## A luminous X-ray transient in SDSS J143359.16+400636.0: a likely tidal disruption event

Murray Brightman,<sup>1</sup> Charlotte Ward,<sup>2</sup> Daniel Stern,<sup>3</sup> Kunal Mooley,<sup>1</sup> Kishalay De,<sup>1</sup> Suvi Gezari,<sup>2,4</sup> Sjoert Van Velzen,<sup>2,5</sup> Igor Andreoni,<sup>6</sup> Matthew Graham,<sup>1</sup> Frank J. Masci,<sup>7</sup> Reed Riddle,<sup>1</sup> and Jeffry Zolkower<sup>8</sup>

<sup>1</sup>Cahill Center for Astrophysics, California Institute of Technology, 1216 East California Boulevard, Pasadena, CA 91125, USA
 <sup>2</sup>Department of Astronomy, University of Maryland, College Park, MD 20742, USA
 <sup>3</sup>Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA 91109, USA
 <sup>4</sup>Joint Space-Science Institute, University of Maryland, College Park, MD 20742, USA
 <sup>5</sup>Center for Cosmology and Particle Physics, New York University, NY 10003, USA
 <sup>6</sup>Division of Physics, Mathematics and Astronomy, California Institute of Technology, Pasadena, CA 91125, USA
 <sup>7</sup>IPAC, California Institute of Technology, 1200 East California Boulevard, Pasadena, CA 91125, USA
 <sup>8</sup>Caltech Optical Observatories, California Institute of Technology, Pasadena, CA 91125, USA

#### ABSTRACT

We present the discovery of a luminous X-ray transient, serendipitously detected by Swift's X-ray Telescope (XRT) on 2020 February 5, located in the nucleus of the galaxy SDSS J143359.16+400636.0 at z = 0.099 (luminosity distance  $D_{\rm L} = 456$  Mpc). The transient was observed to reach a peak luminosity of  $\sim 10^{44}~{\rm erg\,s^{-1}}$  in the 0.3–10 keV X-ray band, which was around  $\sim 20$  times more than the peak optical/UV luminosity. Optical, UV, and X-ray lightcurves from the Zwicky Transient Facility (ZTF) and Swift show a decline in flux from the source consistent with  $t^{-5/3}$ , and observations with NuSTAR and Chandra show a soft X-ray spectrum with photon index  $\Gamma = 2.9 \pm 0.1$ . The X-ray/UV properties are inconsistent with well known AGN properties and have more in common with known X-ray tidal disruption events (TDE), leading us to conclude that it was likely a TDE. The broadband spectral energy distribution (SED) can be described well by a disk blackbody model with an inner disk temperature of  $7.3^{+0.3}_{-0.8} \times 10^5$  K, with a large fraction (> 40%) of the disk emission up-scattered into the X-ray band. An optical spectrum taken with Keck/LRIS after the X-ray detection reveals LINER line ratios in the host galaxy, suggesting low-level accretion on to the supermassive black hole prior to the event, but no broad lines or other indications of a TDE were seen. The stellar velocity dispersion implies the mass of the supermassive black hole powering the event is  $\log(M_{\rm BH}/M_{\odot}) = 7.41 \pm 0.41$ , and we estimate that at peak the Eddington fraction of this event was  $\sim 50\%$ . This likely TDE was not identified by wide-field optical surveys, nor optical spectroscopy, indicating that more events like this would be missed without wide-field UV or X-ray surveys.

## 1. INTRODUCTION

Tidal disruption events (TDEs) occur when stars in the center of a galaxy that orbit close to the supermassive black hole (SMBH) get close enough that the tidal forces acting on them exceed their own self gravity, causing the star to be disrupted. In this case a large fraction of the star's mass can be accreted onto the black hole producing a flare of electromagnetic radiation (e.g. Rees 1988).

TDEs provide uniquely powerful tools for determining black hole demographics and investigating super-Eddington accretion. TDE rates are generally skewed to lower mass black holes, since the tidal disruption radius is interior of the Schwarzschild radius for  $M_{\rm BH}>10^8~M_{\odot}$ , and therefore TDEs provide a useful signpost of lower mass SMBHs. Furthermore, for  $M_{\rm BH}<10^7~M_{\odot}$ , TDEs can emit above the Eddington luminosity (Strubbe & Quataert 2009), making them laboratories for extreme accretion.

Distinguishing TDEs from flares of more common accretion onto an SMBH can be challenging (Auchettl et al. 2018). One defining feature of TDEs is that their luminosities decline monotonically, often with a power-law profile approximately following  $t^{-5/3}$ , determined by the time in which the stellar debris gets accreted (Evans & Kochanek 1989).

While TDEs are regularly being discovered by widefield optical surveys such as the Zwicky Transient Facility (ZTF, e.g. van Velzen et al. 2019) and the All-Sky Automated Survey for Supernovae (ASAS-SN, e.g. Holoien et al. 2019), TDEs discovered in the X-rays are currently comparatively rare, although eROSITA is set to change this, and has already identified a handful of candidate events (e.g. Khabibullin et al. 2020). In general, optical/UV events have cooler spectra (10<sup>4</sup> K) and X-ray events have hotter ones (10<sup>5</sup> K) (Komossa 2015).

We have recently begun a program to search through public Swift/XRT observations for transient sources. The Neil Gehrels Swift Observatory (hereafter Swift, Burrows et al. 2005) observes several tens of targets every day, many of which are monitoring observations with cadences of a few days, well suited to finding transient sources. With a field of view of 560 arcmin<sup>2</sup>, Swift/XRT provides a great potential for serendipitously discovering X-ray transients in the fields of view of other targets (e.g. Soderberg et al. 2009). Furthermore, since most Swift data are downloaded from the satellite and made public within hours of the observation, this allows the opportunity to follow up promptly in real time with other observatories.

On 2020 February 5, we serendipitously detected an X-ray source in the field of view (FoV) of a Swift/XRT observation of SN 2020bvc, a broad-lined Type Ic supernova in the galaxy UGC09379 (Ho et al. 2020), where no previous X-ray source had been detected. The position of the X-ray source was RA=14h 33m 58.96s, Decl.=+40° 06″ 33.5′, with a positional uncertainty of 3.5″ (90% confidence). This is  $\sim 8'$  from the supernova. The position of the X-ray source placed it in or near the galaxy SDSS J143359.16+400636.0, different from SN 2020bvc. SDSS J143359.16+400636.0has a spectroscopic redshift of z=0.099 (Section 4). Here we report on follow up and subsequent observations of the source which lead us to conclude that it was likely a X-ray TDE.

Throughout this paper we assume the cosmological parameters  $H_0 = 70 \text{ km s}^{-1} \text{ Mpc}^{-1}$ ,  $\Omega_{\rm m} = 0.27$ , and  $\Omega_{\Lambda} = 0.73$ . Under this assumed cosmology, the luminosity distance to SDSS J143359.16+400636.0 at z = 0.099 is 456 Mpc. All uncertainties are quoted at the 90% level unless otherwise stated.

#### 2. X-RAY DATA ANALYSIS

## 2.1. Swift

After the initial detection of the X-ray source, we requested follow up observations with *Swift* with both the XRT and Ultraviolet/Optical Telescope (UVOT) instruments, initially with a cadence of a few days, then a few times a month. In addition to the initial detection in

the first XRT observation (obsID 00032818012), Swift has observed and detected the transient in the X-rays 27 times. Previous to this, Swift observed the position of the source 17 times, 12 times in 2013 and 5 times in 2016 where the source was not detected in X-rays. We analyze all Swift observations here.

We extracted events of the source using the HEASOFT v6.25 tool XSELECT (Arnaud 1996). Source events were selected from a circular region with a 25" radius centered on the above coordinates, and a background region consisting of a larger circle external to the source region was used to extract background events. For each source spectrum, we constructed the auxiliary response file (ARF) using xrtmkarf. The relevant response matrix file (RMF) from the CALDB was used. All spectra were grouped with a minimum of 1 count per bin.

We used the Heasoft tool XSPEC to calculate background-subtracted count rates in the 0.3–10 keV band. The XRT lightcurve is shown in Figure 1. For observations where a source has zero total counts, we estimate the 90% upper limit on the count rate using a typical background count rate of  $7\times10^{-5}$  counts s<sup>-1</sup> and Poisson statistics. At peak, the transient event was detected at a brightness two orders of magnitude greater than these upper limits.

Subsequently, we fitted the spectra with an absorbed power-law model, tbabs\*ztbabs\*powerlaw in XSPEC, where the tbabs model accounts for absorption in our Galaxy, fixed at  $9.8 \times 10^{-19}$  cm<sup>-2</sup> (HI4PI Collaboration et al. 2016), and ztbabs accounts for absorption at the redshift of the source and is left as a free parameter. The X-ray spectrum of the source as initially measured by XRT is consistent with a power-law with photon index  $\Gamma = 2.7 \pm 0.4$  and no evidence of absorption in addition to the Galactic value. Figure 2 shows the variation in  $\Gamma$  over time, overplotted with binned averages (bins contain 5 observations each). There is no evidence of X-ray spectral evolution from the observations reported here.

The observed (absorbed) 0.3–10 keV flux as measured by XRT is  $5\times10^{-12}~{\rm erg\,cm^{-2}\,s^{-1}}$ , which corresponds to a luminosity of  $1\times10^{44}~{\rm erg\,s^{-1}}$ at a distance of 456 Mpc. Assuming this model, the upper limit on the X-ray luminosity prior to the transient was  $\sim10^{42}~{\rm erg\,s^{-1}}$ , corresponding to a >2 order-of-magnitude increase in the X-ray luminosity.

In addition to the XRT data, Swift also observed the source with its UVOT instrument, which has six filters, UVW2 (central wavelength  $\lambda = 1928$  Å), UVW2 ( $\lambda = 2246$  Å), UVW1 ( $\lambda = 2600$  Å), U ( $\lambda = 3465$  Å), U ( $\lambda = 4392$  Å), and U ( $\lambda = 5468$  Å). In order to extract the photometry from the UVOT data, we used the tool uvotsource, using circular regions with a 5"

radius. Not every observation is taken with all six filters, however. We show the XRT and UVOT lightcurves in Figure 1. While a UVOT source was clearly seen prior to the 2020 observations, likely emission from the host galaxy, a small increase in brightness measured by UVOT can be seen in the 2020 observations, though it is much weaker than seen in the X-rays. Also shown in Figure 1 are data from ZTF, which are described in Section 3.

#### 2.2. NuSTAR

In order to study the hard X-ray emission from the transient, we obtained Director's Discretionary Time observation on the Nuclear Spectroscopic Telescope Array (NuSTAR, obsID 90601606002, Harrison et al. 2013), which took place on 2020 February 13, 8 days after the X-ray transient was first detected by Swift. We used the HEASOFT (v6.27) tool nuproducts with default parameters to extract the NuSTAR spectrum. We used a circular region with a radius of 50", centered on the peak of the emission to extract the source and a region with 100" radius to extract the background. The exposure time after filtering was 51.9 ks, from which the source was detected above background in each detector up to  $\sim$ 15 keV, with a count rate of 0.01 counts s<sup>-1</sup> in the 3–15 keV band.

## 2.3. Chandra

On 2020 February 16 and 29, 11 and 24 days after the initial Swift detection respectively, SDSS J143359.16+400636.0 was also serendipitously observed by Chandra (obsIDs 23171 and 23172, Weisskopf 1999) for 10 ks each exposure. These observations also targeted SN 2020bvc (Ho et al. 2020). This allowed us to obtain a better position of the source than Swift/XRT provided, and a higher signal-to-noise spectrum.

In order to determine the position of the transient, we first ran the CIAO tool wavdetect on the observations to obtain lists of positions for all sources in the *Chandra* FoV. Wavelet scales of 1, 2, 4, 8, and 16 pixels and a significance threshold of  $10^{-5}$  were used. A total of 41 and 40 X-ray sources were detected in each observation, respectively.

We then cross-correlated the Chandra source lists with the Gaia DR2 catalog (Gaia Collaboration et al. 2018) to obtain the astrometric shifts. First we filtered to Gaia sources within 1" of the X-ray sources, excluding the transient itself, which left five Chandra/Gaia sources from both obsIDs. We define the astrometric shifts as the mean difference in RA and Dec between these matched sources. For obsID 23171,  $\delta RA = -0.10 \pm 0.33$ " and  $\delta Dec = +0.55 \pm 0.28$ ", and for obsID 23172,  $\delta RA = +0.28 \pm 0.40$ " and  $\delta Dec = +0.01 \pm 0.38$ ".

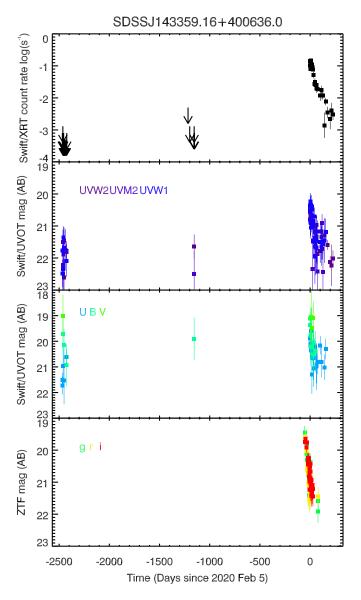
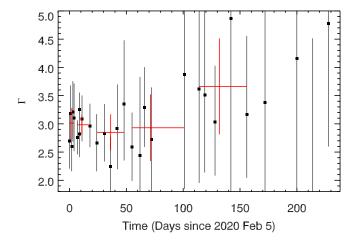


Figure 1. Long-term lightcurve of SDSS J143359.16+400636.0, from all Swift/XRT, Swift/UVOT, and ZTF observations. On 2020 February 5 a bright X-ray source was detected with a count rate 2 orders of magnitude greater than previous upper limits (shown by downward pointing arrows). The host galaxy was seen in the UVOT data prior to the transient, so only a small increase in brightness was measured, and it was much less than seen in the X-rays. The ZTF data are from difference imaging, hence the host galaxy has been subtracted, and show the transient was detected in the optical  $\sim 60$  days before Swift detected it in the X-rays.

Having applied the astrometric shifts to the *Chandra* source catalog, the position of the X-ray source from obsID 23171 is R.A. = 14h 33m 59.170s, Decl.= $+40^{\circ}$  06′ 36.18″ (J2000), with an astrometric uncertainty of



**Figure 2.** The power-law index,  $\Gamma$ , of the fit to the Swift/XRT data as a function of time (black data points). Also shown are binned averages where bins contain 5 observations each (red data points).

0.741 from the residual offsets with the Gaia catalog. From obsID 23172 the position is R.A. = 14h 33m 59.170s, Decl.= $+40^{\circ}$  06′ 36.10″ (J2000), with an astrometric uncertainty of 0.737 from the residual offsets with the Gaia catalog. The Gaia position of the nucleus is R.A. = 14h 33m 59.170s, Decl.= $+40^{\circ}$  06′ 36.05″ (J2000). Figure 3 shows the PanSTARRS image of SDSS J143359.16+400636.0, with the Gaia position of the nucleus shown with respect to the Chandra position of the X-ray source, which is coincident.

Also shown in Figure 3 is the position of ZTF19acymzwg, a candidate optical transient source detected in the  $g,\ r,$  and i bands by the ZTF on 2019 December 14, 53 days prior to the detection of the X-ray transient by Swift/XRT. We describe the analysis of the ZTF data fully in Section 3, including an updated position for the transient of RA=14h 33m 59.17s and Dec=+40° 06′ 36.1″ with a  $1\sigma$  positional uncertainty of 0.29″. ZTF19acymzwg is likely related to the X-ray transient one since their positions consistent with each other within the uncertainties.

We used the CIAO v4.11 tool SPECEXTRACT to extract the spectrum of the source from both obsIDs, using an elliptical region with a semi-major axis of 7.7" and a semi-minor axis of 4.4". We used this shape and size due to the source being off axis where the PSF is larger and elongated. Background events were extracted from a nearby region. The source was detected in the  $\sim\!10$  ks observations with a count rate of  $1.45\pm0.03\times10^{-1}$  counts s $^{-1}$  and  $7.9\pm0.3\times10^{-2}$  counts s $^{-1}$  respectively in the 0.5–8 keV band in the ACIS-S detector. There is clear evidence for a drop in flux over the 13-day pe-

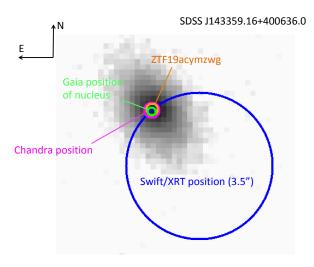


Figure 3. PanSTARRS *i*-band image of the galaxy SDSS J143359.16+400636.0, where the green circle shows the Gaia position of the nucleus. The position of the X-ray transient detected by Swift/XRT is shown with a blue circle where the radius represents the 3.5" uncertainty (90% confidence), which does not clearly place the source in the galaxy. The more accurate position provided by Chandra obsID 23172 is shown with a magenta circle ( $1\sigma$  confidence), and identifies the transient with the nucleus of the galaxy. The orange circle shows the position of the related ZTF transient ( $1\sigma$  confidence).

riod between *Chandra* observations. Intra-observational lightcurves of the *Chandra* observations were also extracted, binning on various time scales, though none of these showed significant count rate variability during the observations.

We jointly fitted the NuSTAR spectra with both Chandra spectra in XSPEC using the C-statistic and a cross-calibration constant included to account for cross-calibration uncertainties and flux variability. The spectra are plotted in Figure 4, which shows that they are well described by a simple absorbed powerlaw (constant\*zTbabs\*powerlaw) over the 0.5-15 keV range, with  $N_{\rm H} = (9 \pm 5) \times 10^{20} \ {\rm cm}^{-2}$  and  $\Gamma = 2.9 \pm 0.1$ , where C = 847.66 with 883 DoFs. The absorption measured is in excess of the Galactic value  $9.8 \times 10^{-19}$  ${\rm cm}^{-2}$  and is therefore attributable to the host. The cross-calibration constant for NuSTAR FPMA,  $C_{\text{FPMA}}$ , is fixed to unity, while  $C_{\rm FPMB}$  is fixed to 1.04 (Madsen et al. 2015). The constants for Chandra are  $C_{23171}$  =  $1.36^{+0.18}_{-0.16}$  and  $C_{23172} = 0.91 \pm 0.05$ . The 0.5–15 keV flux, as measured 2020 February 13, 8 days after the Xray transient was first detected by Swift, is  $4.0 \times 10^{-12}$ erg cm<sup>-2</sup> s<sup>-1</sup>, which corresponds to a luminosity of

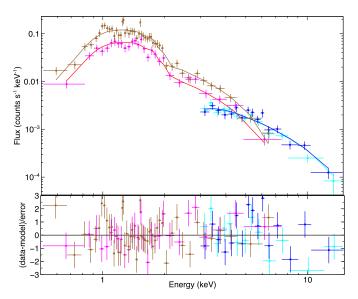


Figure 4. Chandra obsID 23171 (brown), obsID 23172 (magenta), NuSTAR FPMA (blue) and FPMB (cyan) spectra of the X-ray transient in SDSS J143359.16+400636.0, taken 8–24 days after the Swift/XRT detection. The data are consistent with an absorbed power-law, with a constant to account for flux variability between data sets, plotted here as solid lines. The data have been binned for plotting clarity.

Table 1. X-ray spectral fitting results

Parameter	Result
$\overline{N_{ m H}}$	$(9 \pm 5) \times 10^{20} \text{ cm}^{-2}$
$\Gamma$	$2.9 \pm 0.1$
Normalization	$(1.2 \pm 0.2) \times 10^{-3}$
$F_{\rm X}~(0.5-15~{\rm keV})$	$4.0^{+0.2}_{-0.4} \times 10^{-12} \text{ erg cm}^{-2} \text{ s}^{-1}$
$L_{\rm X}~(0.515~{\rm keV})$	$9.8^{+0.2}_{-0.4} \times 10^{43}  \mathrm{erg  s^{-1}}$
$C_{ m FPMA}$	1.0 (fixed)
$C_{ m FPMB}$	1.04 (fixed)
$C_{23171}$	$1.36^{+0.18}_{-0.16}$
$C_{23172}$	$0.91 \pm 0.05$
C-statistic	847.66
DoFs	883

NOTE—Results from the fit of an absorbed powerlaw to the NuSTAR and Chandra spectra of the X-ray transient in SDSS J143359.16+400636.0 as measured 2020 February 13, 8 days after the X-ray transient was first detected by Swift.

 $9.8 \times 10^{43}~{\rm erg\,s^{-1}}$  at a distance of 456 Mpc. These X-ray spectral fitting results are summarized in Table 1.

#### 2.4. eROSITA

Khabibullin et al. (2020) reported via The Astronomer's Telegram (#13494) the detection by

Spectrum-Roentgen-Gamma (SRG)/eROSITA of a very bright X-ray source, SRGet J143359.25+400638.5, centered on SDSS J143359.16+400636.0 on 2019 December 27, 40 days prior to the detection of the transient with Swift/XRT. The reported 0.3–8 keV flux was  $6.5 \times 10^{-12}$  ${\rm erg}\,{\rm cm}^{-2}\,{\rm s}^{-1}$ , with no reported variability over the 11 individual scans with an interval of 4 hours. This reported flux is almost the same flux that Swift/XRT measured, suggesting that the X-ray flux of the source remained approximately constant for at least 40 days prior to the detection by Swift/XRT, before declining, or rose and fell, or vice versa. The X-ray spectrum was reported to be soft and described by a disk black body spectrum with a temperature of 0.29 keV. We simulate a spectrum with these model parameters and fit with a power-law model, which yields  $\Gamma = 2.9$ , which is the same as measured by Swift/XRT, indicating that no spectral evolution took place between the eROSITA detection and the Swift/XRT one. The authors suggested an association with ZTF19acymzwg which we confirm here.

## 3. ZWICKY TRANSIENT FACILITY

ZTF is an optical time-domain survey that uses the Palomar 48-inch Schmidt telescope with a  $48 \, \mathrm{deg^2}$  field of view and scans more than  $3750 \, \mathrm{deg^2}$  an hour to a depth of 20.5 mag (Bellm et al. 2019; Graham et al. 2019; Masci et al. 2019). As described in Section 2.3, the candidate optical transient ZTF19acymzwg was detected in the g, r, and i bands by ZTF on 2019 December 14, 53 days before the detection with Swift/XRT. Previous to this date, the field was observed on 2019 October 5 and the transient was not detected in any filter.

First, in order to determine the position of the transient we use The Tractor (Lang et al. 2016) to forward model the host galaxy profile and the transient point source position. The Tractor forward models in pixel space by parametrizing a sky noise and point spread function model for each image and modeling this simultaneously with each source's shape, flux and position. We apply the modeling to 49 g, r and i-band ZTF images with limiting magnitude > 21.5 taken from 2019 December 29 to 2020 March 28 when the transient is bright in these bands. We find that the galaxy is best modeled by an exponential profile and that the transient point source position is given by RA=14h 33m 59.17s and Dec=+40° 06′ 36.1″ with a  $3\sigma$  positional uncertainty of 0.61''

Once we obtained the position of the transient, we produced ZTF lightcurves using the ZTF forced-photometry service (Masci et al. 2019) to produce difference-imaging photometry at the best-fit transient position across all ZTF images of the field taken between

2018 March 21 and 2020 May 11. We found no evidence for nuclear activity before the flare. The ZTF difference magnitudes are plotted in Figure 1, along with the *Swift* lightcurve.

## 4. KECK/LRIS OPTICAL SPECTROSCOPY

We obtained an optical spectrum of the host galaxy nucleus with Keck/LRIS (Oke et al. 1995) on 2020 February 18, 13 days after the initial Swift detection. The data were acquired using a standard long slit mode using a 1" slit on both the red and blue sides under good seeing conditions. The spectra were reduced using standard long slit reduction procedures, including flat-fielding, wavelength calibration using arcs and flux calibration using a standard star as implemented in the lpipe package (Perley 2019). The spectrum in shown in Figure 5.

We proceeded to fit the Keck/LRIS spectrum in order to determine the velocity dispersion from the stellar absorption lines and the fluxes of the emission lines. We applied Penalized Pixel-Fitting (Cappellari & Emsellem 2004; Cappellari 2017) to the spectrum which finds the velocity dispersion of stellar absorption lines using a large sample of high spectral resolution templates of single stellar populations adjusted to match the resolution of the input spectrum. We simultaneously fitted the narrow  $H\alpha$ ,  $H\beta$ ,  $H\gamma$ ,  $H\delta$ , [SII] 6717, 6731, [NII] 6550, 6585, [O<sub>I</sub>] 6302, 6366 and [O<sub>III</sub>] 5007, 4959 emission lines during template fitting. The emission line fluxes were each fit as free parameters but the line widths of the Balmer series were tied to each other, as were the line widths of the forbidden lines. We show the best fit model to the Keck/LRIS spectrum, including both the emission line component and the stellar continuum component, in Figure 5. The redshift of the galaxy was also determined to be 0.099.

The velocity dispersion of the stellar absorption lines was determined to be  $213 \pm 12 \text{ km s}^{-1}$ . We used this to calculate the black hole mass from the  $M_{\rm BH}$ - $\sigma_*$  relation, using the fit to the reverberation-mapped AGN sample from Woo et al. (2013), and the following formula,  $\log(M_{\rm BH}/M_{\odot}) = \alpha + \beta \log(\sigma_*/200 \text{ km s}^{-1})$ , where  $\alpha = 7.31 \pm 0.15$  and  $\beta = 3.46 \pm 0.61$ . The intrinsic scatter of this relation is  $\epsilon = 0.41 \pm 0.05$ . This yielded  $\log(M_{\rm BH}/M_{\odot}) = 7.41 \pm 0.41$ .

We then plotted the emission line ratios  $[O\,\textsc{iii}]/H\beta$  and  $[N\,\textsc{ii}]/H\alpha$  in Figure 6, along with the diagnostic lines from Kewley et al. (2001) and Kauffmann et al. (2003) to determine the excitation mechanism of the narrow lines. The line ratios place the nucleus of SDSS J143359.16+400636.0 in the LINER region of this diagnostic diagram, almost at the border of the Seyfert

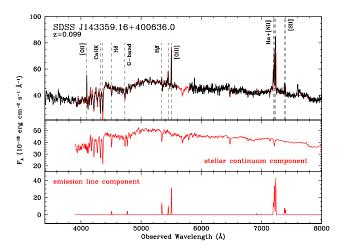


Figure 5. Keck/LRIS spectrum of the nucleus of SDSS J143359.16+400636.0 (top) taken on 2020 February 18 (black), 13 days after the X-ray transient was detected by Swift. Key emission lines are labelled. The model fit to the spectrum is underplotted (red), consisting of a stellar continuum component (middle) and an emission line component (bottom).

region. The stellar absorption template fitting predicted strong  $H\beta$  absorption, which is why we see a high  $[O\,III]/H\beta$  ratio in the initial spectrum. The lack of broad lines classifies the nucleus as a type 2 LINER. Also plotted on Figure 6 are the line ratios of nine optically-and radio-selected TDE hosts (Law-Smith et al. 2017; French et al. 2016, 2017; Mattila et al. 2018; Anderson et al. 2019) along with SDSS galaxies for comparison. The TDE-host and galaxy emission line flux data have been taken from the SDSS DR7 MPI-JHU catalog<sup>1</sup>, where the stellar absorption-line spectra have also been subtracted before measurement (Kauffmann et al. 2003; Tremonti et al. 2004).

Since the narrow lines are produced in the narrow line region, which can be kiloparsecs from the SMBH (e.g. Chen et al. 2019), this tells us that SDSS J143359.16+400636.0 had low-level AGN activity some time before the onset of the X-ray transient. To determine the spatial extent of the narrow line region in SDSS J143359.16+400636.0, we analyzed the 2-dimensional Keck/LRIS spectrum, taken when there was mean seeing of 0.93''. This shows the galaxy emission had a spatial extent of  $\sim 5.2''$ . We extracted a spectrum from each edge of the galaxy which were separated by a 2.4'' gap and each extraction region had a width of 1.4''. In

<sup>&</sup>lt;sup>1</sup> https://wwwmpa.mpa-garching.mpg.de/SDSS/DR7/

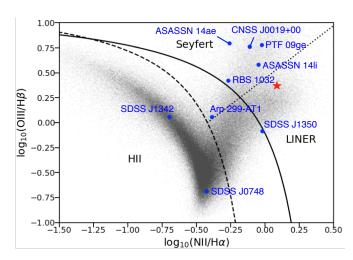


Figure 6. Emission line ratio diagnostic diagram showing where SDSS J143359.16+400636.0 (red star) lies with respect to the Seyfert, LINER and star-forming (HII) regions. The nucleus lies in the LINER region, indicating that AGN activity was present, at least at a low level, before the onset of the transient. For comparison, data from SDSS on optically-and radio-selected TDE hosts are shown as blue circles and labelled, and other galaxies are shown in gray, where the stellar absorption-line spectrum has been subtracted.

both edge spectra, we located narrow line emission from the [O III] 5007Å, 4959Å doublet. This suggests that the narrow line emission has a spatial extent of  $\sim 2.4''.$  Given the scale of 1.831 kpc/" at this redshift under our assumed cosmology, this implies the narrow lines were produced at a projected distance of 4.4 kpc, and that they were illuminated at least 10,000 years prior to the transient.

The flux of the [O III] line is  $3.78 \pm 0.15 \times 10^{-16}$  erg cm<sup>-2</sup> s<sup>-1</sup>. From an investigation of the relationship between X-ray and optical line emission in 340 Swift/BAT-selected AGN (Berney et al. 2015), the [O III] flux expected from the 2–10 keV flux of  $10^{-12}$  erg cm<sup>-2</sup> s<sup>-1</sup>, the peak X-ray flux measured by Swift/XRT, is in the range of  $10^{-15}$ – $10^{-13}$  erg cm<sup>-2</sup> s<sup>-1</sup>, higher than what we measure. The lower than expected [O III] flux we measure indicates that the AGN was at a low luminosity prior to the transient. This is also consistent with the upper limits on the X-ray luminosity of the nucleus prior to the transient, which at  $\sim 10^{42}$  erg s<sup>-1</sup>, is relatively low for an AGN.

#### 5. KARL G. JANSKY VERY LARGE ARRAY

We carried out radio observations with the Karl G. Jansky Very Large Array (VLA) through Director's Discretionary Time (project code VLA/20A-579, PI: Mooley) on 2020 August 2, 180 days after the detection by *Swift*. Data were obtained at C band in the 3-bit mode

of the WIDAR correlator to get a contiguous frequency coverage between 4–8 GHz. Standard VLA calibrator sources 3C286 and J1416+3444 were used to calibrate the flux/bandpass and phases respectively. The data were processed using the NRAO CASA pipeline and imaged using the clean task in CASA.

We did not detect any radio source at the location of the transient, and place a  $3\sigma$  upper limit of  $28~\mu Jy$  on the 6 GHz flux density. We can therefore place an upper limit of  $4\times 10^{37}\,{\rm erg\,s^{-1}}$  on the radio luminosity at a distance of 456 Mpc. The closest X-ray observation in time to the VLA one was by Swift/XRT on 2020 July 27 (obsID 00013265017), where we measured a 0.3–10 keV luminosity of  $3.8\times 10^{42}\,{\rm erg\,s^{-1}}$ . The X-ray-to-radio luminosity ratio is therefore  $> 10^5$ . Comparing our radio upper limit with the radio emission seen in jetted TDEs (e.g. Alexander et al. 2020), we can rule out the presence of a relativistic jet.

#### 6. LIGHTCURVE FITTING

After the initial detection by Swift, the lightcurve of the transient appeared to monotonically decline in flux, shown by Swift, NuSTAR, Chandra, and ZTF. In order to infer more details regarding the nature of the source, we fitted the lightcurve of the source in each band with a power-law model,  $F = A(t - t_0)^n + C$ , where F is the observed flux density of the source, A is a normalization constant, t is the time in days since the transient was first detected by Swift (2020 February 5),  $t_0$ is the inferred start time of the event in days, and n is the power-law index. C is a constant which represents the underlying emission from the galaxy in UVOT data only, and set to zero for the XRT data since no X-ray emission is seen from the galaxy, and set to zero for the ZTF data since the galaxy has already been subtracted in these data. We determine the underlying emission from the galaxy in the UVOT data by averaging over the photometry measured previous to the detection of the transient.

We calculate the 2 keV monochromatic flux density as measured from the power-law model fit to the NuSTAR and Chandra data with the  $N_{\rm H}$  and  $\Gamma$  parameters fixed to their best-fit values. We use the UVOT flux densities as produced by uvotsource, and the ZTF difference imaging fluxes.

We show a fit to the Swift/XRT, Swift/UVOT, and ZTF lightcurves in Figure 7, and Figure 8 shows the  $\chi^2$  contours of  $t_0$  vs. n. We find that in X-rays, to  $1\sigma$ , the power-law index is consistent with -1.1 > n > -1.9, with a best fit of n = -1.7. In the UV and optical bands, the data are not as constraining and are consistent with the X-ray with e.g. -1.1 > n > -2.2 for UVW2. There

Table 2. Lightcurve fitting results

Band	n	$t_0$
X-ray (2 keV)	$-1.7^{+0.2}_{-0.1}$	$-30^{+10}_{-5}$
UV (UVW2)	$-1.9^{+0.5}_{-0.1}$	$-80^{+40}_{-20}$
Optical (i)	$-1.6^{+0.2}_{-0.1}$	< -90

NOTE—Results from the fit of a powerlaw decline model to the X-ray, UV, and optical lightcurves of the transient in SDSS J143359.16+400636.0.

are indications that the transient in the UVW2 band started prior to the X-rays, where  $-45 < t_0 < -5$  for X-rays and  $-100 < t_0 < -20$  for UVW2, although their  $1\sigma$  confidence intervals are overlapping. While the  $t_0$  constraints from the X-rays are consistent with the eROSITA detection at t=-40 days, the eROSITA flux measurement is clearly not consistent with the fit to the Swift lightcurve, as seen in Figure 7.

For the ZTF data, we find that the power-law index is consistent with -2.0 < n < -1.2, and therefore with the X-ray and UV constraints, but  $-100 < t_0 < -70$ , which is consistent with the UV constraints, but not the X-ray ones. The transient was first detected by ZTF at t=-53, but could have started as early as t=-123 due to an observing gap. The average best fit of  $t_0$  in the g and r bands is -70 days. If the start time of the optical transient was t=-53, then it would be marginally consistent with the X-ray constraints for  $t_0$ , but in conclusion, we do not have good constraints on when the transient started, neither in X-ray nor in the optical/UV. We summarize the lightcurve fitting results in Table 2.

We then assume that the optical, UV and X-ray transients had the same start time. We do this by fixing  $t_0$  to -70 days in all our lightcurve fits which is the best constraint from ZTF. This best-fit is shown as a dashed line in Figure 7 which shows it as fitting the UVOT data well. In the X-rays, it under-predicts the XRT data between 0–50 days, with a flatter power-law index, n=-1.5. Interestingly, this model matches the eROSITA flux better.

#### 7. SED FITTING

The Swift/UVOT and ZTF data in combination with the Chandra and NuSTAR spectra allow us to construct a broadband SED of the source. Since the Swift/UVOT data include emission from the host galaxy, we used the photometry inferred by the model fitting described above in Section 6. This naturally accounts for the host galaxy emission underlying the source which is assumed to be constant. The photometric errors were calculated

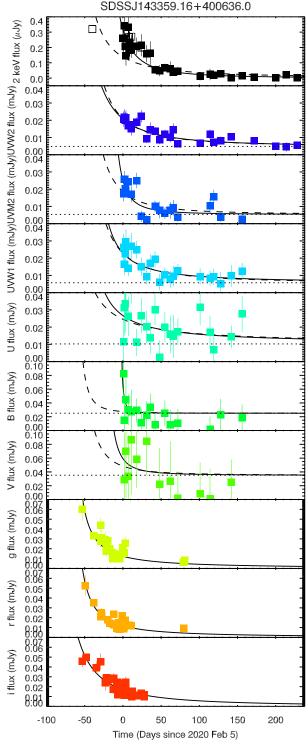
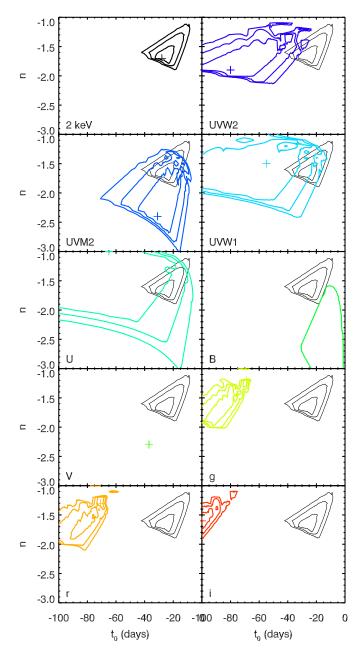


Figure 7. Swift/XRT (2 keV), Swift/UVOT (UVW2, UVM2, UVW1, U, B, and V), and ZTF (g, r, and i) lightcurves of the X-ray transient in SDSS J143359.16+400636.0. Solid black lines represent fits to the data with a power-law model where all fit parameters are free to vary. Dashed black lines represent fits where the start time of the transient has been fixed to -70 days. Open squares in the X-ray lightcurves are the data points from eROSITA, NuSTAR, and Chandra which were not used to fit the lightcurve. Black dotted lines show the quiescent flux from the galaxy in the Swift/UVOT filters before detection of the X-ray transient.



**Figure 8.** 1, 2, and  $3\sigma$   $\chi^2$  contours of the fits to the Swift/XRT, Swift/UVOT and ZTF lightcurves. Crosses mark the  $\chi^2$  minimum. The X-ray contours, plotted with black lines, are over-plotted on the optical/UV ones for comparison.

by fixing all model parameters with the exception of the normalization. The SED is shown in Figure 9.

In order to fit the broadband SED, we converted the UVOT and ZTF fluxes into a PHA (pulse height amplitude) file using the tool FTFLX2XSP so that it can be loaded into XSPEC. We used the time of the NuS-TAR observation to calculate the UVOT photometry

and take the closest ZTF data. Using XSPEC and the  $\chi^2$  statistic for spectral fitting, we find that the ZTF and Swift/UVOT data alone can be well described by a powerlaw model,  $F_{\gamma} = AE^{-\Gamma}$  where  $F_{\gamma}$  is the photon flux in units of photons cm<sup>-2</sup> s<sup>-1</sup> keV<sup>-1</sup>, A is a normalization constant, E is the photon energy in keV, and  $\Gamma$  is the powerlaw index. For this model, we find  $\Gamma = 1.01^{+0.41}_{-0.56}$ , where  $\chi^2 = 2.42$  with 6 degrees of freedom. The 0.002–0.01 keV flux is  $2.2 \times 10^{-13}$  erg cm<sup>-2</sup> s<sup>-1</sup>, which corresponds to a luminosity of  $5.1 \times 10^{42}$  erg s<sup>-1</sup> at a distance of 456 Mpc, and is a factor of  $\sim 20$  lower than the 0.5–15 keV luminosity measured at the same time (Section 2.2).

The  $\Gamma=1.0$  observed in the UVOT data is much flatter than the  $\Gamma=3.0$  observed in the X-ray band. The simplest model to fit the full SED is a broken powerlaw model where the break occurs at 1 keV, which yields a good fit where  $\chi^2=114.62$  with 113 DoFs.

We then tried fitting a more physically motivated models, specifically a standard accretion disk model, diskbb in XSPEC (e.g. Mitsuda et al. 1984; Makishima et al. 1986). However this model does not produce a good fit, where  $\chi^2/\text{DoF}=2093.68/120$ , fitting the ZTF and Swift/UVOT data well, but severely underpredicting the X-ray data.

We then introduced a scattered powerlaw in addition to the diskbb model, using the simpl model (Steiner et al. 2009). The simpl model is an empirical convolution model of Comptonization in which a fraction of the photons in an input seed spectrum, in this case the disk black body model, is up-scattered into a power-law component. In XSPEC this is written as simpl\*diskbb. This model accounts for the excess X-rays well, which significantly improves the model fit to  $\chi^2/\text{DoF}=129.40/118$ . The best fit parameters of the disk model are an inner disk temperature of  $T_{\rm in}=0.063^{+0.003}_{-0.007}~\text{keV}~(7.3^{+0.3}_{-0.8}\times10^5~\text{K})$  and a normalization of  $N=3.7^{+1.4}_{-1.0}\times10^4$ . The parameters of the scattered powerlaw are  $\Gamma=3.2\pm0.1$  with a scattered fraction,  $f_{\rm scatt}>0.35$  (unconstrained at the upper end). We summarize the SED fitting results in Table 3.

In addition to ruling out a relativistic jet from this source from the non-detection of radio emission, models of synchrotron emission, such as srcut and sresc in XSPEC can reproduce the ZTF and Swift/UVOT data, but have too much curvature in the X-ray band to fit the overall SED well. A Bremsstrahlung model, such as bremss, also does not fit the spectrum well, being too steep for the ZTF and Swift/UVOT data and with too much curvature in the X-ray band. We therefore adopt the simpl\*diskbb as our best-fit model.

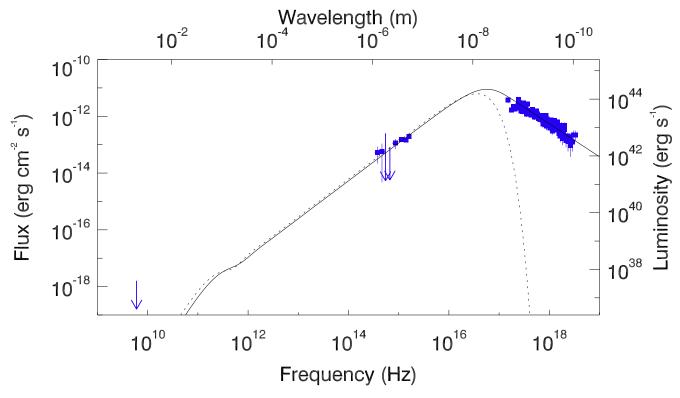


Figure 9. The SED of the transient in SDSS J143359.16+400636.0 (blue data points), 8 days after it was detected by *Swift*, showing data from VLA, ZTF, *Swift*/UVOT, *Chandra*, and *NuSTAR*. The VLA data are from 172 days after the optical–X-ray data. Upper limits are shown with downward pointing arrows. The best-fit disk blackbody (diskbb, shown with a dashed line) plus powerlaw model is shown as a solid black line.

**Table 3.** SED fitting results

Parameter	Result
$T_{ m in}$	$0.063^{+0.003}_{-0.007} \text{ keV}$
Normalization	$3.7^{+1.4}_{-1.0} \times 10^4$
Γ	$3.2 \pm 0.1$
$f_{ m scatt}$	> 0.35
Flux (total)	$2.3 \pm 0.3 \times 10^{-11} \text{ erg cm}^{-2} \text{ s}^{-1}$
Luminosity (total)	$5.7 \pm 0.1 \times 10^{44}  \mathrm{erg  s^{-1}}$
$\chi^2$	129.40
DoFs	118

Note—Results from the fit of a disk black body plus scattered powerlaw model to the ZTF, Swift/UVOT, NuSTAR and Chandra data on the transient in SDSS J143359.16+400636.0 as measured 2020 February 13, 8 days after the X-ray transient was first detected by Swift.

In order to calculate the bolometric luminosity of the event, we integrated the flux of the unabsorbed/dereddened disk blackbody plus scattered power-law model over the 0.001–10 keV range. For the

data taken at 8 days after the X-ray transient was detected by Swift described above, this yields  $2.3 \pm 0.3 \times 10^{-11}~{\rm erg\,cm^{-2}\,s^{-1}}$ , which corresponds to a luminosity of  $5.7 \pm 0.1 \times 10^{44}~{\rm erg\,s^{-1}}$  at a distance of 456 Mpc. Given the black hole mass of  $\log(M_{\rm BH}/M_{\odot})=7.41\pm0.41$  as measured from the stellar velocity dispersion, the Eddington luminosity of the SMBH is  $3.1 \times 10^{45}~{\rm erg\,s^{-1}}$ , therefore the Eddington fraction at this time was  $\sim 10\%$ . However, if we extrapolate the data back to when ZTF first detected the transient, when it was approximately five times more luminous in the optical bands, this implies that the Eddington fraction could have reached as high as 50%, if not greater.

## 8. THE HOST GALAXY SDSS J143359.16+400636.0

SDSS J143359.16+400636.0 is listed in SDSS with magnitudes  $u=20.76,\ g=19.23,\ r=18.56,\ i=18.21,$  and z=17.98 (Alam et al. 2015), and in PanSTARRS with magnitudes  $g=18.72,\ r=19.36,\ i=18.97,$  z=18.87, and y=18.49 (Chambers et al. 2016). In the infrared, WISE measured  $W1=15.67,\ W2=15.43,$  W3=12.45, and W4<8.86, and in the UV GALEX measured NUV= 22.31 (Bianchi et al. 2011). As de-

scribed in Section 5, neither the transient nor the galaxy were detected in the radio, with a  $3\sigma$  upper limit of 28  $\mu$ Jy on the 6 GHz flux density. The VLA Faint Images of the Radio Sky at Twenty-cm (FIRST Becker et al. 1995) survey, which covered the region with a sensitivity of 1 mJy at 1.4 GHz, also did not detect the galaxy. No morphological type for the galaxy is reported. The WISE colors of W1–W2=0.24 are less than the W1–W2≥ 0.8 selection criterion of Stern et al. (2012) for AGN, meaning there was no evidence for the presence of a powerful AGN from the infrared in the galaxy prior to the X-ray transient. However, as described in Section 4, the optical line ratios revealed LINER activity in the nucleus.

This galaxy also has a companion galaxy, SDSS J143357.57+400647.3, which has an angular separation of 21" and has spectroscopic redshift of 0.0990 from SDSS. This angular distance corresponds to a projected separation of 38 kpc at this redshift meaning that the two galaxies are likely interacting. The companion is brighter and visually larger on the sky, implying it is the more massive of the two.

# 9. THE NATURE OF THE X-RAY TRANSIENT IN SDSS J143359.16+400636.0

The X-ray transient in SDSS J143359.16+400636.0, with a peak luminosity of  $\sim 10^{44}~{\rm erg\,s^{-1}}$  and spatially coincident with the nucleus of the galaxy, is likely caused by an AGN flare or a TDE. Such events can be challenging to distinguish from each other (Auchettl et al. 2018). We explore the likelihood of each scenario in the following sections.

#### 9.1. An AGN flare in SDSS J143359.16+400636.0?

One of the distinguishing features of the X-ray transient in SDSS J143359.16+400636.0 is that the X-ray spectrum is soft, with  $\Gamma \sim 3$ , and the spectral shape does not appear to vary with time, even as the source luminosity dropped by an order of magnitude (Figure 2). These properties are in contrast to typical AGN properties, where the mean spectral index is  $\Gamma = 1.8$  (e.g. Ricci et al. 2017), i.e. harder than observed for this transient. Furthermore, luminous AGN usually show spectral evolution with a softer when brighter behaviour (e.g. Sobolewska & Papadakis 2009; Auchettl et al. 2018), not seen for this source.

This softer when brighter behaviour for AGN also reveals itself in studies of the correlation between the X-ray power-law index,  $\Gamma$ , and the Eddington ratio,  $\lambda_{\rm Edd}$ , (e.g. Shemmer et al. 2006, 2008; Risaliti et al. 2009), but see Trakhtenbrot et al. (2017). For example, from a sample of 69 X-ray bright sources in the *Chandra* Deep Field

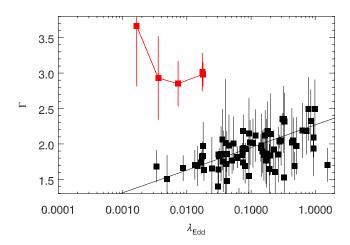


Figure 10. The X-ray power-law index,  $\Gamma$ , of the X-ray transient in SDSS J143359.16+400636.0 plotted against its Eddington ratio,  $\lambda_{\rm Edd}$ , and how it has varied over time (red data points). Data from a sample of AGN presented in Brightman et al. (2013) are plotted for comparison (black data points), along with the statistically significant correlation found between these quantities (black line). This shows that  $\Gamma$  is not consistent with this property of AGN, being too large for its  $\lambda_{\rm Edd}$ .

South and COSMOS surveys, Brightman et al. (2013) found that  $\Gamma = (0.32 \pm 0.05) \log_{10} \lambda_{\rm Edd} + (2.27 \pm 0.06)$ . Given the observed peak Eddington ratio of 10% that we have calculated,  $\Gamma$  is expected to be  $\sim 1.8$ , much lower than the value of 3 observed. We illustrate this in Figure 10 which shows the variation of  $\Gamma$  with  $\lambda_{\rm Edd}$  for the X-ray transient in SDSS J143359.16+400636.0 along with the AGN data from Brightman et al. (2013).

Furthermore, for AGN the bright quasar-like X-ray emission should be accompanied by bright UV emission, as predicted by the tight relationship between the X-ray and UV luminosities of quasars (e.g. Steffen et al. 2006; Lusso et al. 2010; Lusso & Risaliti 2016). Studies of this relationship usually parameterize these quantities by the monochromatic flux densities at 2 keV and 2500 Å. We use our fits to the lightcurve in Section 6 to calculate these quantities as a function of time and plot them on Figure 11. Also plotted are data from 743 quasars selected from SDSS and 3XMM (Lusso & Risaliti 2016), along with the relation  $\log L_{\rm 2keV} = 0.642L_{\rm 2500} + 6.965$  derived from them.

At the observed peak of the transient, the rest frame monochromatic flux at 2 keV was  $3.4\times10^{-30}$  erg cm<sup>-2</sup> s<sup>-1</sup> Hz<sup>-1</sup>, corresponding to a luminosity of  $8.4\times10^{25}$  erg s<sup>-1</sup> Hz<sup>-1</sup> at z=0.099, whereas the flux density at 2500Å as determined from our SED fit is  $1.7\times10^{-28}$  erg cm<sup>-2</sup> s<sup>-1</sup> Hz<sup>-1</sup>, corresponding to a luminosity of  $4.3\times10^{27}$  erg s<sup>-1</sup> Hz<sup>-1</sup> at this redshift.

Given the relation  $\log L_{\rm 2keV} = 0.642 L_{2500} + 6.965$  from 743 quasars selected from SDSS and 3XMM (Lusso & Risaliti 2016), the expected 2 keV luminosity of the X-ray transient in SDSS J143359.16+400636.0 given the measured 2500Å one is  $5.1 \times 10^{24}$  erg s<sup>-1</sup> Hz<sup>-1</sup> which an order or magnitude less luminous than measured, indicating that the X-ray transient in SDSS J143359.16+400636.0 does not exhibit the UV–X-ray properties of AGN.

However, the data from Lusso & Risaliti (2016) are from single epochs observations of mostly steady-state AGN which may not capture the properties of a flaring AGN which may be more appropriate. Auchettl et al. (2018) conducted a comparison between a sample of Xray TDEs and a sample of flaring AGN. The flaring AGN with most in common to SDSS J143359.16+400636.0 is Mrk 335, a narrow-line Seyfert galaxy at z = 0.025, whose flaring activity was revealed through long-term Swift observations (e.g. Gallo et al. 2018). In order to compare the X-ray to UV properties of the transient in SDSS J143359.16+400636.0 to an AGN flare, we take the Swift data presented in Gallo et al. (2018), and plot them on Figure 11. Here we have converted the XRT count rates to the 2 keV monochromatic flux density by assuming a power-law spectrum with  $\Gamma = 2$ , and we have used the UVW1 photometry to calculate the 2500Å monochromatic fluxes. The range in X-ray luminosity of the flare from Mrk 335 is comparable to that observed from SDSS J143359.16+400636.0, however the UV luminosity of the flare from Mrk 335 is  $\sim 2$  orders of magnitude higher. This indicates that the X-ray transient in SDSS J143359.16+400636.0 does not exhibit the UV-X-ray properties of this flaring AGN.

The presence of narrow emission lines in the optical spectrum with flux ratios common to LINER galaxies suggests that a low accretion rate AGN was present in this galaxy, at least 10<sup>4</sup> years prior to the transient this is how long it would have taken to illuminate the narrow line region located on kpc-scales from the SMBH. The galaxy would also not be selected as an AGN with its WISE colors of W1-W2=0.24, which is less than the  $W1-W2 \ge 0.8$  criterion of Assef et al. (2013). We also checked for historical AGN variability in the W1 and W2 bands by building a neoWISE (Mainzer et al. 2011) light curve between 2014 January 8 and 2019 June18 and found no evidence of prior variability. Furthermore, the AGN luminosity inferred from the [O III] flux is lower than expected from the current X-ray luminosity. Therefore while a low-luminosity AGN may have existed before the onset of this new activity, it is difficult to reconcile the X-ray and UV properties of this

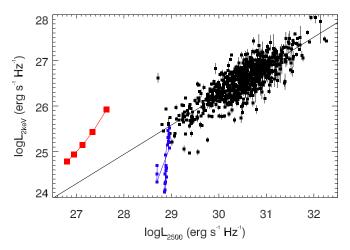


Figure 11. The 2 keV luminosity of the X-ray transient in SDSS J143359.16+400636.0, plotted against its luminosity at 2500Å as a function of time (red data points representing data taken on 2020 Feb 5 and every 30 days after that). Data from 743 quasars selected from SDSS and 3XMM presented in Lusso & Risaliti (2016) are plotted for comparison (black data points), along with the statistically significant correlation they found between these quantities (black line). Also shown are data from a flare from the AGN Mrk 335 (blue points). These show that the X-ray luminosity of the transient is not consistent with the X-ray-UV properties of quasars, being too large for its UV luminosity.

transient with the properties of the general AGN population, or indeed an AGN flare.

## 9.2. A TDE in SDSS J143359.16+400636.0?

The alternative solution is that this transient was a TDE. Auchettl et al. (2017) presented a comprehensive analysis of the X-ray emission from TDEs, and in Auchettl et al. (2018) they conducted a comparison between the X-ray properties of X-ray TDEs to flaring AGN. Auchettl et al. (2017) stipulated several criteria for identifying an X-ray transient as a TDE. The ones which SDSS J143359.16+400636.0 satisfies are that the X-ray light curve has a well defined shape and observable trend with several observations prior to the flare; the general shape of the X-ray light curve decay is monotonically declining; the maximum luminosity detected from the event is at least two orders of magnitude larger than the X-ray upper limit immediately preceding the discovery of the flare; over the full time range of X-ray data available for the source of interest, the candidate TDE shows evidence of X-ray emission from only the flare, while no other recurrent X-ray activity is detected; the X-ray flare is coincident with the nucleus of the host galaxy.

One further criterion states that the X-ray light curve shows a rapid increase in X-ray luminosity, which then declines on time-scales of months to years. While the decline on time-scales of months was observed, the rise of the X-ray transient in SDSS J143359.16+400636.0 was not. eROSITA detected the transient 40 days prior to Swift, but prior to that, the nearest X-ray observation to that was 4 years earlier, also by Swift. The eROSITA measurement is also not consistent with the  $t^{-5/3}$  as measured by Swift, but it is possible that this was part of the rise, and that the source peaked and declined before Swift detected it, or the lightcurve initially exhibited a plateau. This was seen in ASASSN-14li, where the Xray lightcurve was constant for the first  $\sim 100$  days, after which is followed the  $t^{-5/3}$  decline (van Velzen et al. 2016; Holoien et al. 2016; Brown et al. 2017).

Furthermore, Auchettl et al. (2017) stipulate that based on its optical spectrum or other means, one finds no evidence of AGN activity arising from its host galaxy. We find LINER-like line ratios in the optical spectrum of SDSS J143359.16+400636.0, indicating low-level AGN activity prior to the event, so SDSS J143359.16+400636.0 does not strictly satisfy this criterion. However, we note that several other TDEs have shown indications of prior AGN activity, including ASASSN-14li, as determined from a radio detection and a narrow [O III] line (van Velzen et al. 2016), and those shown in Figure 6.

Finally, we compare the optical/UV and X-ray luminosities of the X-ray transient in SDSS J143359.16+400636.0 to those presented for the X-ray TDEs in Auchettl et al. (2017) in Figure 12. This shows that the X-ray luminosity with respect to the optical/UV luminosity for SDSS J143359.16+400636.0 is consistent with other X-ray TDEs, albeit that these events present more diverse properties than AGN.

In their comparison between the X-ray properties of X-ray TDEs to flaring AGN, Auchettl et al. (2018) noted the lack of X-ray spectral evolution in TDEs, whereas AGN often show significant spectral evolution, as we showed in the previous section. We therefore find that since the source satisfies most of the criteria for classifying X-ray TDEs set out by Auchettl et al. (2017), and that the X-ray and UV properties of the X-ray transient in SDSS J143359.16+400636.0 are more comparable to known TDEs than AGN, we conclude that the transient likely is powered by a TDE.

10. THE X-RAY TRANSIENT IN SDSS J143359.16+400636.0 IN THE CONTEXT OF TDES

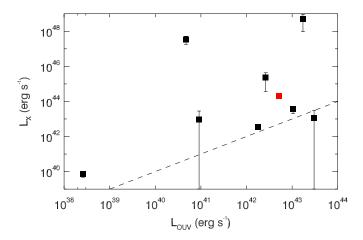


Figure 12. The X-ray luminosity of the X-ray transient in SDSS J143359.16+400636.0, plotted against its optical/UV luminosity (red data point). Data from a sample of X-ray TDEs presented in Auchettl et al. (2017) is plotted for comparison (black data points). The dashed black line marks where the two quantities are equal. The X-ray luminosity with respect to the optical/UV luminosity for SDSS J143359.16+400636.0 is consistent with other X-ray TDEs.

Of the 13 transients classified as X-ray TDEs or likely X-ray TDEs from the sample of Auchettl et al. (2017), most (10) were first detected in the X-ray band, either from XMM-Newton slews, serendipitously in Chandra or XMM-Newton pointed observations, or from hard X-ray monitors such as Swift/BAT. The other three were detected in optical surveys. Therefore SDSS J143359.16+400636.0 adds to the number of TDEs first detected in the X-rays.

In comparison to these other TDEs, we find that SDSS J1201+30 is the event which shows most similarity to SDSS J143359.16+400636.0 in terms of its X-ray and optical/UV luminosities. It was also powered by a black hole of similar mass,  $(10^{7.2} M_{\odot}, \text{Wev-}$ ers et al. 2019). SDSS J1201+30 was first detected by XMM-Newton during a slew with  $L_{\rm X} \sim 3 \times 10^{44}~{\rm erg\,s^{-1}}$ which was 56 times brighter than a previous ROSAT upper limit and decayed with a with  $t^{-5/3}$  profile (Saxton et al. 2012). A power-law fit to the X-ray spectrum of the source yielded  $\Gamma = 3.38 \pm 0.04$ . The optical/UV emission from this source was also weak, with  $0.002-0.1 \text{ keV luminosity of } 2.64 \pm 0.31 \times 10^{42} \text{ erg s}^{-1}$ (Auchettl et al. 2017). The source also did not present broad or coronal optical lines. The X-ray spectrum could be reproduced with a Bremsstrahlung or doublepower-law model. These characteristics are similar to SDSS J143359.16+400636.0.

One property of SDSS J1201+30 that we do not see in SDSS J143359.16+400636.0 is variability on timescales

of days in addition to the monotonic flux decline. SDSS J1201+30 became invisible to Swift between 27 and 48 days after discovery, which Saxton et al. (2012) suggested could be due to self-absorption by material driven from the system by radiation pressure during an early super-Eddington accretion phase. Alternatively, Liu et al. (2014) suggested that a supermassive black hole binary lies at the heart of SDSS J1201+30, and that the dips in the lightcurve were due to disruption of the accretion flow by the secondary SMBH. SDSS J143359.16+400636.0, however, does not show evidence for excess variability from the powerlaw decline.

In terms of how the X-ray lightcurve of SDSS J143359.16+400636.0 compares with the well sampled X-ray light curves of other X-ray TDEs, SDSS J143359.16+400636.0 appears to have shown a plateau of emission before declining, similar to ASSASN-14li (van Velzen et al. 2016), while XMMSL1 J0740-85 declined monotonically without evidence for a plateau (Saxton et al. 2017).

Having compared the properties of the TDE in SDSS J143359.16+400636.0 to other X-ray TDEs, it is useful to compare the optical emission from the TDE in SDSS J143359.16+400636.0 to that of optically selected TDEs. For this we use the recent sample of 17 ZTFdiscovered TDEs presented in van Velzen et al. (2020). Here the authors use a simple blackbody model to fit the optical/UV data of their sample. We proceed to fit the optical/UV data described in Section 7, finding that these can be described by a blackbody with  $\log(T/K) = 4.3^{+0.2}_{-0.1}$ , where the g-band luminosity is  $\log(L_q/\text{erg s}^{-1})=41.0\pm0.1$ , and the total blackbody luminosity is  $\log(L_{\rm bb}/\mathrm{erg}\,\mathrm{s}^{-1})=42.8\pm0.1$ . While the temperature is comparable to the sample of van Velzen et al. (2020), which has the range  $\log(T/K)=4.1-4.6$ , the luminosities are much lower, where the ZTF TDEs have  $\log(L_q/\text{erg s}^{-1}) = 42.8 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 \text{ and } \log(L_{\text{bb}}/\text{erg s}^{-1}) = 43.2 - 43.6 +$ 44.7.

The black hole mass inferred from the stellar velocity dispersion of SDSS J143359.16+400636.0 is  $\sim 10^{7.4}~M_{\odot}$ , which is around the peak of the observed distribution of black hole masses of TDEs (Stone & Metzger 2016), although for optical events this was found to be lower,  $\sim 10^6~M_{\odot}$  (Wevers et al. 2017). We calculated that the Eddington fraction of the event near peak was only  $\sim 10\%$ . This is naturally explained since the SMBH has a mass of  $\sim 10^{7.4}~M_{\odot}$ , meaning that a very massive star would have been needed to reach Eddington luminosities. Strubbe & Quataert (2009) stated that TDEs can emit above the Eddington luminosity for a BH with  $M_{\rm BH} < 10^7~M_{\rm BH}$ . Indeed Stone & Metzger (2016)

concluded that Eddington-limited emission channels of TDEs dominate the rates.

Finally, we noted that SDSS J143359.16+400636.0 has a companion galaxy, SDSS J143357.57+400647.3, which has a projected separation of 38 kpc. This may be important since a companion galaxy that may be undergoing an interaction with the host could be relevant to the fueling of TDEs (French et al. 2020).

## 11. IMPLICATIONS AND CONCLUSIONS

Only 13 transients were classified as X-ray TDEs or likely X-ray TDEs from the sample of Auchettl et al. (2017), so the number of known X-ray TDEs is still small. Therefore finding more events of this nature are important for understanding this population, even just one event as we have reported here.

This TDE was one of a few identified where previous AGN activity in the galaxy was known, albeit at a low-level. Other TDEs with known AGN activity prior to the flare include ASSASN-14li (van Velzen et al. 2016), where archival radio data and narrow [O III] emission showed a low-luminosity AGN existed prior to the event. As can be seen in Fig 6, several other TDE hosts showed similar evidence for prior AGN activity from their narrow line ratios, including CNSS J0019+00 (Sy2, Anderson et al. 2019). Furthermore, Ricci et al. (2020) postulated that a TDE caused the changing-look behaviour of the AGN 1ES 1927+654, and Merloni et al. (2015) suggested that TDEs may be drivers of these changing-look events.

While we used ZTF data to determine the optical evolution of this TDE, this event was not identified as a TDE by wide field optical surveys such as ZTF or ASAS-SN, possibly due to its low optical luminosity. We note, however, that ZTF was not observing the field of SDSS J143359.16+400636.0 when the optical luminosity was at its peak, which may be the reason it was missed. This TDE was also not classified as a TDE from its optical spectrum. Taken together, this suggests many more events like it are being missed, and ultimately only wide field UV or X-ray surveys will catch events like these. eROSITA is currently conducting an all-sky survey in the 0.2–10 keV band and will likely identify a large number of them (Merloni et al. 2012).

In conclusion, we have reported on an X-ray transient, observed to peak at a 0.3–10 keV luminosity of  $10^{44}~{\rm erg\,s^{-1}}$ , originating in the nucleus of the galaxy SDSS J143359.16+400636.0 at z=0.099. The X-ray transient was also accompanied by a less powerful optical/UV transient. A soft X-ray spectrum with  $\Gamma=3$  and the low UV/X-ray ratio disfavor an AGN flare scenario. The source was observed to decline monotonically

in all bands, consistent with a  $t^{-5/3}$  profile favoring a TDE scenario. Since this event was not identified as a TDE by wide-field optical surveys, or by optical spectroscopy, we are lead to the conclusion that a significant fraction of X-ray TDEs may be going unnoticed.

#### ACKNOWLEDGMENTS

The majority of this research and manuscript preparation took place during the COVID-19 global pandemic. The authors would like to thank all those who risked their lives as essential workers in order for us to safely continue our work from home.

We wish to thank the *Swift* PI, Brad Cenko, for approving the target of opportunity requests we made to observe SDSS J143359.16+400636.0, as well as the rest of the *Swift* team for carrying the observations out. We also acknowledge the use of public data from the *Swift* data archive.

We also wish to thank the NuSTAR PI, Fiona Harrison, for approving the DDT request we made to observe SDSS J143359.16+400636.0, as well as the NuSTAR SOC for carrying out the observation. This work was also supported under NASA Contract No. NNG08FD60C. NuSTAR is a project led by the California Institute of Technology, managed by the Jet Propulsion Laboratory, and funded by the National Aeronautics and Space Administration. This research has made use of the NuSTAR Data Analysis Software (NuSTAR-DAS) jointly developed by the ASI Science Data Center (ASDC, Italy) and the California Institute of Technology (USA).

ZTF is supported by the National Science Foundation under Grant No. AST-1440341 and a collaboration including Caltech, IPAC, the Weizmann Institute for Science, the Oskar Klein Center at Stockholm University, the University of Maryland, the University of Washington, Deutsches Elektronen-Synchrotron and Humboldt University, Los Alamos National Laboratories, the TANGO Consortium of Taiwan, the University of Wisconsin at Milwaukee, and Lawrence Berkeley National Laboratories. Operations are conducted by COO, IPAC, and UW.

This paper is based on observations obtained with the Samuel Oschin Telescope 48-inch and the 60-inch Telescope at the Palomar Observatory as part of the Zwicky Transient Facility project. ZTF is supported by the National Science Foundation under Grant No. AST-1440341 and a collaboration including Caltech. IPAC, the Weizmann Institute for Science, the Oskar Klein Center at Stockholm University, the University of Maryland, the University of Washington, Deutsches Elektronen-Synchrotron and Humboldt University, Los Alamos National Laboratories, the TANGO Consortium of Taiwan, the University of Wisconsin at Milwaukee, and Lawrence Berkeley National Laboratories. Operations are conducted by COO, IPAC, and UW. SED Machine is based upon work supported by the National Science Foundation under Grant No. 1106171.

The ZTF forced-photometry service was funded under the Heising-Simons Foundation grant #12540303 (PI: Graham).

Facilities: Swift (XRT, UVOT), NuSTAR, CXO, Keck:I (LRIS), PO:1.2m PO:1.5m, VLA

#### REFERENCES

- Alam, S., Albareti, F. D., Allende Prieto, C., et al. 2015, ApJS, 219, 12
- Alexander, K. D., van Velzen, S., Horesh, A., & Zauderer, B. A. 2020, SSRv, 216, 81
- Anderson, M. M., Mooley, K. P., Hallinan, G., et al. 2019, arXiv e-prints, arXiv:1910.11912
- Arnaud, K. A. 1996, in Astronomical Society of the Pacific Conference Series, Vol. 101, Astronomical Data Analysis Software and Systems V, ed. G. H. Jacoby & J. Barnes, 17–+
- Assef, R. J., Stern, D., Kochanek, C. S., et al. 2013, ApJ, 772, 26
- Auchettl, K., Guillochon, J., & Ramirez-Ruiz, E. 2017, ApJ, 838, 149
- Auchettl, K., Ramirez-Ruiz, E., & Guillochon, J. 2018, ApJ, 852, 37
- Becker, R. H., White, R. L., & Helfand, D. J. 1995, ApJ, 450, 559
- Bellm, E. C., Kulkarni, S. R., Graham, M. J., et al. 2019, PASP, 131, 018002
- Berney, S., Koss, M., Trakhtenbrot, B., et al. 2015, MNRAS, 454, 3622
- Bianchi, L., Herald, J., Efremova, B., et al. 2011, Ap&SS, 335, 161
- Brightman, M., Silverman, J. D., Mainieri, V., et al. 2013, MNRAS, 433, 2485
- Brown, J. S., Holoien, T. W. S., Auchettl, K., et al. 2017, MNRAS, 466, 4904
- Burrows, D. N., Hill, J. E., Nousek, J. A., et al. 2005, Space Science Reviews, 120, 165
- Cappellari, M. 2017, MNRAS, 466, 798
- Cappellari, M., & Emsellem, E. 2004, PASP, 116, 138
- Chambers, K. C., Magnier, E. A., Metcalfe, N., et al. 2016, arXiv e-prints, arXiv:1612.05560
- Chen, J., Shi, Y., Dempsey, R., et al. 2019, MNRAS, 489, 855
- Evans, C. R., & Kochanek, C. S. 1989, ApJL, 346, L13French, K. D., Arcavi, I., & Zabludoff, A. 2016, ApJL, 818, L21
- —. 2017, ApJ, 835, 176
- French, K. D., Wevers, T., Law-Smith, J., Graur, O., & Zabludoff, A. I. 2020, SSRv, 216, 32
- Gaia Collaboration, Brown, A. G. A., Vallenari, A., et al. 2018, A&A, 616, A1

Gallo, L. C., Blue, D. M., Grupe, D., Komossa, S., & Wilkins, D. R. 2018, MNRAS, 478, 2557

Software: XSPEC (Arnaud 1996)

- Graham, M. J., Kulkarni, S. R., Bellm, E. C., et al. 2019, PASP, 131, 078001
- Harrison, F. A., Craig, W. W., Christensen, F. E., Hailey, C. J., & Zhang, W. W. 2013, ApJ, 770, 103
- HI4PI Collaboration, Ben Bekhti, N., Flöer, L., et al. 2016, A&A, 594, A116
- Ho, A. Y. Q., Kulkarni, S. R., Perley, D. A., et al. 2020, arXiv e-prints, arXiv:2004.10406
- Holoien, T. W. S., Kochanek, C. S., Prieto, J. L., et al. 2016, MNRAS, 455, 2918
- Holoien, T. W. S., Vallely, P. J., Auchettl, K., et al. 2019, ApJ, 883, 111
- Kauffmann, G., Heckman, T. M., Tremonti, C., et al. 2003, MNRAS, 346, 1055
- Kewley, L. J., Heisler, C. A., Dopita, M. A., & Lumsden, S. 2001, ApJS, 132, 37
- Khabibullin, I., Sunyaev, R., Churazov, E., et al. 2020, The Astronomer's Telegram, 13494, 1
- Komossa, S. 2015, Journal of High Energy Astrophysics, 7, 148
- Lang, D., Hogg, D. W., & Mykytyn, D. 2016, The Tractor: Probabilistic astronomical source detection and measurement, ascl:1604.008
- Law-Smith, J., Ramirez-Ruiz, E., Ellison, S. L., & Foley, R. J. 2017, ApJ, 850, 22
- Liu, F. K., Li, S., & Komossa, S. 2014, ApJ, 786, 103
- Lusso, E., & Risaliti, G. 2016, ApJ, 819, 154
- Lusso, E., Comastri, A., Vignali, C., et al. 2010, A&A, 512, A34+
- Madsen, K. K., Harrison, F. A., Markwardt, C. B., et al. 2015, ApJS, 220, 8
- Mainzer, A., Bauer, J., Grav, T., et al. 2011, ApJ, 731, 53
- Makishima, K., Maejima, Y., Mitsuda, K., et al. 1986, ApJ, 308, 635
- Masci, F. J., Laher, R. R., Rusholme, B., et al. 2019, PASP, 131, 018003
- Mattila, S., Pérez-Torres, M., Efstathiou, A., et al. 2018, Science, 361, 482
- Merloni, A., Predehl, P., Becker, W., et al. 2012, ArXiv e-prints, arXiv:1209.3114
- Merloni, A., Dwelly, T., Salvato, M., et al. 2015, MNRAS, 452, 69

- Mitsuda, K., Inoue, H., Koyama, K., et al. 1984, PASJ, 36, 741
- Oke, J. B., Cohen, J. G., Carr, M., et al. 1995, PASP, 107, 375
- Perley, D. A. 2019, PASP, 131, 084503
- Rees, M. J. 1988, Nature, 333, 523
- Ricci, C., Trakhtenbrot, B., Koss, M. J., et al. 2017, ApJS, 233, 17
- Ricci, C., Kara, E., Loewenstein, M., et al. 2020, ApJL, 898, L1
- Risaliti, G., Salvati, M., Elvis, M., et al. 2009, MNRAS, 393, L1
- Saxton, R. D., Read, A. M., Esquej, P., et al. 2012, A&A, 541, A106
- Saxton, R. D., Read, A. M., Komossa, S., et al. 2017, A&A, 598, A29
- Shemmer, O., Brandt, W. N., Netzer, H., Maiolino, R., & Kaspi, S. 2006, ApJL, 646, L29
- —. 2008, ApJ, 682, 81
- Sobolewska, M. A., & Papadakis, I. E. 2009, MNRAS, 399, 1597
- Soderberg, A., Grindlay, J. E., Bloom, J. S., et al. 2009, in astro2010: The Astronomy and Astrophysics Decadal Survey, Vol. 2010, 278

- Steffen, A. T., Strateva, I., Brandt, W. N., et al. 2006, AJ, 131, 2826
- Steiner, J. F., Narayan, R., McClintock, J. E., & Ebisawa, K. 2009, PASP, 121, 1279
- Stern, D., Assef, R. J., Benford, D. J., et al. 2012, ApJ, 753, 30
- Stone, N. C., & Metzger, B. D. 2016, MNRAS, 455, 859
  Strubbe, L. E., & Quataert, E. 2009, MNRAS, 400, 2070
  Trakhtenbrot, B., Ricci, C., Koss, M. J., et al. 2017, MNRAS, 470, 800
- Tremonti, C. A., Heckman, T. M., Kauffmann, G., et al. 2004, ApJ, 613, 898
- van Velzen, S., Anderson, G. E., Stone, N. C., et al. 2016, Science, 351, 62
- van Velzen, S., Gezari, S., Cenko, S. B., et al. 2019, ApJ, 872, 198
- van Velzen, S., Gezari, S., Hammerstein, E., et al. 2020, arXiv e-prints, arXiv:2001.01409
- Weisskopf, M. C. 1999, ArXiv Astrophysics e-prints, astro-ph/9912097
- Wevers, T., van Velzen, S., Jonker, P. G., et al. 2017, MNRAS, 471, 1694
- Wevers, T., Stone, N. C., van Velzen, S., et al. 2019, MNRAS, 487, 4136
- Woo, J.-H., Schulze, A., Park, D., et al. 2013, ApJ, 772, 49