10 years of available data (discarding any year where less than 50 % of the daily values were available to compute the yearly mean), leaving 12 496 stations for analysis. The reason for focusing on small basins is that a much larger

number of them are available in the early century (compared to the number of large basins, which are the focus of Sect. 4.4). We note that the unavoidable mismatch in resolution between large-scale mass changes and local catchment runoff dynamics is to some extent alleviated by the spatial coherence of yearly anomalies in weather patterns.

We find that TWS anomalies from both WRR2 models and GRACE-REC compare well with yearly streamflow variability over the period 1980–2010 (Fig. 13c). Reconstructions based on the GSFC products tend to perform slightly better, again likely because of their higher spatial sampling ( $1^\circ$ ) compared to the JPL-based reconstructions ( $3^\circ$ ). When evaluating the century-long reconstruction (GSWP3-driven products), we find that the correlation between yearly TWS anomalies and yearly runoff only slightly degrades for the earliest time period (1901–1940) but is otherwise relatively stable over time (Fig. 13d). This indicates that, even though GRACE-REC was calibrated over the years 2002–2016, the model is still able to reproduce past water cycle variability and does not overfit to the period of the GRACE mission. In addition, we note that the quality of the century-long reconstruction is of course dependent on the accuracy of the GSWP3 precipitation and temperature forcing, which likely

degrades towards the beginning of the century as fewer observations are available.

## 5 Data availability

The presented dataset is publicly available (<https://doi.org/10.6084/m9.figshare.7670849>, Humphrey and Gudmundsson, 2019) and updates of the two reconstructions driven by ERA5 will be published when needed. We note that because including additional GRACE months only barely improves the quality of the model fit, no systematic recalibration of the models is planned at this stage. The data can be freely used provided this paper is acknowledged.

All datasets used in this paper are available at the following locations: GSWP3 (<https://doi.org/10.20783/DIAS.501>), GRACE JPL mascons ([https://grace.jpl.nasa.gov/data/get-data/jpl\\_global\\_mascons/](https://grace.jpl.nasa.gov/data/get-data/jpl_global_mascons/), last access: 18 July 2019), GRACE GSFC (<https://neptune.gsfc.nasa.gov/gngphys/index.php?section=470>, last access: 18 July 2019), MSWEP V2 (<http://www.gloh2o.org/>, last access: 18 July 2019), ERA5 (<https://cds.climate.copernicus.eu/#!/search?text=ERA5&type=dataset>, last access: 18 July 2019), ITSG-Grace2018 (<http://icgem.gfz-potsdam.de/series>, last access: 18 July 2019), NASA Sea Level Change Portal (<https://sealevel.nasa.gov/>, last access: 18 July 2019), BSWB (<https://doi.org/10.5905/ethz-1007-82>, Hirschi and Seneviratne, 2016), GRDC Reference Dataset (<https://www.bafg.de/GRDC>, last access: 18 July 2019), GSIM (<https://doi.org/10.1594/PANGAEA.887477>, Do et al., 2018b), WRR2 (<http://wci.earth2observe.eu/thredds/catalog-earth2observe-model-wrr2.html>, last access: 18 July 2019), and the Earth2Observe project (<http://www.earth2observe.eu/>, last access: 18 July 2019).

## 6 Conclusions

We present a statistical reconstruction of climate-driven terrestrial water storage changes at daily and monthly resolution in six different configurations which cover three different time periods (Table 3). We evaluate the performance of this reconstruction and show that its overall accuracy is reasonable compared to other estimates of TWS variability available from global hydrological models. We also highlight the versatility and robustness of our approach by comparing our estimates with independent observations of Earth system variables outside of the calibration period.

**Supplement.** The supplement related to this article is available online at: <https://doi.org/10.5194/essd-11-1153-2019-supplement>.

**Author contributions.** VH and LG developed the approach. VH performed the analyses, produced the dataset and wrote the paper with feedback from LG.

**Competing interests.** The authors declare that they have no conflict of interest.

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